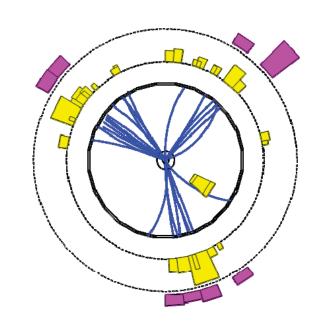
Elementary Particle Physics: theory and experiments

Quantum Chromodynamics



Follow the course/slides from M. A. Thomson lectures at Cambridge University

The Local Gauge Principle

(see the Appendices A, B and C for more details)

- ★ All the interactions between fermions and spin-1 bosons in the SM are specified by the principle of LOCAL GAUGE INVARIANCE
- ★ To arrive at QED, require physics to be invariant under the local phase transformation of particle wave-functions

$$\psi \rightarrow \psi' = \psi e^{iq\chi(x)}$$

- ***** Note that the change of phase depends on the space-time coordinate: $\chi(t,\vec{x})$
 - Under this transformation the Dirac Equation transforms as

$$i\gamma^{\mu}\partial_{\mu}\psi - m\psi = 0$$
 \Longrightarrow $i\gamma^{\mu}(\partial_{\mu} + iq\partial_{\mu}\chi)\psi - m\psi = 0$

- •To make "physics", i.e. the Dirac equation, invariant under this local phase transformation FORCED to introduce a massless gauge boson, A_μ .
- + The Dirac equation has to be modified to include this new field:

$$i\gamma^{\mu}(\partial_{\mu}-qA_{\mu})\psi-m\psi=0$$

•The modified Dirac equation is invariant under local phase transformations if:

$$A_{\mu}
ightharpoonup A'_{\mu} = A_{\mu} - \partial_{\mu} \chi$$

Gauge Invariance

- ***** For physics to remain unchanged must have GAUGE INVARIANCE of the new field, i.e. physical predictions unchanged for $A_{\mu} \rightarrow A'_{\mu} = A_{\mu} \partial_{\mu} \chi$
- ★Hence the principle of invariance under local phase transformations completely specifies the interaction between a fermion and the gauge boson (i.e. photon):

$$i\gamma^{\mu}(\partial_{\mu}\psi-qA_{\mu})\psi-m\psi=0$$
 \Rightarrow interaction vertex: $i\gamma^{\mu}qA_{\mu}$
 \Rightarrow QED!

★ The local phase transformation of QED is a unitary U(1) transformation

$$\psi
ightarrow \psi' = \hat{U} \psi$$
 i.e. $\psi
ightarrow \psi' = \psi e^{iq\chi(x)}$ with $U^\dagger U = 1$

Now extend this idea...

From QED to QCD

- ★ Suppose there is another fundamental symmetry of the universe, say "invariance under SU(3) local phase transformations"
 - ullet i.e. require invariance under $\ oldsymbol{\psi}
 ightarrow oldsymbol{\psi}' = oldsymbol{\psi} e^{i g ec{oldsymbol{\lambda}} . ec{oldsymbol{ heta}}(x)}$ where

 $\vec{\lambda}$ are the eight 3x3 Gell-Mann matrices

 $\vec{\theta}(x)$ are 8 functions taking different values at each point in space-time

➡ 8 spin-1 gauge bosons

$$\psi = \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \end{pmatrix}$$

 $\psi = \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \end{pmatrix}$ wave function is now a vector in COLOUR SPACE \bigcirc QCD !



★ QCD is fully specified by require invariance under SU(3) local phase transformations

Corresponds to rotating states in colour space about an axis whose direction is different at every space-time point

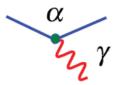
- \Rightarrow interaction vertex: $-\frac{1}{2}ig_s\lambda^a\gamma^{\mu}$
- \star Predicts 8 massless gauge bosons the gluons (one for each λ)
- ★ Also predicts exact form for interactions between gluons, i.e. the 3 and 4 gluon vertices - the details are beyond the level of this course

Colour in QCD

★The theory of the strong interaction, Quantum Chromodynamics (QCD), is very similar to QED but with 3 conserved "colour" charges

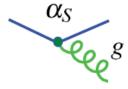
In QED:

- ullet the electron carries one unit of charge -e
- ullet the anti-electron carries one unit of anti-charge +e
- the force is mediated by a massless "gauge boson" – the photon



In QCD:

- quarks carry colour charge: r, g, b
- anti-quarks carry anti-charge: $\overline{r}, \overline{g}, \overline{b}$
- The force is mediated by massless gluons



- ***** In QCD, the strong interaction is invariant under rotations in colour space $r \leftrightarrow b; r \leftrightarrow g; b \leftrightarrow g$
 - i.e. the same for all three colours



SU(3) colour symmetry

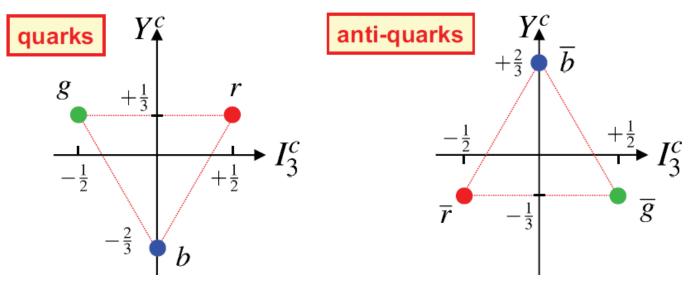
 This is an exact symmetry, unlike the approximate uds flavour symmetry discussed previously. ***** Represent r, g, b SU(3) colour states by:

$$r = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}; \quad g = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}; \quad b = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$

- ★ Colour states can be labelled by two quantum numbers:
 - I_3^c colour isospin
 - Y^c colour hypercharge

Exactly analogous to labelling u,d,s flavour states by I_3 and Y

★ Each quark (anti-quark) can have the following colour quantum numbers:



Colour Confinement

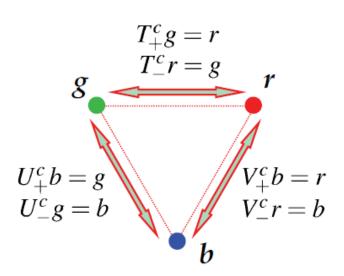
- ★ It is believed (although not yet proven) that all observed free particles are "colourless"
 - •i.e. never observe a free quark (which would carry colour charge)
 - consequently quarks are always found in bound states colourless hadrons
- ★Colour Confinement Hypothesis:

only <u>colour singlet</u> states can exist as free particles

- **★** All hadrons must be "colourless" i.e. colour singlets
- ★ To construct colour wave-functions for hadrons can apply results for SU(3) flavour symmetry to SU(3) colour with replacement

$$\begin{array}{c}
u \to r \\
d \to g \\
s \to b
\end{array}$$

★ just as for uds flavour symmetry can define colour ladder operators



Colour Singlets

- ★ It is important to understand what is meant by a singlet state
- ★ Consider spin states obtained from two spin 1/2 particles.
 - Four spin combinations: $\uparrow\uparrow$, $\uparrow\downarrow$, $\downarrow\uparrow$, $\downarrow\downarrow$
 - Gives four eigenstates of \hat{S}^2 , \hat{S}_7

$$(2\otimes 2=3\oplus 1)$$

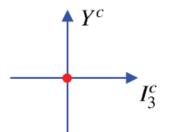
$$\begin{array}{c} |1,+1\rangle = \uparrow \uparrow \\ |1,0\rangle = \frac{1}{\sqrt{2}}(\uparrow \downarrow + \downarrow \uparrow) \\ |1,-1\rangle = \downarrow \downarrow \end{array} \oplus |0,0\rangle = \frac{1}{\sqrt{2}}(\uparrow \downarrow - \downarrow \uparrow) \begin{array}{c} \text{spin-0} \\ \text{singlet} \end{array}$$

$$\oplus |0,0\rangle = \frac{1}{\sqrt{2}}(\uparrow\downarrow - \downarrow\uparrow)$$

