

# QCD lecture 6

November 18

# Fermionic symmetries

Consider a set of fermion fields  $\psi(x)$  (with components  $\psi_n(x)$ ) interacting with a gauge potential  $A_\mu^a(x)$

At this moment we do not specify the meaning of index  $n$

- it can be color index
- it can be flavor (up, down, strange ...)
- it can be spinor index
- or some combination of the above

What is important is the unitary transformation of these fields

$$\psi(x) \rightarrow U(x)\psi(x) \quad \psi^\dagger(x) \rightarrow \psi^\dagger(x)U^\dagger(x)$$

Dirac conjugate:  $\bar{\psi}(x) \equiv \psi^\dagger(x)\gamma^0 \rightarrow \psi^\dagger(x)U^\dagger(x)\gamma^0 = \bar{\psi}(x)\gamma^0 U^\dagger(x)\gamma^0$

These matrices have both fermionic and space-time indices:  $\bar{u}$

$$U_{xm,yn} \equiv U_{mn}(x) \delta(x-y),$$
$$\bar{U}_{xm,yn} \equiv (\gamma^0 U^\dagger(x) \gamma^0)_{mn} \delta(x-y)$$

# Fermionic symmetries

EXAMPLE:

$$U(x) = e^{i\alpha(x)t}$$

where  $\alpha(x) \in \mathbb{R}$  and  $t$  is hermitean matrix (generator) that carries no spinor indices.

Then multiplication is understood as (remember that  $(\gamma^0)^2 = 1$ ):

$$\begin{aligned}(\bar{U}U)_{xm,yn} &= \int d^4z \sum_p \bar{u}_{xm,zp} u_{zp,yn} \\ &= \int d^4z \delta(x-z)\delta(z-y) \sum_p \left(e^{-i\alpha(z)t}\right)_{mp} \left(e^{i\alpha(z)t}\right)_{pn} \\ &= \delta_{mn}\delta(x-y) .\end{aligned}$$

This means that  $\bar{u}u = 1$ , which implies that  $\det U \det \bar{U} = 1$

Since under such transformation the Grassmann integration measure changes as

$$[D\psi D\bar{\psi}] \rightarrow \frac{1}{\det(U) \det(\bar{U})} [D\psi D\bar{\psi}]$$

the measure remains invariant for this kind of unitary transformations.

# Chiral transformations

Recall free Dirac equation:  $(i\cancel{\partial} - m) \psi = 0$

and choose chiral representations for Dirac matrices

$$\gamma^0 = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}, \quad \gamma^i = \begin{bmatrix} 0 & \sigma_i \\ -\sigma_i & 0 \end{bmatrix}, \quad \gamma_5 = \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix}$$

where  $\gamma_5 = i\gamma^0\gamma^1\gamma^2\gamma^3$

Then Dirac equation can be rewritten as a set of two interconnected equations

$$(i\partial_t - i\boldsymbol{\sigma} \cdot \nabla) \psi_L - m\psi_R = 0, \quad (i\partial_t + i\boldsymbol{\sigma} \cdot \nabla) \psi_R - m\psi_L = 0,$$

where a four component bispinor has been decomposed into two two component Weyl spinors

$$\psi = \begin{bmatrix} \psi_L \\ \psi_R \end{bmatrix} \quad \text{Note that:} \quad \psi_R \equiv \left( \frac{1 + \gamma_5}{2} \right) \psi, \quad \psi_L \equiv \left( \frac{1 - \gamma_5}{2} \right) \psi$$

