

Calculation of the axion mass based on high-temperature lattice quantum chromodynamics

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Phys. Lett. B752 (2016) 175; **quenched results**

Nature 539 (2016) 69; **dynamical case**

Outline

- 1 Motivation
- 2 Quenched study
- 3 Dynamical case
- 4 Summary

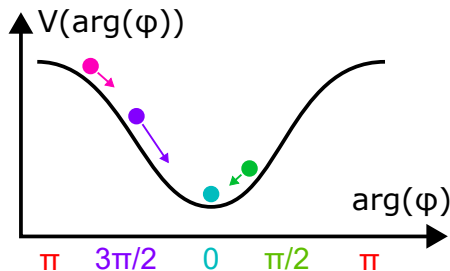
Strong CP problem

- CP is violated in the Standard Model
- QCD may have a CP violating $\frac{\Theta}{32\pi^2} F_{\mu\nu} \tilde{F}^{\mu\nu}$ term
- Experiments constrain $\Theta \lesssim 10^{-10}$
- Possible solutions
 - $m_u = 0$ (ruled out by lattice results)
 - **Axion**
- Axion: promote Θ to a dynamical field
- Elegant solution: Peccei-Quinn mechanism
Complex scalar field with a $U(1)$ symmetric “Mexican hat”
- Spontaneous symmetry breaking
→ axion is the (pseudo) Goldstone-boson $m_A^2 = \chi_t/f_A^2$

Misalignment

- alignment of misaligned neighbouring patches
→ axion radiation
- when χ_t becomes relevant, θ_{eff} "rolls" down to $\theta = 0$
→ axion radiation

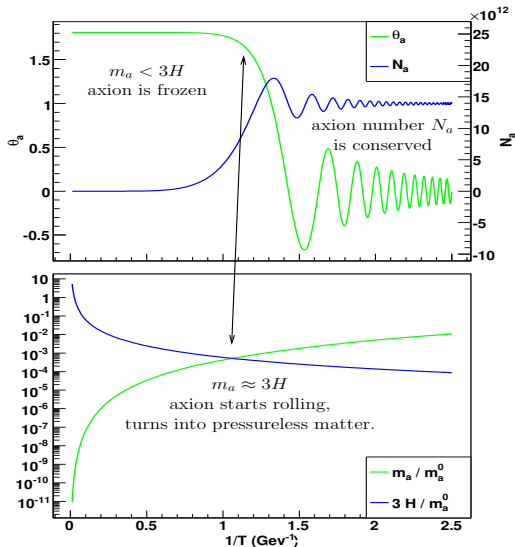
χ_t influences field dynamics, needed as input for total axion production



Axion: rolldown mechanism



Evolution in the expanding universe



Cosmological Models

Both production mechanisms

- depend on χ_t
- depend on the dynamics over cosmological time scales

\Rightarrow need $\chi_t(t)$ over cosmological time scales

- χ_t is temperature dependent (not explicitly on time)
- the equation of state of QCD gives $T(t)$ for cosmology

\Rightarrow need $\chi_t(T)$, $p(T)$ for cosmologically relevant temperatures
as we will see T up to few GeV is required: lattice QCD



Quenched Study

How far can we go with conventional brute force?

→ test it in the "cheap" quenched case

- learn how to control all errors and apply it for full QCD
- test bed to improve on the brute force strategy
- roughly the same temperature scaling as for full QCD
- estimate the costs for the full result

Quenched Lattice \leftrightarrow DIGA

correct T dependence
 normalization off by $\mathcal{O}(10)$
 fixed by comparison to lattice

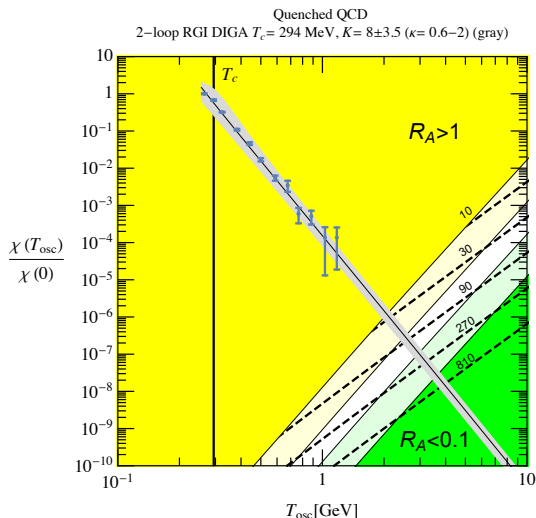
how $\chi_t(T)$ determines m_A ?
 start with an m_A e.g. $30\mu\text{eV}$
 $m_A(T=0)$ gives the value of f_A

