Particle Acceleration in Astrophysics 2. What can we learn from observations

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Work based on several PhD theses and collaborations with post doctoral fellows and colleagues

## The Problem



# The Forward Fitting Method



# **The Inversion Method**



# Outline

I. Description of a nonparametric *inversion method* II. Applications of the Inversion Method

A. Cosmic Rays and Supernova Remnant Spectra

B. Solar Flare Hard X-ray emissions

## III. Some Interesting Puzzles of Ion acceleration

A. Extreme enhancement of 3He and Heavy ions

B. Electron spectra at Flare sites and observed at Earth

Back to the Leaky Box Model (Steady State)  $\frac{\partial N}{\partial t} = \frac{\partial}{\partial E} \left( D_{EE} \frac{\partial N}{\partial E} \right) - \frac{\partial}{\partial E} \left[ (A - \dot{E}_L) N \right] - \frac{N}{T_{res}} + \dot{Q} = 0$  $\partial N$ 

Two unknowns  $D_{pp}$  and  $D_{\mu\mu}$ 

Therefore we need two spectral information

- 1. Accelerated Particle Flux

$$F_{\rm acc}(E) = vN(E)/V$$

2. Escaping Particle Flux  $F_{\rm esc}(E) = N(E)/(T_{\rm esc}S); V \sim SL$ 

These Give the escape time  $T_{\rm esc}(E) = \tau_{\rm cross} F_{\rm acc}(E) / F_{\rm esc}(E)$ If there is no **B** convergence from this we can get

 $\tau_{\rm sc} = \tau_{\rm cross}^2 / (T_{\rm esc} - \tau_{\rm cross})$  and  $A_{\rm sh} \propto \tau_{\rm sc}^{-1}$  and  $D_{\mu\mu}$ 

Then integration of the kinetic equation over *E* gives

$$D_{\rm EE} = E \left[ \xi - \frac{d \ln N}{d \ln E} \right]^{-1} \left[ \dot{E}_{\rm L} - A_{\rm sh} + \frac{1}{N} \int_{E}^{\infty} \left( \frac{N}{T_{\rm esc}} - \dot{Q} \right) dE \right] = v^2 D_{pp}$$

Back to the Leaky Box Model (Steady State)  $\frac{\partial N}{\partial t} = \frac{\partial}{\partial E} \left( D_{EE} \frac{\partial N}{\partial E} \right) - \frac{\partial}{\partial E} \left[ (A - \dot{E}_L) N \right] - \frac{N}{T_{em}} + \dot{Q}$ Two unknowns  $D_{pp}$  and  $D_{\mu\mu}$ Therefore we need two spectral information 1. Accelerated Particle Flux  $F_{\rm acc}(E) = vN(E)/V$ 2. Escaping Particle Flux  $F_{\rm esc}(E) = N(E)/(T_{\rm esc}S); V \sim SL$ These Give the escape time  $T_{\rm esc}(E) = \tau_{\rm cross} F_{\rm acc}(E) / F_{\rm esc}(E)$ from which we get  $\tau_{\rm sc} = \tau_{\rm cross}^2 / (T_{\rm esc} - \tau_{\rm cross})$  and  $A_{\rm sh} \propto \tau_{\rm sc}^{-1}$ Then integration of the kinetic equation over *E* gives  $D_{\rm EE} = E \left[ \xi - \frac{d \ln N}{d \ln E} \right]^{-1} \left[ \dot{E}_{\rm L} - A_{\rm sh} + \frac{1}{N} \int_{E}^{\infty} \left( \frac{N}{T_{\rm exc}} - \dot{Q} \right) dE \right] \longrightarrow \frac{A_{\rm SA}}{\tau_{\rm ac}} = \frac{\xi D_{EE}/E}{\tau_{\rm ac}} = \frac{E}{(A_{\rm SA} + A_{\rm sh})}$ 