For massless fermions (or very small masses)

left and right components are independent: **chiral symmetry**

# Chiral transformations

Consider  $U(x) = e^{i\alpha(x)\gamma^5 t}$

and recall properties of  $\gamma^5$

$$\begin{aligned}(\gamma^5)^2 &= 1, \\ \gamma^{5\dagger} &= \gamma^5, \\ \{\gamma^5, \gamma^0\} &= 0\end{aligned}$$

which imply  $\gamma^0 U^\dagger(x) \gamma^0 = \gamma^0 e^{-i\alpha(x)\gamma^5 t} \gamma^0 = e^{i\alpha(x)\gamma^5 t} = U(x)$

$$\begin{aligned}\bar{U} &= U \\ \det U &= \det \bar{U}\end{aligned}$$

and the integration measure is not invariant:

$$[D\psi D\bar{\psi}] \rightarrow \frac{1}{(\det U)^2} [D\psi D\bar{\psi}]$$

This leads to chiral anomaly, as discussed previously within the framework of perturbation theory.

# Chiral anomaly

We need to calculate  $\frac{1}{(\det \mathcal{U})^2}$  for  $\mathcal{U}(x) = e^{i\alpha(x)\gamma^5 t}$

Consider infinitesimal transformation

$$(\mathcal{U} - 1)_{xm,yn} = i\alpha(x)(\gamma^5 t)_{mn} \delta(x - y)$$

and use

$$(\det \mathcal{U})^{-2} = e^{-2 \operatorname{tr} \ln \mathcal{U}}$$

$$\det \mathcal{U} = \prod_i \lambda_i = \exp\left(\sum_i \ln \lambda_i\right) = e^{\operatorname{tr} \ln \mathcal{U}}$$

This is very handy formula, since we can expand easily a logarithm for small  $\mathcal{U}$

$$\begin{aligned} (\det \mathcal{U})^{-2} &= \exp\left[-2 \operatorname{tr} \ln\left(1 + i\alpha(x)\gamma^5 t \delta(x - y)\right)\right] \\ &\underset{\alpha \ll 1}{\approx} \exp\left[-2 i \operatorname{tr}\left(\alpha(x)\gamma^5 t \delta(x - y)\right)\right] \\ &= \exp\left[i \int d^4x \alpha(x) \mathcal{A}(x)\right], \end{aligned}$$

Note that trace is both for Dirac indices and for fermion species ( $t$ ) and space-time. In the last step we have introduced **anomaly function**, which is poorly defined

$$\mathcal{A}(x) \equiv -2 \operatorname{tr}(\gamma^5 t) \delta(x - x)$$

# Diggression

Consider matrix  $\mathcal{A}_{\alpha ax, \beta by} = A_{\alpha a, \beta b} \delta^{(4)}(x - y)$

with indices

$\alpha, \beta$  – spinor

$a, b$  – flavor

$x, y$  – space-time

Then

$$\begin{aligned} \text{tr } \mathcal{A} &= \sum_{\alpha, \beta} \sum_{a, b} \int d^4x d^4y \mathcal{A}_{\alpha ax, \beta by} \delta_{\alpha\beta} \delta_{ab} \delta^{(4)}(x - y) \\ &= \sum_{\alpha} \sum_a \int d^4x \mathcal{A}_{\alpha ax, \alpha ax} = \int d^4x \text{tr}(A) \delta^{(4)}(x - x) \end{aligned}$$

# Chiral anomaly

Change of integration measure under chiral transformation

$$[D\psi D\bar{\psi}] \rightarrow e^{i \int d^4x \alpha(x) \mathcal{A}(x)} [D\psi D\bar{\psi}]$$

where

$$\mathcal{A}(x) \equiv -2 \operatorname{tr} (\gamma^5 t) \delta(x - x)$$

Note:  $\operatorname{tr}$  gives zero and  $\delta$  gives infinity.

We need to properly define this by some regularization. Before doing that, let's incorporate anomaly into the lagrangian (under functional integral):

$$\mathcal{L}(x) \rightarrow \mathcal{L}(x) + \alpha(x) \mathcal{A}(x)$$

This looks like the lagrangian itself was not invariant under chiral transformation.



