



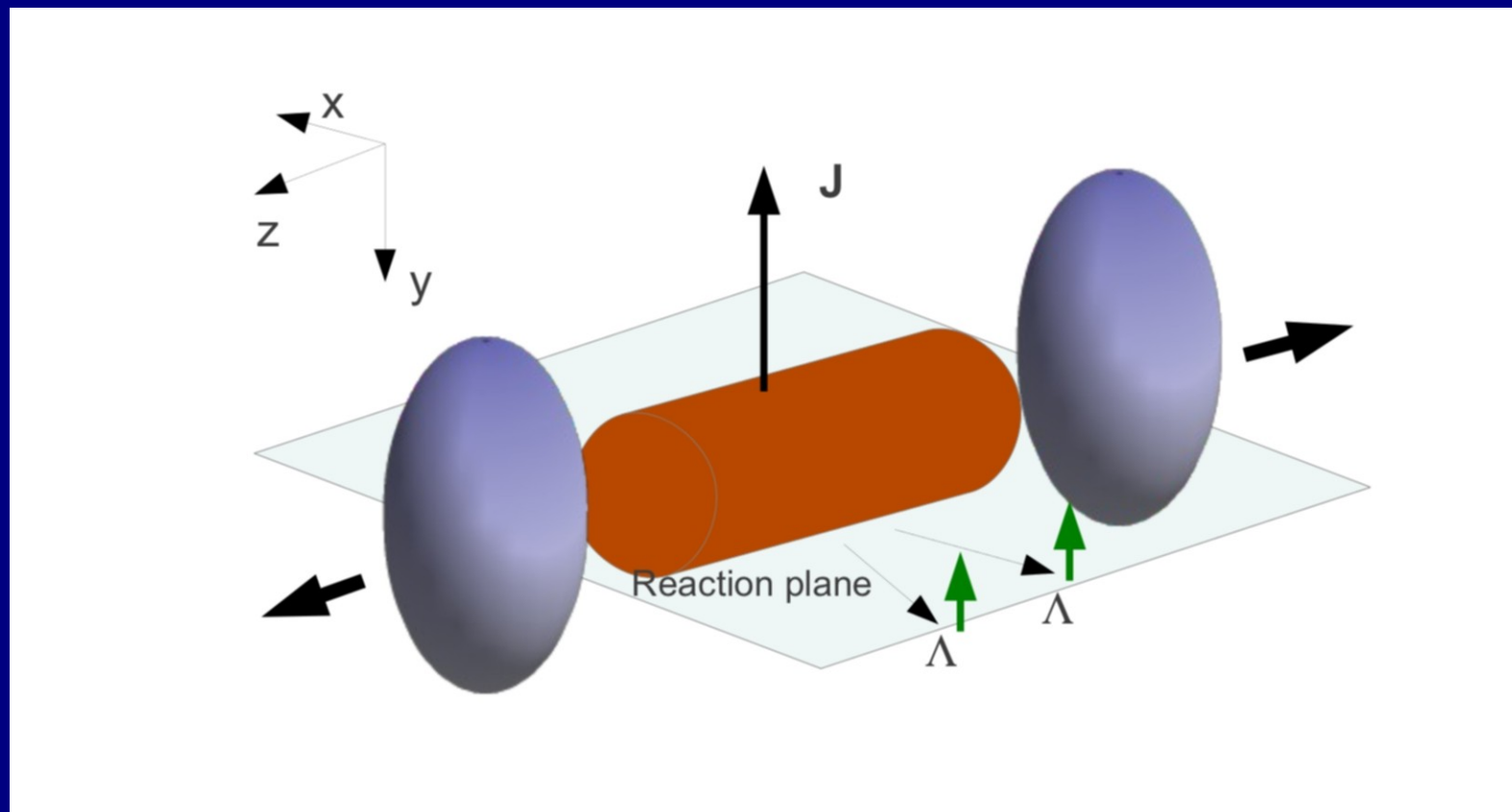
# Polarization of the Quark-Gluon-Plasma

## OUTLINE

- Introduction
- Polarization by rotation and acceleration: theory
- $\Lambda$  polarization status
- Polarization and local parity violation
- Conclusions

# Peripheral collisions: large angular momentum

Peripheral collisions  $\rightarrow$  Angular momentum  $\rightarrow$  Global polarization w.r.t reaction plane



# Theoretical approaches to global polarization

- Polarization estimated at quark level by spin-orbit coupling

Z. T. Liang, X. N. Wang, Phys. Rev. Lett. 94 (2005) 102301

- By local thermodynamic equilibrium of the spin degrees of freedom

F. B., F. Piccinini, Ann. Phys. 323 (2008) 2452; F. B., F. Piccinini, J. Rizzo, Phys. Rev. C 77 (2008) 024906

Idea: equipartition of angular momentum among momentum  
and the spin degrees of freedom

Spin  $\mu$  (thermal) vorticity

# Polarization by rotation

Take an ideal gas in a rigidly rotating vessel. At thermodynamical equilibrium (Landau) the gas will also have a velocity field

$$\mathbf{v} = \boldsymbol{\omega} \times \mathbf{x}$$

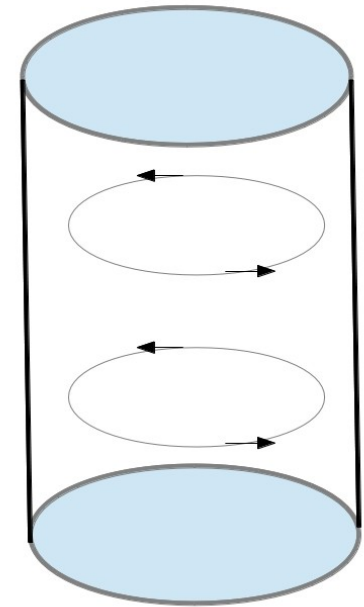
WARNING The potential term has a + sign as it stems from both centrifugal and Coriolis potentials

For the *comoving* observer the equilibrium particle distribution function will be given by:

$$f(\mathbf{x}', \mathbf{p}') \propto \exp[-\mathbf{p}'^2/2mT + m(\boldsymbol{\omega} \times \mathbf{x}')^2/2T]$$

If we calculate the distribution function seen by the external inertial observer

$$\begin{aligned} f(\mathbf{x}, \mathbf{p}) &\propto \exp[-\mathbf{p}^2/2mT + \mathbf{p} \cdot (\boldsymbol{\omega} \times \mathbf{x})/T] \\ &= \exp[-\mathbf{p}^2/2mT + \boldsymbol{\omega} \cdot \mathbf{L}/T] \end{aligned}$$



