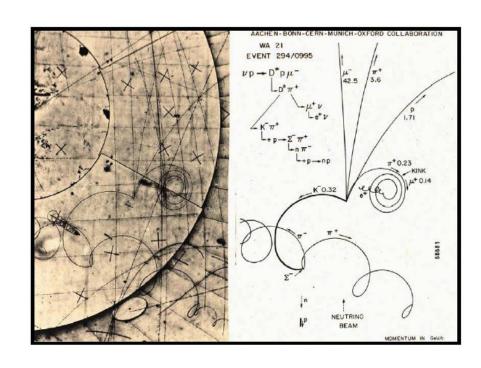
Elementary Particle Physics: theory and experiments

Symmetries and the Quark Model



Follow the course/slides from M. A. Thomson lectures at Cambridge University

Introduction/Aims

- ★ Symmetries play a central role in particle physics; one aim of particle physics is to discover the fundamental symmetries of our universe
- ★ In this handout will apply the idea of symmetry to the quark model with the aim of :
 - Deriving hadron wave-functions
 - Providing an introduction to the more abstract ideas of colour and QCD
 - Ultimately explaining why hadrons only exist as qq (mesons)
 qqq (baryons) or qq (anti-baryons)
- + introduce the ideas of the SU(2) and SU(3) symmetry groups which play a major role in particle physics

Symmetries and Conservation Laws

★Suppose physics is invariant under the transformation

$$\psi \rightarrow \psi' = \hat{U}\psi$$

e.g. rotation of the coordinate axes

To conserve probability normalisation require

$$\langle \psi | \psi \rangle = \langle \psi' | \psi' \rangle = \langle \hat{U} \psi | \hat{U} \psi \rangle = \langle \psi | \hat{U}^\dagger \hat{U} | \psi \rangle$$

$$\rightarrow \qquad \hat{U}^\dagger \hat{U} = 1 \qquad \text{i.e. } \hat{U} \text{ has to be unitary}$$

 For physical predictions to be unchanged by the symmetry transformation, also require all QM matrix elements unchanged

$$\begin{array}{ccc} \langle \psi | \hat{H} | \psi \rangle = \langle \psi' | \hat{H} | \psi' \rangle = \langle \psi | \hat{U}^\dagger \hat{H} \hat{U} | \psi \rangle \\ \text{i.e. require} & \hat{U}^\dagger \hat{H} \hat{U} = \hat{H} \\ \times \hat{U} & \hat{U} \hat{U}^\dagger \hat{H} \hat{U} = \hat{U} \hat{H} & \longrightarrow & \hat{H} \hat{U} = \hat{U} \hat{H} \end{array}$$

therefore

 $\times \hat{II}$

$$[\hat{H}, \hat{U}] = 0$$

 $[\hat{H},\hat{U}]=0$ \hat{U} commutes with the Hamiltonian

 \star Now consider the infinitesimal transformation (ε small)

$$\hat{U} = 1 + i\varepsilon \hat{G}$$

(\hat{G} is called the generator of the transformation)

ullet For \hat{U} to be unitary

$$\begin{split} \hat{U}\hat{U}^\dagger &= (1+i\varepsilon\hat{G})(1-i\varepsilon\hat{G}^\dagger) = 1+i\varepsilon(\hat{G}-\hat{G}^\dagger) + O(\varepsilon^2) \\ \text{neglecting terms in } \varepsilon^2 \qquad UU^\dagger &= 1 \qquad \qquad \qquad \qquad \qquad \\ \hat{G} &= \hat{G}^\dagger \end{split}$$

i.e. \hat{G} is Hermitian and therefore corresponds to an observable quantity G !

• Furthermore,
$$[\hat{H},\hat{U}]=0 \Rightarrow [\hat{H},1+i\epsilon\;\hat{G}]=0 \Rightarrow [\hat{H},\hat{G}]=0$$
 But from QM
$$\frac{\mathrm{d}}{\mathrm{d}t}\langle\hat{G}\rangle=i\langle[\hat{H},\hat{G}]\rangle=0$$

i.e. G is a conserved quantity.

Symmetry Conservation Law

***** For each symmetry of nature have an observable <u>conserved</u> quantity <u>Example:</u> Infinitesimal spatial translation $x \rightarrow x + \varepsilon$

i.e. expect physics to be invariant under $\psi(x) \to \psi' = \psi(x + \varepsilon)$

$$\psi'(x) = \psi(x + \varepsilon) = \psi(x) + \frac{\partial \psi}{\partial x} \varepsilon = \left(1 + \varepsilon \frac{\partial}{\partial x}\right) \psi(x)$$

$$\hat{p}_x = -i \frac{\partial}{\partial x} \quad \rightarrow \quad \psi'(x) = (1 + i\varepsilon \hat{p}_x) \psi(x)$$

The generator of the symmetry transformation is $\hat{p}_x \rightarrow p_x$ is conserved

Translational invariance of physics implies momentum conservation!

• In general the symmetry operation may depend on more than one parameter

$$\hat{U} = 1 + i\vec{\varepsilon}.\vec{G}$$

For example for an infinitesimal 3D linear translation : $\vec{r} \rightarrow \vec{r} + \vec{\epsilon}$

 So far have only considered an infinitesimal transformation, however a finite transformation can be expressed as a series of infinitesimal transformations

$$\hat{U}(\vec{\alpha}) = \lim_{n \to \infty} \left(1 + i \frac{\vec{\alpha}}{n} . \vec{G} \right)^n = e^{i \vec{\alpha} . \vec{G}}$$

Example: Finite spatial translation in 1D: $x \to x + x_0$ with $\hat{U}(x_0) = e^{ix_0\hat{p}_x}$

$$\psi'(x) = \psi(x + x_0) = \hat{U}\psi(x) = \exp\left(x_0 \frac{d}{dx}\right)\psi(x) \qquad \left(p_x = -i\frac{\partial}{\partial x}\right)$$

$$= \left(1 + x_0 \frac{d}{dx} + \frac{x_0^2}{2!} \frac{d^2}{dx^2} + \dots\right)\psi(x)$$

$$= \psi(x) + x_0 \frac{d\psi}{dx} + \frac{x_0^2}{2!} \frac{d^2\psi}{dx^2} + \dots$$

i.e. obtain the expected Taylor expansion

Symmetries in Particle Physics: Isospin

 The proton and neutron have very similar masses and the nuclear force is found to be approximately charge-independent, i.e.

$$V_{pp} \approx V_{np} \approx V_{nn}$$

 To reflect this symmetry, Heisenberg (1932) proposed that if you could "switch off" the electric charge of the proton

There would be no way to distinguish between a proton and neutron

 Proposed that the neutron and proton should be considered as two states of a single entity; the nucleon

$$p = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \qquad n = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

★ Analogous to the spin-up/spin-down states of a spin-½ particle

ISOSPIN

- **★** Expect physics to be invariant under rotations in this space
- The neutron and proton form an isospin doublet with total isospin $I = \frac{1}{2}$ and third component $I_3 = \pm \frac{1}{2}$

Flavour Symmetry of the Strong Interaction

We can extend this idea to the quarks:

- **★** Assume the strong interaction treats all quark flavours equally (it does)
 - Because $m_u \approx m_d$:

The strong interaction possesses an approximate flavour symmetry i.e. from the point of view of the strong interaction nothing changes if all up quarks are replaced by down quarks and *vice versa*.