★ The singlet state is "spinless": it has zero angular momentum, is invariant under SU(2) spin transformations and spin ladder operators yield zero

$$S_{\pm}|0,0\rangle=0$$

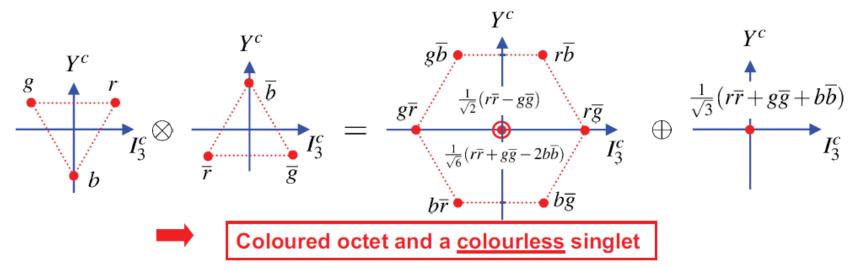
★ In the same way COLOUR SINGLETS are "colourless" combinations:



- they have zero colour quantum numbers $I_3^c=0,\ Y^c=0$ invariant under SU(3) colour transformations
- ullet ladder operators $T_\pm,\ U_\pm,\ V_\pm$ all yield zero
- **\star** NOT sufficient to have $I_3^c=0, Y^c=0$: does not mean that state is a singlet

Meson Colour Wave-function

- **\star** Consider colour wave-functions for $q\overline{q}$
- ★ The combination of colour with anti-colour is mathematically identical to construction of meson wave-functions with uds flavour symmetry



 Colour confinement implies that hadrons only exist in colour singlet states so the colour wave-function for mesons is:

$$\psi_c^{q\overline{q}} = \frac{1}{\sqrt{3}}(r\overline{r} + g\overline{g} + b\overline{b})$$

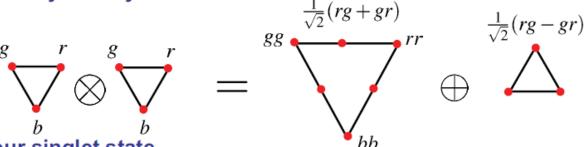
- ***** Can we have a $qq\overline{q}$ state? i.e. by adding a quark to the above octet can we form a state with $Y^c=0;\ I^c_3=0$. The answer is clear no.
 - \longrightarrow $qq\overline{q}$ bound states do not exist in nature.

Baryon Colour Wave-function

★ Do qq bound states exist? This is equivalent to asking whether it possible to form a colour singlet from two colour triplets?

Following the discussion of construction of baryon wave-functions in

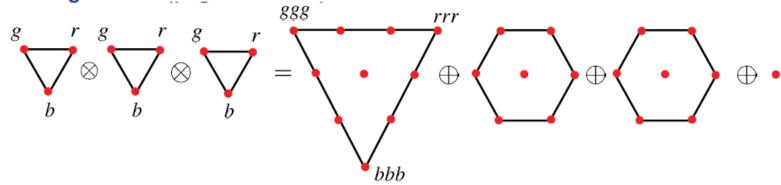
SU(3) flavour symmetry obtain



- No qq colour singlet state
- Colour confinement bound states of qq do not exist



BUT combination of three quarks (three colour triplets) gives a colour singlet state



★The singlet colour wave-function is:

$$\psi_c^{qqq} = \frac{1}{\sqrt{6}}(rgb - rbg + gbr - grb + brg - bgr)$$

Check this is a colour singlet...

- It has $I^c_{\mathbf{3}}=0, \ Y^c=0$: a necessary but not sufficient condition
- Apply ladder operators, e.g. T_+ (recall $T_+g=r$)

$$T_{+}\psi_{c}^{qqq} = \frac{1}{\sqrt{6}}(rrb - rbr + rbr - rrb + brr - brr) = 0$$

•Similarly $T_-\psi_c^{qqq}=0;~V_\pm\psi_c^{qqq}=0;~U_\pm\psi_c^{qqq}=0;$



Colourless singlet - therefore qqq bound states exist!



Anti-symmetric colour wave-function

Allowed Hadrons i.e. the possible colour singlet states

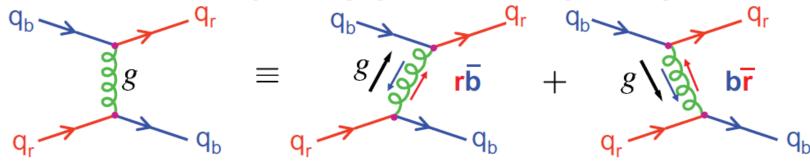
- \bullet $q\overline{q}$, qqq
 - $q\overline{q}q\overline{q},\ qqqq\overline{q}$

Mesons and Baryons

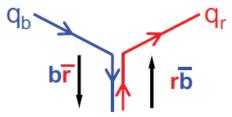
Exotic states, e.g. pentaquarks

Gluons

★ In QCD quarks interact by exchanging virtual massless gluons, e.g.



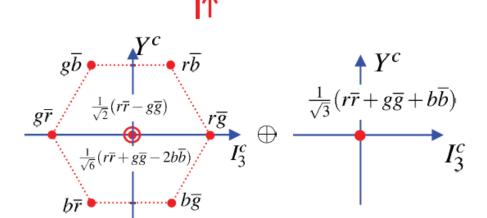
★ Gluons carry colour and anti-colour, e.g.



★ Gluon colour wave-functions (colour + anti-colour) are the same as those obtained for mesons (also colour + anti-colour)



OCTET +
"COLOURLESS" SINGLET



★ So we might expect 9 physical gluons:

OCTET:
$$r\overline{g},\ r\overline{b},\ g\overline{r},\ g\overline{b},\ b\overline{r},\ b\overline{g},\ \frac{1}{\sqrt{2}}(r\overline{r}-g\overline{g}),\ \frac{1}{\sqrt{6}}(r\overline{r}+g\overline{g}-2b\overline{b})$$
 SINGLET: $\frac{1}{\sqrt{3}}(r\overline{r}+g\overline{g}+b\overline{b})$

★ BUT, colour confinement hypothesis:



only colour singlet states can exist as free particles

Colour singlet gluon would be unconfined. It would behave like a strongly interacting photon → infinite range Strong force.

★ Empirically, the strong force is short range and therefore know that the physical gluons are confined. The colour singlet state does not exist in nature!

NOTE: this is not entirely ad hoc. In the context of gauge field theory the strong interaction arises from a fundamental SU(3) symmetry. The gluons arise from the generators of the symmetry group (the Gell-Mann λ matrices). There are 8 such matrices \rightarrow 8 gluons. Had nature "chosen" a U(3) symmetry, would have 9 gluons, the additional gluon would be the colour singlet state and QCD would be an unconfined long-range force.

NOTE: the "gauge symmetry" determines the exact nature of the interaction **⇒** FEYNMAN RULES

Gluon-gluon Interactions

- ★ In QED the photon does not carry the charge of the EM interaction (photons are electrically neutral)
- **★** In contrast, in QCD the gluons do carry colour charge



★ Two new vertices (no QED analogues)

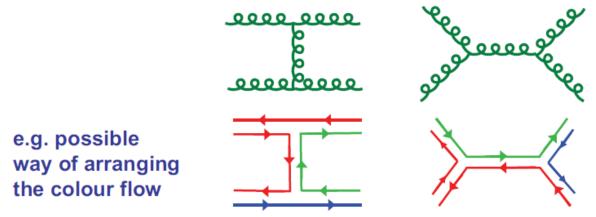
triple-gluon vertex





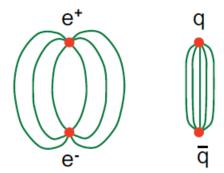
quartic-gluon vertex

★ In addition to quark-quark scattering, therefore can have gluon-gluon scattering



Gluon self-Interations and Confinement

- ★ Gluon self-interactions are believed to give rise to colour confinement
- **★** Qualitative picture:
 - Compare QED with QCD
 - In QCD "gluon self-interactions squeeze lines of force into a flux tube"