It seems quite *natural* to extend this to particle with spin

$$f(\mathbf{x}, \mathbf{p}, \mathbf{S}) \propto \exp[-\mathbf{p}^2/2mT + \boldsymbol{\omega} \cdot (\mathbf{L} + \mathbf{S})/T]$$

which implies that particles (and antiparticles) are *POLARIZED*, in a rotating ideal gas, along the direction of the angular velocity vector by an amount

$$P \simeq \frac{S + 1}{3} \frac{\hbar\omega}{KT}$$

For a gas at STP with  $\omega = 1000$  Hz,  $P \sim 10^{-11}$

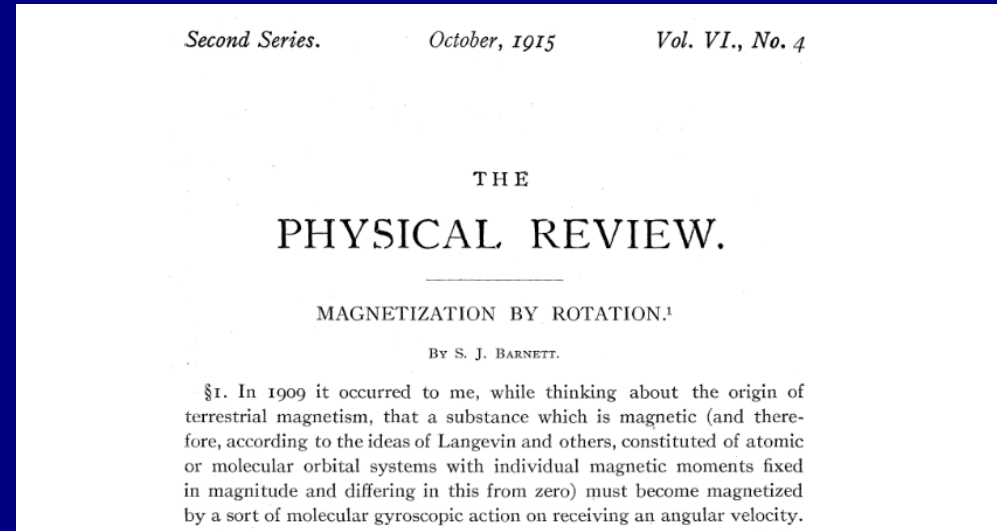
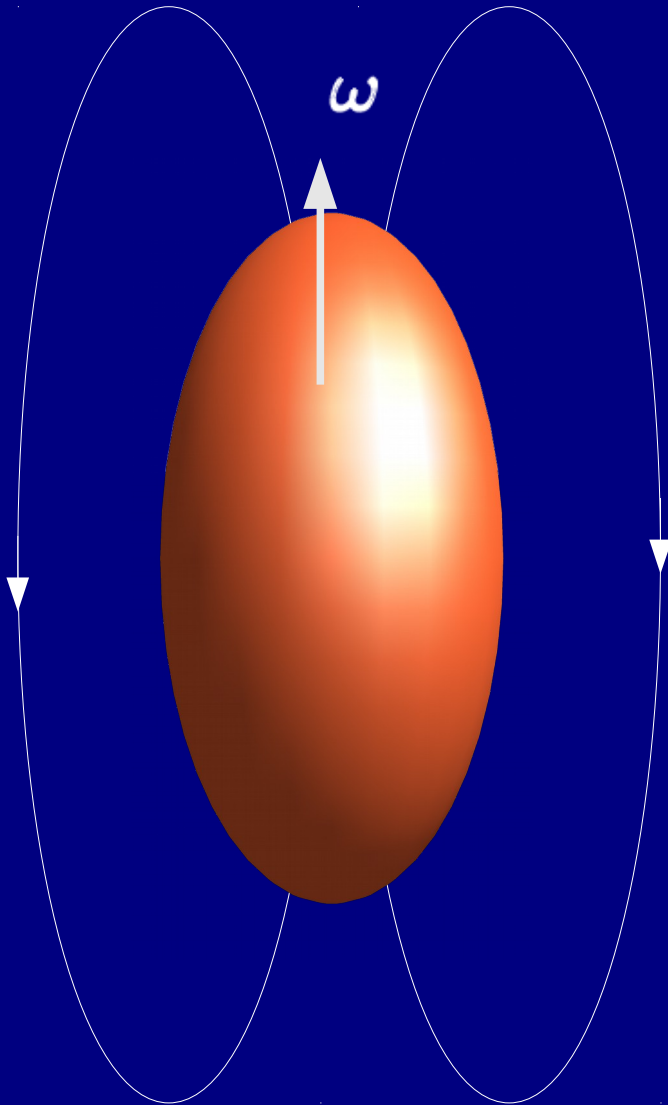
For relativistic nuclear collisions:

$$\frac{\hbar\omega}{KT} \approx \frac{c}{12\text{fm}200\text{MeV}} \approx 0.08$$

$$a \approx 10^{30}g \implies \frac{\hbar a}{cKT} \approx 0.06$$

# Barnett effect

S. J. Barnett, *Magnetization by Rotation*,  
Phys. Rev. 6, 239–270 (1915).



Spontaneous magnetization of an uncharged body when spun around its axis, in quantitative agreement with the previous polarization formula

$$M = \frac{\chi}{g} \omega$$

It can be seen as a dissipative transformation of the orbital angular momentum into spin of the constituents. The angular velocity decreases and a small magnetic field appears; this phenomenon is accompanied by a heating of the sample. Requires a spin-orbit coupling.

# Converse: Einstein-De Haas effect

*the only Einstein's non-gedanken experiment*

A. Einstein, W. J. de Haas, Koninklijke Akademie van Wetenschappen te Amsterdam, Proceedings, 18 I, 696-711 (1915)

Rotation of a ferromagnet originally at rest when put into an external H field

An effect of angular momentum conservation:

spins get aligned with H (irreversibly) and this must be compensated by a on overall orbital angular momentum

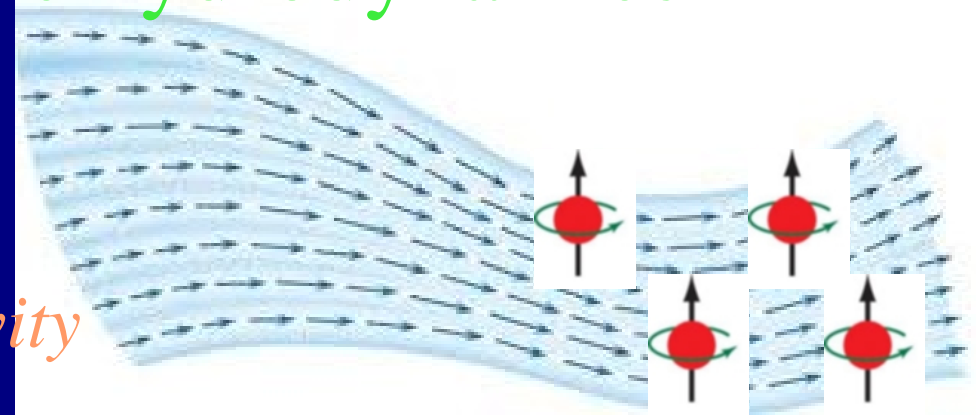


# Polarization and relativistic hydrodynamics

F. B., V. Chandra, L. Del Zanna, E. Grossi,  
Ann. Phys. 338 (2013) 32

F. B., *Polarization in relativistic fluids: a QFT derivation*  
ArXiv:2004:04050 to appear in LNP Springer

## *Spin, local equilibrium and relativity*



It is crucial to use a *quantum-relativistic* formalism from the onset

Definition of a *relativistic spin* four-vector

For a single particle

$$S^\mu = -\frac{1}{2m} \epsilon^{\mu\nu\lambda\rho} \langle \hat{J}_{\nu\lambda} \hat{P}_\rho \rangle$$

$$\langle \hat{X} \rangle = \text{tr}(\hat{\rho} \hat{X})$$

Relativistic Spin vs Pauli-Lubanski vs Polarization

$$S^\mu = \frac{1}{m} W^\mu = SP^\mu$$



# Local polarization and covariant Wigner function

An useful tool in statistical QFT is the covariant Wigner function

$$\begin{aligned}
 W(x, k)_{AB} &= -\frac{1}{(2\pi)^4} \int d^4y e^{-ik \cdot y} \langle : \Psi_A(x - y/2) \bar{\Psi}_B(x + y/2) : \rangle \\
 &= \frac{1}{(2\pi)^4} \int d^4y e^{-ik \cdot y} \langle : \bar{\Psi}_B(x + y/2) \Psi_A(x - y/2) : \rangle
 \end{aligned}$$