known: Hubble constant $H(T)$
 fix T_{osc} by

$$3H(T_{\text{osc}}) = m_A(T_{\text{osc}})$$

using T_{osc} calculate
 the amount of dark matter

if it is too much/little iterate



About costs: quenched case from $T=0$ (or T_c) to $4T_c$

Cost of the conventional algorithm at relative error $\delta\chi_t$

$$\text{costs} \propto \frac{1}{(\delta\chi_t)^2 \chi_t(T)}$$

relative cost $(4T_c)/(1T_c)$ (our highest T was $4T_c$: not enough)

from measured $\chi_t(T)$		$4^{7.1} \approx 2 \times 10^4$
from measured $\delta\chi_t$		$10^5 - 10^6$

- quenched $\chi_t(T=0)$ calculated ~ 20 years ago
- Moores law leads to a factor of $\sim 10^5$ in 24 years

\Rightarrow Just possible to do (dynamical case is probably hard)

About costs: dynamical QCD

Dynamic relative cost $\$(7T_c)/\$(1T_c)$ ($7T_c \sim 1200\text{MeV}$)

$$\frac{\text{from estimated } \chi_t(T)}{\text{increasing } \tau_{int} \text{ with } T} \left| \begin{array}{l} 7^7 - 8 \approx 10^6 - 10^7 \\ 10^7 - 10^9 \end{array} \right.$$

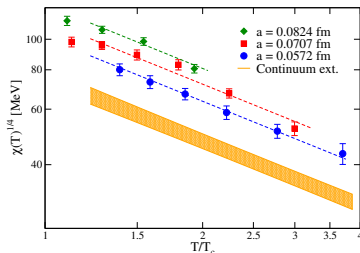
- dynamic $\chi_t(T=0)$ in 2010, **Moore factor of ~ 30**

\Rightarrow conventional dynamical study **not possible** (needs 35 years)

Literature: full QCD

C.Bonati, M.d'Elia, G.Martinelli et al. JHEP 229 03, 155 (2016)

- brute force fully dynamic in the continuum up to $\approx 4T_c$



Result: $b \sim 3$ unexpected (DIGA etc. $b \sim 8$)

for $T > 2$ GeV is larger than DIGA by 7-8 orders of magnitude
one order of magnitude shift for the axion dark matter window

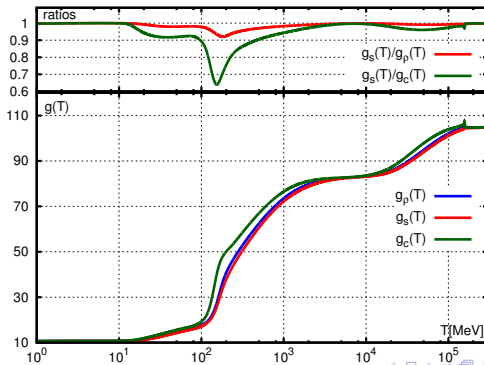
crosses quenched result at $4T_c$ (for quenched $\chi_t^{1/4}(4T_c)=17$ MeV)

⇒ further study is obviously necessary

The equation of state

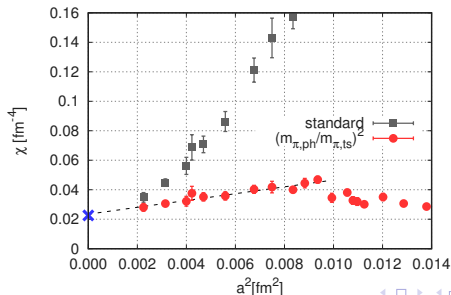
Effective number of degrees of freedom including all SM particles

$$\rho = \frac{\pi^2}{30} g_\rho T^4 \quad s = \frac{2\pi^2}{45} g_s T^3 \quad c = \frac{2\pi^2}{15} g_c T^3$$