# Chiral anomaly in gauge theory

Assume that our fermions couple to a (non)-Abelian gauge field through covariant derivative

$$\mathcal{D}_x \equiv \gamma^\mu (\partial_\mu - i g t^a A_\mu^a(x)) \quad (\text{note different convention for } g)$$

This means that matrix  $t$  may have both flavor and color indices (typically it is a product of flavor and color matrix).

Fujikawa proposed the following regularization (  $M$  - regularization parameter, nothing can depend on  $M$  )

$$\mathcal{A}(x) = -2 \lim_{y \rightarrow x, M \rightarrow +\infty} \text{tr} \left\{ \gamma^5 t \mathcal{F} \left( -\frac{\mathcal{D}_x^2}{M^2} \right) \right\} \delta(x - y)$$

where function  $\mathcal{F}(s)$  has the following properties:

$$\mathcal{F}(0) = 1 ,$$

$$\mathcal{F}(+\infty) = 0 ,$$

$$s \mathcal{F}'(s) = 0 \text{ at } s = 0 \text{ and at } s = +\infty$$

Note that this regularization is gauge invariant due to the covariant derivative (as a consequence vector current is conserved) .

# Chiral anomaly in gauge theory

We need to calculate

$$\mathcal{A}(x) = -2 \lim_{y \rightarrow x, M \rightarrow +\infty} \text{tr} \left\{ \gamma^5 t \mathcal{F} \left( -\frac{\not{D}_x^2}{M^2} \right) \right\} \delta(x-y)$$

use

$$\delta(x-y) = \int \frac{d^4k}{(2\pi)^4} e^{ik(x-y)}$$

to get

$$\begin{aligned} \mathcal{A}(x) &= -2 \int \frac{d^4k}{(2\pi)^4} \lim_{y \rightarrow x, M \rightarrow +\infty} \text{tr} \left\{ \gamma^5 t \mathcal{F} \left( -\frac{\not{D}_x^2}{M^2} \right) \right\} e^{ik(x-y)} \\ &= -2 \int \frac{d^4k}{(2\pi)^4} \lim_{M \rightarrow +\infty} \text{tr} \left\{ \gamma^5 t \mathcal{F} \left( -\frac{(ik + \not{D}_x)^2}{M^2} \right) \right\}. \end{aligned}$$

Second equality follows from:

$$\lim_{y \rightarrow x} \mathcal{F}(\partial_x) e^{ik \cdot (x-y)} = \mathcal{F}(ik + \partial_x)$$

Recall  $\not{D}_x \equiv \gamma^\mu (\partial_\mu - ig t^a A_\mu^a(x))$  Change integration variable  $k \rightarrow Mk$

$$\mathcal{A}(x) = -2 \lim_{M \rightarrow +\infty} M^4 \int \frac{d^4k}{(2\pi)^4} \text{tr} \left\{ \gamma^5 t \mathcal{F} \left( -\left[ ik + \frac{\not{D}_x}{M} \right]^2 \right) \right\}$$

# Chiral anomaly in gauge theory

We have 
$$\mathcal{A}(x) = -2 \lim_{M \rightarrow +\infty} M^4 \int \frac{d^4 k}{(2\pi)^4} \text{tr} \left\{ \gamma^5 \text{t} \mathcal{F} \left( - \left[ i\mathbb{k} + \frac{\mathbb{D}_x}{M} \right]^2 \right) \right\}$$

We have to square 
$$- \left[ i\mathbb{k} + \frac{\mathbb{D}_x}{M} \right]^2 = k^2 - 2i \frac{k \cdot \mathbb{D}_x}{M} - \left( \frac{\mathbb{D}_x}{M} \right)^2$$

We have used 
$$\mathbb{k}^2 = k_\mu k_\nu \gamma^\mu \gamma^\nu = k_\mu k_\nu \frac{1}{2} \{ \gamma^\mu, \gamma^\nu \} = k^2$$

$$\mathbb{k} \mathbb{D}_x + \mathbb{D}_x \mathbb{k} = (k^\mu \mathcal{D}_x^\nu + \mathcal{D}_x^\mu k^\nu) \frac{1}{2} \{ \gamma_\mu, \gamma_\nu \} = 2k_\mu \mathcal{D}_x^\mu$$

(note that  $k$  and  $D$  commute).

