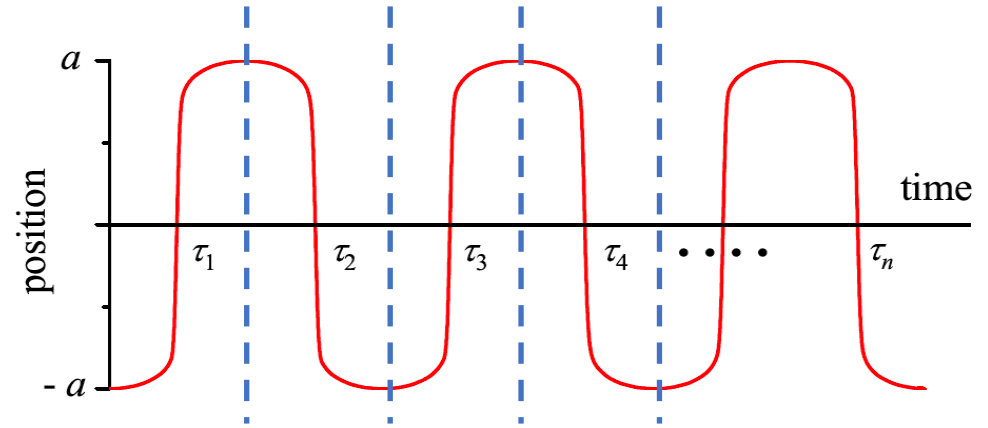
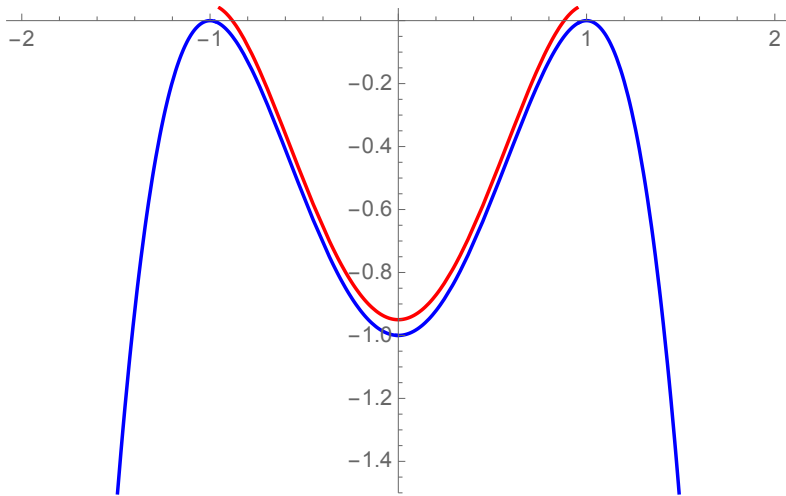


# QCD lecture 11a

December 20

# Energy splitting from instantons in QM



Two lowest energies

$$E_s = \frac{1}{2} \hbar \omega - \hbar \tilde{K} e^{-S_E^0/\hbar}$$

$$E_r = \frac{1}{2} \hbar \omega + \hbar \tilde{K} e^{-S_E^0/\hbar}$$

$$\tilde{K} = \frac{[\det(-m \frac{d^2}{d\tau^2} + m\omega^2)]^{\frac{1}{2}}}{[\det(-m \frac{d^2}{d\tau^2} + V''(\bar{x}))]^{\frac{1}{2}}}$$

Splitting is nonperturbative suppressed by the exponent from the classical action

# Instanton in QM: summary

$$\tilde{K} = \left( \frac{S_E^0}{m2\pi\hbar} \right)^{\frac{1}{2}} \frac{[\det(-m\frac{d^2}{d\tau^2} + m\omega^2)]^{\frac{1}{2}}}{[\det'(-m\frac{d^2}{d\tau^2} + V''(\bar{x}))]^{\frac{1}{2}}}$$

Here prime means: no zero mode

Instantons in Minkowski space correspond to the tunnelling between the minima of the potential.

In Euclidean space instantons are *localized* (around  $\tau_1$ ) solutions of classical equations of motion that in infinity go to the different vacua.