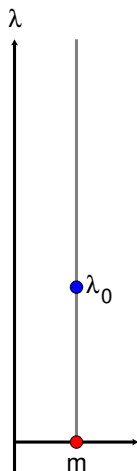


The challenge of computing the susceptibility

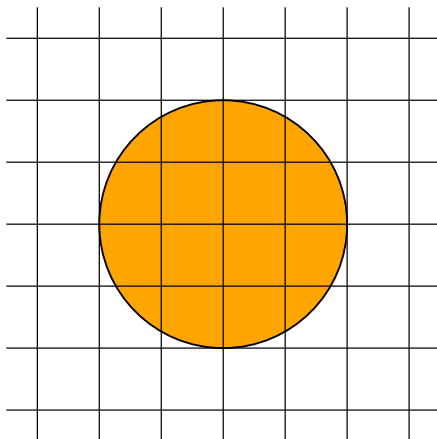
- large autocorrelation of Q on fine lattices (algorithmic problem)
- $\chi(T)$ decreases strongly with temperature
 → very few $Q \neq 0$ configurations (physical problem)
 E.g. $\langle Q^2 \rangle = 10^{-6}$ means one $Q = \pm 1$ configuration per million.
 Even $\mathcal{O}(\text{million})$ configurations can lead to large statistical errors
- $\chi(T)$ has large lattice artefacts



T=0 instanton on the lattice: physical units



T=0 towards the continuum limit



$T=0$ instanton on the lattice

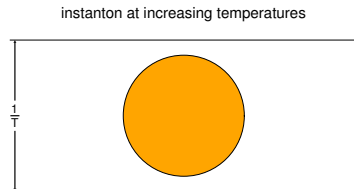


Goal: compute QCD topological susceptibility $\chi(T)$

- Temperature range: $0 < T < 2\text{GeV}$
- Physical quark masses (m_u, m_d, m_s, m_c)
- Continuum limit
- Using
 - $N_f = 2 + 1 + 1$, with isospin splitting correction
 - staggered and overlap quarks
 - Lattices with $N_t = 8, 10, 12, 16, 20$

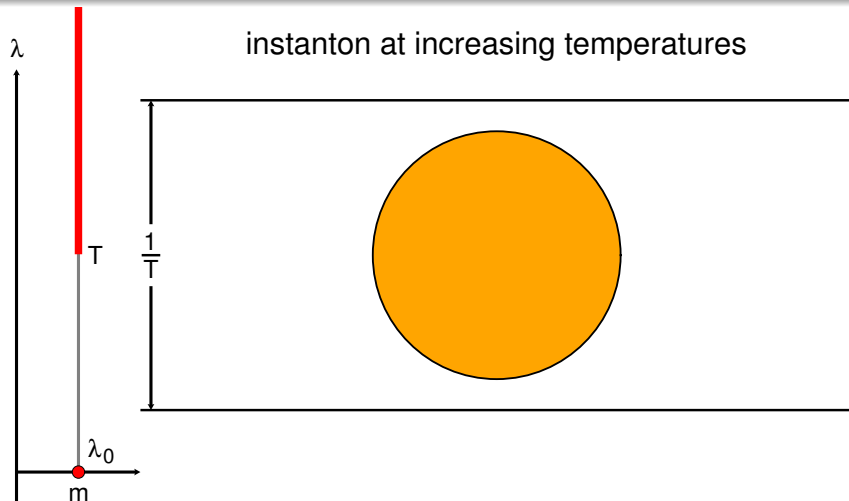
Physics to be captured

- Typical instanton size $1/T$



- Dilute gas of small ($r \approx 1/T$) instantons remain
- Zero modes in the light quark det suppress topology
- $\Rightarrow \chi(T)$ falls sharply above T_c