We need to expand 
$$\mathcal{F} \left( k^2 - 2i \frac{k \cdot \mathbb{D}_x}{M} - \left( \frac{\mathbb{D}_x}{M} \right)^2 \right)$$
 in powers of  $1/M$

We expect all powers lower than 4 to give zero, power 4 gives result independent of  $M$ , higher powers vanish in the limit  $M \rightarrow +\infty$ . Moreover we need 4 gamma matrices to get non-zero result from the Dirac trace. This means that only second term in Taylor expansion is needed.

# Chiral anomaly in gauge theory

$$\mathcal{A}(x) = -2 \lim_{M \rightarrow +\infty} M^4 \int \frac{d^4 k}{(2\pi)^4} \text{tr} \left\{ \gamma^5 t \mathcal{F} \left( - \left[ i\mathcal{K} + \frac{\mathcal{D}_x}{M} \right]^2 \right) \right\}$$

Expanding:

$$\mathcal{F} \left( k^2 - 2i \frac{k \cdot \mathcal{D}_x}{M} - \left( \frac{\mathcal{D}_x}{M} \right)^2 \right) \rightarrow \frac{1}{2} \mathcal{F}''(k^2) \frac{\mathcal{D}_x^4}{M^4} \quad \mathcal{F}'(k^2) = \frac{d}{dk^2} \mathcal{F}(k^2)$$

we get

$$\mathcal{A}(x) = - \int \frac{d^4 k}{(2\pi)^4} \mathcal{F}''(k^2) \text{tr} \left( \gamma^5 t \mathcal{D}_x^4 \right)$$

We can now integrate over  $d^4 k$

# Chiral anomaly in gauge theory

$$\mathcal{A}(x) = -2 \lim_{M \rightarrow +\infty} M^4 \int \frac{d^4 k}{(2\pi)^4} \text{tr} \left\{ \gamma^5 t \mathcal{F} \left( - \left[ i\cancel{k} + \frac{\cancel{D}_x}{M} \right]^2 \right) \right\}$$

Expanding:

$$\mathcal{F} \left( k^2 - 2i \frac{k \cdot \cancel{D}_x}{M} - \left( \frac{\cancel{D}_x}{M} \right)^2 \right) \rightarrow \frac{1}{2} \mathcal{F}''(k^2) \frac{\cancel{D}_x^4}{M^4} \quad \mathcal{F}'(k^2) = \frac{d}{dk^2} \mathcal{F}(k^2)$$

we get

$$\mathcal{A}(x) = - \int \frac{d^4 k}{(2\pi)^4} \mathcal{F}''(k^2) \text{tr} \left( \gamma^5 t \cancel{D}_x^4 \right)$$

We can now integrate over  $d^4 k$

$$\mathcal{F}(0) = 1 ,$$

$$\mathcal{F}(+\infty) = 0 ,$$

$$s \mathcal{F}'(s) = 0 \text{ at } s = 0 \text{ and at } s = +\infty$$

# Chiral anomaly in gauge theory

Integration over  $d^4k$

Go to Euclidean metric (lecture 2)

$$\begin{aligned}k^0 = ik_4 &\rightarrow d^4k = dk^0 dk^1 dk^2 dk^3 = i dk^0 dk^1 dk^2 dk^3 = i d^4k_E \\&\rightarrow k^2 = (k^0)^2 - \sum_{i=1}^3 (k^i)^2 = -(k_4)^2 - \sum_{i=1}^3 (k^i)^2 = -k_E^2 \\ \int d^4k \mathcal{F}''(k^2) & \stackrel{k^0 = ik_4}{=} i \int d^4k_E \mathcal{F}''(-k_E^2) \\ &= i 2\pi^2 \int_0^\infty dk k^3 \mathcal{F}''(-k^2) \\ & \stackrel{x = -k^2}{=} -i\pi^2 \int_0^\infty dx x \mathcal{F}''(x) \\ &= i\pi^2 \int_0^\infty dx \mathcal{F}'(x) = -i\pi^2\end{aligned}$$