It allows to calculate the spin density matrix for spin 1/2:

$$\langle \hat{X} \rangle = \text{tr}(\hat{\rho} \hat{X})$$

$$\Theta(p)_{rs} = \frac{\int d\Sigma_\mu p^\mu \bar{u}_r(p) W_+(x, p) u_s(p)}{\sum_t \int d\Sigma_\mu p^\mu \bar{u}_t(p) W_+(x, p) u_t(p)}$$

And the mean spin vector in these two equivalent forms:

$$S^\mu(p) = -\frac{1}{2m} \epsilon^{\mu\beta\gamma\delta} p_\delta \frac{\int d\Sigma_\lambda p^\lambda \text{tr}_4(\Sigma_{\beta\gamma} W_+(x, p))}{\int d\Sigma_\lambda p^\lambda \text{tr}_4 W_+(x, p)}$$

$$S^\mu(p) = -\frac{1}{4} \epsilon^{\mu\beta\gamma\delta} p_\delta \frac{\int d\Sigma_\lambda \text{tr}_4(\{\gamma^\lambda, \Sigma_{\beta\gamma}\} W_+(x, p))}{\int d\Sigma_\lambda p^\lambda \text{tr}_4 W_+(x, p)}$$

# The density operator

Covariant form of the local thermodynamical equilibrium quantum density operator.

$$\hat{\rho} = \frac{1}{Z} \exp \left[ - \int_{\Sigma} d\Sigma_{\mu} \left( \hat{T}^{\mu\nu} \beta_{\nu} - \zeta \hat{j}^{\mu} \right) \right]$$

Extension of the known:

$$\hat{\rho} = \frac{1}{Z} \exp[-\hat{H}/T + \mu\hat{Q}/T]$$

Hydrodynamic limit: Taylor expansion of the  $\beta$  and  $\zeta$  fields around the point  $x$  where *Local operators* are to be calculated.

$$\text{tr}(\hat{O}(x)\hat{\rho}) = O(x)$$

Local values of  $T, u, \mu$  and their local derivatives (antisymmetric part: local thermal vorticity)

In the hydrodynamic limit

$$\hat{\rho} = \frac{1}{Z} \exp \left[ -\beta(x) \cdot \hat{P} + \zeta(x)\hat{Q} + \frac{1}{2} \varpi_{\mu\nu}(x) \hat{J}_x^{\mu\nu} + \text{terms vanishing at global equilibrium} \right]$$

$$\beta^{\mu} = \frac{1}{T} u^{\mu} \quad \zeta = \mu/T$$

$$\varpi_{\nu\mu} = -\frac{1}{2}(\partial_{\nu}\beta_{\mu} - \partial_{\mu}\beta_{\nu})$$

*Thermal vorticity*

*Adimensional in natural units*

# Spin mean vector at leading order in thermal vorticity

Approximation at first order in the gradients

$$n_F = (e^{\beta \cdot p - \xi} + 1)^{-1}$$

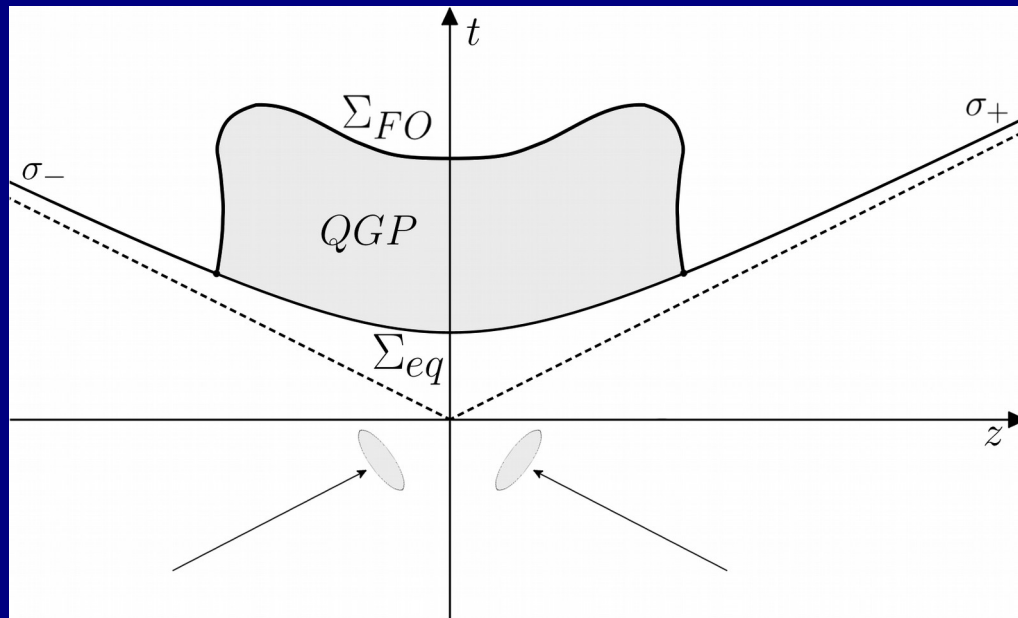
$$S^\mu(x, p) = -\frac{1}{8m} (1 - n_F) \epsilon^{\mu\rho\sigma\tau} p_\tau \varpi_{\rho\sigma}$$

$$\varpi_{\nu\mu} = -\frac{1}{2} (\partial_\nu \beta_\mu - \partial_\mu \beta_\nu)$$

$$S^\mu(p) = \frac{1}{8m} \epsilon^{\mu\nu\rho\sigma} p_\sigma \frac{\int_\Sigma d\Sigma_\tau p^\tau n_F (1 - n_F) \partial_\nu \beta_\rho}{\int_\Sigma d\Sigma_\tau p^\tau n_F}$$

$$\beta^\mu = \frac{1}{T} u^\mu$$

$\xi = \mu$



# Contributions of vorticity, acceleration and Grad T

$$\partial_\mu \beta_\nu = \partial_\mu \left( \frac{1}{T} \right) + \frac{1}{T} \partial_\mu u_\nu$$

$$A^\mu = u \cdot \partial u^\mu$$

$$\omega^\mu = \frac{1}{2} \epsilon^{\mu\nu\rho\sigma} \partial_\nu u_\rho u_\sigma$$

$$S^\mu(p) \int_\Sigma d\Sigma_\tau p^\tau n_F = \frac{1}{8m} \epsilon^{\mu\nu\rho\sigma} p_\sigma \int_\Sigma d\Sigma \cdot p n_F (1 - n_F) \nabla_\nu (1/T) u_\rho$$

$$+ \frac{1}{8m} \int_\Sigma d\Sigma \cdot p n_F (1 - n_F) 2 \frac{\omega^\mu u \cdot p - u^\mu \omega \cdot p}{T}$$

$$- \frac{1}{8m} \epsilon^{\mu\nu\rho\sigma} p_\sigma \int_\Sigma d\Sigma \cdot p n_F (1 - n_F) \frac{1}{T} A_\nu u_\rho$$