Choose the basis

$$u = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \qquad d = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

• Express the invariance of the strong interaction under $u \leftrightarrow d$ as invariance under "rotations" in an abstract isospin space

$$\begin{pmatrix} u' \\ d' \end{pmatrix} = \hat{U} \begin{pmatrix} u \\ d \end{pmatrix} = \begin{pmatrix} U_{11} & U_{12} \\ U_{21} & U_{22} \end{pmatrix} \begin{pmatrix} u \\ d \end{pmatrix}$$

The 2x2 unitary matrix depends on 4 complex numbers, i.e. 8 real parameters But there are four constraints from $\hat{U}^\dagger\hat{U}=1$

- **→** 8 4 = 4 independent matrices
- •In the language of group theory the four matrices form the U(2) group

One of the matrices corresponds to multiplying by a phase factor

$$\hat{U}_1 = \left(\begin{array}{cc} 1 & 0 \\ 0 & 1 \end{array}\right) e^{i\phi}$$

not a flavour transformation and of no relevance here.

- The remaining three matrices form an SU(2) group (special unitary) with $\det U=1$
- ullet For an infinitesimal transformation, in terms of the Hermitian generators \hat{G}

$$\hat{U} = 1 + i\varepsilon \hat{G}$$

- $\det U = 1 \implies Tr(\hat{G}) = 0$
- A linearly independent choice for \hat{G} are the Pauli spin matrices

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$
 $\sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$ $\sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$

- The proposed flavour symmetry of the strong interaction has the same transformation properties as SPIN!
- Define ISOSPIN: $\vec{T}=\frac{1}{2}\vec{\sigma}$ $\hat{U}=e^{i\vec{\alpha}.\vec{T}}$
- Check this works, for an infinitesimal transformation

$$\hat{U} = 1 + \frac{1}{2}i\vec{\varepsilon}.\vec{\sigma} = 1 + \frac{i}{2}(\varepsilon_1\sigma_1 + \varepsilon_2\sigma_2 + \varepsilon_3\sigma_3) = \begin{pmatrix} 1 + \frac{1}{2}i\varepsilon_3 & \frac{1}{2}i(\varepsilon_1 - i\varepsilon_2) \\ \frac{1}{2}i(\varepsilon_1 + i\varepsilon_2) & 1 - \frac{1}{2}i\varepsilon_3 \end{pmatrix}$$

Which is, as required, unitary and has unit determinant

$$U^{\dagger}U = I + O(\varepsilon^2)$$
 $\det U = 1 + O(\varepsilon^2)$

Properties of Isospin

Isospin has the exactly the same properties as spin

$$[T_1, T_2] = iT_3$$
 $[T_2, T_3] = iT_1$ $[T_3, T_1] = iT_2$
 $[T^2, T_3] = 0$ $T^2 = T_1^2 + T_2^2 + T_3^2$

As in the case of spin, have three non-commuting operators, T_1, T_2, T_3 and even though all three correspond to observables, can't know them simultaneously. So label states in terms of total isospin I and the third component of isospin I_3

NOTE: isospin has nothing to do with spin – just the same mathematics

• The eigenstates are exact analogues of the eigenstates of ordinary angular momentum $|s,m
angle o |I,I_3
angle$

with
$$T^2|I,I_3\rangle = I(I+1)|I,I_3\rangle$$
 $T_3|I,I_3\rangle = I_3|I,I_3\rangle$

In terms of isospin:

$$u = \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{vmatrix} \frac{1}{2}, +\frac{1}{2} \end{vmatrix} \qquad d = \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \begin{vmatrix} \frac{1}{2}, -\frac{1}{2} \end{vmatrix}$$

$$u = \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{vmatrix} \frac{1}{2}, -\frac{1}{2} \end{vmatrix} \qquad I_3$$

$$I = \frac{1}{2}, \quad I_3 = \pm \frac{1}{2}$$

• In general $I_3 = \frac{1}{2}(N_u - N_d)$

Can define isospin ladder operators – analogous to spin ladder operators

Can define isospin ladder operators – analogous to spin ladder operators
$$T_{-} \equiv T_{1} - iT_{2}$$

$$\mathbf{u} \rightarrow \mathbf{d}$$

$$T_{+}|I,I_{3}\rangle = \sqrt{I(I+1) - I_{3}(I_{3}+1)}|I,I_{3}+1\rangle$$

$$T_{-}|I,I_{3}\rangle = \sqrt{I(I+1) - I_{3}(I_{3}-1)}|I,I_{3}-1\rangle$$

Step up/down in I_3 until reach end of multiplet $T_+|I,+I\rangle=0$ $T_-|I,-I\rangle=0$

$$T_{+}u = 0$$
 $T_{+}d = u$ $T_{-}u = d$ $T_{-}d = 0$

- Ladder operators turn $u \to d$ and $d \to u$
- ★ Combination of isospin: e.g. what is the isospin of a system of two d quarks, is exactly analogous to combination of spin (i.e. angular momentum)

$$|I^{(1)},I_3^{(1)}\rangle|I^{(2)},I_3^{(2)}\rangle \to |I,I_3\rangle$$

- I_3 additive: $I_3 = I_3^{(1)} + I_3^{(2)}$
- I in integer steps from $|I^{(1)}-I^{(2)}|$ to $|I^{(1)}+I^{(2)}|$
- **★** Assumed symmetry of Strong Interaction under isospin transformations implies the existence of conserved quantites
- In strong interactions I_3 and I are conserved, analogous to conservation of J_{z} and J_{z} for angular momentum

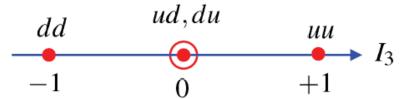
Combining Quarks

Goal: derive proton wave-function

- First combine two quarks, then combine the third
- Use requirement that fermion wave-functions are anti-symmetric

Isospin starts to become useful in defining states of more than one quark.

e.g. two quarks, here we have four possible combinations:



Note: () represents two states with the same value Note: () represents two

• We can immediately identify the extremes (I_3 additive)

$$uu \equiv |\frac{1}{2}, \frac{1}{2}\rangle |\frac{1}{2}, \frac{1}{2}\rangle = |1, +1\rangle$$

$$uu \equiv |\frac{1}{2}, \frac{1}{2}\rangle |\frac{1}{2}, \frac{1}{2}\rangle = |1, +1\rangle$$
 $dd \equiv |\frac{1}{2}, -\frac{1}{2}\rangle |\frac{1}{2}, -\frac{1}{2}\rangle = |1, -1\rangle$

To obtain the $|1,0\rangle$ state use ladder operators

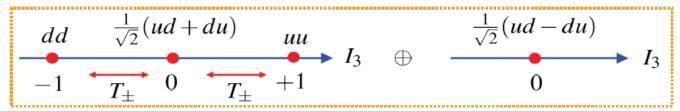
$$T_{-}|1,+1\rangle = \sqrt{2}|1,0\rangle = T_{-}(uu) = ud + du$$

$$\Rightarrow |1,0\rangle = \frac{1}{\sqrt{2}}(ud + du)|$$

The final state, $|0,0\rangle$, can be found from orthogonality with $|1,0\rangle$

$$\rightarrow |0,0\rangle = \frac{1}{\sqrt{2}}(ud - du)$$

• From four possible combinations of isospin doublets obtain a triplet of isospin 1 states and a singlet isospin 0 state $2 \otimes 2 = 3 \oplus 1$



- Can move around within multiplets using ladder operators
- note, as anticipated $I_3 = \frac{1}{2}(N_u N_d)$
- States with different total isospin are physically different the isospin 1 triplet is symmetric under interchange of quarks 1 and 2 whereas singlet is anti-symmetric
- ***** Now add an additional up or down quark. From each of the above 4 states get two new isospin states with $I_3' = I_3 \pm \frac{1}{2}$

• Use ladder operators and orthogonality to group the 6 states into isospin multiplets, e.g. to obtain the $I=\frac{3}{2}$ states, step up from ddd

***** Derive the
$$I=\frac{3}{2}$$
 states from $ddd\equiv |\frac{3}{2},-\frac{3}{2}\rangle$

$$ddd = T_{+} \qquad T_{+} \qquad T_{+} \qquad I_{3}$$

$$-\frac{3}{2} \qquad -\frac{1}{2} \qquad 0 \qquad +\frac{1}{2} \qquad +\frac{3}{2}$$

$$T_{+}|\frac{3}{2}, -\frac{3}{2}\rangle = T_{+}(ddd) = (T_{+}d)dd + d(T_{+}d)d + dd(T_{+})d$$

$$\sqrt{3}|\frac{3}{2}, -\frac{1}{2}\rangle = udd + dud + ddu$$

$$|\frac{3}{2}, -\frac{1}{2}\rangle = \frac{1}{\sqrt{3}}(udd + dud + ddu)$$

$$T_{+}|\frac{3}{2}, -\frac{1}{2}\rangle = \frac{1}{\sqrt{3}}(udd + dud + ddu)$$

$$2|\frac{3}{2}, +\frac{1}{2}\rangle = \frac{1}{\sqrt{3}}(uud + udu + uud + duu + udu + duu)$$

$$\frac{3}{2}, +\frac{1}{2}\rangle = \frac{1}{\sqrt{3}}(uud + udu + duu)$$

$$T_{+}|\frac{3}{2}, +\frac{1}{2}\rangle = \frac{1}{\sqrt{3}}(uud + udu + duu)$$

$$\sqrt{3}|\frac{3}{2}, +\frac{3}{2}\rangle = \frac{1}{\sqrt{3}}(uuu + uuu + uuu)$$

$$\frac{3}{2}, +\frac{3}{2}\rangle = uuu$$

- ***** From the $\boxed{\mathbf{6}}$ states on previous page, use orthogonality to find $|\frac{1}{2},\pm\frac{1}{2}\rangle$ states
- ***** The **2** states on the previous page give another $|\frac{1}{2},\pm\frac{1}{2}\rangle$ doublet

★The eight states *uuu*, *uud*, *udu*, *udd*, *duu*, *dud*, *ddu*, *ddd* are grouped into an isospin quadruplet and two isospin doublets

$$2 \otimes 2 \otimes 2 = 2 \otimes (3 \oplus 1) = (2 \otimes 3) \oplus (2 \otimes 1) = 4 \oplus 2 \oplus 2$$

Different multiplets have different symmetry properties

$$|\frac{3}{2}, +\frac{3}{2}\rangle = uuu$$

$$|\frac{3}{2}, +\frac{1}{2}\rangle = \frac{1}{\sqrt{3}}(uud + udu + duu)$$

$$|\frac{3}{2}, -\frac{1}{2}\rangle = \frac{1}{\sqrt{3}}(ddu + dud + udd)$$

$$|\frac{3}{2}, -\frac{3}{2}\rangle = ddd$$

S

A quadruplet of states which are symmetric under the interchange of <u>any</u> two quarks

$$\begin{vmatrix} \frac{1}{2}, -\frac{1}{2} \rangle = -\frac{1}{\sqrt{6}} (2ddu - udd - dud) \\ |\frac{1}{2}, +\frac{1}{2} \rangle = \frac{1}{\sqrt{6}} (2uud - udu - duu) \end{vmatrix}$$



Mixed symmetry.

Symmetric for 1 → 2

$$\begin{vmatrix} \frac{1}{2}, -\frac{1}{2} \rangle = \frac{1}{\sqrt{2}} (udd - dud) \\ |\frac{1}{2}, +\frac{1}{2} \rangle = \frac{1}{\sqrt{2}} (udu - duu) \end{vmatrix} \mathbf{M_A}$$

Mixed symmetry.
Anti-symmetric for 1 ← 2

• Mixed symmetry states have no definite symmetry under interchange of quarks $1 \leftrightarrow 3$ etc.

★The eight states *uuu*, *uud*, *udu*, *udd*, *duu*, *dud*, *ddu*, *ddd* are grouped into an isospin quadruplet and two isospin doublets

$$2 \otimes 2 \otimes 2 = 2 \otimes (3 \oplus 1) = (2 \otimes 3) \oplus (2 \otimes 1) = 4 \oplus 2 \oplus 2$$

Different multiplets have different symmetry properties

$$|\frac{3}{2}, +\frac{3}{2}\rangle = uuu$$

$$|\frac{3}{2}, +\frac{1}{2}\rangle = \frac{1}{\sqrt{3}}(uud + udu + duu)$$

$$|\frac{3}{2}, -\frac{1}{2}\rangle = \frac{1}{\sqrt{3}}(ddu + dud + udd)$$

$$|\frac{3}{2}, -\frac{3}{2}\rangle = ddd$$



A quadruplet of states which are symmetric under the interchange of <u>any</u> two quarks

$$|\frac{1}{2}, -\frac{1}{2}\rangle = -\frac{1}{\sqrt{6}}(2ddu - udd - dud)$$

$$|\frac{1}{2}, +\frac{1}{2}\rangle = \frac{1}{\sqrt{6}}(2uud - udu - duu)$$



Mixed symmetry.