# Chiral anomaly in gauge theory

Squaring covariant derivative:

$$\begin{aligned}\not{D}_x^2 &= D_x^\mu D_x^\nu \gamma_\mu \gamma_\nu \\ &= \frac{1}{2} D_x^\mu D_x^\nu (\{\gamma_\mu, \gamma_\nu\} + [\gamma_\mu, \gamma_\nu]) \\ &= D_x^2 + \frac{1}{4} [D_x^\mu, D_x^\nu] [\gamma_\mu, \gamma_\nu]\end{aligned}$$

# Diggression

$$\begin{aligned}[D^\mu, D^\nu] \cdot \psi &= (\partial^\mu - igA^\mu)(\partial^\nu - igA^\nu)\psi - (\partial^\nu - igA^\nu)(\partial^\mu - igA^\mu)\psi \\ &= \\ &= \underline{\partial^\mu \partial^\nu \psi} - ig(\partial^\mu A^\nu)\psi - ig\widehat{A^\nu}(\partial^\mu \psi) - \overline{igA^\mu}(\partial^\nu \psi) - g^2 A^\mu A^\nu \psi \\ &\quad - \underline{\partial^\nu \partial^\mu \psi} + ig(\partial^\nu A^\mu)\psi + \overline{igA^\mu}(\partial^\nu \psi) + ig\widehat{A^\nu}(\partial^\mu \psi) + g^2 A^\nu A^\mu \psi \\ &= -ig\{(\partial^\mu A^\nu) - (\partial^\nu A^\mu)\}\psi - g^2 [A^\mu, A^\nu]\psi = -igF^{\mu\nu}\psi\end{aligned}$$



# Chiral anomaly in gauge theory

$$\begin{aligned}\not{D}_x^2 &= D_x^\mu D_x^\nu \gamma_\mu \gamma_\nu \\ &= \frac{1}{2} D_x^\mu D_x^\nu (\{\gamma_\mu, \gamma_\nu\} + [\gamma_\mu, \gamma_\nu]) \\ &= D_x^2 + \frac{1}{4} [D_x^\mu, D_x^\nu] [\gamma_\mu, \gamma_\nu] \\ &= D_x^2 - \frac{ig}{4} t^a F_a^{\mu\nu} [\gamma_\mu, \gamma_\nu]\end{aligned}$$

We need a fourth power of  $\not{D}_x$  traced with  $\gamma^5$  so only a commutator squared survives.

# Chiral anomaly in gauge theory

Calculating traces:

$$\begin{aligned}
 \text{tr} \left[ \gamma^5 t \left( -\frac{ig}{4} t^a [\gamma_\mu, \gamma_\nu] F_a^{\mu\nu} \right)^2 \right] &= -\frac{g^2}{16} \text{Tr} (t t^a t^b) \text{Tr} (\gamma^5 [\gamma_\mu, \gamma_\nu] [\gamma_\rho, \gamma_\sigma]) F_a^{\mu\nu} F_b^{\rho\sigma} \\
 &= -\frac{g^2}{4} \text{Tr} (t t^a t^b) \underbrace{\text{Tr} (\gamma^5 \gamma_\mu \gamma_\nu \gamma_\rho \gamma_\sigma)}_{-4i\varepsilon_{\mu\nu\rho\sigma}} F_a^{\mu\nu} F_b^{\rho\sigma} \\
 &= ig^2 \varepsilon_{\mu\nu\rho\sigma} F_a^{\mu\nu} F_b^{\rho\sigma} \text{Tr} (t^a t^b t)
 \end{aligned}$$