Grad T

Vorticity

Acceleration

In the rest frame of the particle:

$$\mathbf{S}^* \propto \frac{\hbar}{KT^2} \mathbf{u} \times \nabla T + \frac{\hbar}{KT} (\boldsymbol{\omega} - \boldsymbol{\omega} \cdot \mathbf{v} \mathbf{u} / c^2) + \frac{\hbar}{KT} \mathbf{A} \times \mathbf{u} / c^2$$

Thermal term  
(new)

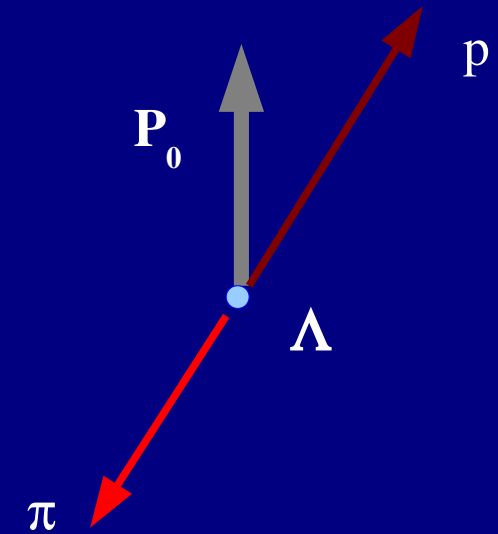
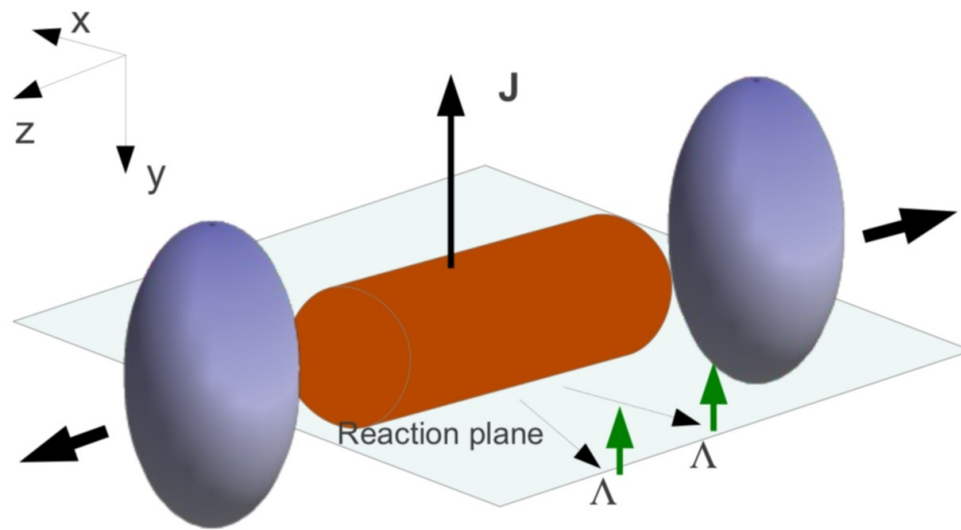
Vorticious term (known)

Acceleration term  
(purely relativistic)

$$\frac{\hbar \omega}{KT} \approx \frac{c}{12 \text{fm} 200 \text{MeV}} \approx 0.08 \quad a \approx 10^{30} g \implies \frac{\hbar a}{cKT} \approx 0.06$$

# How to observe it: global $\Lambda$ polarization

Because of parity violation, the polarization vector of  $\Lambda$  can be measured in its decay  
Into a proton and a pion



Distribution of protons in the  $\Lambda$  rest frame

$$\frac{1}{N} \frac{dN}{d\Omega} = \frac{1}{4\pi} (1 + \alpha \mathbf{P}_0 \cdot \hat{\mathbf{p}}^*) \quad \mathbf{P}_0(p) = \mathbf{P}(p) - \frac{\mathbf{p}}{\varepsilon(\varepsilon + m)} \mathbf{P}(p) \cdot \mathbf{p}$$