Symmetric for 1 ← 2

$$\begin{vmatrix} \frac{1}{2}, -\frac{1}{2} \rangle = \frac{1}{\sqrt{2}} (udd - dud) \\ |\frac{1}{2}, +\frac{1}{2} \rangle = \frac{1}{\sqrt{2}} (udu - duu) \end{vmatrix}$$

$$\mathbf{M_A}$$

Mixed symmetry.
Anti-symmetric for 1 ← 2

• Mixed symmetry states have no definite symmetry under interchange of quarks $1 \leftrightarrow 3$ etc.

Combining Spin

Can apply exactly the same mathematics to determine the possible spin wave-functions for a combination of 3 spin-half particles

$$|\frac{3}{2}, +\frac{3}{2}\rangle = \uparrow \uparrow \uparrow$$

$$|\frac{3}{2}, +\frac{1}{2}\rangle = \frac{1}{\sqrt{3}}(\uparrow \uparrow \downarrow + \uparrow \downarrow \uparrow \uparrow + \downarrow \uparrow \uparrow)$$

$$|\frac{3}{2}, -\frac{1}{2}\rangle = \frac{1}{\sqrt{3}}(\downarrow \downarrow \uparrow + \downarrow \uparrow \downarrow + \uparrow \downarrow \downarrow)$$

$$|\frac{3}{2}, -\frac{3}{2}\rangle = \downarrow \downarrow \downarrow$$

A quadruplet of states what are symmetric under the interchange of any two quadruplet of states what are symmetric under the interchange of any two quadruplet of states what are symmetric under the interchange of any two quadruplet of states what are symmetric under the interchange of any two quadruplet of states what are symmetric under the interchange of any two quadruplet of states what are symmetric under the interchange of any two quadruplet of states what are symmetric under the interchange of any two quadruplet of states what are symmetric under the interchange of any two quadruplet of states what are symmetric under the interchange of any two quadruplet of states what are symmetric under the interchange of any two quadruplet of states what are symmetric under the interchange of any two quadruplet of states what are symmetric under the interchange of any two quadruplet of states what are symmetric under the interchange of any two quadruplet of states what are symmetric under the interchange of any two quadruplet of states what are symmetric under the interchange of any two quadruplet of states what are symmetric under the interchange of any two quadruplet of states when the symmetric under the interchange of any two quadruplet of states when the symmetric under the symmetric und



A quadruplet of states which interchange of any two quarks

$$|\frac{1}{2}, -\frac{1}{2}\rangle = -\frac{1}{\sqrt{6}}(2\downarrow\downarrow\uparrow -\uparrow\downarrow\downarrow -\downarrow\uparrow\downarrow) \\ |\frac{1}{2}, +\frac{1}{2}\rangle = \frac{1}{\sqrt{6}}(2\uparrow\uparrow\downarrow -\uparrow\downarrow\uparrow -\downarrow\uparrow\uparrow)$$

$$\mathbf{M_S}$$



Mixed symmetry. Anti-symmetric for 1

Can now form total wave-functions for combination of three quarks

Bayron Wave-functions (ud)

- ★Quarks are fermions so require that the total wave-function is <u>anti-symmetric</u> under the interchange of any two quarks
- ★ the total wave-function can be expressed in terms of:

$$\psi = \phi_{
m flavour} \chi_{
m spin} \xi_{
m colour} \eta_{
m space}$$

- ★ The colour wave-function for all bound qqq states is <u>anti-symmetric</u>
- Here we will only consider the lowest mass, ground state, baryons where there
 is no internal orbital angular momentum.
- For L=0 the spatial wave-function is symmetric (-1)^L.



- ★ Two ways to form a totally symmetric wave-function from spin and isospin states:
- lacktriangle combine totally symmetric spin and isospin wave-functions $\phi(S)\chi(S)$

$$\frac{ddd}{\Delta^{-}} \quad \frac{\frac{1}{\sqrt{3}}(ddu + dud + udd)}{\Delta^{0}} \quad \frac{\frac{1}{\sqrt{3}}(uud + udu + duu)}{\Delta^{+}} \quad uuu$$

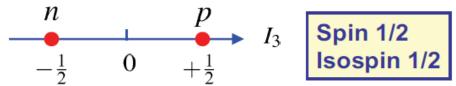
$$\frac{\Delta^{-}}{-\frac{3}{2}} \quad \frac{\Delta^{0}}{-\frac{1}{2}} \quad 0 \quad +\frac{1}{2} \quad +\frac{3}{2}$$

Spin 3/2 Isospin 3/2

- **2** combine mixed symmetry spin and mixed symmetry isospin states
 - Both $\phi(M_S)\chi(M_S)$ and $\phi(M_A)\chi(M_A)$ are sym. under inter-change of quarks $1 \leftrightarrow 2$
 - Not sufficient, these combinations have no definite symmetry under $1 \leftrightarrow 3,...$
 - However, it is not difficult to show that the (normalised) linear combination:

$$\frac{1}{\sqrt{2}}\phi(M_S)\chi(M_S) + \frac{1}{\sqrt{2}}\phi(M_A)\chi(M_A)$$

is totally symmetric (i.e. symmetric under $1 \leftrightarrow 2; \ 1 \leftrightarrow 3; \ 2 \leftrightarrow 3$)



The spin-up proton wave-function is therefore:

$$|p\uparrow\rangle = \frac{1}{6\sqrt{2}}(2uud - udu - duu)(2\uparrow\uparrow\downarrow - \uparrow\downarrow\uparrow - \downarrow\uparrow\uparrow) + \frac{1}{2\sqrt{2}}(udu - duu)(\uparrow\downarrow\uparrow - \downarrow\uparrow\uparrow)$$

$$\rightarrow$$

$$|p\uparrow\rangle = \frac{1}{\sqrt{18}}(2u\uparrow u\uparrow d\downarrow - u\uparrow u\downarrow d\uparrow - u\downarrow u\uparrow d\uparrow + 2u\uparrow d\downarrow u\uparrow - u\uparrow d\uparrow u\downarrow - u\downarrow d\uparrow u\uparrow + 2d\downarrow u\uparrow u\uparrow - d\uparrow u\downarrow u\uparrow - d\uparrow u\uparrow u\uparrow u\uparrow)$$

NOTE: not always necessary to use the fully symmetrised proton wave-function, e.g. the first 3 terms are sufficient for calculating the proton magnetic moment

Anti-quarks and Mesons (u and d)