Putting things together

$$\begin{aligned}
 \mathcal{A}(x) &= -\frac{1}{(2\pi)^4} \int d^4k \overbrace{\mathcal{F}''(k^2)}^{-i\pi^2} \underbrace{\text{tr} (\gamma^5 t \not{D}_x^4)}_{ig^2 \varepsilon_{\mu\nu\rho\sigma} F_a^{\mu\nu} F_b^{\rho\sigma} \text{Tr}(t^a t^b t)} \\
 &= -\frac{g^2}{16\pi^2} \varepsilon_{\mu\nu\rho\sigma} F_a^{\mu\nu}(x) F_b^{\rho\sigma}(x) \text{Tr} (t^a t^b t)
 \end{aligned}$$

# Chiral anomaly in gauge theory

Calculating traces:

$$\begin{aligned}
 \text{tr} \left[ \gamma^5 t \left( -\frac{ig}{4} t^a [\gamma_\mu, \gamma_\nu] F_a^{\mu\nu} \right)^2 \right] &= -\frac{g^2}{16} \text{Tr} (t t^a t^b) \text{Tr} (\gamma^5 [\gamma_\mu, \gamma_\nu] [\gamma_\rho, \gamma_\sigma]) F_a^{\mu\nu} F_b^{\rho\sigma} \\
 &= -\frac{g^2}{4} \text{Tr} (t t^a t^b) \underbrace{\text{Tr} (\gamma^5 \gamma_\mu \gamma_\nu \gamma_\rho \gamma_\sigma)}_{-4i\varepsilon_{\mu\nu\rho\sigma}} F_a^{\mu\nu} F_b^{\rho\sigma} \\
 &= ig^2 \varepsilon_{\mu\nu\rho\sigma} F_a^{\mu\nu} F_b^{\rho\sigma} \text{Tr} (t^a t^b t)
 \end{aligned}$$

Putting things together

- in QED no trace
- if  $t=1$  the integral of  $\mathcal{A}(x)$  is an integer Chern-Pontryagin index that characterizes topological properties of the gluon field

$$\begin{aligned}
 \mathcal{A}(x) &= -\frac{1}{(2\pi)^4} \int d^4k \overbrace{\mathcal{F}''(k^2)}^{-i\pi^2} \underbrace{\text{tr} (\gamma^5 t \not{D}_x^4)}_{ig^2 \varepsilon_{\mu\nu\rho\sigma} F_a^{\mu\nu} F_b^{\rho\sigma} \text{Tr} (t^a t^b t)} \\
 &= -\frac{g^2}{16\pi^2} \varepsilon_{\mu\nu\rho\sigma} F_a^{\mu\nu}(x) F_b^{\rho\sigma}(x) \text{Tr} (t^a t^b t)
 \end{aligned}$$

# Anomaly of the axial current

Remember that the free lagrangian changes due to the anomaly in the following way

$$\mathcal{L}(x) \rightarrow \mathcal{L}(x) + \alpha(x)\mathcal{A}(x)$$

If we add a source we get an extra term

$$\mathcal{L}(x) \rightarrow \mathcal{L}(x) + \alpha(x)\mathcal{A}(x) + J_5^\mu(x) \partial_\mu \alpha(x)$$

We need to integrate this to get the action, last term integrate by parts and require that the **total** change of action is zero:

$$\langle \partial_\mu J_5^\mu(x) \rangle_A = -\frac{g^2}{16\pi^2} \epsilon_{\mu\nu\rho\sigma} F_a^{\mu\nu}(x) F_b^{\rho\sigma}(x) \text{tr}(t^a t^b t)$$

where  $\langle \cdot \rangle_A$  is an average over the fermion fields, in a fixed gauge field configuration.

# Anomaly in the light quark sector

Recall Noether theorem:

global symmetry implies conserved current(s)

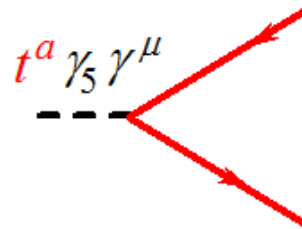
To calculate conserved currents promote the symmetry to the local one, calculate the change of action (as discussed on previous slide).

