

# The maths of binary neutron star mergers

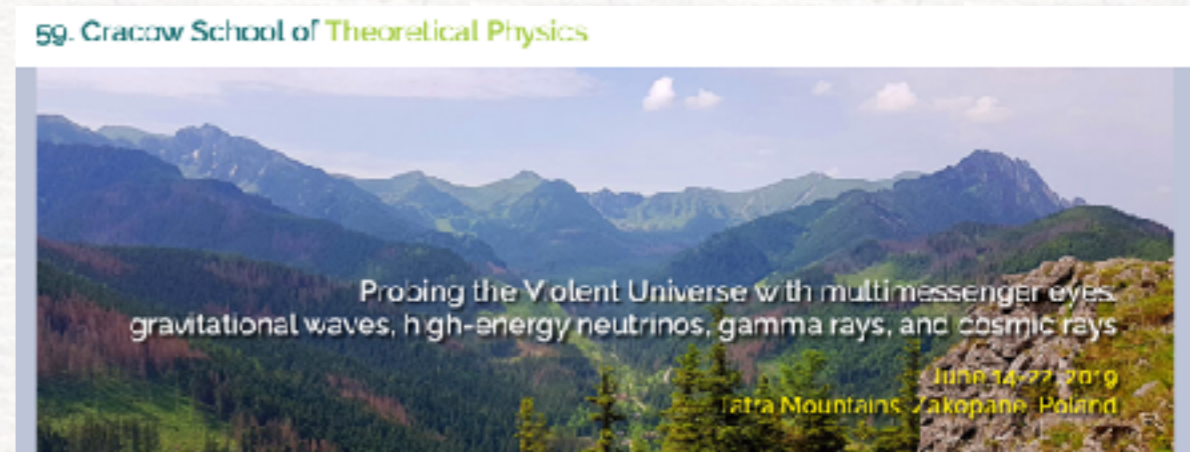
## Lecture I

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# Plan of the lectures

\* Lecture I: the **math** of neutron-star mergers

\* Lecture II: the **physics** of neutron-star mergers

\* Lecture III: the **astrophysics** of neutron-star mergers

\* Alcubierre, *“Introduction to 3+1 Numerical Relativity”*, Oxford University Press, 2008

\* Baumgarte and Shapiro, *“Numerical Relativity: Solving Einstein’s Equations on the Computer”*, Cambridge University Press, 2010

\* Gourgoulhon, *“3+1 Formalism in General Relativity”*, Lecture Notes in Physics, Springer 2012

\* Rezzolla and Zanotti, *“Relativistic Hydrodynamics”*, Oxford University Press, 2013

# The equations of numerical relativity

$$R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R = 8\pi T_{\mu\nu}, \quad (\text{field equations})$$

$$\nabla_{\mu}T^{\mu\nu} = 0, \quad (\text{cons. energy/momentum})$$

$$\nabla_{\mu}(\rho u^{\mu}) = 0, \quad (\text{cons. rest mass})$$

$$p = p(\rho, \epsilon, Y_e, \dots), \quad (\text{equation of state})$$

$$\nabla_{\nu}F^{\mu\nu} = I^{\mu}, \quad \nabla_{\nu}^*F^{\mu\nu} = 0, \quad (\text{Maxwell equations})$$

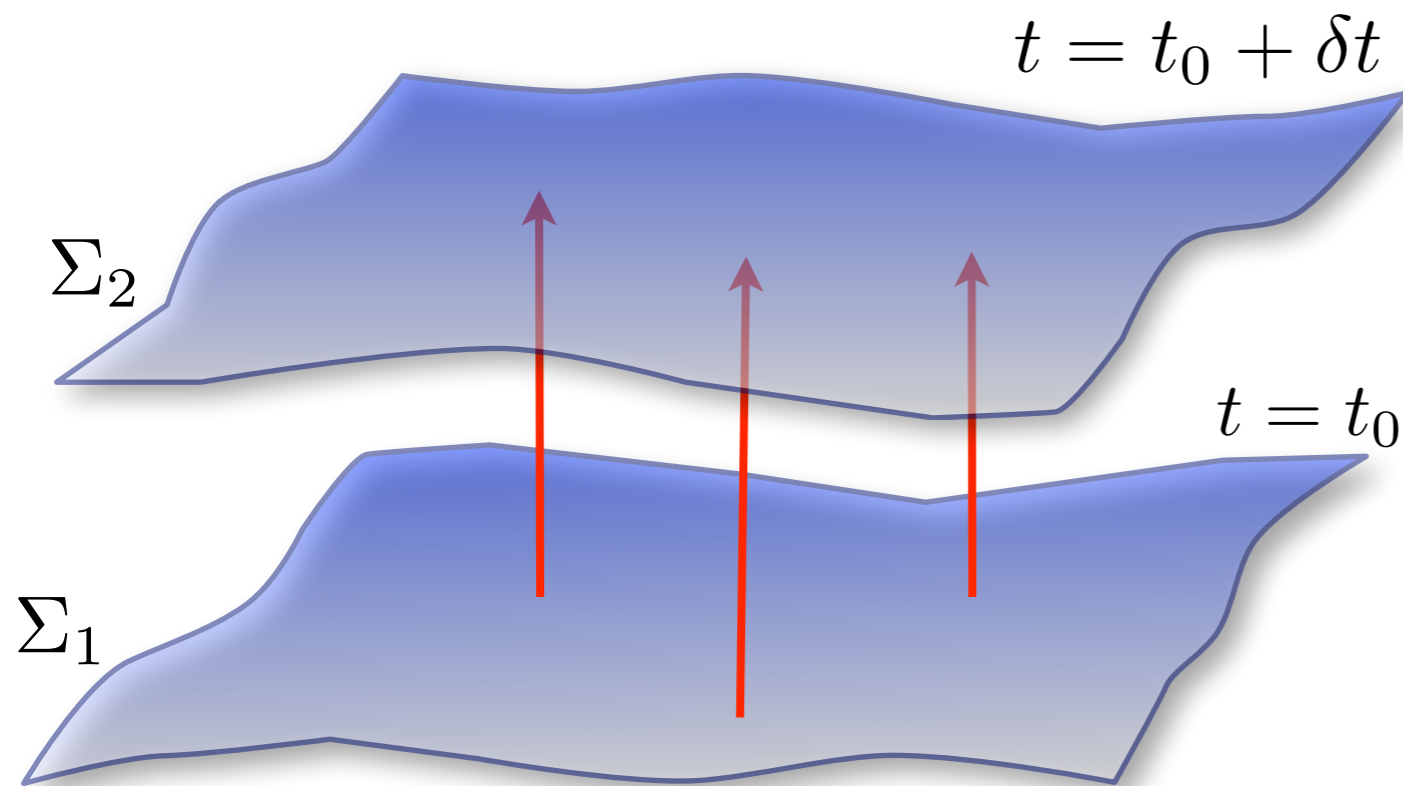
$$T_{\mu\nu} = T_{\mu\nu}^{\text{fluid}} + T_{\mu\nu}^{\text{EM}} + \dots \quad (\text{energy - momentum tensor})$$