★The u, d quarks and u, d anti-quarks are represented as isospin doublets

$$\begin{array}{c|c}
q = \begin{pmatrix} u \\ d \end{pmatrix} \\
\hline
d & u \\
-\frac{1}{2} & +\frac{1}{2}
\end{array}$$

$$\begin{array}{c|c}
\overline{q} = \begin{pmatrix} -\overline{d} \\ \overline{u} \end{pmatrix} \\
\hline
\overline{u} & -\overline{d} \\
\hline
-\frac{1}{2} & +\frac{1}{2}
\end{array}$$

$$\overline{u} = \begin{pmatrix} 0 \\ 1 \end{pmatrix} \\
\overline{d} = -\begin{pmatrix} 1 \\ 0 \end{pmatrix} \\
\hline
d = -\begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

- Subtle point: The ordering and the minus sign in the anti-quark doublet ensures that anti-quarks and quarks transform in the same way (see Appendix I). This is necessary if we want physical predictions to be invariant under $u \leftrightarrow d$; $\overline{u} \leftrightarrow \overline{d}$
- Consider the effect of ladder operators on the anti-quark isospin states

e.g
$$T_+\overline{u}=T_+\begin{pmatrix}0\\1\end{pmatrix}=\begin{pmatrix}0&1\\0&0\end{pmatrix}\begin{pmatrix}0\\1\end{pmatrix}=\begin{pmatrix}1\\0\end{pmatrix}=-\overline{d}$$

The effect of the ladder operators on anti-particle isospin states are:

$$T_{+}\overline{u} = -\overline{d}$$
 $T_{+}\overline{d} = 0$ $T_{-}\overline{u} = 0$ $T_{-}\overline{d} = -\overline{u}$

$$T_{+}u = 0$$
 $T_{+}d = u$ $T_{-}u = d$ $T_{-}d = 0$

Compare with

$$T_{+}u = 0$$
 $T_{+}d = u$ $T_{-}u = d$ $T_{-}d = 0$

Light ud Mesons

★ Can now construct meson states from combinations of up/down quarks



• Consider the $q\overline{q}$ combinations in terms of isospin

$$|1,+1\rangle = |\frac{1}{2},+\frac{1}{2}\rangle \overline{|\frac{1}{2},+\frac{1}{2}\rangle} = -u\overline{d}$$

$$|1,-1\rangle = |\frac{1}{2},-\frac{1}{2}\rangle \overline{|\frac{1}{2},-\frac{1}{2}\rangle} = d\overline{u}$$

The bar indicates this is the isospin representation of an anti-quark

To obtain the $I_3=0$ states use ladder operators and orthogonality

$$T_{-}|1,+1\rangle = T_{-}\left[-u\overline{d}\right]$$

$$\sqrt{2}|1,0\rangle = -T_{-}\left[u\right]\overline{d} - uT_{-}\left[\overline{d}\right]$$

$$= -d\overline{d} + u\overline{u}$$

$$\Rightarrow |1,0\rangle = \frac{1}{\sqrt{2}}\left(u\overline{u} - d\overline{d}\right)$$

• Orthogonality gives:
$$|0,0\rangle=rac{1}{\sqrt{2}}\left(u\overline{u}+d\overline{d}
ight)$$

★To summarise:





Triplet of I=1 states and a singlet I=0 state

- You will see this written as $2\otimes \overline{2}=3\oplus 1$ Quark doublet Anti-quark doublet
- •To show the state obtained from orthogonality with |1,0
 angle is a singlet use ladder operators

$$T_+|0,0\rangle=T_+\frac{1}{\sqrt{2}}(u\overline{u}+d\overline{d})=\frac{1}{\sqrt{2}}\left(-u\overline{d}+u\overline{d}\right)=0$$
 similarly
$$T_-|0,0\rangle=0$$

★ A singlet state is a "dead-end" from the point of view of ladder operators

SU(3) Flavour

- ***** Extend these ideas to include the strange quark. Since $m_s > m_u$, m_d don't have an <u>exact symmetry</u>. But m_s not so very different from m_u , m_d and can treat the strong interaction (and resulting hadron states) as if it were symmetric under $u \leftrightarrow d \leftrightarrow s$
 - NOTE: any results obtained from this assumption are only approximate as the symmetry is not exact.
 - The assumed uds flavour symmetry can be expressed as

$$\begin{pmatrix} u' \\ d' \\ s' \end{pmatrix} = \hat{U} \begin{pmatrix} u \\ d \\ s \end{pmatrix} = \begin{pmatrix} U_{11} & U_{12} & U_{13} \\ U_{21} & U_{22} & U_{23} \\ U_{31} & U_{32} & U_{33} \end{pmatrix} \begin{pmatrix} u \\ d \\ s \end{pmatrix}$$

- The 3x3 unitary matrix depends on 9 complex numbers, i.e. 18 real parameters. There are 9 constraints from $\,\hat{U}^\dagger\hat{U}=1\,$
 - Can form 18 9 = 9 linearly independent matrices

These 9 matrices form a U(3) group.

- As before, one matrix is simply the identity multiplied by a complex phase and is of no interest in the context of flavour symmetry
- The remaining 8 matrices have $\det U=1$ and form an SU(3) group
- The eight matrices (the Hermitian generators) are: $\vec{T}=rac{1}{2}\vec{\lambda}$ $\hat{U}=e^{i\vec{lpha}.\vec{T}}$

★In SU(3) flavour, the three quark states are represented by:

$$u = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \quad d = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \quad s = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$

★In SU(3) uds flavour symmetry contains SU(2) ud flavour symmetry which allows us to write the first three matrices:

$$\lambda_1 = \begin{pmatrix} \sigma_1 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad \lambda_2 = \begin{pmatrix} \sigma_2 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad \lambda_3 = \begin{pmatrix} \sigma_3 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

• The third component of isospin is now written $I_3 = \frac{1}{2}\lambda_3$

with
$$I_3 u = +\frac{1}{2}u$$
 $I_3 d = -\frac{1}{2}d$ $I_3 s = 0$

- I_3 "counts the number of up quarks number of down quarks in a state
- As before, ladder operators $T_{\pm}=\frac{1}{2}(\lambda_1\pm i\lambda_2)$ $dlacktriangledown T_+ \longrightarrow lacktriangledown u$

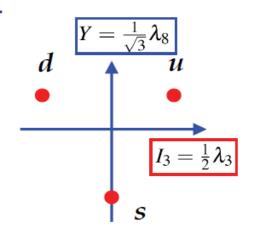
Now consider the matrices corresponding to the u ↔ s and d ↔ s