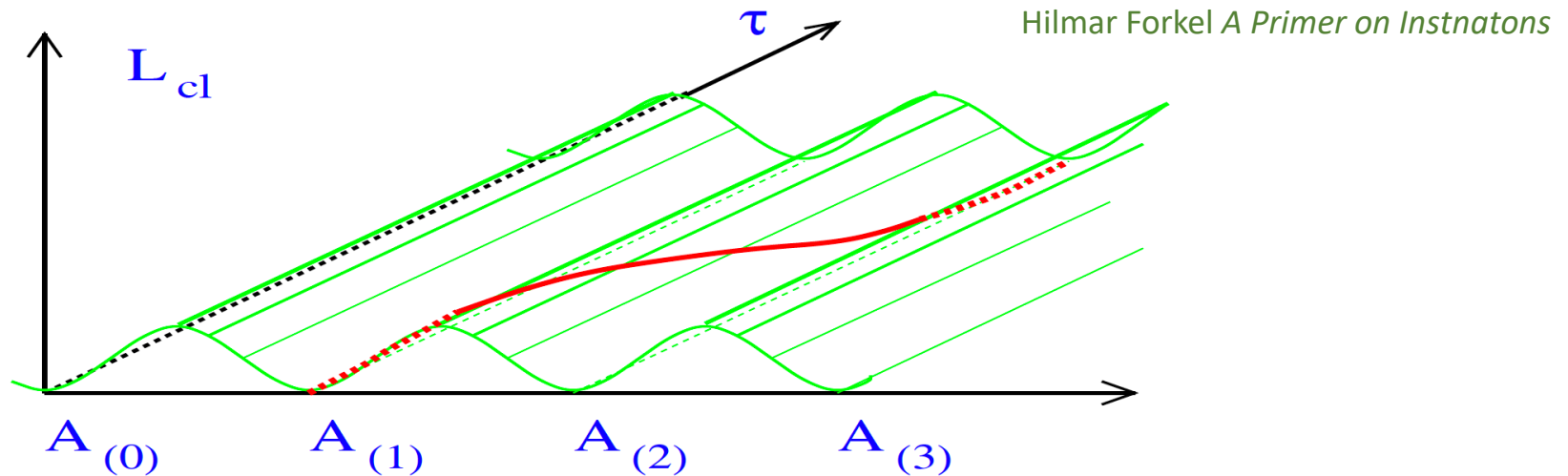
Instanton quantal operator for fluctuations around classical trajectory has a zero mode.

Zero modes have to be omitted from the quantal determinant and taken care off exactly.

Instantons give rise to the splitting of naively degenerate energy eigen-states. This splitting is non-perturbative and exponentially suppressed.

# Instantons in QCD

In order to continuously deform  $A_\mu^{(n)} \rightarrow A_\mu^{(m)}$  we have to consider field configurations with nonminimal action  $S_E > 0$



Instantons are solutions of the Euclidean equations of motion (QCD or Yang Mills eqs.)