★ What happens when try to separate two coloured objects e.g. qq



•Form a flux tube of interacting gluons of approximately constant energy density $\,\sim 1\,GeV/fm$

$$\rightarrow$$
 $V(r) \sim \lambda r$

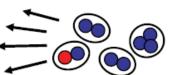
- Require infinite energy to separate coloured objects to infinity
- Coloured quarks and gluons are always confined within colourless states
- In this way QCD provides a plausible explanation of confinement but not yet proven (although there has been recent progress with Lattice QCD)

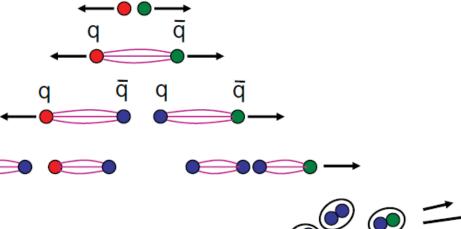
Hadronisation and Jets

★ Consider a quark and anti-quark produced in electron positron annihilation

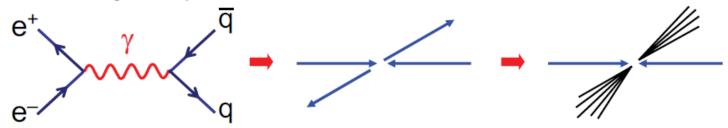
i) Initially Quarks separate at high velocity

- ii) Colour flux tube forms between quarks
- iii) Energy stored in the flux tube sufficient to produce qq pairs
- iv) Process continues until quarks pair up into jets of colourless hadrons



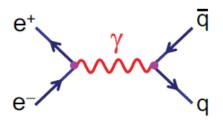


- **★** This process is called hadronisation. It is not (yet) calculable.
- ★ The main consequence is that at collider experiments quarks and gluons observed as jets of particles



QCD and Colour in e⁺e⁻ Collisions

★e⁺e⁻ colliders are an excellent place to study QCD

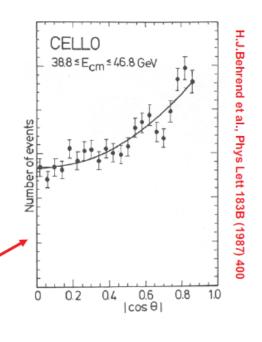


- ★ Well defined production of quarks
 - QED process well-understood
 - no need to know parton structure functions
 - + experimentally very clean no proton remnants

* expressions for the
$$e^+e^- \rightarrow \mu^+\mu^-$$
 cross-section
$$\sigma = \frac{4\pi\alpha^2}{3s} \quad \frac{\mathrm{d}\sigma}{\mathrm{d}\Omega} = \frac{\alpha^2}{4s}(1+\cos^2\theta)$$

- In e⁺e⁻ collisions produce all quark flavours for which $\sqrt{s} > 2m_q$
- In general, i.e. unless producing a $q\overline{q}$ bound state, produce jets of hadrons
- Usually can't tell which jet came from the quark and came from anti-quark
- ***** Angular distribution of jets $\propto (1 + \cos^2 \theta)$





- \star Colour is conserved and quarks are produced as $r\overline{r},\ g\overline{g},\ b\overline{b}$
- * For a single quark flavour and single colour

$$\sigma(e^+e^-
ightarrow q_i \overline{q}_i) = \frac{4\pi \alpha^2}{3s} Q_q^2$$

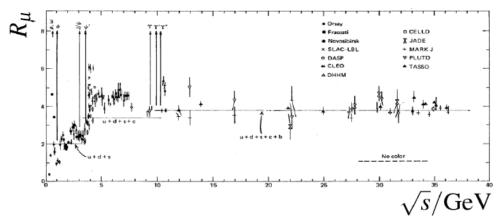
Experimentally observe jets of hadrons:

$$\sigma(e^+e^- \to \text{hadrons}) = 3 \sum_{u,d,s,..} \frac{4\pi\alpha^2}{3s} Q_q^2$$

Factor 3 comes from colours

• Usual to express as ratio compared to $~\sigma(e^+e^ightarrow\mu^+\mu^-)$

$$R_{\mu} = \frac{\sigma(e^+e^- \to \text{hadrons})}{\sigma(e^+e^- \to \mu^+\mu^-)} = 3 \sum_{u,d,s,..} Q_q^2$$

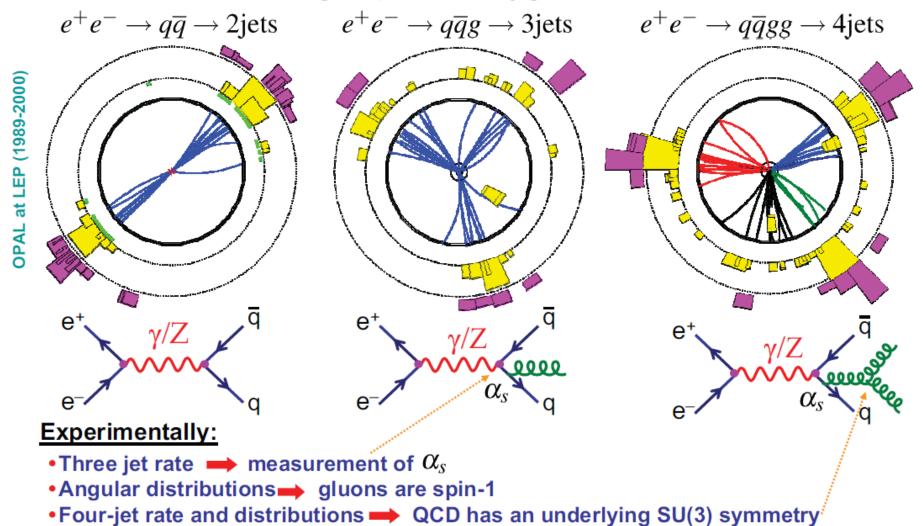


$$u,d,s:$$
 $R_{\mu}=3\times(\frac{1}{9}+\frac{4}{9}+\frac{1}{9})=2$
 $u,d,s,c:$ $R_{\mu}=\frac{10}{3}$
 $u,d,s,c,b:$ $R_{\mu}=\frac{11}{3}$

★ Data consistent with expectation with factor 3 from colour

Jet production in e⁺e⁻ Collisions

★e⁺e⁻ colliders are also a good place to study gluons



The Quark-Gluon Interaction

Representing the colour part of the fermion wave-functions by:

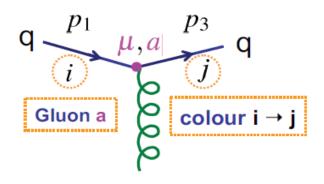
$$r = c_1 = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$$
 $g = c_2 = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}$ $b = c_3 = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$

- •Particle wave-functions $u(p) \longrightarrow c_i u(p)$
- •The QCD qqg vertex is written:

$$\overline{u}(p_3)c_j^{\dagger}\{-\frac{1}{2}ig_s\lambda^a\gamma^{\mu}\}c_iu(p_1)$$

- Only difference w.r.t. QED is the insertion of the 3x3 SU(3) Gell-Mann matrices
- Isolating the colour part:

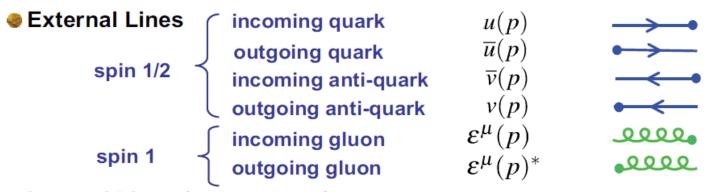
$$c_j^\dagger \lambda^a c_i = c_j^\dagger egin{pmatrix} \lambda_{1i}^a \ \lambda_{2i}^a \ \lambda_{3i}^a \end{pmatrix} = \lambda_{ji}^a$$



Hence the fundamental quark - gluon QCD interaction can be written

$$\overline{u}(p_3)c_j^{\dagger}\{-\frac{1}{2}ig_s\lambda^a\gamma^{\mu}\}c_iu(p_1)\equiv\overline{u}(p_3)\{-\frac{1}{2}ig_s\lambda_{ji}^a\gamma^{\mu}\}u(p_1)$$