In GR these equations do not possess an analytic solution in the nonlinear regimes we are interested in

# 3+1 splitting of spacetime

# First step: foliate the 4D spacetime

Given a manifold  $\mathcal{M}$  describing a spacetime with 4-metric  $g_{\mu\nu}$  we want to foliate it via spacelike, three-dimensional hypersurfaces, i.e.,  $\Sigma_1, \Sigma_2, \dots$  leveled by a scalar function. The time coordinate  $t$  is an obvious good choice.



Define therefore

$$\Omega_\mu \equiv \nabla_\mu t$$

such that

$$|\Omega|^2 \equiv g^{\mu\nu} \nabla_\mu t \nabla_\nu t = -\alpha^{-2}$$

This defines the "lapse" function which is strictly positive for spacelike hypersurfaces

$$\alpha(t, x^i) > 0$$

The lapse function allows then to do two important things:

i) define the unit **normal** vector to the hypersurface  $\Sigma$

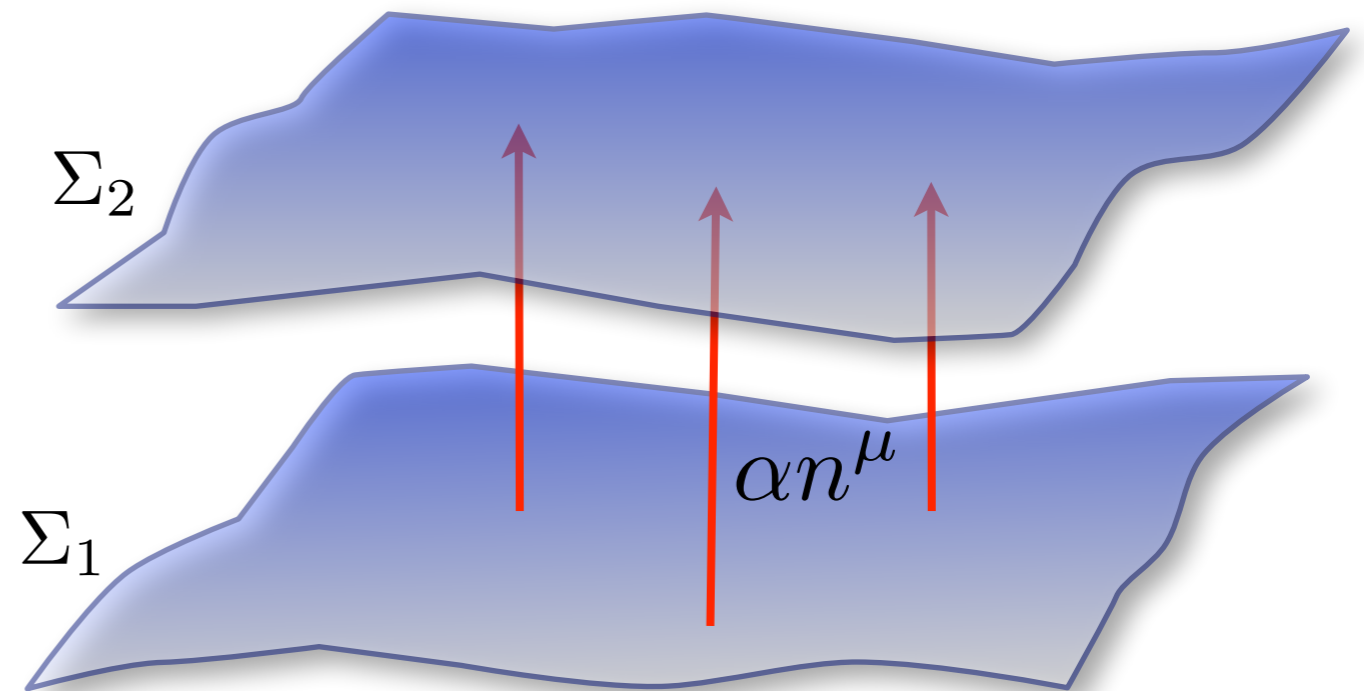
$$n^\mu \equiv -\alpha g^{\mu\nu} \Omega_\nu = -\alpha g^{\mu\nu} \nabla_\nu t$$

where

$$n^\mu n_\mu = -1$$

ii) define the **spatial metric**

$$\gamma_{\mu\nu} \equiv g_{\mu\nu} + n_\mu n_\nu$$



## Second step: decompose 4-dim tensors

$\mathbf{n}$  and  $\gamma$  provide two useful tools to decompose any 4-dim. tensor into a purely **spatial** part (hence in  $\Sigma$ ) and a purely **timelike** part (hence orthogonal to  $\Sigma$  and aligned with  $\mathbf{n}$ ).