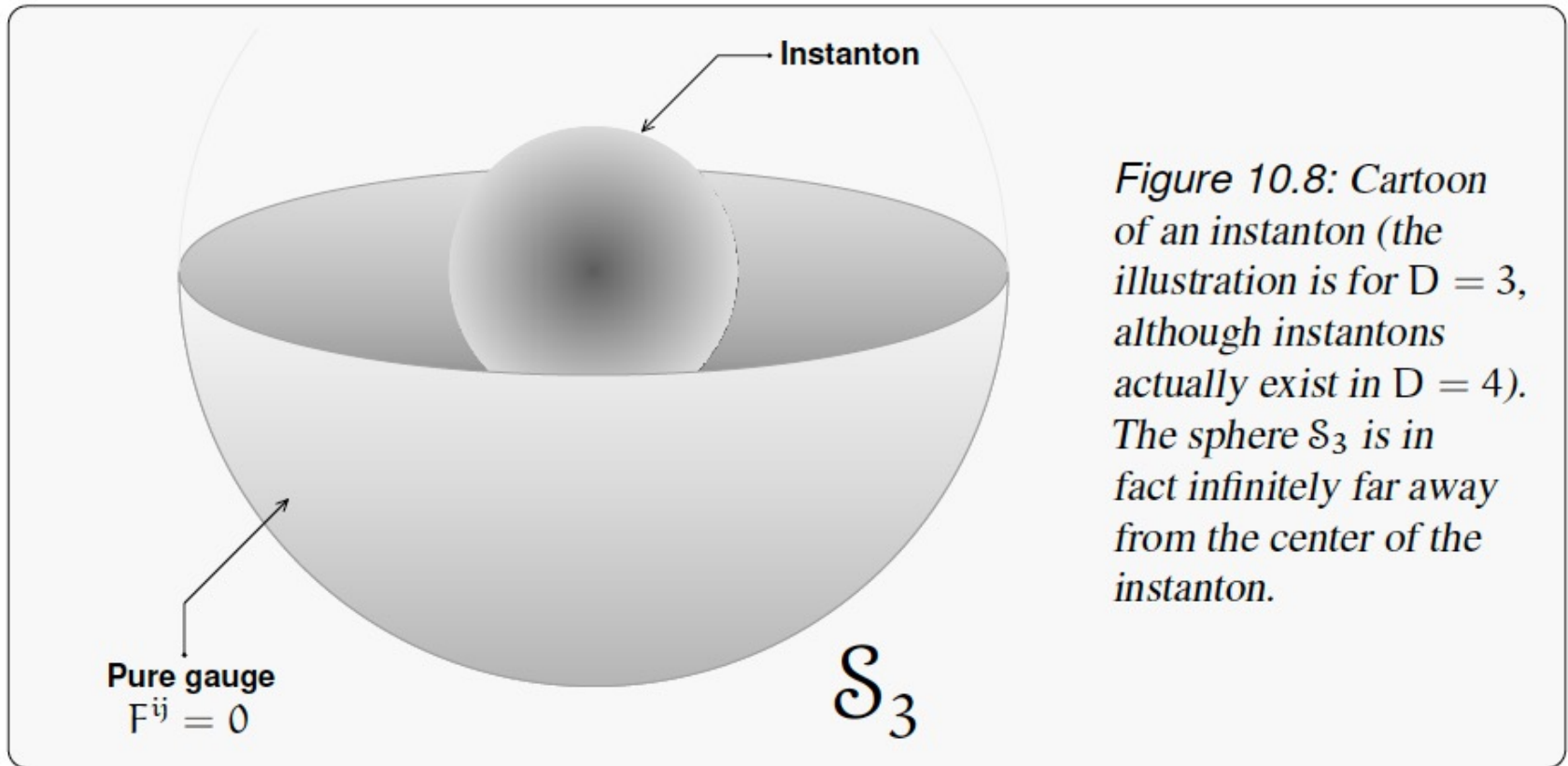
$$D_\mu^{ab} F_{\mu\nu}^b = 0$$

with the following boundary conditions:

$$A_\mu(\vec{x}, T = -\infty) = A_\mu^{(n)}(\vec{x}),$$

$$A_\mu(\vec{x}, T = +\infty) = A_\mu^{(n+1)}(\vec{x})$$

They are time dep. solutions of  $n = 1$  and minimal possible action.



*Figure 10.8: Cartoon of an instanton (the illustration is for  $D = 3$ , although instantons actually exist in  $D = 4$ ). The sphere  $\mathcal{S}_3$  is in fact infinitely far away from the center of the instanton.*

# Instantons in QCD

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# Instantons in QCD

Instantons satisfy important property. Define dual field tensor  $\tilde{F}_{\mu\nu}^a \equiv \frac{1}{2}\epsilon_{\mu\nu\alpha\beta}F_{\alpha\beta}^a$

Recall: 
$$\frac{g^2}{32\pi^2} \int d^4x \frac{1}{2}\epsilon_{\mu\nu\alpha\beta}F_{\mu\nu}^a F_{\alpha\beta}^a = \frac{g^2}{32\pi^2} \int d^4x F_{\mu\nu}^a \tilde{F}_{\mu\nu}^a = N_W (= 1)$$

$$S = \frac{1}{4} \int d^4x F_{\mu\nu}^a F_{\mu\nu}^a \quad \text{Euclidean action has +sign}$$

Construct a positive quantity:

$$0 \leq \int d^4x \left( F_{\mu\nu}^a \pm \tilde{F}_{\mu\nu}^a \right)^2 = \int d^4x \left( 2F_{\mu\nu}^a F_{\mu\nu}^a \pm 2F_{\mu\nu}^a \tilde{F}_{\mu\nu}^a \right) = 8S \pm \frac{64\pi^2}{g^2} N_W$$

which gives a Bogomolny bound

$$S \geq \frac{8\pi^2}{g^2} |N_W|$$

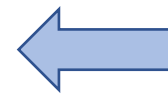
Instantons minimize the action, so they are self-dual solutions  $F_{\mu\nu}^a = \tilde{F}_{\mu\nu}^a$