Feynman Rules for QCD



Internal Lines (propagators)

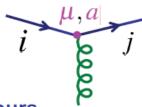
Vertex Factors spin 1/2 quark

$$rac{-ig_{\mu
u}}{q^2}\delta^{ab}$$



a, b = 1,2,...,8 are gluon colour indices

$$-ig_s \frac{1}{2} \lambda^a_{ji} \gamma^\mu$$



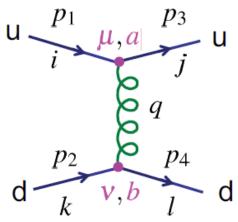
i, j = 1,2,3 are quark colours,

$$\lambda^a$$
 a = 1,2,..8 are the Gell-Mann SU(3) matrices

- + 3 gluon and 4 gluon interaction vertices
- Matrix Element -iM = product of all factors

Matrix element for quark-quark scatering

★ Consider QCD scattering of an up and a down quark



- The incoming and out-going quark colours are labelled by $i, j, k, l = \{1, 2, 3\}$ (or $\{r, g, b\}$)
- In terms of colour this scattering is ik
 ightarrow il
- The 8 different gluons are accounted for by the colour indices a, b = 1, 2, ..., 8
- NOTE: the δ -function in the propagator ensures a = b, i.e. the gluon "emitted" at a is the same as that "absorbed" at b
- **★** Applying the Feynman rules:

$$-iM = \left[\overline{u}_{u}(p_{3})\left\{-\frac{1}{2}ig_{s}\lambda_{ji}^{a}\gamma^{\mu}\right\}u_{u}(p_{1})\right]\frac{-ig_{\mu\nu}}{q^{2}}\delta^{ab}\left[\overline{u}_{d}(p_{4})\left\{-\frac{1}{2}ig_{s}\lambda_{lk}^{b}\gamma^{\nu}\right\}u_{d}(p_{2})\right]$$

where summation over a and b (and μ and ν) is implied.

\star Summing over **a** and **b** using the δ -function gives:

$$M = -\frac{g_s^2}{4} \lambda_{ji}^a \lambda_{lk}^a \frac{1}{q^2} g_{\mu\nu} [\overline{u}_u(p_3) \gamma^\mu u_u(p_1)] [\overline{u}_d(p_4) \gamma^\nu u_d(p_2)]$$

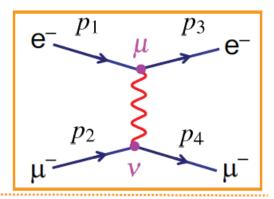
Sum over all 8 gluons (repeated indices)

QCD vs QED

QED

$$-iM = \left[\overline{u}(p_3)ie\gamma^{\mu}u(p_1)\right] \frac{-ig_{\mu\nu}}{g^2} \left[\overline{u}(p_4)ie\gamma^{\nu}u(p_2)\right]$$

$$M = -e^2 \frac{1}{q^2} g_{\mu\nu} [\overline{u}(p_3) \gamma^{\mu} u(p_1)] [\overline{u}(p_4) \gamma^{\nu} u(p_2)]$$



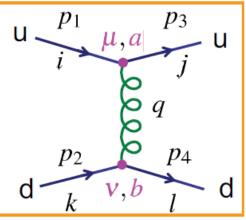
QCD

$$M = -\frac{g_s^2}{4} \lambda_{ji}^a \lambda_{lk}^a \frac{1}{q^2} g_{\mu\nu} [\overline{u}_u(p_3) \gamma^{\mu} u_u(p_1)] [\overline{u}_d(p_4) \gamma^{\nu} u_d(p_2)]$$

★ QCD Matrix Element = QED Matrix Element with:

•
$$e^2 o g_s^2$$
 or equivalently $lpha = rac{e^2}{4\pi} o lpha_s = rac{g_s^2}{4\pi}$

$$\alpha = \frac{e^2}{4\pi} \to \alpha_s = \frac{g_s^2}{4\pi}$$



+ QCD Matrix Element includes an additional "colour factor"

$$C(ik \to jl) \equiv \frac{1}{4} \sum_{a=1}^{8} \lambda_{ji}^{a} \lambda_{lk}^{a}$$

Evaluation of QCD Colour Factors

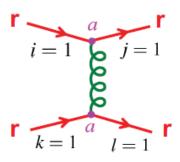
QCD colour factors reflect the gluon states that are involved

$$\lambda^{1} = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \qquad \lambda^{4} = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix} \qquad \lambda^{6} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} \qquad \lambda^{3} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

$$\lambda^{2} = \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \qquad \lambda^{5} = \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix} \qquad \lambda^{7} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix} \qquad \lambda^{8} = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -2 \end{pmatrix}$$

$$\mathbf{Gluons:} \qquad r\overline{g}, g\overline{r} \qquad \qquad r\overline{b}, b\overline{r} \qquad \qquad g\overline{b}, b\overline{g} \qquad \frac{1}{\sqrt{2}} (r\overline{r} - g\overline{g}) \qquad \frac{1}{\sqrt{6}} (r\overline{r} + g\overline{g} - 2b\overline{b})$$

Configurations involving a single colour



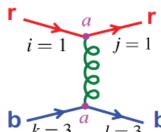
Only matrices with non-zero entries in 11 position are involved

•Only matrices with non-zero entries in 11 position are involved
$$C(rr \rightarrow rr) = \frac{1}{4} \sum_{a=1}^{8} \lambda_{11}^{a} \lambda_{11}^{a} = \frac{1}{4} (\lambda_{11}^{3} \lambda_{11}^{3} + \lambda_{11}^{8} \lambda_{11}^{8})$$
$$= \frac{1}{4} \left(1 + \frac{1}{3}\right) = \frac{1}{3}$$

Similarly find

$$C(rr \rightarrow rr) = C(gg \rightarrow gg) = C(bb \rightarrow bb) = \frac{1}{3}$$

2 Other configurations where quarks don't change colour e.g. rb ightarrow rb

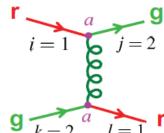


 Only matrices with non-zero entries in 11 and 33 position are involved

$$C(rb \to rb) = \frac{1}{4} \sum_{a=1}^{8} \lambda_{11}^{a} \lambda_{33}^{a} = \frac{1}{4} (\lambda_{11}^{8} \lambda_{33}^{8})$$
$$= \frac{1}{4} \left(\frac{1}{\sqrt{3}} \cdot \frac{-2}{\sqrt{3}} \right) = -\frac{1}{6}$$

Similarly
$$C(rb \rightarrow rb) = C(rg \rightarrow rg) = C(gr \rightarrow gr) = C(gb \rightarrow gb) = C(br \rightarrow br) = C(bg \rightarrow bg) = -\frac{1}{6}$$

6 Configurations where quarks swap colours e.g. $rg \rightarrow gr$



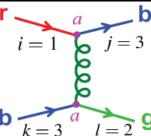
Gluons
$$r\overline{g}, g\overline{r}$$

•Only matrices with non-zero entries in 12 and 21 position are involved
$$C(rg \to gr) = \frac{1}{4} \sum_{a=1}^{8} \lambda_{21}^a \lambda_{12}^a = \frac{1}{4} (\lambda_{21}^1 \lambda_{12}^1 + \lambda_{21}^2 \lambda_{12}^2)$$
Gluons $r\overline{g}$, $g\overline{r}$

$$= \frac{1}{4}(i(-i)+1) = \frac{1}{2} \qquad \hat{T}_{+}^{(ij)}\hat{T}_{-}^{(kl)}$$

$$C(rb \rightarrow br) = C(rg \rightarrow gr) = C(gr \rightarrow rg) = C(gb \rightarrow bg) = C(br \rightarrow rb) = C(bg \rightarrow gb) = \frac{1}{2}$$

4 Configurations involving 3 colours e.g. $rb \rightarrow bg$



- Only matrices with non-zero entries in the 13 and 32 position
- But none of the λ matrices have non-zero entries in the 13 and 32 positions. Hence the colour factor is zero