$$\alpha = 0.642 \rightarrow 0.75 (!) \text{ PDG 2020}$$

# Global $\Lambda$ polarization prediction at $\sqrt{s_{NN}} = 200$ GeV

“Minimal” initial  
Vorticity scenario

40-80 % centrality

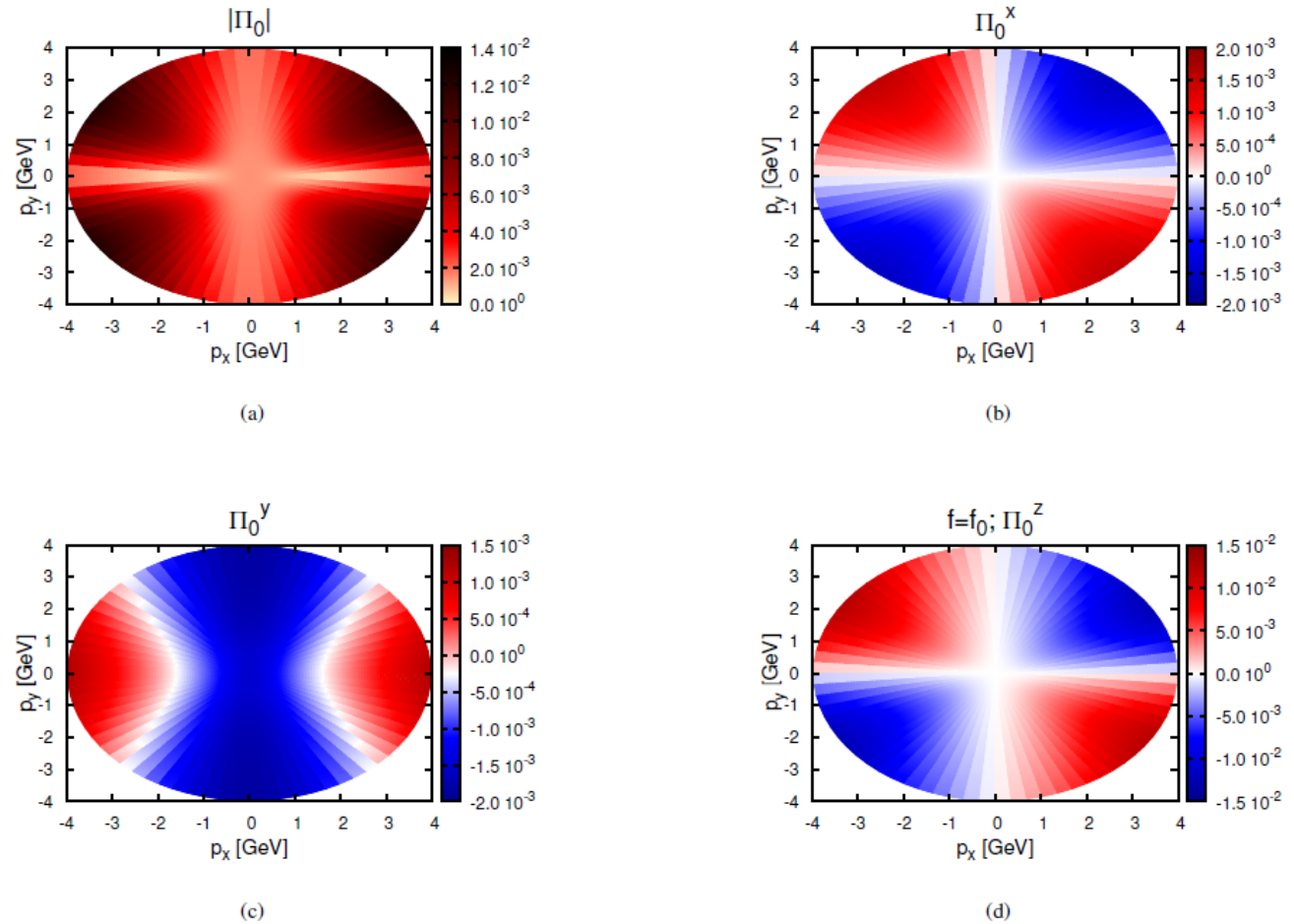


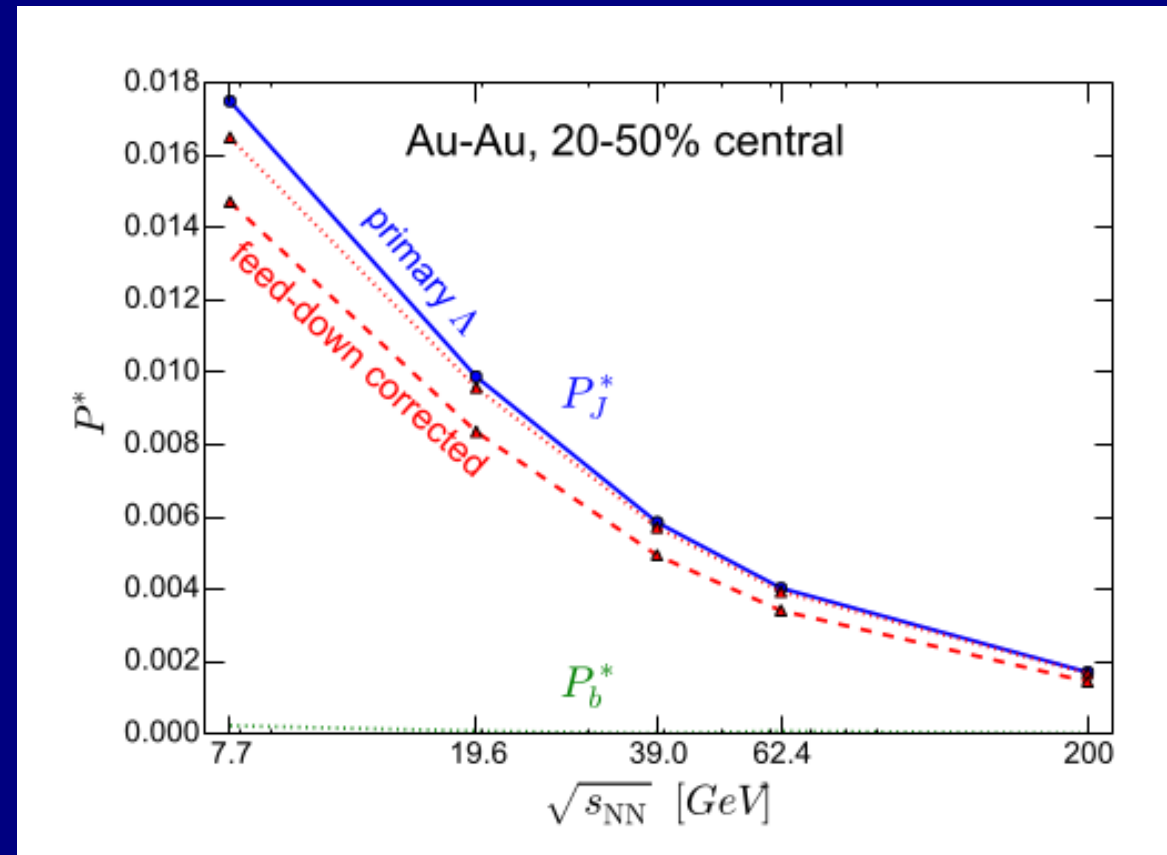
Figure 14: (color online) Magnitude (panel a) and components (panels b,c,d) of the polarization vector of the  $\Lambda$  hyperon in its rest frame.

F. B., G. Inghirami, V.  
Rolando, A. Beraudo, L.  
Del Zanna, A. De Pace, M.  
Nardi, G. Pagliara, V.  
Chandra

Eur. Phys. J C 75 (2015)

# Global $\Lambda$ polarization: energy dependence prediction

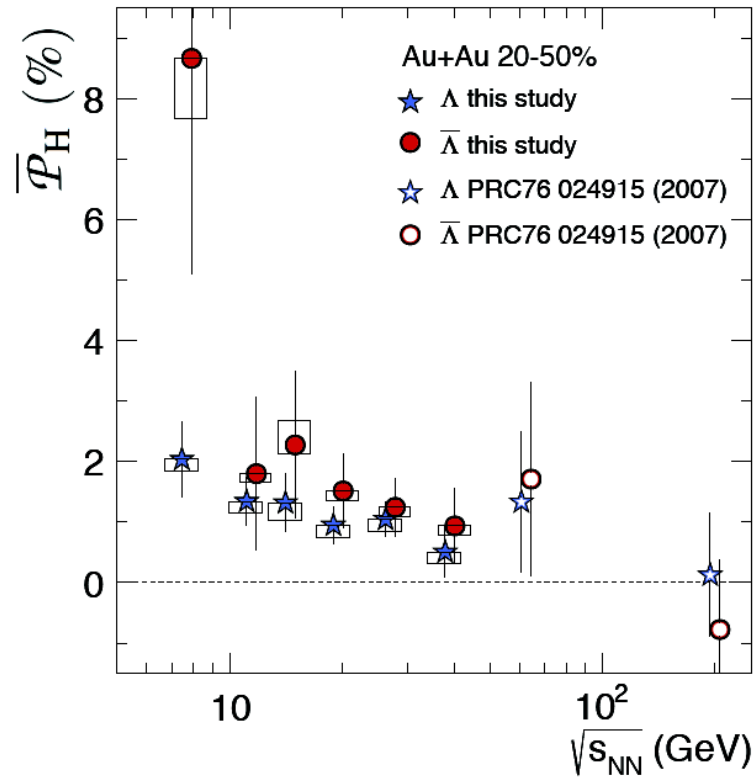
Prediction by 3+1D viscous Hydrodynamics with initial conditions tuned to reproduce spectra,  $v_2$  etc.