# Explicit instanton solution SU(2)

$$A_\mu^a(x) = \frac{2}{g} \eta_{\mu\nu}^a \frac{(x-z)_\nu}{(x-z)^2 + \rho^2}$$

't Hooft symbols

$$\eta_{\mu\nu}^a = \begin{cases} \varepsilon^{a\mu\nu} & \mu, \nu = 1, 2, 3 \\ -\delta^{a\nu} & \mu = 4 \\ +\delta^{a\mu} & \nu = 4 \\ 0 & \mu = \nu = 4 \end{cases}$$



change sign for  
anti-instantons

$$\eta_{\mu\nu}^1 = \begin{bmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & -1 & 0 & 0 \\ -1 & 0 & 0 & 0 \end{bmatrix}, \quad \eta_{\mu\nu}^2 = \begin{bmatrix} 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \end{bmatrix}, \quad \eta_{\mu\nu}^3 = \begin{bmatrix} 0 & 1 & 0 & 0 \\ -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & -1 & 0 \end{bmatrix}$$

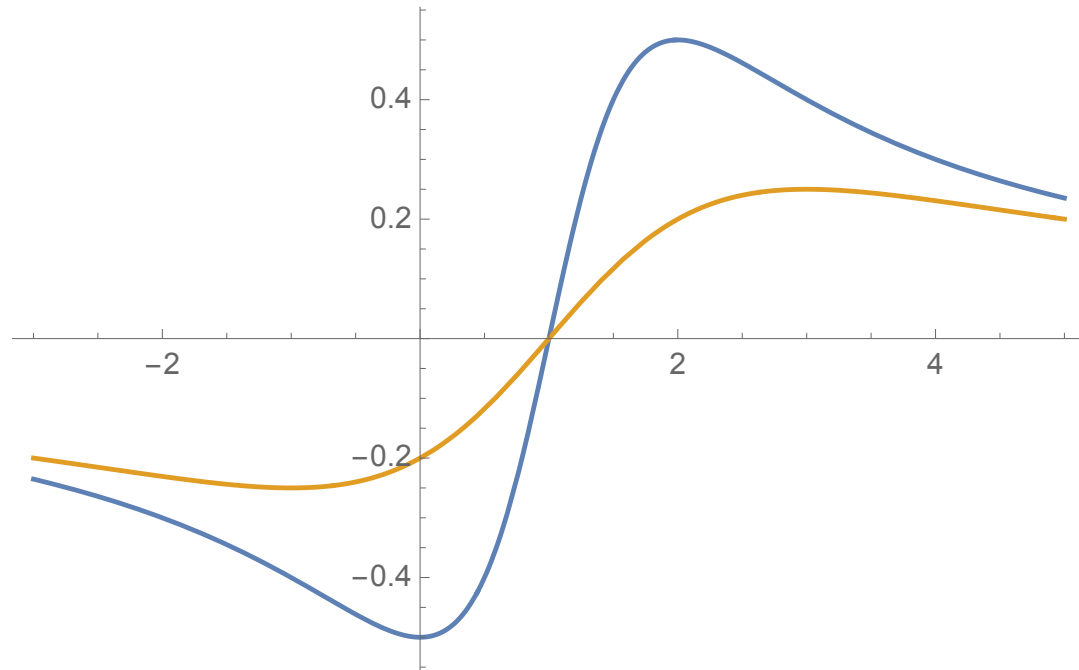
They are localized solutions.  $z_\mu$  is called instanton center,  $\rho$  instanton size



# Explicit instanton solution

$$A_{\mu}^a(x) = \frac{2}{g} \eta_{\mu\nu}^a \frac{(x - z)_{\nu}}{(x - z)^2 + \rho^2}$$

One dim. plot:



They are localized solutions.  $z_{\mu}$  is called instanton center,  $\rho$  instanton size

# Collective coordinates

Once we have classical solution we have to calculate quantal determinant. However, like in QM, there will be zero modes corresponding to the flat directions of the classical action (within a topological class):

- change of instanton center  $z_\mu$  - 4
- change of size  $\rho$  - 1
- 3 parameters of a global gauge transformation (or 3 rotations)

Therefore there are 8 zero modes. In QM we had one zero mode corresponding to  $\tau_1$