★ colour is conserved

Colour Factors: Quarks and Anti-Quarks

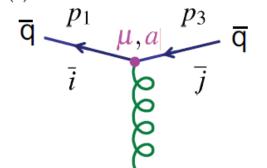
- Recall the colour part of wave-function:
- $r = c_1 = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$ $g = c_2 = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}$ $b = c_3 = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$

The QCD qqg vertex was written:

$$\overline{u}(p_3)c_j^{\dagger}\{-\frac{1}{2}ig_s\lambda^a\gamma^{\mu}\}c_iu(p_1)$$

- **★Now consider the anti-quark vertex**
 - The QCD qqg vertex is:

$$\overline{v}(p_1)c_i^{\dagger}\{-\frac{1}{2}ig_s\lambda^a\gamma^{\mu}\}c_jv(p_3)$$



Note that the incoming anti-particle now enters on the LHS of the expression

For which the colour part is

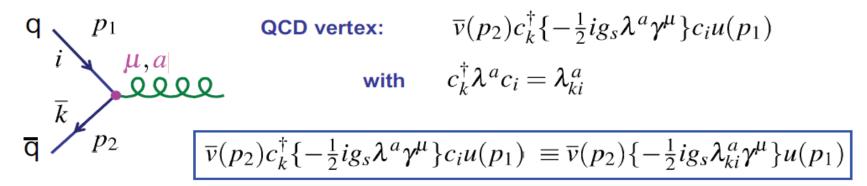
$$c_i^\dagger \lambda^a c_j = c_i^\dagger egin{pmatrix} \lambda_{1j}^a \ \lambda_{2j}^a \ \lambda_{3j}^a \end{pmatrix} = \lambda_{ij}^a \quad egin{matrix} ext{i.e indices } \emph{ij} ext{ are swapped with respect to the quark case} \end{cases}$$

$$\overline{v}(p_1)c_i^{\dagger}\{-\frac{1}{2}ig_s\lambda^a\gamma^{\mu}\}c_jv(p_3)\equiv\overline{v}(p_1)\{-\frac{1}{2}ig_s\lambda^a_{ij}\gamma^{\mu}\}v(p_3)$$

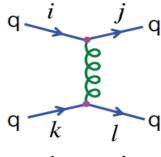
c.f. the quark - gluon QCD interaction

$$\overline{u}(p_3)c_j^{\dagger}\{-\frac{1}{2}ig_s\lambda^a\gamma^{\mu}\}c_iu(p_1)\equiv\overline{u}(p_3)\{-\frac{1}{2}ig_s\lambda^a_{ji}\gamma^{\mu}\}u(p_1)$$

★Finally we can consider the quark – anti-quark annihilation



Consequently the colour factors for the different diagrams are:



$$C(ik \to jl) \equiv \frac{1}{4} \sum_{a=1}^{8} \lambda_{ji}^{a} \lambda_{lk}^{a}$$

$$C(rr \rightarrow rr) = \frac{1}{3}$$

 $C(rg \rightarrow rg) = -\frac{1}{6}$
 $C(rg \rightarrow gr) = \frac{1}{2}$

$$q$$
 i
 j
 q
 \overline{q}
 \overline{k}
 \overline{l}

$$C(i\bar{k} \to j\bar{l}) \equiv \frac{1}{4} \sum_{a=1}^{8} \lambda_{ji}^{a} \lambda_{kl}^{a}$$

$$C(r\overline{r} \to r\overline{r}) = \frac{1}{3}$$

 $C(r\overline{g} \to r\overline{g}) = -\frac{1}{6}$
 $C(r\overline{r} \to g\overline{g}) = \frac{1}{2}$

$$\frac{q}{\overline{q}}$$
 $\frac{i}{\overline{k}}$ $\frac{j}{\overline{q}}$

$$C(i\overline{k} \to j\overline{l}) \equiv \frac{1}{4} \sum_{a=1}^{8} \lambda_{ki}^{a} \lambda_{jl}^{a}$$

$$C(r\overline{r} \to r\overline{r}) = \frac{1}{3}$$

$$C(r\overline{g} \to r\overline{g}) = \frac{1}{2}$$

$$C(r\overline{r} \to r\overline{r}) = \frac{1}{3}$$

 $C(r\overline{g} \to r\overline{g}) = \frac{1}{2}$
 $C(r\overline{r} \to g\overline{g}) = -\frac{1}{6}$

Colour index of adjoint spinor comes first

Quark-quark Scattering

- •Consider the process $u+d \rightarrow u+d$ which can occur in the high energy proton-proton scattering
- There are nine possible colour configurations of the colliding quarks which are all equally likely.
- Need to determine the average matrix element which is the sum over all possible colours divided by the number of possible initial colour states

$$\langle |M_{fi}|^2 \rangle = \frac{1}{3} \cdot \frac{1}{3} \sum_{i,j,k,l=1}^{3} |M_{fi}(ij \to kl)|^2$$

The colour average matrix element contains the average colour factor

$$\langle |C|^2 \rangle = \frac{1}{9} \sum_{i,j,k,l=1}^{3} |C(ij \to kl)|^2$$

•For
$$qq \rightarrow qq$$

$$\langle |C|^2 \rangle = \frac{1}{9} \left[3 \times \left(\frac{1}{3} \right)^2 + 6 \times \left(-\frac{1}{6} \right)^2 + 6 \times \left(\frac{1}{2} \right)^2 \right] = \frac{2}{9}$$

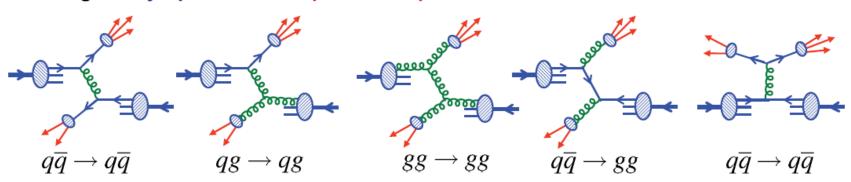
• Previously derived the Lorentz Invariant cross section for $e^-\mu^- \to e^-\mu^$ elastic scattering in the ultra-relativistic limit

$$\frac{\mathrm{d}\sigma}{\mathrm{d}q^2} = \frac{2\pi\alpha^2}{q^4} \left[1 + \left(1 + \frac{q^2}{s} \right)^2 \right]$$

ullet For ${\sf ud} o {\sf ud} \,$ in QCD replace $\, lpha o lpha_{\!s} \,$ and multiply by $\, \langle |C|^2
angle \,$

$$\frac{\mathrm{d}\sigma}{\mathrm{d}q^2} = \frac{2}{9} \frac{2\pi\alpha_S^2}{q^4} \left[1 + \left(1 + \frac{q^2}{\hat{s}}\right)^2 \right]$$
 Never see colour, but enters through colour factors. Can tell QCD is SU(3)

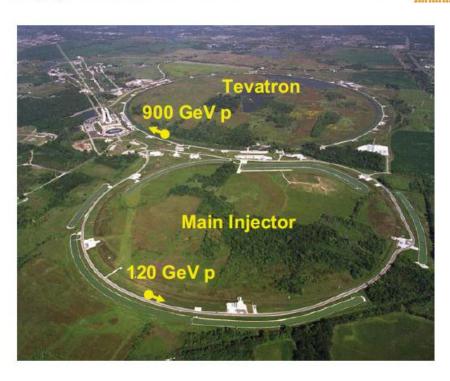
- •Here \hat{s} is the centre-of-mass energy of the quark-quark collision
- The calculation of hadron-hadron scattering is very involved, need to include parton structure functions and include all possible interactions
 - e.g. two jet production in proton-antiproton collisions



e.g. ppbar collisions at the Tevatron

- ★ Tevatron collider at Fermi National Laboratory (FNAL)
 - located ~40 miles from Chigago US
 - started operation in 1987 2011
 - ★ $p\overline{p}$ collisions at $\sqrt{s} = 1.8$ TeV

c.f. 14 TeV at the LHC

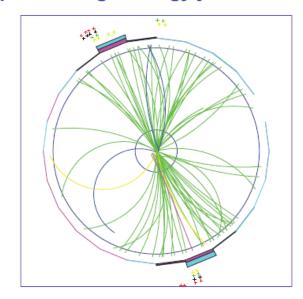


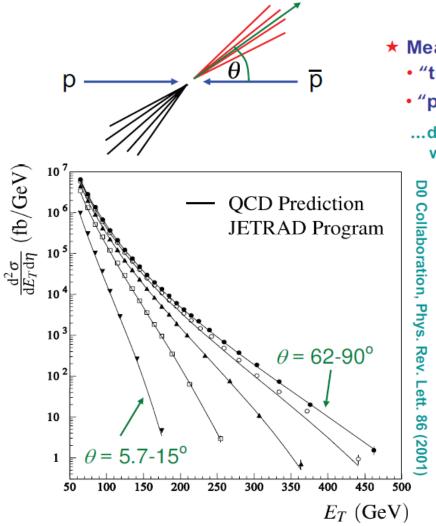
Two main accelerators:

- **★**Main Injector
 - Accelerates 8 GeV p to 120 GeV
 - also \overline{p} to 120 GeV
 - Protons sent to Tevatron & MINOS
 - $ullet \overline{p}$ all go to Tevatron
- *Tevatron
 - 4 mile circumference
 - accelerates p/\overline{p} from 120 GeV to 900 GeV

★ Test QCD predictions by looking at production of pairs of high energy jets

pp → jet jet + X





- ★ Measure cross-section in terms of
 - "transverse energy" $E_T = E_{\text{jet}} \sin \theta$
 - "pseudorapidity" $\eta = \ln \left[\cot \left(\frac{\theta}{2}\right)\right]$
 - ...don't worry too much about the details here, what matters is that...
 - **★QCD** predictions provide an excellent description of the data

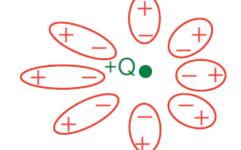
★NOTE:

- at low E_T cross-section is dominated by low x partons
 i.e. gluon-gluon scattering
- at high E_T cross-section is dominated by high x partons i.e. quark-antiquark scattering

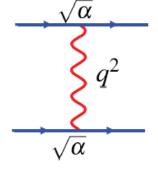
Running Coupling Constants



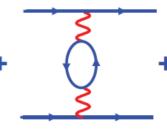
- "bare" charge of electron screened by virtual e⁺e⁻ pairs
- -Q



- behaves like a polarizable dielectric
- ★ In terms of Feynman diagrams:





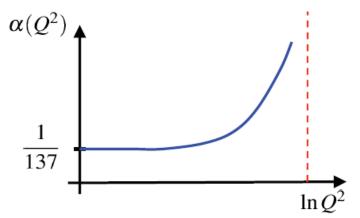


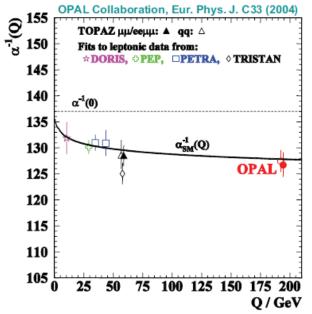


- ★ Same final state so add matrix element amplitudes: $M = M_1 + M_2 + M_3 + ...$
- ★ Giving an infinite series which can be summed and is equivalent to a single diagram with "running" coupling constant

$$\alpha(Q^2) = \alpha(Q_0^2) \left/ \left[1 - \frac{\alpha(Q_0^2)}{3\pi} \ln\left(\frac{Q^2}{Q_0^2}\right) \right] \right.$$
 Note sign
$$Q^2 \gg Q_0^2$$

 $\sqrt{\alpha}(q^2)$





★ Might worry that coupling becomes infinite at

$$\ln\left(\frac{Q^2}{Q_0^2}\right) = \frac{3\pi}{1/137}$$

$$O \sim 10^{26} \,\text{GeV}$$

- But quantum gravity effects would come in way below this energy and it is highly unlikely that QED "as is" would be valid in this regime
- ★ In QED, running coupling increases very slowly

• Atomic physics:
$$Q^2 \sim 0$$

 $1/\alpha = 137.03599976(50)$

High energy physics:

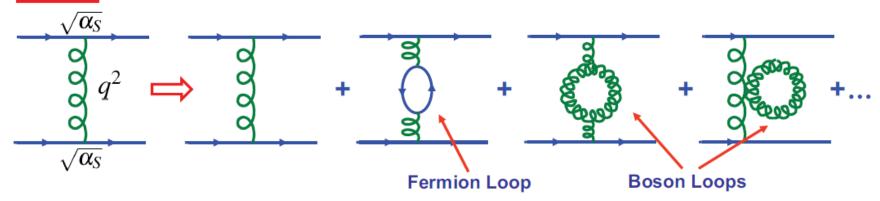
i.e. at

$$1/\alpha(193 \,\text{GeV}) = 127.4 \pm 2.1$$

Running of α_s

QCD

Similar to QED but also have gluon loops



- ★ Remembering adding amplitudes, so can get negative interference and the sum can be smaller than the original diagram alone
- ★ Bosonic loops "interfere negatively"

$$\alpha_S(Q^2) = \alpha_S(Q_0^2) \left/ \left[1 + B\alpha_S(Q_0^2) \ln \left(\frac{Q^2}{Q_0^2} \right) \right] \right.$$
 with
$$B = \frac{11N_c - 2N_f}{12\pi} \qquad \left\{ \begin{array}{l} N_c = \text{no. of colours} \\ N_f = \text{no. of quark flavours} \end{array} \right.$$

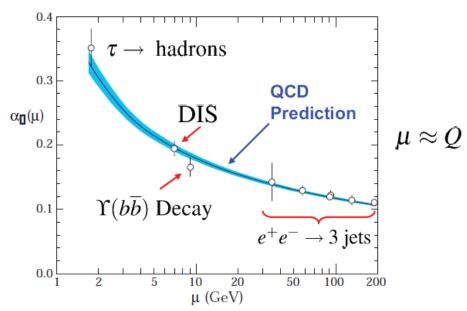
$$N_c = 3$$
; $N_f = 6$ \longrightarrow $B > 0$

 \longrightarrow α_S decreases with Q^2

Nobel Prize for Physics, 2004 (Gross, Politzer, Wilczek)

Running of α_s

- ★ Measure \(\Omega_s\) in many ways:
 - jet rates
 - DIS
 - tau decays
 - bottomonium decays
 - +...
 - * As predicted by QCD, α_s decreases with Q²



- ★ At low Q^2 : α_s is large, e.g. at $Q^2 = 1 \,\text{GeV}^2$ find $\alpha_s \sim 1$
 - •Can't use perturbation theory! This is the reason why QCD calculations at low energies are so difficult, e.g. properties hadrons, hadronisation of quarks to jets,...
- ***** At high Q^2 : α_s is rather small, e.g. at $Q^2 = M_Z^2$ find $\alpha_s \sim 0.12$
 - Asymptotic Freedom
 - •Can use perturbation theory and this is the reason that in DIS at high Q^2 quarks behave as if they are quasi-free (i.e. only weakly bound within hadrons)

Summary

- ★ Superficially QCD very similar to QED
- ★ But gluon self-interactions are believed to result in colour confinement
- **★** All hadrons are colour singlets which explains why only observe

Mesons

Baryons

- \star A low energies $\alpha_S \sim 1$
 - Can't use perturbation theory!