I. Karpenko and F.B., VHLLE code, Eur. Phys. J. C 77 (2017) no.4, 213

# First positive signal of this phenomenon found in 2017

STAR Collaboration, *Global Lambda hyperon polarization in nuclear collisions*, Nature 548 62-65, 2017

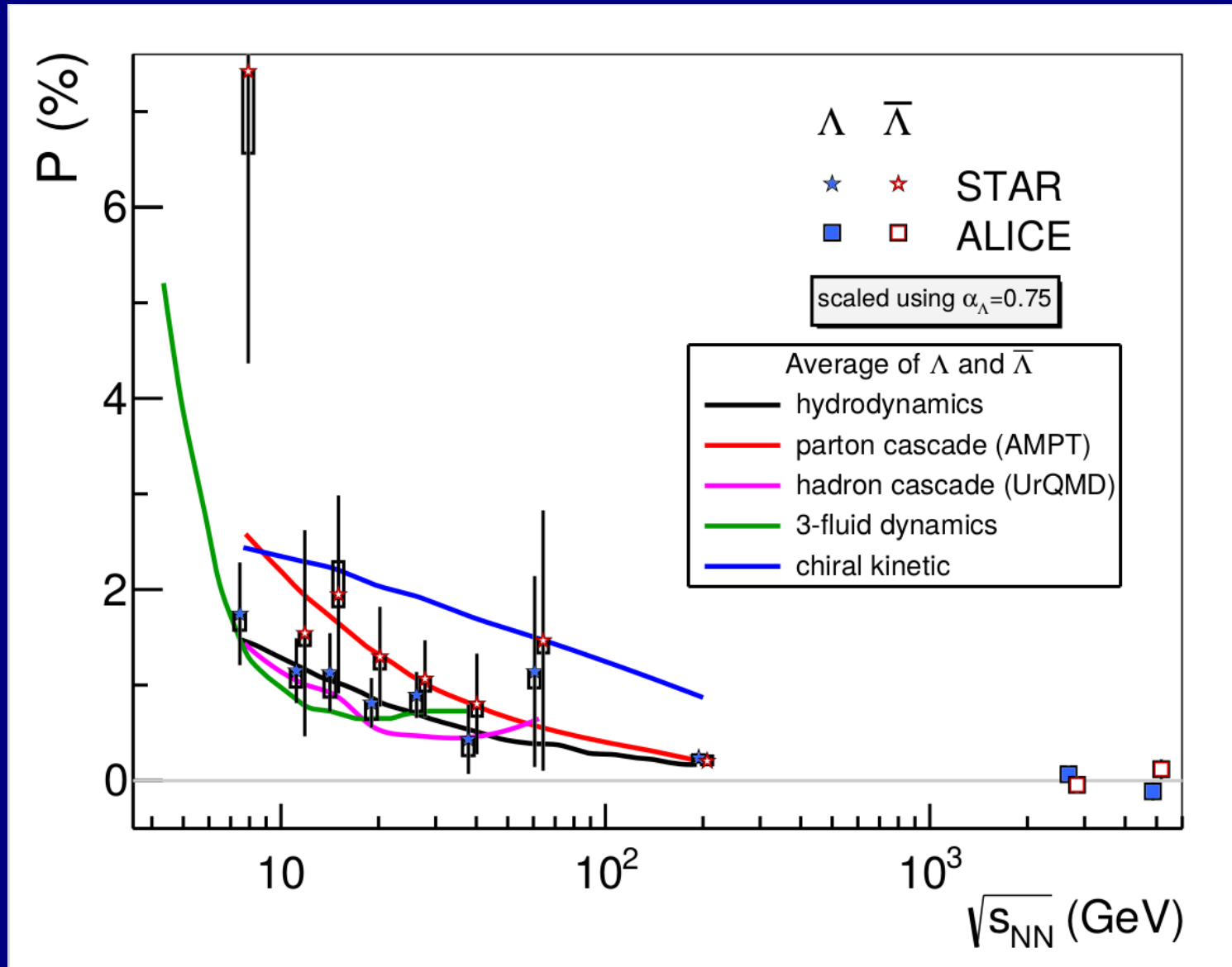


Particle and antiparticle have the same polarization sign.  
This shows that the phenomenon cannot be driven  
by a mean field (such as EM) whose coupling is *C-odd*.  
Definitely favours the thermodynamic (equipartition) interpretation



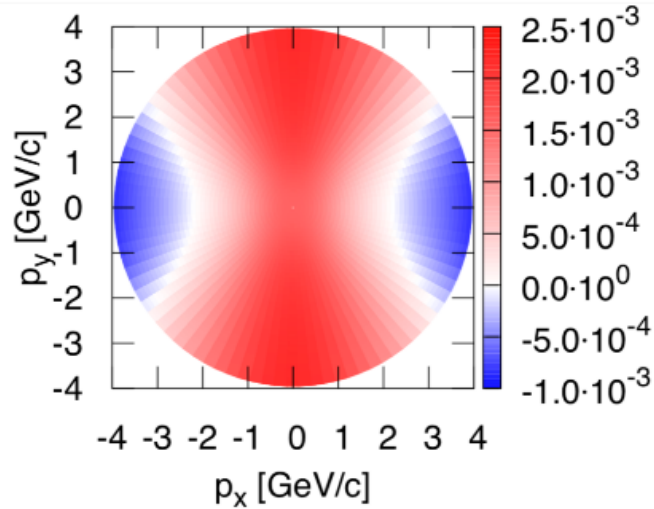
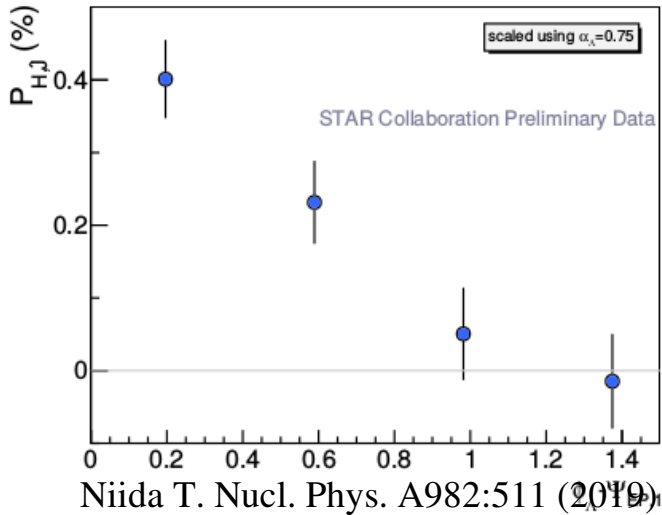
# Updated plot