Consider fluctuations around the classical configuration

$$A = A^{\text{inst}} + a$$

Then

$$\begin{aligned} S[A^{\text{inst}} + a] &= \frac{8\pi^2}{g^2} + \frac{1}{2} \int d^4x \int d^4y a(x) \mathcal{D}(x, y) a(y) \\ &\rightarrow e^{-8\pi^2/g^2} \frac{1}{\sqrt{\det \mathcal{D}}} = e^{-8\pi^2/g^2} \prod_s \lambda_s^{-1/2} \end{aligned}$$

# Collective coordinates

$$e^{-8\pi^2/g^2} \frac{1}{\sqrt{\det \mathcal{D}}} = e^{-8\pi^2/g^2} \prod_s \lambda_s^{-1/2}$$

However, for each zero mode we do not integrate over a complete set of eigen-functions of  $\mathcal{D}$  but we perform an exact integration over the zero modes. Recall that there is a Jacobian between the two. In QM we had

$$\sqrt{\frac{S_E^0}{m}} y_1 d\tau_1 \quad \text{instead of} \quad y_1 da_1$$

This holds also in the QCD case. It can be shown by field rescaling that  $\lambda \sim 1/g^2$

$$S = -\frac{1}{4} \int d^4x F_{\mu\nu}^a F_{\mu\nu}^a \quad F_{\mu\nu}^a = \partial_\mu A_\nu - \partial_\nu A_\mu + g f^{abc} A_\mu^b A_\nu^c$$

$$= \frac{1}{g} (\partial_\mu (g A_\nu) - \partial_\nu (g A_\mu) + f^{abc} (g A_\mu^b) (g A_\nu^c))$$

$$A' = gA \quad = \frac{1}{g} (\partial_\mu A'_\nu - \partial_\nu A'_\mu + f^{abc} A_\mu'^b A_\nu'^c) .$$

# Collective coordinates

$$e^{-8\pi^2/g^2} \frac{1}{\sqrt{\det \mathcal{D}}} = e^{-8\pi^2/g^2} \prod_s \lambda_s^{-1/2}$$

However, for each zero mode we do not integrate over a complete set of eigen-functions of  $\mathcal{D}$  but we perform an exact integration over the zero modes. Recall that there is a Jacobian between the two. In QM we had

$$\sqrt{\frac{S_E^0}{m}} y_1 d\tau_1 \quad \text{instead of} \quad y_1 da_1$$

This holds also in the QCD case. It can be shown by field rescaling that  $\lambda \sim 1/g^2$

$$e^{-8\pi^2/g^2} \prod_{\substack{\text{zero} \\ \text{modes}}} \frac{1}{g} \prod_{\substack{s \neq \text{zero} \\ \text{mode}}} \lambda_s^{-1/2} \sim e^{-8\pi^2/g^2} \frac{1}{g^8}$$

This shows how highly non-perturbative are instanton contributions to the expectation values in QCD.

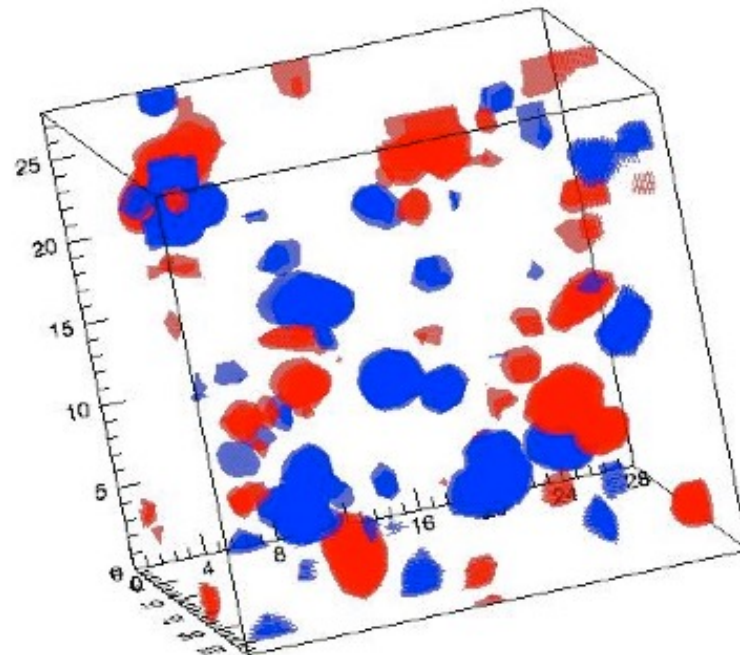
Nonzero modes make coupling constant running.