The spatial part is obtained after contracting with the **spatial projection operator**

$$\gamma^\mu{}_\nu = g^{\mu\alpha}\gamma_{\alpha\nu} = g^\mu{}_\nu + n^\mu n_\nu = \delta^\mu{}_\nu + n^\mu n_\nu$$

while the timelike part is obtained after contracting with the **timelike projection operator**

$$N^\mu{}_\nu = -n^\mu n_\nu$$

where the two projectors are obviously orthogonal

$$\gamma^\nu{}_\mu N^\mu{}_\nu = 0$$

It is now possible to define the **3-dim covariant derivative of a spatial tensor**. This is simply the projection on  $\Sigma$  of all the indices of the the 4-dim. covariant derivative

$$D_{\alpha} T^{\beta}_{\delta} = \gamma^{\rho}_{\alpha} \gamma^{\beta}_{\sigma} \gamma^{\tau}_{\delta} \nabla_{\rho} T^{\sigma}_{\tau}$$

which, as expected, is compatible with **spatial metric**

$$D_{\alpha} \gamma^{\beta}_{\delta} = 0$$

All of the 4-dim tensor algebra can be extended straightforwardly to the 3-dim. spatial slice, so that the 3-dim covariant derivative can be expressed in terms of the 3-dimensional connection coefficients:

$${}^{(3)}\Gamma^{\alpha}_{\beta\delta} = \frac{1}{2} \gamma^{\alpha\mu} (\gamma_{\mu\beta,\delta} + \gamma_{\mu\delta,\beta} - \gamma_{\beta\delta,\mu})$$



Similarly, the **3-dim Riemann tensor** associated with  $\gamma$  is defined via the double 3-dimensional covariant derivative of any **spatial** vector  $\mathbf{W}$ , ie

$$2D_{[\alpha}D_{\beta]}W_{\delta} = {}^{(3)}R^{\mu}_{\delta\alpha\beta}W_{\mu}$$

where

$${}^{(3)}R^{\mu}_{\delta\alpha\beta}n_{\mu} = 0 \quad \text{and} \quad 2T_{[\alpha\beta]} = T_{\alpha\beta} - T_{\beta\alpha}$$

More explicitly, the **3-dim Riemann tensor** can be written in terms of the 3-dim connection coefficients as

$${}^{(3)}R^{\alpha}_{\beta\gamma\delta} = {}^{(3)}\Gamma^{\alpha}_{\beta\delta,\gamma} - {}^{(3)}\Gamma^{\alpha}_{\beta\gamma,\delta} + {}^{(3)}\Gamma^{\mu}_{\beta\delta}{}^{(3)}\Gamma^{\alpha}_{\mu\gamma} - {}^{(3)}\Gamma^{\mu}_{\beta\gamma}{}^{(3)}\Gamma^{\alpha}_{\mu\delta}$$

Also, the 3-dim contractions of the 3-dim Riemann tensor, i.e. the **3-dim Ricci tensor** the **3-dim Ricci scalar** are respectively given by

$${}^{(3)}R_{\alpha\beta} = {}^{(3)}R^{\delta}_{\alpha\delta\beta} \quad {}^{(3)}R = {}^{(3)}R^{\delta}_{\delta}$$

It is important not to confuse the 3-dim Riemann tensor  ${}^{(3)}R^\mu_{\delta\alpha\beta}$  with the corresponding 4-dim one  $R^\mu_{\delta\alpha\beta}$

${}^{(3)}R^\mu_{\delta\alpha\beta}$  is a 4-dimensional tensor but it is purely spatial (spatial derivatives of spatial metric  $\gamma$ )

$R^\mu_{\delta\alpha\beta}$  is a full 4-dimensional tensor containing also time derivatives of the full 4-dim metric  $g$

The information present in  $R^\mu_{\delta\alpha\beta}$  and “missing” in  ${}^{(3)}R^\mu_{\delta\alpha\beta}$  can be found in another spatial tensor: the extrinsic curvature.

As we shall see, this information is indeed describing the time evolution of the spatial metric

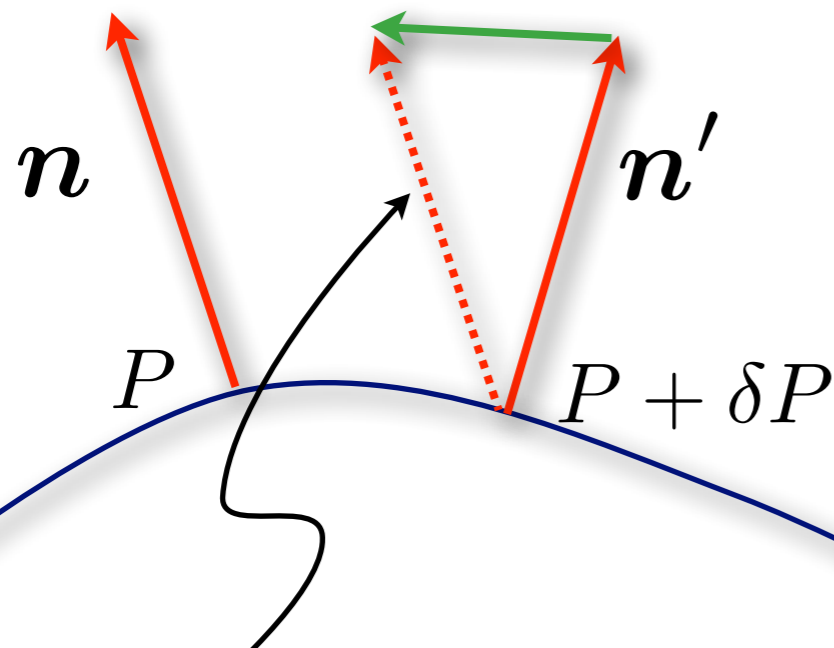
More **geometrically**, the extrinsic curvature measures the changes in the normal vector under parallel transport

Hence it measures how the 3-dim hypersurface is “**bent**” with respect to the 4-dim spacetime

Later on we will discuss also a “kinematical” interpretation of the **extrinsic curvature** in terms of the spatial metric

$\Sigma$

$$\delta \mathbf{n} = -\mathbf{K} \delta P$$



Consider a vector at one position  $P$  and parallel-transport it to a new location  $P + \delta P$

The difference in the two vectors is proportional to the **extrinsic curvature** and this can be positive or negative

$$K_{\mu\nu} := -\gamma_{\mu}^{\lambda} D_{\lambda} n_{\nu}$$

parallel  
transport

Since the extrinsic curvature measures the bending of the spacelike hypersurface, two more **equivalent** definitions exist for the extrinsic curvature:

2) in terms of the acceleration of normal observers:

$$K_{\mu\nu} := -D_{\mu}n_{\nu} - n_{\mu}a_{\nu} = -D_{\mu}n_{\nu} - n_{\mu}n^{\lambda}D_{\lambda}n_{\nu}$$