- Hence in addition to $\lambda_3=\begin{pmatrix}1&0&0\\0&-1&0\\0&0&0\end{pmatrix}$ have two other traceless diagonal matrices
- · However the three diagonal matrices are not be independent.
- Define the eighth matrix, λ_8 , as the linear combination:

$$\lambda_8 = \frac{1}{\sqrt{3}} \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{pmatrix} + \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & -1 \end{pmatrix} = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -2 \end{pmatrix}$$

which specifies the "vertical position" in the 2D plane

"Only need two axes (quantum numbers) to specify a state in the 2D plane": (I_3,Y)



★The other six matrices form six ladder operators which step between the states

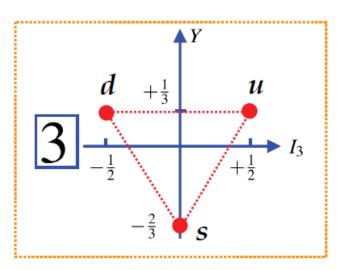
$$T_{\pm}=rac{1}{2}(\lambda_1\pm i\lambda_2) \ V_{\pm}=rac{1}{2}(\lambda_4\pm i\lambda_5) \ U_{\pm}=rac{1}{2}(\lambda_6\pm i\lambda_7) \ I_3=rac{1}{2}\lambda_3 \quad Y=rac{1}{\sqrt{3}}\lambda_8$$

with

and the eight Gell-Mann matrices

$$\begin{array}{c} \mathbf{u} \leftrightarrow \mathbf{d} \\ \boldsymbol{\lambda}_{1} = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \lambda_{2} = \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \lambda_{3} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix} \\ \boldsymbol{\lambda}_{4} = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix} \lambda_{5} = \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix} \\ \boldsymbol{\lambda}_{5} = \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix} \\ \boldsymbol{\lambda}_{6} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} \lambda_{7} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix} \\ \boldsymbol{\lambda}_{7} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix}$$

Quarks and anti-quarks in SU(3) Flavour

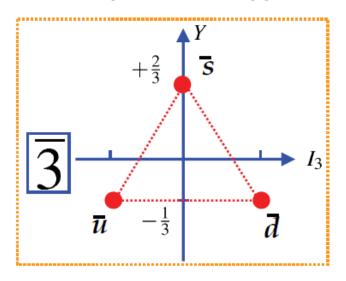


Quarks

$$I_3u = +\frac{1}{2}u; \quad I_3d = -\frac{1}{2}d; \quad I_3s = 0$$

$$Yu = +\frac{1}{3}u; \quad Yd = +\frac{1}{3}d; \quad Ys = -\frac{2}{3}s$$

•The anti-quarks have opposite SU(3) flavour quantum numbers



Anti-Quarks

$$I_3\overline{u} = -\frac{1}{2}\overline{u}; \quad I_3\overline{d} = +\frac{1}{2}\overline{d}; \quad I_3\overline{s} = 0$$

$$Y\overline{u} = -\frac{1}{3}\overline{u}; \quad Y\overline{d} = -\frac{1}{3}\overline{d}; \quad Y\overline{s} = +\frac{2}{3}\overline{s}$$

SU(3) Ladder Operators

- SU(3) uds flavour symmetry contains ud, us and ds SU(2) symmetries
- •Consider the $u \leftrightarrow s$ symmetry "V-spin" which has the associated $s \rightarrow u$ ladder operator

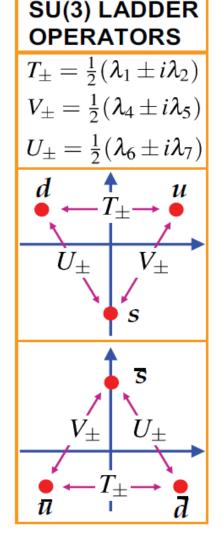
$$V_{+} = \frac{1}{2}(\lambda_{4} + i\lambda_{5}) = \frac{1}{2} \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix} + \frac{i}{2} \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$
with
$$V_{+}s = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} = +u$$

$$U_{\pm} = \frac{1}{2}(\lambda_{6} \pm i\lambda_{7})$$

★The effects of the six ladder operators are:

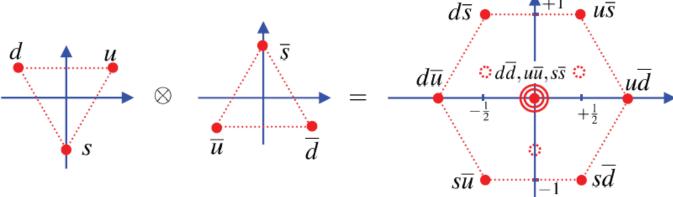
$$T_{+}d = u;$$
 $T_{-}u = d;$ $T_{+}\overline{u} = -\overline{d};$ $T_{-}\overline{d} = -\overline{u}$
 $V_{+}s = u;$ $V_{-}u = s;$ $V_{+}\overline{u} = -\overline{s};$ $V_{-}\overline{s} = -\overline{u}$
 $U_{+}s = d;$ $U_{-}d = s;$ $U_{+}\overline{d} = -\overline{s};$ $U_{-}\overline{s} = -\overline{d}$

all other combinations give zero



Light (uds) Mesons

ullet Use ladder operators to construct uds mesons from the nine possible $\,q\overline{q}\,$ states



•The three central states, all of which have Y=0; $I_3=0$ can be obtained using the ladder operators and orthogonality. Starting from the outer states can reach the centre in six ways

 $d\overline{s}$ U_{-} V_{-} U_{-} U_{-

$$\begin{split} T_{+}|d\overline{u}\rangle &= |u\overline{u}\rangle - |d\overline{d}\rangle & T_{-}|u\overline{d}\rangle = |d\overline{d}\rangle - |u\overline{u}\rangle \\ V_{+}|s\overline{u}\rangle &= |u\overline{u}\rangle - |s\overline{s}\rangle & V_{-}|u\overline{s}\rangle = |s\overline{s}\rangle - |u\overline{u}\rangle \\ U_{+}|s\overline{d}\rangle &= |d\overline{d}\rangle - |s\overline{s}\rangle & U_{-}|d\overline{s}\rangle = |s\overline{s}\rangle - |d\overline{d}\rangle \end{split}$$

- Only two of these six states are linearly independent.
- •But there are three states with Y = 0; $I_3 = 0$
- Therefore one state is not part of the same multiplet, i.e. cannot be reached with ladder ops.