# Lattice QCD

## Instantons in the QCD Vacuum

Each lattice is a four-dimensional array (283 x 96, say) of four 3 x 3 complex matrices representing these fields in a tiny box of space measuring about 2 femtometers on a side (1 fm =  $10^{-15}$  m) and extending about  $10^{-22}$  seconds in time.

Instantons and anti-instantons



$t = 3.30000e-24$  sec  
volume = 16 fm<sup>3</sup>  
lattice: l2896l21b709m0062m031b.1135

J.E. Hetrick  
University of the Pacific  
MILC Collaboration  
<http://physics.indiana.edu/~sg/milc.html>

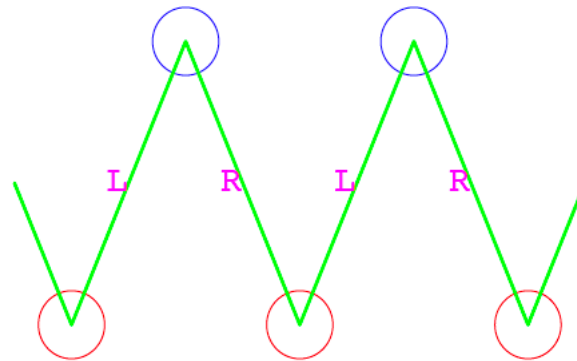
# Chiral symmetry breaking

Quark propagating between instantons and anti-instantons changes chirality.

This leads to the **chiral symmetry breaking**, quarks get constituent mass that is momentum dependent

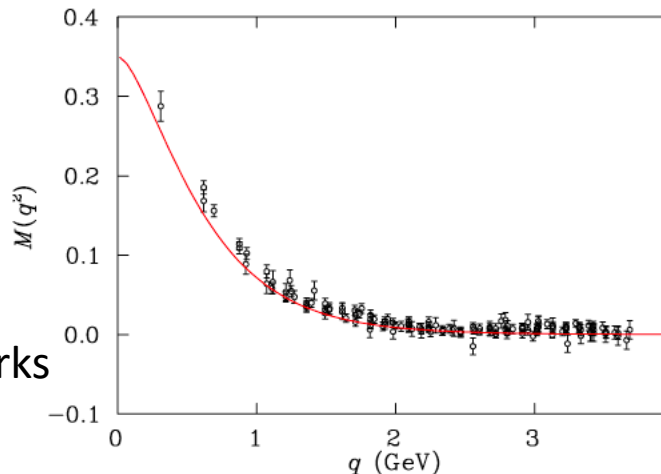
This mechanism explains why proton has mass of 1 GeV, while current (Higgs) masses of u,d quarks are ~a few MeV

$$\frac{g^2}{32\pi^2} \int d^4x_E \epsilon_{ijkl} F_{ij}^a(x) F_{kl}^b(x) \text{tr}(t^a t^b) = n_R - n_L$$



Average instanton size  $\rho = 1/3$  fm and  $R = 1$  fm (average distance between instantons)

Diakonov 2003  
*Instantons at work*



$$\epsilon_{vac} \simeq -\frac{b_1}{128\pi^2} \langle 0 | g^2 G^2 | 0 \rangle \simeq -\frac{1 \text{ GeV}}{2 \text{ fm}^3}$$

# Instantons and $\theta$ term

In principle we should include the sum over all topological sectors in the QCD path integral

$$\langle \mathcal{O} \rangle = Z^{-1} \sum_{n \in \mathbb{Z}} P(n) \int [DA]_n \mathcal{O}[A] e^{-S[A]}$$

where  $P(n)$  is a weight factor and measure  $[DA]_n$  is restricted to topological sector  $n$ . One can prove

$$P(n_1 + n_2) = P(n_1)P(n_2)$$

the solution is  $P(n) = e^{-n\theta}$  where  $\theta$  is an arbitrary constant. However

$$n = \frac{g^2}{64\pi^2} \int d^4x \epsilon^{ijkl} F_{ij}^a F_{kl}^a$$

So we may add theta term to the QCD lagrangian and integrate over all A fields. Note that  $\theta = 0$  corresponds to the uniform weight factor.

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