3) in terms of the Lie derivative of the spatial metric:

$$K_{\mu\nu} := -\frac{1}{2}\mathcal{L}_{\mathbf{n}}\gamma_{\mu\nu}$$

1) in terms of the Lie derivative of the spatial metric:

$$K_{\mu\nu} := -\gamma^{\lambda}_{\mu}D_{\lambda}n_{\nu}$$

## Finding a direction for evolutions

Note that the unit normal  $\mathbf{n}$  to a spacelike hypersurface  $\Sigma$  is not the natural time derivative. This is because  $\mathbf{n}$  is not dual to the surface 1-norm  $\Omega$ , i.e.

$$n^\mu \Omega_\mu = n^\mu \nabla_\mu t = -\alpha \Omega^\mu \Omega_\mu = \frac{1}{\alpha}$$

We need therefore to find a new vector along which to carry out the time evolutions and that is dual to the surface 1-norm. Such a vector is easily defined as

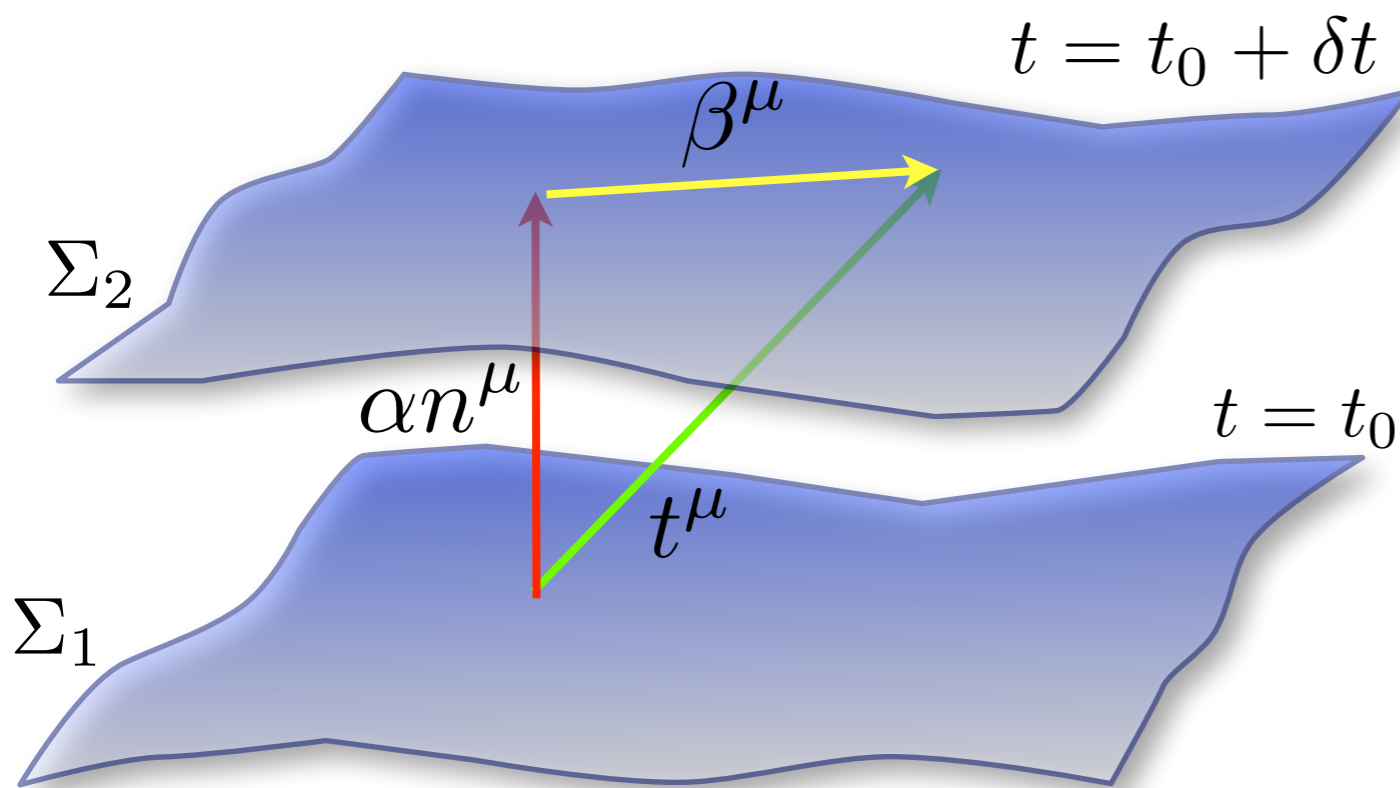
$$t^\mu \equiv \alpha n^\mu + \beta^\mu$$

where  $\beta$  is any spatial “shift” vector.

Clearly now the two tensors are dual to each other, ie

$$t^\mu \Omega_\mu = \alpha n^\mu \Omega_\mu + \beta^\mu \Omega_\mu = \alpha/\alpha = 1$$

Because the vector  $t^\mu$  is dual to the 1-form  $\Omega_\mu$ , we are guaranteed that the integral curves of  $t^\mu$  are naturally parametrized by the time coordinate.



Stated differently, all infinitesimal vectors  $t^\mu$  originating on one hypersurface  $\Sigma_1$  would end up on the same hypersurface  $\Sigma_2$

This is not guaranteed for translations along  $\Omega^\mu$

A more intuitive description of the **lapse** function  $\alpha$  and of the **shift** vector  $\beta^\mu$  will be presented once we introduce a coordinate basis

Note that  $t^\mu$  is not necessarily timelike if the shift is superluminal

$$t^\mu t_\mu = -\alpha^2 + \beta^\mu \beta_\mu \lesseqgtr 0$$

With this definition we can revise the Lie derivative along the unit normal  $\mathcal{L}_n$ . Since

$$\alpha \mathcal{L}_n = \mathcal{L}_t - \mathcal{L}_\beta$$

the Ricci equation we have encountered before:  $K_{\alpha\beta} = -\frac{1}{2}\mathcal{L}_n\gamma_{\alpha\beta}$  can now be rewritten as

$$\mathcal{L}_t\gamma_{\mu\nu} = -2\alpha K_{\mu\nu} + \mathcal{L}_\beta\gamma_{\mu\nu} \quad (*)$$