First form two linearly independent orthogonal states from:

$$|u\overline{u}\rangle - |d\overline{d}\rangle$$
 $|u\overline{u}\rangle - |s\overline{s}\rangle$ $|d\overline{d}\rangle - |s\overline{s}\rangle$

- ★ If the SU(3) flavour symmetry were exact, the choice of states wouldn't matter. However, $m_s > m_{u,d}$ and the symmetry is only approximate.
- Experimentally observe three light mesons with m~140 MeV: π^+, π^0, π^-
- Identify one state (the π^0) with the isospin triplet (derived previously)

$$\psi_1 = \frac{1}{\sqrt{2}}(u\overline{u} - d\overline{d})$$

 The second state can be obtained by taking the linear combination of the other two states which is orthogonal to the π^0

$$\psi_2 = \alpha(|u\overline{u}\rangle - |s\overline{s}\rangle) + \beta(|d\overline{d}\rangle - |s\overline{s}\rangle)$$

with orthonormality: $\langle \psi_1 | \psi_2 \rangle = 0$; $\langle \psi_2 | \psi_2 \rangle = 1$

$$\psi_2 = \frac{1}{\sqrt{6}} (u\overline{u} + d\overline{d} - 2s\overline{s})$$

 The final state (which is not part of the same multiplet) can be obtained by requiring it to be orthogonal to Ψ_1 and Ψ_2

$$\psi_3 = \frac{1}{\sqrt{3}}$$

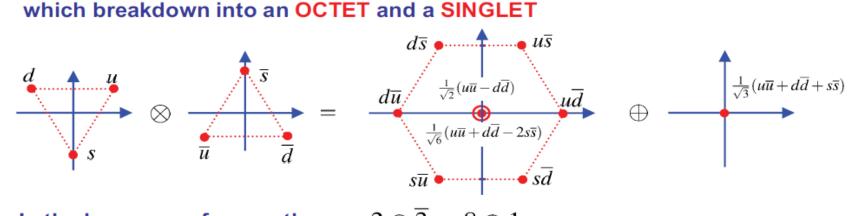
$$\psi_3 = \frac{1}{\sqrt{3}}(u\overline{u} + d\overline{d} + s\overline{s})$$

SINGLET

★ It is easy to check that Ψ_3 is a singlet state using ladder operators

$$T_+\psi_3=T_-\psi_3=U_+\psi_3=U_-\psi_3=V_+\psi_3=V_-\psi_3=0$$
 which confirms that $\psi_3=\frac{1}{\sqrt{3}}(u\overline{u}+d\overline{d}+s\overline{s})$ is a "flavourless" singlet

 Therefore the combination of a quark and anti-quark yields nine states which breakdown into an OCTET and a SINGLET

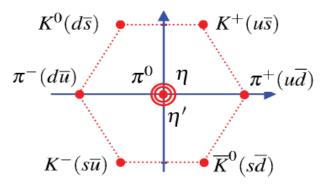


- In the language of group theory: $3 \otimes \overline{3} = 8 \oplus 1$
- \star Compare with combination of two spin-half particles $2 \otimes 2 = 3 \oplus 1$

TRIPLET of spin-1 states:
$$|1,-1\rangle,\ |1,0\rangle,\ |1,+1\rangle$$
 spin-0 SINGLET: $|0,0\rangle$

- These spin triplet states are connected by ladder operators just as the meson uds octet states are connected by SU(3) flavour ladder operators
- The singlet state carries no angular momentum in this sense the SU(3) flavour singlet is "flavourless"

PSEUDOSCALAR MESONS (L=0, S=0, J=0, P= -1)

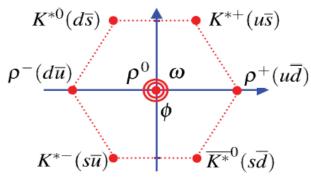


• Because SU(3) flavour is only approximate the physical states with $I_3 = 0$, Y = 0 can be mixtures of the octet and singlet states.

Empirically find:

$$\pi^0 = \frac{1}{\sqrt{2}}(u\overline{u} - d\overline{d})$$
 $\eta \approx \frac{1}{\sqrt{6}}(u\overline{u} + d\overline{d} - 2s\overline{s})$
 $\eta' \approx \frac{1}{\sqrt{3}}(u\overline{u} + d\overline{d} + s\overline{s}) \leftarrow$ singlet

VECTOR MESONS (L=0, S=1, J=1, P= -1)



• For the vector mesons the physical states are found to be approximately "ideally mixed":

$$\rho^{0} = \frac{1}{\sqrt{2}} (u\overline{u} - d\overline{d})$$
$$\omega \approx \frac{1}{\sqrt{2}} (u\overline{u} + d\overline{d})$$
$$\phi \approx s\overline{s}$$

MASSES

 $\pi^{\pm}: 140 \,\mathrm{MeV}$ $\pi^{0}: 135 \,\mathrm{MeV}$ $K^{\pm}: 494 \,\mathrm{MeV}$ $K^{0}/\overline{K}^{0}: 498 \,\mathrm{MeV}$

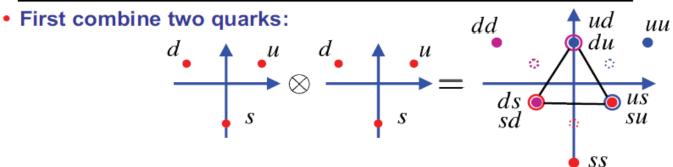
 $\eta: 549\,\mathrm{MeV}$

 η' : 958 MeV

 $ho^{\pm}: 770 \, \text{MeV}$ $ho^{0}: 770 \, \text{MeV}$ $K^{*\pm}: 892 \, \text{MeV}$ $K^{*0} / \overline{K^{*}}^{0}: 896 \, \text{MeV}$ $\omega: 782 \, \text{MeV}$ $\phi: 1020 \, \text{MeV}$

Combining uds Quarks to form Baryons

- ★ Have already seen that constructing Baryon states is a fairly tedious process when we derived the proton wave-function. Concentrate on multiplet structure rather than deriving all the wave-functions.
- ★ Everything we do here is relevant to the treatment of colour



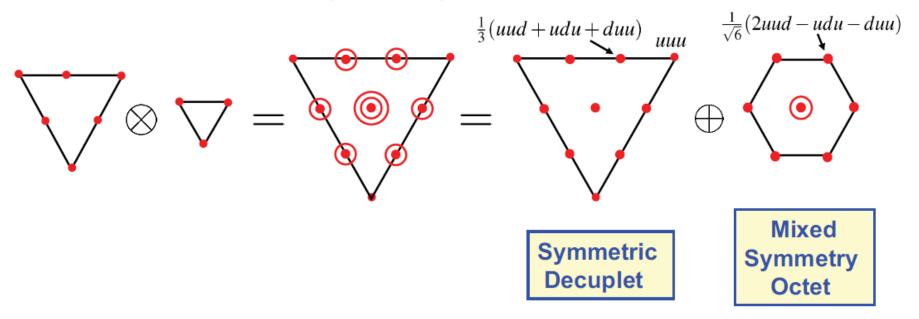
*Yields a symmetric sextet and anti-symmetric triplet: $3 \otimes 3 = 6 \oplus \overline{3}$ $\otimes \sqrt[4]{\frac{1}{\sqrt{2}}(ud + du)} \qquad \qquad | \sqrt[4]{\frac{1}{\sqrt{2}}(ud - du)}$ Same "pattern" as the anti-quark representation