Non-Perturbative regime

★ Coupling constant runs, smaller coupling at higher energy scales

$$\alpha_S(100\,\mathrm{GeV})\sim 0.1$$

Can use perturbation theory

Asymptotic Freedom

★ Where calculations can be performed, QCD provides a good description of relevant experimental data

Appendix A1: Electromagnetism

★ In Heaviside-Lorentz units $\epsilon_0 = \mu_0 = c = 1$ Maxwell's equations in the vacuum become

$$ec{
abla}.ec{E}=
ho; \quad ec{
abla}\wedgeec{E}=-rac{\partialec{B}}{\partial t}; \quad ec{
abla}\cdotec{B}=0; \quad ec{
abla}\wedgeec{B}=ec{J}+rac{\partialec{E}}{\partial t}$$

★ The electric and magnetic fields can be expressed in terms of scalar and vector potentials

$$ec{E} = -rac{\partial ec{A}}{\partial t} - ec{
abla} \phi; \quad ec{B} = ec{
abla} \wedge ec{A}$$
 (A1)

***** In terms of the 4-vector potential $A^\mu=(\phi,\vec{A})$ and the 4-vector current $j^\mu=(\rho,\vec{J})$ Maxwell's equations can be expressed in the covariant form:

$$\partial_{\mu}F^{\mu\nu} = j^{\nu} \tag{A2}$$

where $F^{\mu
u}$ is the anti-symmetric field strength tensor

$$F^{\mu\nu} = \partial^{\mu}A^{\nu} - \partial^{\nu}A^{\mu} = \begin{pmatrix} 0 & -E_{x} & -E_{y} & -E_{z} \\ E_{x} & 0 & -B_{z} & B_{y} \\ E_{y} & B_{z} & 0 & -B_{x} \\ E_{z} & -B_{y} & B_{x} & 0 \end{pmatrix}$$
 (A3)

Combining (A2) and (A3)

$$\partial_{\mu}(\partial^{\mu}A^{\nu} - \partial^{\nu}A^{\mu}) = j^{\nu} \tag{A4}$$

which can be written $\Box^2 A^\mu - \partial^\mu (\partial_\nu A^\nu) = j^\mu$ (A5) where the D'Alembertian operator

$$\Box^2 = \partial_{\mathbf{v}} \partial^{\mathbf{v}} = \frac{\partial^2}{\partial t^2} - \vec{\nabla}^2$$

•Acting on equation (A5) with ∂_{v} gives

$$\begin{split} \partial_{\nu}j^{\nu} &= \partial_{\nu}\partial_{\mu}\partial^{\mu}A^{\nu} - \partial_{\mu}\partial_{\nu}\partial^{\nu}A^{\mu} = 0 \\ &\Longrightarrow \quad \frac{\partial\rho}{\partial t} + \vec{\nabla}\cdot\vec{J} = 0 \quad \quad \text{Conservation of Electric Charge} \end{split}$$

 Conservation laws are associated with symmetries. Here the symmetry is the GAUGE INVARIANCE of electro-magnetism

Appendix A2: Gauge Invariance

★The electric and magnetic fields are unchanged for the gauge transformation:

$$ec{A}
ightarrow ec{A}' = ec{A} + ec{
abla} \chi; \qquad \phi
ightarrow \phi' = \phi - rac{\partial \chi}{\partial t}.$$

where $\chi=\chi(t,\vec{x})$ is any finite differentiable function of position and time

★ In 4-vector notation the gauge transformation can be expressed as:

$$A_{\mu} \rightarrow A'_{\mu} = A_{\mu} + \partial_{\mu} \chi$$

- ***** Using the fact that the physical fields are gauge invariant, choose χ to be a solution of $\Box^2\chi=-\partial_\mu A^\mu$
- ★ In this case we have

$$\partial^{\mu}A'_{\mu} = \partial^{\mu}(A_{\mu} + \partial_{\mu}\chi) = \partial^{\mu}A_{\mu} + \Box^{2}\chi = 0$$

★ Dropping the prime we have a chosen a gauge in which

$$\partial_{\mu}A^{\mu}=0$$
 The Lorentz Condition (A6)

★ With the Lorentz condition, equation (A5) becomes:

$$\Box^2 A^\mu = j^\mu \tag{A7}$$

★ Having imposed the Lorentz condition we still have freedom to make a further gauge transformation, i.e.

$$A_{\mu} \rightarrow A'_{\mu} = A_{\mu} + \partial_{\mu} \Lambda$$

where $\Lambda(t,\vec{x})$ is any function that satisfies

$$\Box^2 \Lambda = 0 \tag{A8}$$

★ Clearly (A7) remains unchanged, in addition the Lorentz condition still holds:

$$\partial^{\mu}A'_{\mu} = \partial^{\mu}(A_{\mu} + \partial_{\mu}\Lambda) = \partial^{\mu}A_{\mu} + \Box^{2}\Lambda = \partial^{\mu}A_{\mu} = 0$$

Appendix B: Local Gauge Invariance

★The Dirac equation for a charged particle in an electro-magnetic field can be obtained from the free particle wave-equation by making the minimal substitution

$$\vec{p}
ightarrow \vec{p} - q \vec{A}; \quad E
ightarrow E - q \phi \qquad (q = \text{charge})$$

In QM: $i\partial_{\mu} \rightarrow i\partial_{\mu} - qA_{\mu}$ and the Dirac equation becomes

$$\gamma^{\mu}(i\partial_{\mu} - qA_{\mu})\psi - m\psi = 0 \tag{B1}$$

★ In Appendix A2 : saw that the physical EM fields where invariant under the gauge transformation

$$A_{\mu} \rightarrow A'_{\mu} = A_{\mu} - \partial_{\mu} \chi$$
 $\Box^2 \chi = 0$

★ Under this transformation the Dirac equation becomes

$$\gamma^{\mu}(i\partial_{\mu}-qA_{\mu}+q\partial_{\mu}\chi)\psi-m\psi=0$$

which is not the same as the original equation. If we require that the Dirac equation is invariant under the Gauge transformation then under the gauge transformation we need to modify the wave-functions

$$\psi \rightarrow \psi' = \psi e^{iq\chi}$$

A Local Phase Transformation

★To prove this, applying the gauge transformation :

$$A_{\mu} \rightarrow A'_{\mu} = A_{\mu} - \partial_{\mu} \chi$$
 $\psi \rightarrow \psi' = \psi e^{iq\chi}$

to the original Dirac equation gives

$$\gamma^{\mu}(i\partial_{\mu} - qA_{\mu} + q\partial_{\mu}\chi)\psi e^{iq\chi} - m\psi e^{iq\chi} = 0$$
 (B2)

★ But

$$i\partial_{\mu}(\psi e^{iq\chi}) = ie^{iq\chi}\partial_{\mu}\psi - q(\partial_{\mu}\chi)e^{iq\chi}\psi$$

★ Equation (B2) becomes

$$\gamma^{\mu}e^{iq\chi}(i\partial_{\mu}-qA_{\mu}+q\partial_{\mu}\chi-q\partial_{\mu}\chi)\psi-m\psi e^{iq\chi}=0$$

$$\Rightarrow \qquad \gamma^{\mu}e^{iq\chi}(i\partial_{\mu}-qA_{\mu})\psi-m\psi e^{iq\chi}=0$$

$$\Rightarrow \qquad \gamma^{\mu}(i\partial_{\mu}-qA_{\mu})\psi-m\psi=0$$

which is the original form of the Dirac equation

Appendix C: Local Gauge Invariance 2

★ Reverse the argument of Appendix B. Suppose there is a fundamental symmetry of the universe under local phase transformations

$$\psi(x) \rightarrow \psi'(x) = \psi(x)e^{iq\chi(x)}$$

- ***** Note that the local nature of these transformations: the phase transformation depends on the space-time coordinate $x = (t, \vec{x})$
- **★** Under this transformation the free particle Dirac equation

$$\begin{split} i\gamma^{\mu}\partial_{\mu}\psi-m\psi&=0\\ \text{becomes} \qquad i\gamma^{\mu}\partial_{\mu}(\psi e^{iq\chi})-m\psi e^{iq\chi}&=0\\ ie^{iq\chi}\gamma^{\mu}(\partial_{\mu}\psi+iq\psi\partial_{\mu}\chi)-m\psi e^{iq\chi}&=0\\ i\gamma^{\mu}(\partial_{\mu}+iq\partial_{\mu}\chi)\psi-m\psi&=0 \end{split}$$

Local phase invariance is not possible for a free theory, i.e. one without interactions

\star To restore invariance under local phase transformations have to introduce a massless "gauge boson" A^{μ} which transforms as