Consider SU(2) chiral transformation:

$$\mathcal{U}(x) = \exp(i\gamma^5 \alpha^a(x) t^a) \quad \psi = \begin{bmatrix} u \\ d \end{bmatrix}$$

Conserved current:

$$J_5^{\mu a} = \bar{\psi} \gamma^5 \gamma^\mu t^a \psi$$



# Anomaly in the light quark sector

Consider diagonal (neutral) axial current generated by matrix

$$t = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

in flavor space and unit matrix in the color (gauge) space. Then:

$$\text{tr}(t^a t^b t) = \text{tr}_{\text{colour}}(t^a t^b) \times \underbrace{\text{tr}_{\text{flavour}}(t)}_{1-1=0} = 0$$

Anomaly vanishes. Physically up quark contribution is cancelled by d quark.

# Anomaly in the light quark sector

Consider diagonal (neutral) axial current generated by matrix

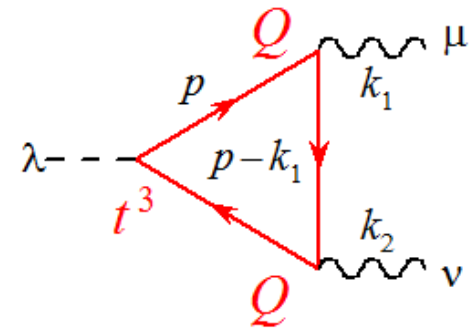
$$t = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

coupled to QED. In flavor space  $\psi = \begin{bmatrix} u \\ d \end{bmatrix}$  electric charge is a matrix

$$Q \equiv \begin{pmatrix} \frac{2}{3} & 0 \\ 0 & -\frac{1}{3} \end{pmatrix}$$

therefore anomaly is proportional to

$$\text{tr}_{\text{flavour}} (Q^2 t) \times \text{tr}_{\text{colour}} (\mathbf{1}_{\text{colour}}) = \frac{N_c}{3}$$



# Atiyah-Singer theorem

Dirac matrices:  $\gamma^0 = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$ ,  $\gamma^i = \begin{bmatrix} 0 & \sigma_i \\ -\sigma_i & 0 \end{bmatrix}$

hermitean                      antihermitean

Dirac operator is neither hermitean not antihermitean. Let's go to Euclidean space

$$x^0 = ix^4 \rightarrow \partial_0 = \frac{\partial}{\partial x^0} = -i \frac{\partial}{\partial x^4} = -i\partial_4 \quad A^0 = iA^4 \quad \gamma^0 = i\gamma^4$$

Then:  $\mathcal{D}_x = \gamma^0 \partial_0 + \gamma^k \partial_k - ig (A_a^0 \gamma^0 - A_a^k \gamma^k) t^a$

$$= \gamma^4 \partial_4 + \gamma^k \partial_k + ig (A_a^4 \gamma^4 + A_a^k \gamma^k) t^a$$

$$= \sum_{j=1}^4 (\partial_j + ig A_a^j t^a) \gamma^j$$

is hermitean becuse all gamma matrices are antihermitean.



# Atiyah-Singer theorem

Dirac operator in Euclidean space can be therefore diagonalized in an orthonormal basis of eigenfunctions  $\phi_k$

$$\mathcal{D}_x \phi_k(x) = \lambda_k \phi_k(x),$$

$$\int d^4x_E \phi_k^\dagger(x) \phi_{k'}(x) = \delta_{kk'}$$

$$\sum_k \phi_k(x) \phi_k^\dagger(y) = \delta(x - y)$$

Anomaly function  
for  $t = 1$

$$\mathcal{A}(x) = -2 \lim_{y \rightarrow x, M \rightarrow +\infty} \text{tr} \left\{ \gamma^5 t \mathcal{F} \left( -\frac{\mathcal{D}_x^2}{M^2} \right) \right\} \delta(x - y)$$