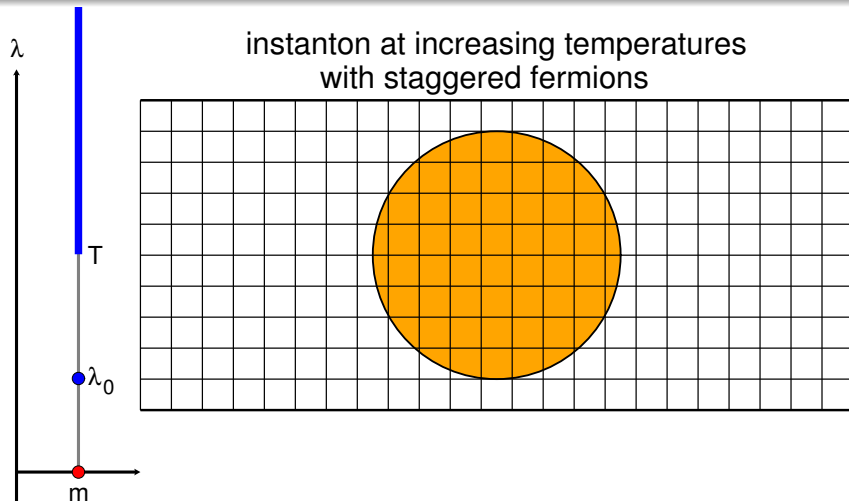
$T > 0$ instanton in the continuum



$T > 0$ instanton in the continuum



$T > 0$ instanton on the lattice: physical units



$T > 0$ instanton on the lattice: physical units



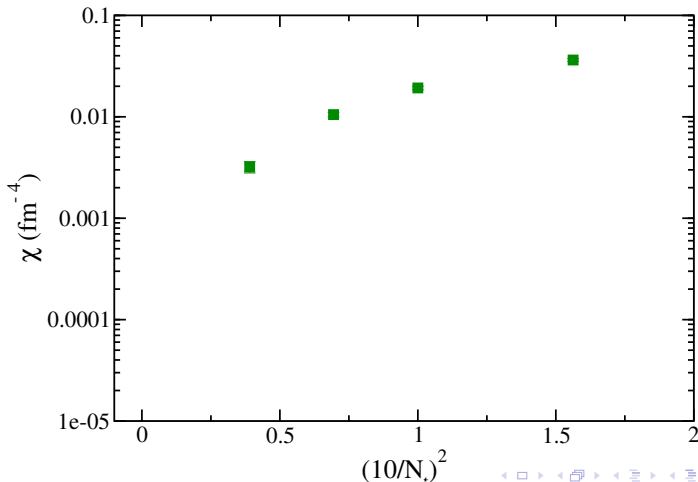
Challenge #1: large cut-off effects

- Small-instanton zero modes badly captured by lattice Dirac operator
- Higher Q sectors not properly suppressed
- Cut-off effects much larger at higher T
- **Solution:** identify would-be zero eigenvalues and shift them to zero → **reweighting**

$$w[U] \sim \frac{m}{m+\lambda_0}$$

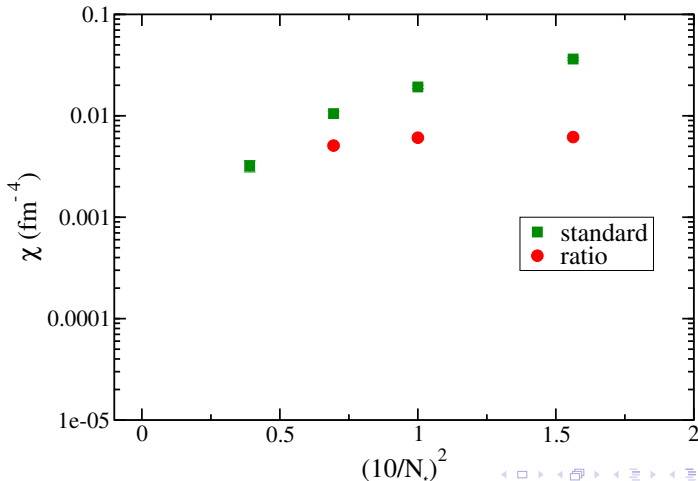
Unusually large cut-off effects: $N_f=2+1+1$ with 4-stout

Topological susceptibility at $T=300$ MeV



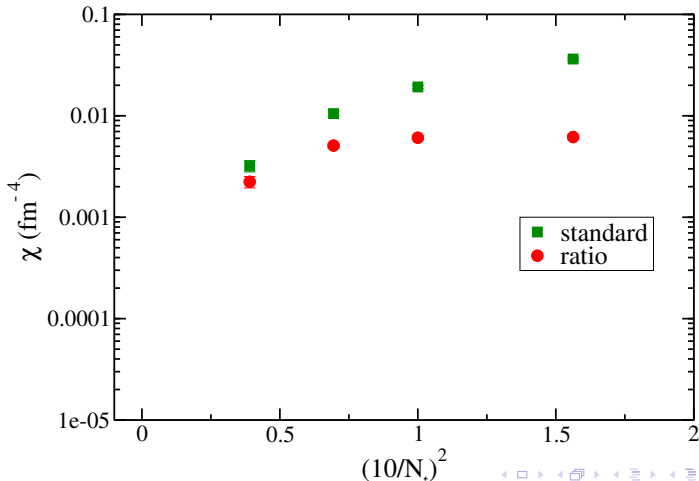
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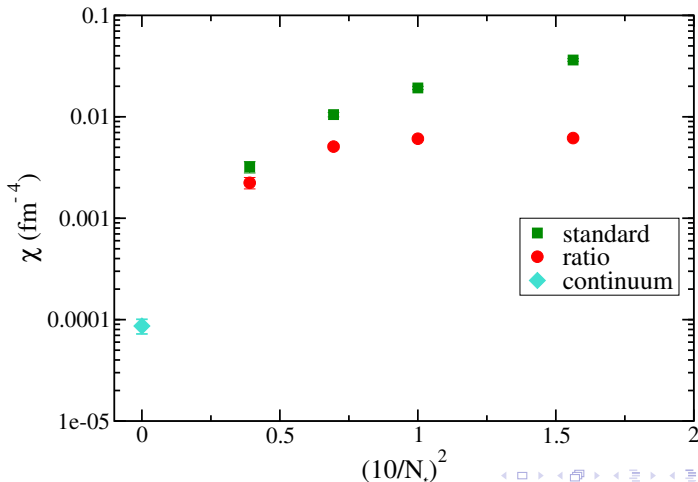
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Unusually large cut-off effects: $N_f=2+1+1$ with 4-stout