Once again, this a clear expression that the extrinsic curvature can be seen as the rate of change of the spatial metric, i.e.

$$K_{\mu\nu} \propto -\frac{1}{\alpha}\mathcal{L}_t\gamma_{\mu\nu}$$

Finally, note that the Ricci equations (\*) are **definitions** and not pieces of the Einstein eqs, although this is sometimes confused

## Selecting a coordinate basis

So far we have dealt with tensor eqs and not specified a coordinate basis with unit vectors  $\mathbf{e}_j$ . Doing so can be useful to simplify equations and to highlight the “spatial” nature of  $\boldsymbol{\gamma}$  and  $\mathbf{K}$

The choice in this case is very simple. We want:

i) three of them have to be purely spatial, i.e.

$$n_\mu (\mathbf{e}_j)^\mu = 0 \quad \text{e.g.} \quad (\mathbf{e}_1)^\mu = (0, 1, 0, 0)$$

ii) the fourth one has to be along the vector  $\mathbf{t}$ , i.e.

$$(\mathbf{e}_0)^\mu = t^\mu = (1, 0, 0, 0)$$



As a result:

$$\mathcal{L}_{\mathbf{t}} = \partial_t$$

i.e. the Lie derivative along  $\mathbf{t}$  is a simple partial derivative

$$n_j = n_\mu (e_j)^\mu = 0 \quad \text{but} \quad n_0 \neq 0$$

i.e. the space covariant components of a **timelike** vector are zero; only the covariant time component survives

$$n_\mu \beta^\mu = \beta^0 n_0 = 0 \quad \implies \quad \beta^0 = 0 \quad \implies \quad \beta^\mu = (0, \beta^j)$$

i.e. the time contravariant component of a **spacelike** vector is zero; only the spatial contravariant components survive

Putting things together and bearing in mind that  $n_\mu n^\mu = -1$

$$n^\mu = \frac{1}{\alpha} (1, -\beta^i);$$

$$n_\mu = (-\alpha, 0, 0, 0)$$

Because for any spatial tensor  $T^{\mu 0} = 0$  the contravariant components of the metric in a 3+1 split are

$$g^{\mu\nu} = \begin{pmatrix} -1/\alpha^2 & \beta^i/\alpha^2 \\ \beta^i/\alpha^2 & \gamma^{ij} - \beta^i\beta^j/\alpha^2 \end{pmatrix}$$

Similarly, since  $g_{ij} = \gamma_{ij}$  the covariant components are

$$g_{\mu\nu} = \begin{pmatrix} -\alpha^2 + \beta_i\beta^i & \beta_i \\ \beta_i & \gamma_{ij} \end{pmatrix}$$

Note that  $\gamma^{ik}\gamma_{kj} = \delta^i_j$  (i.e.  $\gamma^{ij}$ ,  $\gamma_{ij}$  are **inverses**) and thus they can be used to **raise/lower** the indices of **spatial** tensors

We can now have a more intuitive interpretation of the **lapse**, **shift** and **spatial metric**. Using the expression for the covariant 4-dim covariant metric, the line element is given

$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu = -(\alpha^2 - \beta^i \beta_i) dt^2 + 2\beta_i dx^i dt + \gamma_{ij} dx^i dx^j$$

Hence:

the **lapse** measures **proper time** between two adjacent hypersurfaces

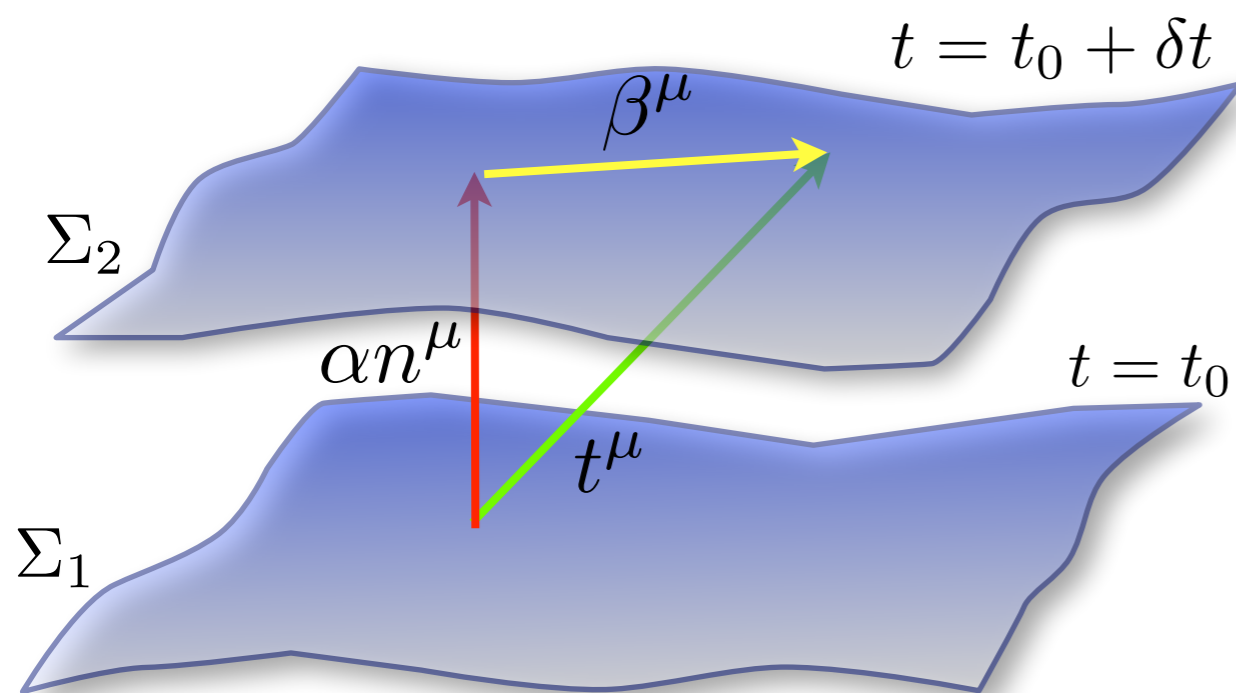
$$d\tau^2 = -\alpha^2(t, x^j) dt^2$$

the **shift** relates **spatial coordinates** between two adjacent hypersurfaces

$$x^i_{t_0+\delta t} = x^i_{t_0} - \beta^i(t, x^j) dt$$

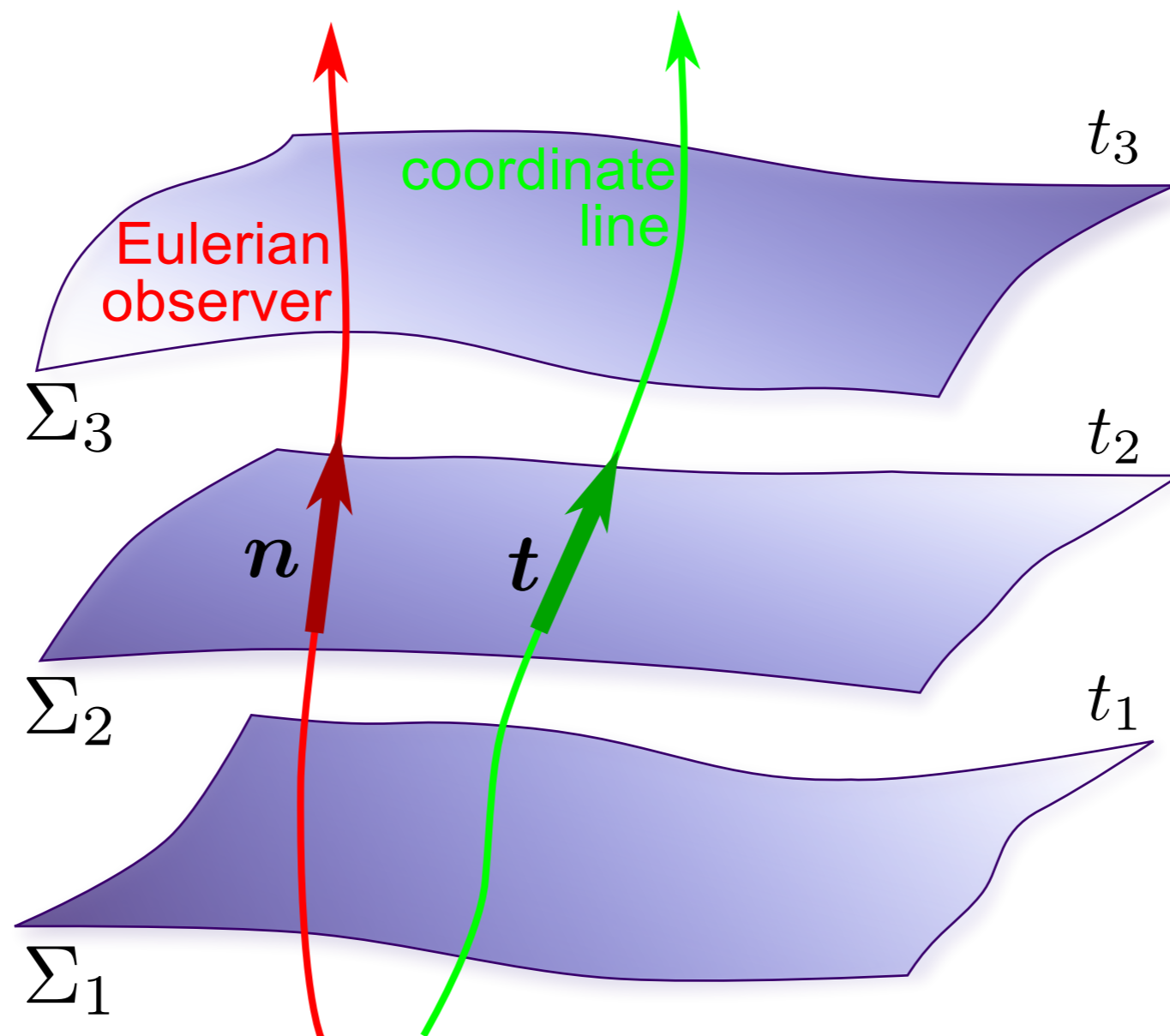
the **spatial metric** measures distances between points on every hypersurface

$$dl^2 = \gamma_{ij} dx^i dx^j$$



We can now also distinguish between a **normal line** and a **coordinate line**.

Both are worldlines but the first one tells us about the evolution of the normal to the hypersurface, while the second one tells us about the evolution of a point in the coordinate chart



# Decomposing the Einstein equations

- So far we have just played with **differential geometry**. No mention has been made of the Einstein equations.
- The 3+1 splitting naturally “splits” the Einstein equations into:
  - \* a set which is fully defined on each spatial hypersurfaces (and does not involve therefore time derivatives).
  - \* a set which instead relates quantities (i.e. spatial metric and extrinsic curvature) between two adjacent hypersurfaces.
- The first set is usually referred to as the “**constraint**” equations, while the second one as the “**evolution**” equation

Next, we need to decompose the **Einstein equations** in the spatial and timelike parts.

$$G_{\mu\nu} := R_{\mu\nu} - \frac{1}{2}Rg_{\mu\nu} = 8\pi T_{\mu\nu}$$

and to do this we need to define a few identities

First we decompose the 4-dim Riemann tensor  $R_{\alpha\beta\mu\nu}$  projecting all indices to obtain the **Gauss equations**

$$^{(3)}R_{\alpha\beta\gamma\delta} + K_{\alpha\gamma}K_{\beta\delta} - K_{\alpha\delta}K_{\beta\gamma} = \gamma^\mu_\alpha \gamma^\nu_\beta \gamma^\rho_\delta \gamma^\sigma_\gamma R_{\mu\nu\sigma\rho}$$

Next, we make 3 spatial projections and a timelike one to obtain the **Codazzi equations**

$$D_\alpha K_{\beta\gamma} - D_\beta K_{\alpha\gamma} = \gamma^\rho_\beta \gamma^\mu_\alpha \gamma^\nu_\gamma n^\sigma R_{\mu\nu\sigma\rho}$$

Finally we take 2 spatial projections and 2 timelike ones to obtain the **Ricci equations**

$$\mathcal{L}_n K_{\alpha\beta} = n^\delta n^\gamma \gamma^\mu_\alpha \gamma^\nu_\beta R_{\nu\delta\mu\gamma} - \frac{1}{\alpha} D_\alpha D_\beta \alpha - K^\gamma_\beta K_{\alpha\gamma}$$

where the second derivative of the lapse has been introduced via the identity

$$a_\mu = D_\mu \ln \alpha$$

Another important identity which will be used in the following is

$$D_\mu U^\nu = \gamma_\mu^\rho \nabla_\rho U^\nu + K_{\mu\rho} U^\rho n^\nu$$

and which holds for any **spatial vector**  $\mathbf{U}$  ( $U^\mu n_\mu = 0$ )

# The evolution part of the Einstein equations

We are now ready to express the missing piece of the 3+1 decomposition and derive the evolution part of the Einstein eqs.