can be rewritten as:

$$\begin{aligned} \mathcal{A}(x) &= -2 \lim_{y \rightarrow x, M \rightarrow +\infty} \text{tr} \left\{ \gamma^5 \mathcal{F} \left( -\frac{\mathcal{D}_x^2}{M^2} \right) \sum_k \phi_k(x) \phi_k^\dagger(y) \right\} \\ &= -2 \lim_{y \rightarrow x, M \rightarrow +\infty} \sum_k \text{tr} \left\{ \phi_k^\dagger(y) \gamma^5 \mathcal{F} \left( -\frac{\mathcal{D}_x^2}{M^2} \right) \phi_k(x) \right\} \\ &= -2 \lim_{M \rightarrow +\infty} \sum_k \mathcal{F} \left( -\frac{\lambda_k^2}{M^2} \right) \phi_k^\dagger(x) \gamma^5 \phi_k(x). \end{aligned}$$

# Atiyah-Singer theorem

We can connect this result with the previous one, rewritten in Euclidean metric

$$\begin{aligned} & \frac{g^2}{32\pi^2} \int d^4x_E \epsilon_{ijkl} F_{ij}^a(x) F_{kl}^b(x) \text{tr}(t^a t^b) \\ &= -\frac{1}{2} \int d^4x_E \mathcal{A}(x) = \lim_{M \rightarrow +\infty} \sum_k \mathcal{F}\left(-\frac{\lambda_k^2}{M^2}\right) \int d^4x_E \phi_k^\dagger(x) \gamma^5 \phi_k(x) \end{aligned}$$

We can relate eigenvalues of  $\phi_k(x)$  to eigenvalues of  $\gamma^5 \phi_k(x)$  since  $\{\gamma^5, \mathcal{D}\} = 0$

So have  $\mathcal{D}_x(\gamma^5 \phi_k(x)) = -\lambda_k(\gamma^5 \phi_k(x))$  This means that for  $\lambda_k \neq 0$  functions  $\phi_{k'} \equiv \gamma^5 \phi_k$  and  $\phi_k$  are different eigenfunctions of  $\mathcal{D}_x$  hence

$$\int d^4x_E \phi_k^\dagger(x) \gamma^5 \phi_k(x) = \int d^4x_E \phi_k^\dagger(x) \phi_{k'}(x) = 0$$

Therefore only eigenfunctions with  $\lambda_k = 0$  so called zero modes contribute to the anomaly.

# Atiyah-Singer theorem

Anomaly expressed in terms of the zero modes

$$\frac{g^2}{32\pi^2} \int d^4x_E \epsilon_{ijkl} F_{ij}^a(x) F_{kl}^b(x) \text{tr}(t^a t^b) = \sum_{k|\lambda_k=0} \int d^4x_E \phi_k^\dagger(x) \gamma^5 \phi_k(x)$$

Since  $\{\gamma^5, \not{D}_x\} = 0$  zero modes can be chosen to be also eigenstates of  $\gamma^5$  so called left and right zero modes

$$\begin{aligned} \not{D}_x \phi_R(x) &= 0, & \gamma^5 \phi_R(x) &= +\phi_R(x) \\ \not{D}_x \phi_L(x) &= 0, & \gamma^5 \phi_L(x) &= -\phi_L(x) \end{aligned}$$

Because zero modes are normalized

$$\frac{g^2}{32\pi^2} \int d^4x_E \epsilon_{ijkl} F_{ij}^a(x) F_{kl}^b(x) \text{tr}(t^a t^b) = n_R - n_L$$

where  $n_R$  and  $n_L$  are numbers of right and left zero modes. The difference is an integer. This formula is called Atiyah-Singer index theorem.

There exist nonperturbative, nontrivial configurations of the gauge field with above property – instantons.