Topological susceptibility at $T=300$ MeV



Reason for bad scaling

- Would-be zero eigenvalues too big

- Weight in det is

Lattice: $\lambda_0 + m_f$

Instead of continuum: m_f

- Even if $a \propto 1/T$ (fix N_t , increase β)

λ_0/m increases with T

Reweighting

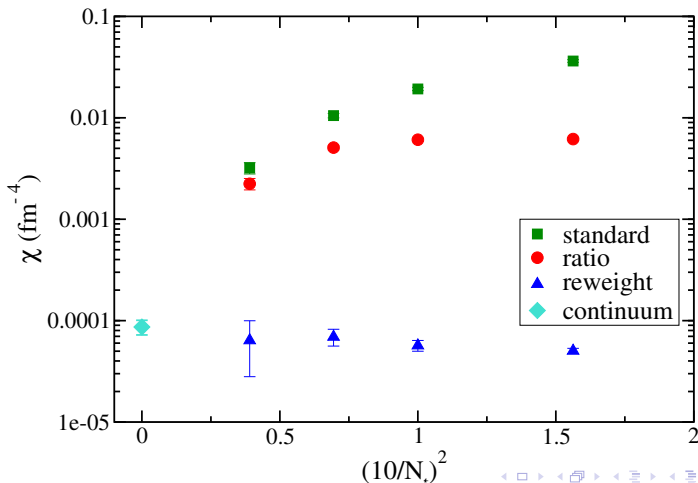
Strong cut-off effects are related to the lack of exact zero-modes.

- **In the continuum** non-trivial sectors are suppressed by the contribution of zero-modes to the fermion determinant, ie. by the quark mass.
- **On the lattice** the suppression is altered:
 $m \rightarrow m + \lambda_0$, where λ_0 is a would be zero-mode.
 Weaker suppression $\rightarrow \chi(T)$ overestimated.
- **To improve**
 1. identify would be zero-modes
 2. restore the continuum weight \rightarrow reweight

$$w[U] \sim \frac{m}{m + \lambda_0}$$

T=300 MeV: susceptibility after reweighting

Topological susceptibility at T=300 MeV



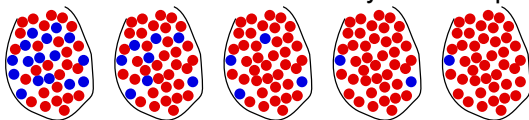
Challenge #2: tiny χ hard to measure

- No statistics for $Q \neq 0$ sectors (dictated by physics)
- Topology change slow on fine lattices (algorithmic)
- **Solution:**
Derivative of $\chi(T)$ much easier to measure than χ
- Measure $\chi(T_0)$ at low enough T_0
- Using $d\chi/dT$ integrate up to $T \rightarrow$ **integral method**
Also suggested for the quenched case by [\[Frison et al '16\]](#)

Determine topological susceptibility/axion potential

Challenge

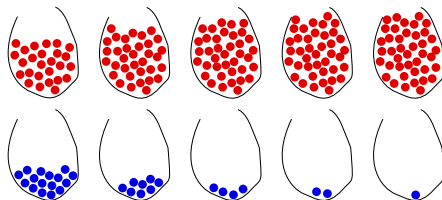
Determine the blue/red ratio by random pick!



→ getting very difficult with T →

Solution

Separate colors and determine the rate of change with T !