We need suitable projections of the right-hand-side of the Einstein equations and in particular the two spatial ones, ie

$$\gamma^\mu_\alpha \gamma^\nu_\beta G_{\mu\nu} = 8\pi S_{\alpha\beta} \equiv 8\pi \gamma^\mu_\alpha \gamma^\nu_\beta T_{\mu\nu}$$

where the **energy-momentum tensor** of a **perfect fluid** is:

$$T_{\mu\nu} = (e + p)u_\mu u_\nu + pg_{\mu\nu} = h\rho u_\mu u_\nu + pg_{\mu\nu}$$

with

$\rho$  : rest-mass density

$p$  : pressure

$\epsilon$  : specific internal energy

$e = \rho(1 + \epsilon)$  : total energy density

$h = \frac{e + p}{\rho}$  : specific enthalpy

$S \equiv S^\mu_\mu$



Since  $n^\mu u_\mu = 1$ , (the two vectors are parallel and unit vectors) the **energy density** measured by the normal observers will be given by the **double timelike** projection

$$e = n^\mu n^\nu T_{\mu\nu}$$

Similarly, the **momentum density** (i.e. the extension of the Newtonian mass current) will be given by the **mixed time and spatial** projection

$$j_\mu = -\gamma^\alpha_\mu n^\beta T_{\alpha\beta} = -(h\rho u_\mu + pn_\mu)$$

Just as a reminder, the **fully spatial** projection of the energy-momentum tensor was already introduced as

$$S_{\mu\nu} = \gamma^\alpha_\mu \gamma^\beta_\nu T_{\alpha\beta}$$

# The (ADM) Einstein eqs in 3+1

In such a foliation, we can write the Einstein eqs in the 3+1 splitting of spacetime in a set of **evolution** and **constraint equations** as:

$\gamma \cdot \gamma \cdot (\text{Einstein eqs}) + \text{Ricci eqs} \implies$

$$\begin{aligned} \partial_t K_{ij} = & -D_i D_j \alpha + \alpha (R_{ij} - 2K_{ik} K^{kj} + K K_{ij}) \\ & - 8\pi \alpha (R_{ij} - \frac{1}{2} \gamma_{ij} (S - e)) + \mathcal{L}_\beta K_{ij} \end{aligned}$$

[6 eqs]

$$\partial_t \gamma_{ij} = -2\alpha K_{ij} + \mathcal{L}_\beta \gamma_{ij}$$

[6 eqs]

These are 12 hyperbolic, first-order in time, second-order in space, nonlinear partial differential equations: **evolution equations**

# The constraint equations (I)

We first time-project twice the left-hand-side of the Einstein equations to obtain

$$2n^\mu n^\nu G_{\mu\nu} = {}^{(3)}R + K^2 - K_{\mu\nu}K^{\mu\nu}$$

Doing the same for the right-hand-side, using the Gauss eqs contracted twice with the spatial metric and the definition of the energy density we finally reach the form of the **Hamiltonian constraint equation**

$${}^{(3)}R + K^2 - K_{\mu\nu}K^{\mu\nu} = 16\pi e$$

Note that this is a single elliptic equation (hence not containing time derivative) which should be satisfied everywhere on the spatial hypersurface  $\Sigma$

## The constraint equations (II)

Similarly, with a mixed time-space projection of the left-hand-side of the Einstein equations we obtain

$$-\gamma^\mu_\alpha n^\nu G_{\mu\nu} = -{}^{(3)}R_{\alpha\nu} n^\nu + \frac{1}{2} n_\alpha R$$

Doing the same for the right-hand-side, using the contracted Codazzi equations and the definition of the momentum density we finally reach the form of the **momentum constraint equations**

$$D_\nu K^\nu_\mu - D_\mu K = 8\pi j_\mu$$

which are also 3 elliptic equations.

The 4 constraint equations are the necessary and sufficient **integrability conditions** for the embedding of the spacelike hypersurfaces  $(\Sigma, \gamma_{\mu\nu}, K_{\mu\nu})$  in the 4-dim. spacetime  $(\mathcal{M}, g_{\mu\nu})$

# The (ADM) Einstein eqs in 3+1

Similarly

$\boldsymbol{n} \cdot \boldsymbol{n} \cdot (\text{Einstein eqs}) + \text{Gauss eqs} \implies$

$$R + K^2 - K_{ij}K^{ij} = 16\pi e$$

Hamiltonian  
Constraint (HC) [1 eq]

$\boldsymbol{\gamma} \cdot \boldsymbol{n} \cdot (\text{Einstein eqs}) + \text{Codazzi eqs} \implies$

$$D_j K^j_i - D_i K = 8\pi j_i$$

Momentum  
Constraints (MC) [3 eqs]

These are 1+3 elliptic (second-order in space), nonlinear partial differential equations: **constraint equations**

# The (ADM) Einstein eqs in 3+1

All together we have:

$$\begin{aligned} \partial_t K_{ij} = & -D_i D_j \alpha + \alpha (R_{ij} - 2K_{ik} K^{kj} + K K_{ij}) \\ & - 8\pi \alpha (R_{ij} - \frac{1}{2} \gamma_{ij} (S - e)) + \mathcal{L}_\beta K_{ij} \end{aligned} \quad [6]$$

$$\partial_t \gamma_{ij} = -2\alpha K_{ij} + \mathcal{L}_\beta \gamma_{ij} \quad [6]$$

$$R + K^2 - K_{ij} K^{ij} = 16\pi e \quad [1]$$

$$D_j K^j_i - D_i K = 8\pi j_i \quad [3]$$

These 6+6 (+3+1) eqs are also known as the **ADM equations**. In practice, only the evolution eqs are solved and the constraints are instead monitored (more later)

In practice, the ADM are essentially never used!