SYMMETRIC

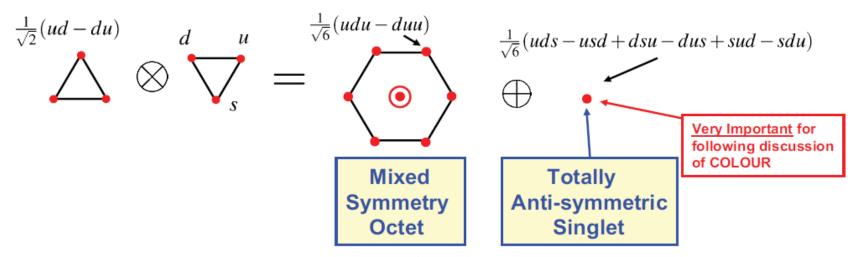
ANTI-SYMMETRIC

Now add the third quark:

- Best considered in two parts, building on the sextet and triplet. Again concentrate
 on the multiplet structure (for the wave-functions refer to the discussion of proton
 wave-function).
- **1** Building on the sextet: $3 \otimes 6 = 10 \oplus 8$



- 2 Building on the triplet:
 - •Just as in the case of uds mesons we are combining $\ \overline{3} \times 3$ and again obtain an octet and a singlet



• Can verify the wave-function $\psi_{\text{singlet}} = \frac{1}{\sqrt{6}}(uds - usd + dsu - dus + sud - sdu)$ is a singlet by using ladder operators, e.g.

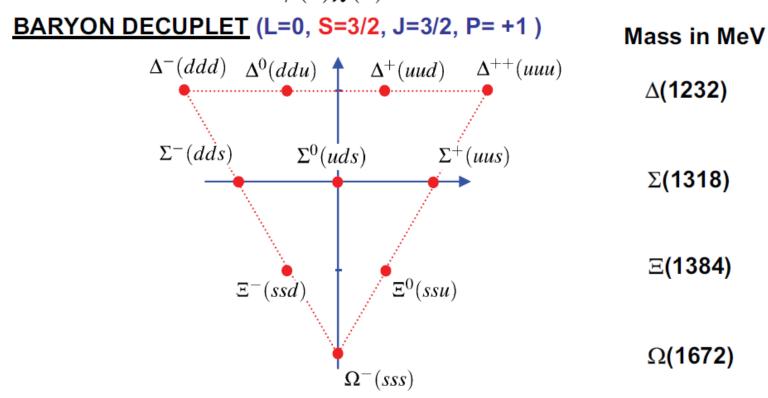
$$T_+\psi_{\text{singlet}} = \frac{1}{\sqrt{6}}(uus - usu + usu - uus + suu - suu) = 0$$

★ In summary, the combination of three uds quarks decomposes into

$$3 \otimes 3 \otimes 3 = 3 \otimes (6 \oplus \overline{3}) = 10 \oplus 8 \oplus 8 \oplus 1$$

Baryon Decuplet

- ★ The baryon states (L=0) are:
 - the spin 3/2 decuplet of symmetric flavour and symmetric spin wave-functions $\phi(S)\chi(S)$



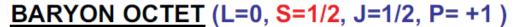
★ If SU(3) flavour were an exact symmetry all masses would be the same (broken symmetry)

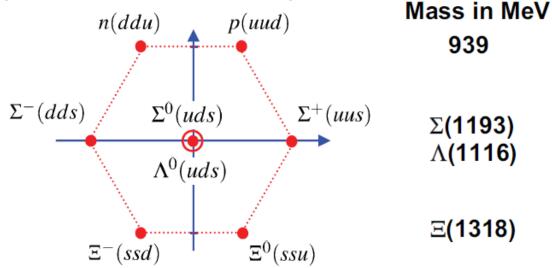
Baryon Octed

★ The spin 1/2 octet is formed from mixed symmetry flavour and mixed symmetry spin wave-functions

$$\alpha \phi(M_S) \chi(M_S) + \beta \phi(M_A) \chi(M_A)$$

See previous discussion proton for how to obtain wave-functions





★ NOTE: Cannot form a totally symmetric wave-function based on the anti-symmetric flavour singlet as there no totally anti-symmetric spin wave-function for 3 quarks

Summary

- ★ Considered SU(2) ud and SU(3) uds flavour symmetries
- ★ Although these flavour symmetries are only approximate can still be used to explain observed multiplet structure for mesons/baryons
- ***** In case of SU(3) flavour symmetry results, e.g. predicted wave-functions should be treated with a pinch of salt as $m_s \neq m_{u/d}$
- ★ Introduced idea of singlet states being "spinless" or "flavourless"
- ★ In the next handout apply these ideas to colour and QCD...

Appendix

- Define anti-quark doublet $\overline{q} = \begin{pmatrix} -\overline{d} \\ \overline{u} \end{pmatrix} = \begin{pmatrix} -d^* \\ u^* \end{pmatrix}$
- •The quark doublet $q = \begin{pmatrix} u \\ d \end{pmatrix}$ transforms as q' = Uq

$$\begin{pmatrix} u' \\ d' \end{pmatrix} = U \begin{pmatrix} u \\ d \end{pmatrix} \quad \begin{array}{c} \text{Complex} \\ \text{conjugate} \end{array} \quad \begin{pmatrix} u'^* \\ d'^* \end{pmatrix} = U^* \begin{pmatrix} u^* \\ d^* \end{pmatrix}$$

Express in terms of anti-quark doublet

$$\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \overline{q}' = U \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \overline{q}$$

• Hence \overline{q} transforms as

$$\overline{q}' = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} U^* \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \overline{q}$$

In general a 2x2 unitary matrix can be written as

$$U = \left(\begin{array}{cc} c_{11} & c_{12} \\ -c_{12}^* & c_{11}^* \end{array}\right)$$

Giving

$$\overline{q}' = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} c_{11}^* & c_{12}^* \\ -c_{12} & c_{11} \end{pmatrix} \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \overline{q} \\
= \begin{pmatrix} c_{11} & c_{12} \\ -c_{12}^* & c_{11}^* \end{pmatrix} \\
= U\overline{q}$$

•Therefore the anti-quark doublet $\overline{q} = \begin{pmatrix} -\overline{d} \\ \overline{u} \end{pmatrix}$

transforms in the same way as the quark doublet $q = \begin{pmatrix} u \\ d \end{pmatrix}$

★NOTE: this is a special property of SU(2) and for SU(3) there is no analogous representation of the anti-quarks