Fixed sector integral

Instead of waiting for tunneling events,
we make simulations in **fixed Q sectors**. How to get

$$Z_1/Z_0 = ?$$

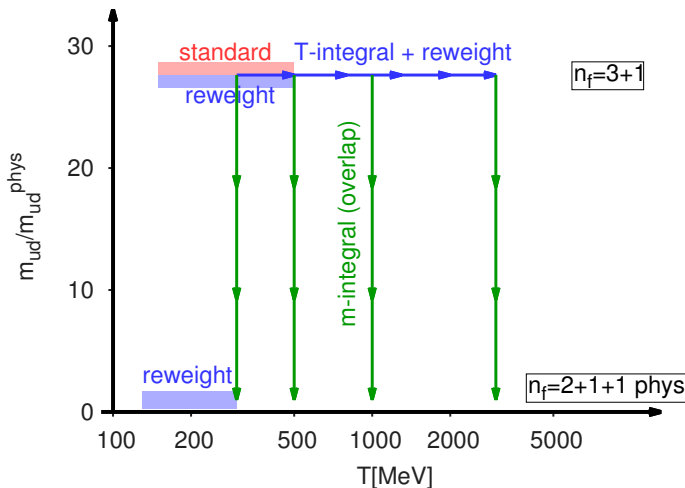
First calculate **derivative** of $\log Z_1/Z_0$:

$$b_1(T) \equiv \frac{d \log Z_1/Z_0}{d \log T}$$

Use fixed N_t -approach, ie. $T = (aN_t)^{-1}$ is changed by β :

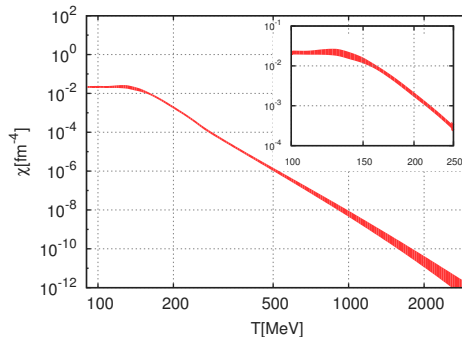
$$b_1(T) = \frac{d\beta}{d \log a} (\langle S_g \rangle_1 - \langle S_g \rangle_0)$$

Map of simulations



Topological susceptibility at the physical point

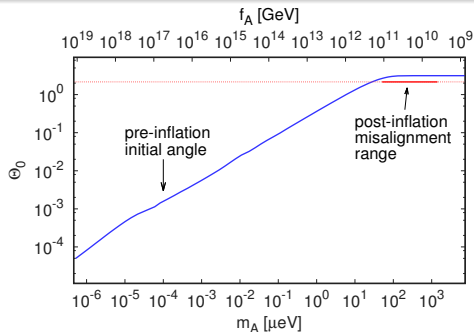
very few topology changes (hard): [S. Borsanyi et al. Nature 539 \(2016\) 69](#)



absolute lower limit (all DM from misalignment): $m_A \gtrsim 28(2) \mu\text{eV}$

assuming 50-99% other (e.g. strings): $m_A = 50 - 1500 \mu\text{eV}$

Constraints on the axion mass



- Pre-inflation scenario: m_A unambiguously determines the Θ_0 initial condition of our Universe
- Post-inflation: Θ_0 average equivalent to $\Theta \approx 2.15$
 absolute lower limit (all DM from misalignment): $m_A \gtrsim 28(2) \mu\text{eV}$
 assuming 50-99% other (e.g. strings): $m_A = 50 - 1500 \mu\text{eV}$

Summary: results

- Axion: a solution to a) strong CP b) dark matter problems
- Calculating axion production in the early universe requires the EoS and $\chi(T)$
- Brute force approach expensive: estimates using pure SU(3)
- Both were determined using lattice calculations up to high T
- Axion mass in the post-inflation scenario:
 lower bound: $28(2) \mu\text{eV}$
 estimated mass range: $m_A = 50 - 1500 \mu\text{eV}$

Summary: methods

Calculated T -dependence of the QCD topological susceptibility

- Temperature range: $0 \leq T \leq 2 \text{ GeV}$
(follow change of χ over 10 orders of magnitude)
- Physical quark masses
- Continuum limit

Main lesson: keep in mind the physics of the problem

- Large cut-off effects due to instanton zero-modes
- At high T : tiny $\chi \rightarrow$ ideal instanton gas