F. B., M. Lisa, Polarization and vorticity in the QGP, arXiv:2003.03640, to appear in Ann. Rev. Part, Nucl.

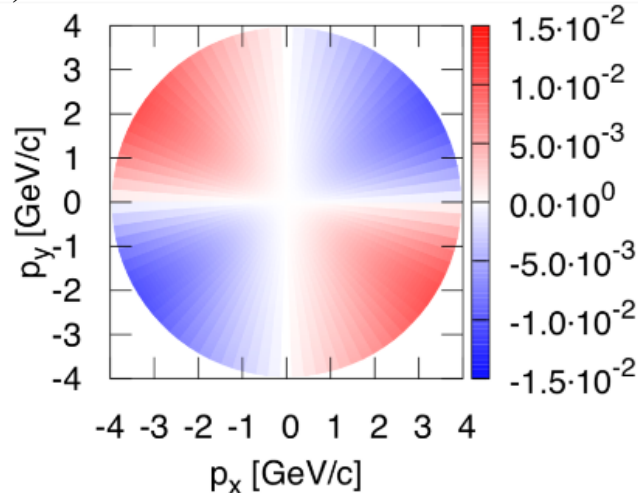
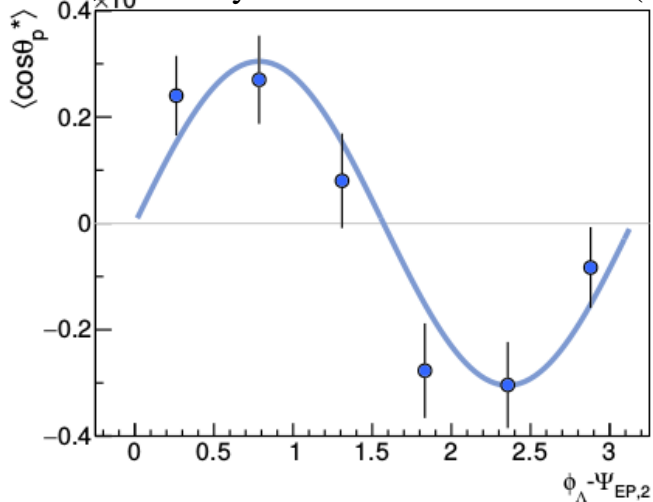


*Different models of the collision, same formula for polarization*

# Puzzles: momentum dependence of polarization ("local polarization")



Adam J. et al. Phys. Rev. Lett. 123:132301 (2019)



Similar results  
obtained with AMPT:  
H. Z. Wu, L. G. Pang,  
X. G. Huang and Q. Wang,  
Phys. Rev. Research. \textbf{1}

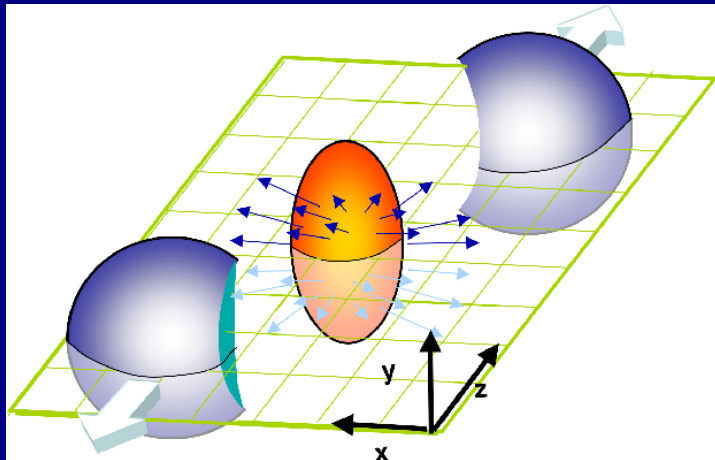
Not the effect  
of decays:

F.B., G. Cao and E. Speranza,  
Eur. Phys. J. C 79 (2019) 741  
X.L. Xia et al., Phys. Rev. C  
100 (2019) 014913

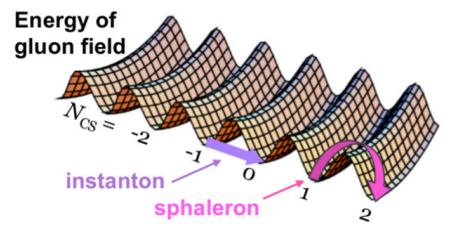
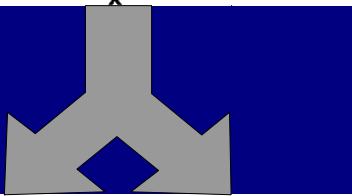
UNDER INTENSE  
INVESTIGATIONS  
BY SEVERAL GROUPS

# Polarization and local parity violation

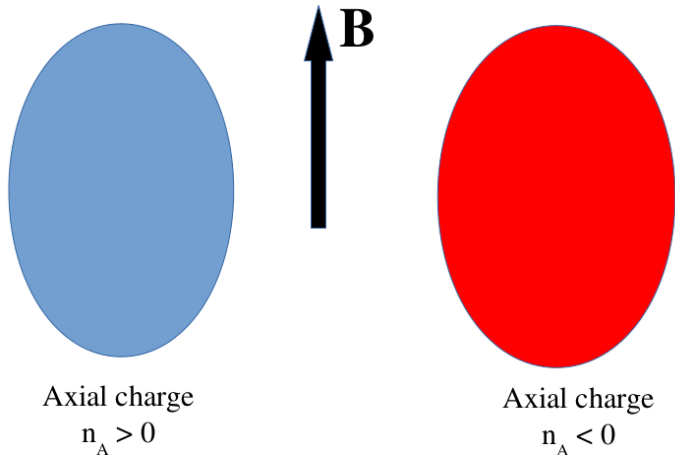
F.B., M. Buzzegoli, A. Palermo, G. Prokhorov 2009.13449



A peripheral collision is a system invariant by reflection. However, there might be event-by-event (“local”) parity breaking due to non-perturbative QCD topological transition induced by high T



Axial imbalance = parity violation long sought in relativistic heavy ion collisions through the Chiral Magnetic Effect



$$\mathbf{j} = \frac{\mu_A}{2\pi} \mathbf{B}$$

Local parity violation has become a synonym of CME

# Investigating local parity violation with spin without the mediation of the EM field

$$S^\mu(p) = S_\chi^\mu(p) + S_\varpi^\mu(p)$$

$$S_\chi^\mu(p) \simeq \frac{g_h}{2} \frac{\int_\Sigma d\Sigma \cdot p \zeta_A n_F (1 - n_F) \varepsilon p^\mu - m^2 \hat{t}^\mu}{\int_\Sigma d\Sigma \cdot p n_F} \leftarrow \text{Axial imbalance}$$

$$S_\varpi^\mu(p) = \frac{1}{8m} \varepsilon^{\mu\rho\sigma\tau} p_\tau \frac{\int_\Sigma d\Sigma_\lambda p^\lambda n_F (1 - n_F) \partial_\rho \beta_\sigma}{\int_\Sigma d\Sigma_\lambda p^\lambda n_F} \leftarrow \text{Thermal vorticity}$$

$$\zeta_A = \frac{\mu_A}{T}$$

$g_h$

axial charge of hadron h

$\zeta_A$  changes sign event by event

Average over multiple events

$$\langle\langle S^\mu(p) \rangle\rangle = \cancel{\langle\langle S_\chi^\mu(p) \rangle\rangle} + \langle\langle S_\varpi^\mu(p) \rangle\rangle$$

$$\langle\langle \zeta_A \rangle\rangle = 0 \quad \langle\langle \zeta_A^2 \rangle\rangle \neq 0$$

# Axial contribution modifies helicity pattern

In the rest frame of the hadron

$$\mathbf{S}_{0,\chi} = \frac{g_h \int_{\Sigma} d\Sigma \cdot p \zeta_A n_F (1 - n_F)}{2 \int_{\Sigma} d\Sigma \cdot p n_F} \hat{\mathbf{p}} \equiv F_{\chi}(\mathbf{p}) \hat{\mathbf{p}}$$