These equations are perfectly alright mathematically but not in a form that is well suited for numerical implementation.

Indeed the system can be shown to be weakly hyperbolic and hence “ill-posed”

In practice, numerical instabilities rapidly appear that destroy the solution exponentially

However, the stability properties of numerical implementations can be improved by introducing certain new auxiliary functions and rewriting the ADM equations in terms of these functions.

The same is done for the ADM eqs and new evolution variables are introduced to obtain a set of eqs that is **strongly hyperbolic** and hence well-posed (doesn't blow up).

$$\phi = \frac{1}{12} \ln(\det(\gamma_{ij})) = \frac{1}{12} \ln(\gamma), \quad \phi: \text{conformal factor}$$

$$\tilde{\gamma}_{ij} = e^{-4\phi} \gamma_{ij}, \quad \tilde{\gamma}_{ij}: \text{conformal 3-metric}$$

$$K = \gamma^{ij} K_{ij}, \quad K: \text{trace of extrinsic curvature}$$

$$\tilde{A}_{ij} = e^{-4\phi} \left( K_{ij} - \frac{1}{3} \gamma_{ij} K \right), \quad \tilde{A}_{ij}: \text{trace-free conformal extrinsic curvature}$$

$$\Gamma^i = \gamma^{jk} \Gamma_{jk}^i, \quad \tilde{\Gamma}^i: \text{"Gammas"}$$

$$\tilde{\Gamma}^i = \tilde{\gamma}^{jk} \tilde{\Gamma}_{jk}^i$$

are our new **evolution variables**

The **ADM** equations are then rewritten as



$$\mathcal{D}_t \tilde{\gamma}_{ij} = -2\alpha \tilde{A}_{ij} \ , \quad \text{where } \mathcal{D}_t \equiv \partial_t - \mathcal{L}_\beta$$

$$\mathcal{D}_t \phi = -\frac{1}{6} \alpha K \ ,$$

$$\mathcal{D}_t \tilde{A}_{ij} = e^{-4\phi} [-\nabla_i \nabla_j \alpha + \alpha (R_{ij} - S_{ij})]^{\text{TF}} + \alpha \left( K \tilde{A}_{ij} - 2\tilde{A}_{il} \tilde{A}_j^l \right) \ ,$$

$$\mathcal{D}_t K = -\gamma^{ij} \nabla_i \nabla_j \alpha + \alpha \left[ \tilde{A}_{ij} \tilde{A}^{ij} + \frac{1}{3} K^2 + \frac{1}{2} (\rho + S) \right] \ ,$$

$$\begin{aligned} \mathcal{D}_t \tilde{\Gamma}^i = & -2\tilde{A}^{ij} \partial_j \alpha + 2\alpha \left( \tilde{\Gamma}_{jk}^i \tilde{A}^{kj} - \frac{2}{3} \tilde{\gamma}^{ij} \partial_j K - \tilde{\gamma}^{ij} S_j + 6\tilde{A}^{ij} \partial_j \phi \right) \\ & - \partial_j \left( \beta^l \partial_l \tilde{\gamma}^{ij} - 2\tilde{\gamma}^{m(j} \partial_m \beta^{i)} + \frac{2}{3} \tilde{\gamma}^{ij} \partial_l \beta^l \right) . \end{aligned}$$

These equations are also known as the **BSSNOK** equations or more simply the **conformal traceless formulation** of the Einstein equations.

Although not self evident, the **BSSNOK** equations are strongly hyperbolic with a structure which is resembling the 1st-order in time, 2nd-order in space formulation

$$\square\phi = 0 \quad \iff \begin{cases} \partial_t\phi = \psi \\ \partial_t\psi = \partial^i\partial_i\phi \end{cases} \quad \text{scalar wave equation}$$
  

$$\begin{cases} \partial_t\tilde{\gamma}_{ij} \propto \tilde{A}_{ij} \\ \partial_t\tilde{A}_{ij} \propto D^i D_i \tilde{\gamma}_{ij} \end{cases} \quad \text{BSSNOK}$$

The **BSSNOK** is a widely used formulation of the Einstein eqs and used to simulate black holes and neutron stars. Other formulations have been recently suggested that have even better properties, e.g. **CCZ4, Z4c**.

# Recap (I)

- ✓ The 3+1 splitting of the 4-dim spacetime represents an effective way to perform numerical solutions of the Einstein eqs.
- ✓ Such a splitting amounts to projecting all 4-dim. tensors either on spatial hypersurfaces or along directions orthogonal to such hypersurfaces.
- ✓ The 3-metric and the extrinsic curvature describe the properties of each slice.
- ✓ Two functions, the lapse and the shift, tell how to relate coordinates between two slices: the lapse measures the proper time, while the shift measures changes in the spatial coords.
- ✓ Einstein equations naturally split into evolution equations and constraint equations.

# Recap (II)

- ✓ The **ADM** eqs are **ill posed** and not suitable for numerics.
- ✓ Alternative formulations (**BSSNOK, CCZ4, Z4c**) have been developed that are **strongly hyperbolic** and hence well-posed.
- ✓ Both formulations make use of the constraint equations and can use additional evolution equations to damp the violations
- ✓ The **hyperbolic** evolution eqs. to solve are:  $6+6+(3+1+1) = 17$ . We also “compute”  $3+1=4$  **elliptic** constraint eqs

$$\mathcal{H} \equiv {}^{(3)}R + K^2 - K_{ij}K^{ij} = 0, \quad (\text{Hamiltonian constraint})$$

$$\mathcal{M}^i \equiv D_j(K^{ij} - g^{ij}K) = 0, \quad (\text{momentum constraints})$$

NOTE: these eqs are not **solved** but only **monitored** to verify

$$\|\mathcal{H}\| \simeq \|\mathcal{M}^i\| < \varepsilon \sim 10^{-4} - 10^{-2}$$