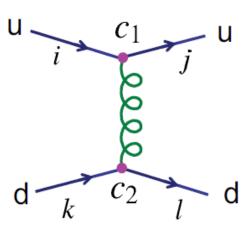
$$A_{\mu} \rightarrow A'_{\mu} = A_{\mu} - \partial_{\mu} \chi$$

and make the substitution

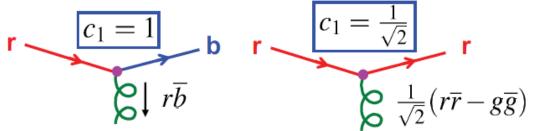
$$\partial_{\mu} \to D_{\mu} = \partial_{\mu} + iqA_{\mu}$$

Appendix D: Alternative evaluation of colour factors

★The colour factors can be obtained (more intuitively) as follows:



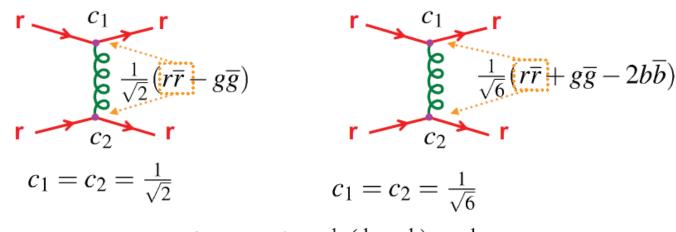
- •Write $C(ik \rightarrow jl) = \frac{1}{2}c_1c_2$
- Where the colour coefficients at the two vertices depend on the quark and gluon colours



 Sum over all possible exchanged gluons conserving colour at both vertices

① Configurations involving a single colour

e.g. $rr \rightarrow rr$: two possible exchanged gluons



$$C(rr \to rr) = \frac{1}{2} \left(\frac{1}{2} + \frac{1}{6} \right) = \frac{1}{3}$$

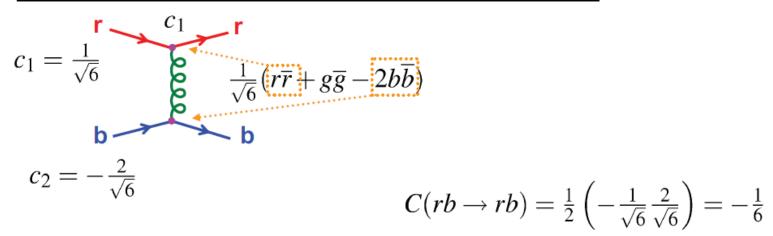
e.g. bb
ightarrow bb : only one possible exchanged gluon

$$c_1 = c_2 = -\frac{2}{\sqrt{6}}$$

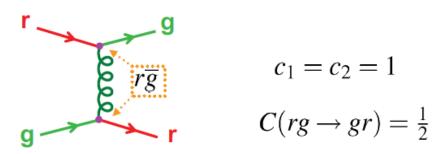
$$c_1 = c_2 = -\frac{2}{\sqrt{6}}$$

$$C(bb \to bb) = \frac{1}{2} \left(\frac{2}{\sqrt{6}} \frac{2}{\sqrt{6}}\right) = \frac{1}{3}$$

Other configurations where quarks don't change colour

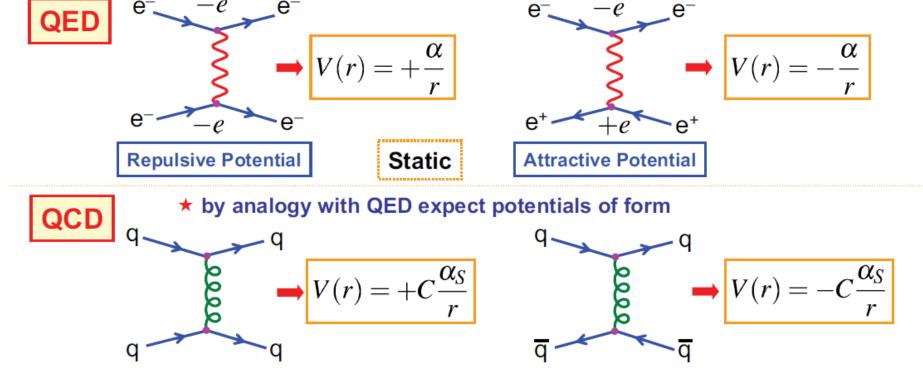


3 Configurations where quarks swap colours



Appendix E: Colour Potentials

- •Previously argued that gluon self-interactions lead to a $+\lambda r$ long-range potential and that this is likely to explain colour confinement
- Have yet to consider the short range potential i.e. for quarks in mesons and baryons does QCD lead to an attractive potential?
- Analogy with QED: (NOTE this is very far from a formal proof)



★ Whether it is a attractive or repulsive potential depends on sign of colour factor

 \star Consider the colour factor for a $q\bar{q}$ system in the colour singlet state:

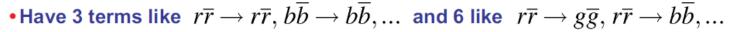
$$\psi = \frac{1}{\sqrt{3}}(r\overline{r} + g\overline{g} + b\overline{b})$$

with colour potential
$$\langle V_{q\overline{q}} \rangle = \langle \psi | V_{\rm QCD} | \psi \rangle$$

$$\qquad \qquad \langle V_{q\overline{q}} \rangle = \frac{1}{3} \left(\langle r\overline{r} | V_{\rm QCD} | r\overline{r} \rangle + ... + \langle r\overline{r} | V_{\rm QCD} | b\overline{b} \rangle + ... \right)$$

Following the QED analogy:

$$\langle r\overline{r}|V_{
m QCD}|r\overline{r}
angle = -C(r\overline{r} o r\overline{r})rac{lpha_S}{r}$$
 which is the term arising from $r\overline{r} o r\overline{r}$



$$\langle V_{q\overline{q}}\rangle = -\frac{1}{3}\frac{\alpha_S}{r}\left[3\times C(r\overline{r}\to r\overline{r}) + 6\times C(r\overline{r}\to g\overline{g})\right] = -\frac{1}{3}\frac{\alpha_S}{r}\left[3\times \frac{1}{3} + 6\times \frac{1}{2}\right]$$

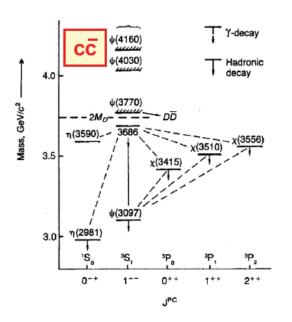
$$ightharpoonup \langle V_{q\overline{q}} \rangle = -\frac{4}{3} \frac{\alpha_S}{r}$$
 NEGATIVE $ightharpoonup$ **ATTRACTIVE**

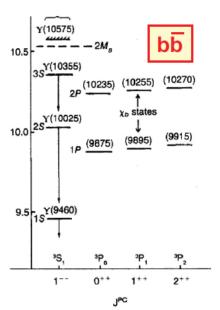
- The same calculation for a $q\overline{q}$ colour octet state, e.g. $r\overline{g}$ gives a positive repulsive potential: $C(r\overline{g} \rightarrow r\overline{g}) = -\frac{1}{6}$
- **★Whilst not a formal proof, it is comforting to see that in the colour singlet** $q\overline{q}$ state the QCD potential is indeed attractive.

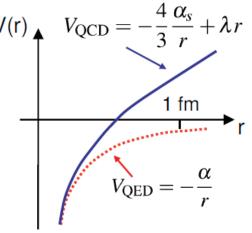
★ Combining the short-range QCD potential with the linear long-range term discussed previously:

$$V_{\rm QCD} = -\frac{4}{3}\frac{\alpha_s}{r} + \lambda r$$

★ This potential is found to give a good description of the observed charmonium (cc̄) and bottomonium (bb̄) bound states.







NOTE:

- c, b are heavy quarks
- approx. non-relativistic
- orbit close together
- probe 1/r part of V_{QCD}

Agreement of data with prediction provides strong evidence that $V_{\rm QCD}$ has the Expected form