## MODEL-INDEPENDENT ANALYSIS

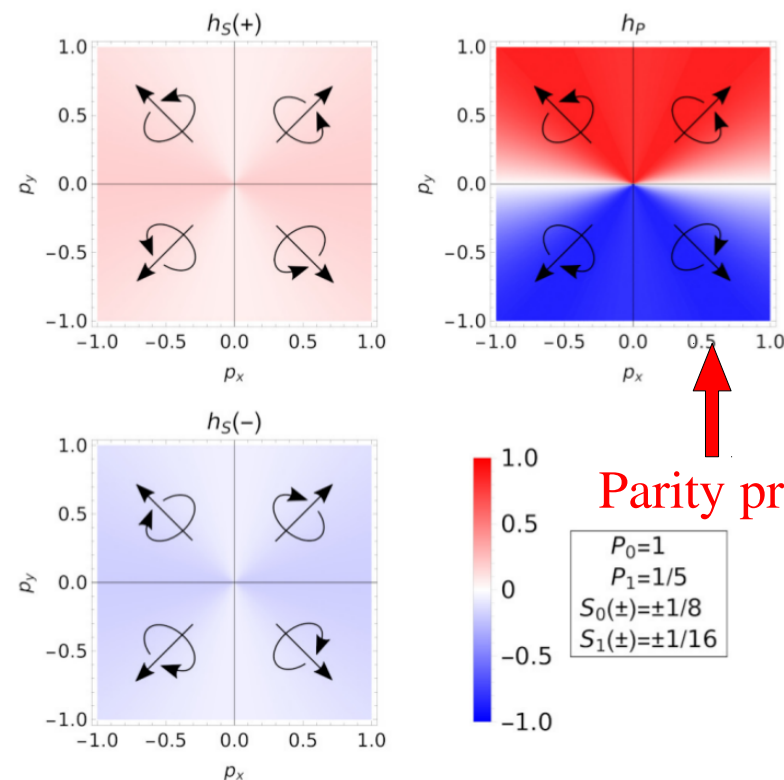
$$h = h_P + h_S$$

From rotational symmetry  $\phi \rightarrow \pi - \phi$   
and reflection properties  $\phi \rightarrow \pi + \phi$ :

$$h_P(p_T, \phi) = \sum_k P_k(p_T) \sin[(2k + 1)\phi]$$

$$h_S(p_T, \phi) = \sum_k S_k(p_T) \cos[2k\phi]$$

Local parity violation  $S_k(p_T) \neq 0$   
Global parity conservation  $\langle\langle S_k(p_T) \rangle\rangle = 0$



Parity preserving

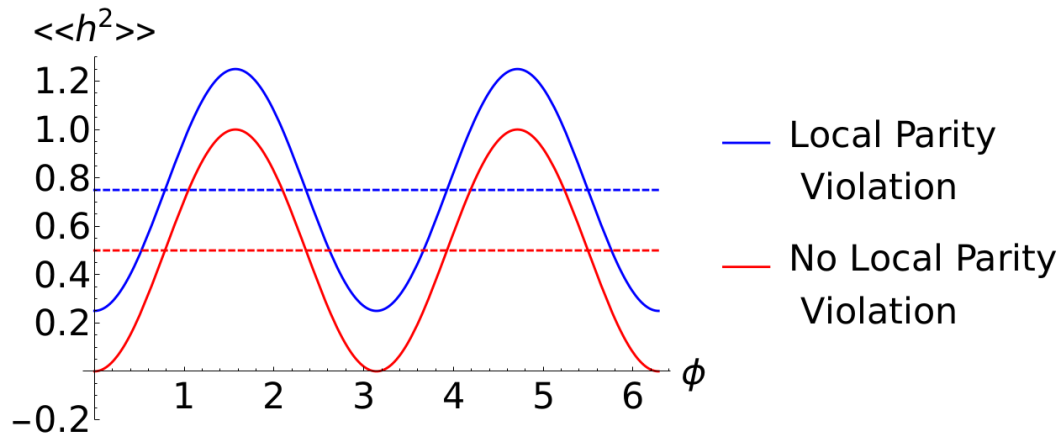
Parity breaking

# Azimuthal analysis of helicity and helicity correlations

Helicity can be measured by projecting the proton momentum in the  $\Lambda$  rest frame onto the momentum of the  $\Lambda$  in the QGP frame

$$h^2(\mathbf{p}_T) = (S_0 + P_0 \sin \phi + \dots)^2 = S_0^2 + P_0^2 \sin^2 \phi + 2S_0P_0 \sin \phi + \dots$$

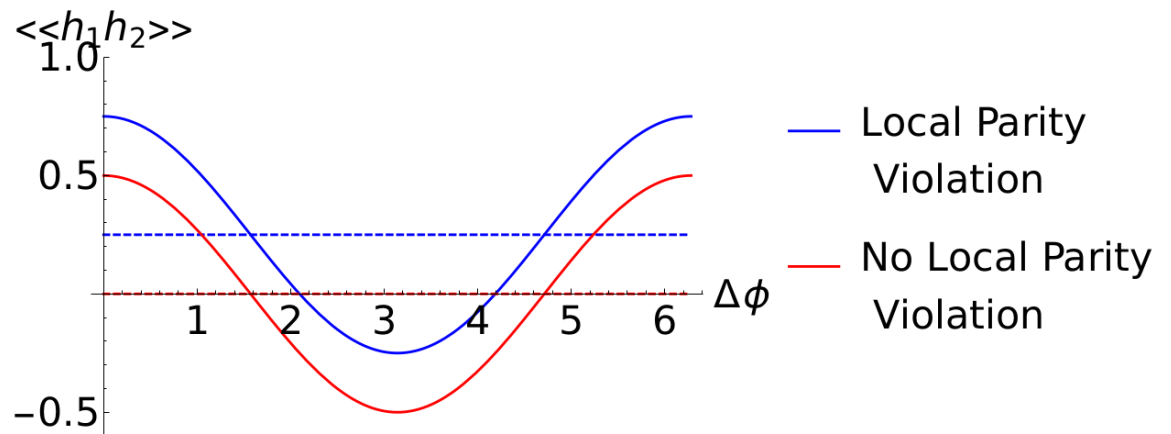
$$\langle\langle h^2 \rangle\rangle = \langle\langle S_0^2 \rangle\rangle + \langle\langle P_0^2 \rangle\rangle \sin^2 \phi + \dots$$



Helicity squared

Helicity-helicity azimuthal 2Pcorrelation

$$\langle h_1 h_2(\Delta\phi) \rangle \simeq \frac{1}{2\pi} \int_0^{2\pi} d\phi (\bar{S}_0^2 + \bar{P}_0^2 \sin^2 \phi \cos \Delta\phi) = \bar{S}_0^2 + \frac{1}{2} \bar{P}_0^2 \cos \Delta\phi$$



# Summary and outlook

- Polarization driven by acceleration, vorticity and temperature gradients:  
1st order quantum effect in (relativistic) hydrodynamics
- Evidence for global particle-antiparticle polarization in relativistic nuclear collisions in agreement with the predictions of relativistic hydrodynamics and local thermodynamic equilibrium/equipartition of angular momentum.
- Puzzles in the local polarization pattern, which may lead to a deeper understanding of the physics of the QGP
- New degree of freedom to study the QGP dynamics and finite temperature QCD
- Helicity as a probe of local parity violation in QCD other than CME