#### Transport and Spectrum of Escaping Particles An Important Special Case

$$\frac{\partial N(E)}{\partial t} = -\frac{\partial}{\partial E} (\dot{E}_{\rm L}^{\rm tra} N(E)) - \frac{N(E)}{T_{\rm esc}^{\rm tra}} + \dot{Q}^{\rm tra}(E) = 0, \quad \dot{Q}^{\rm tra}(E) = \frac{N^{\rm ac}(E)}{T_{\rm esc}^{\rm ac}}$$

$$N_{\rm eff}(E) = \frac{1}{\dot{E}_L^{\rm tra}(E)} \int_E^\infty dE' \ \dot{Q}^{\rm tra}(E') \ \exp\left[-\int_E^{E'} \frac{dE''}{E''} \ \frac{\tau_L^{\rm tra}(E'')}{T_{\rm esc}^{\rm tra}(E'')}\right]$$

If particles lose all their energy in the transport region then

$$N_{\rm eff}(E)\dot{E}_L^{\rm tra}(E) = \int_E^\infty dE' \ \frac{N_{\rm acc}}{T_{\rm esc}^{\rm ac}(E')}$$

### Transport and Spectrum of Escaping Particles An Important Special Case

$$N_{\text{eff}}(E)\dot{E}_{L}^{\text{tra}}(E) = \int_{E}^{\infty} dE' \frac{N_{\text{acc}}}{T_{\text{esc}}^{\text{acc}}(E')} \quad T_{\text{esc}}^{\text{acc}}(E) = \tau_{L}^{\text{tra}} \left[\frac{N_{\text{acc}}}{N_{\text{eff}}}\right] \left[\delta_{\text{eff}}(E) + \delta_{L}(E) - 1\right]^{-1}$$

$$\textbf{Recall that } D_{\text{EE}} = E \left[\xi - \frac{d\ln N}{d\ln E}\right]^{-1} \left[\dot{E}_{\text{L}} - A_{\text{sh}} + \frac{1}{N} \left(\int_{E}^{\infty} \left(\frac{N}{T_{\text{esc}}} - \dot{Q}\right) dE\right]$$

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From These we can get the effective acceleration rate  $A_{eff} \equiv A_{sh} + (1 + \delta_{acc}/\xi)A_{SA} = E[\tau_{L,acc}^{-1} + \tau_{L,tra}^{-1}(N_{eff}/N_{acc})]$ 

#### The Inversion Method For radiating sources the first step is to obtain particle spectrum fromphoton spectrum



The Inversion Method For radiating sources the first step is to obtain particle spectrum fromphoton spectrum

We need to invert this to obtain  $N_{\text{eff}}(E)$ 

$$J(\epsilon, s) = \int_0^\infty v N_{\text{eff}}(E, s) \sigma(\epsilon, E, s) dE$$

There are two methods that work well when the cross section is a simple function of photon and particle energies like bremsstrahlung and synchrotron. IC more complex but approximation can be used satisfying this requirements.

- 1. Generalized Inversion method by Piana et al.
- 2. The Johns-Lin method of matrix inversion

# II-A. Application to Acceleration of Electrons in Supernova Remnants

# Using Observed Radiation and Cosmic Ray Spectra

#### Supernova Remnant and Cosmic Ray Observations *A. Toy Model*



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#### Spectra of Accelerated Electrons From Radio and X-ray (and gamma-ray?) Observations





Fig. 1.— Left: the observed (circle) and smoothed (square) GeV and TeV  $\gamma$ -ray fluxes for RX J1713.7-3946. (Abdo et al. 2011, Aharonian et al. 2006). Right: the derived electron distribution with error bars. The red solid line represents the inter- and extrapolated electron distribution, which is used to calculate the synchrotron and IC radiation spectra in Figure 2 The blue solid line shows an analytical function, which can also reproduce the observed radiation spectrum. Hui, Li et al. 2010

 $lpha_1\sim 2;\ lpha_2\sim 0.6$  Lazendic et al. 2004

### Effective Spectra of Escaping Electrons *Two Interpretation of the Bump*



### Effective Spectra of Escaping Electrons *Two Interpretation of the Bump*



#### Nearby Pulsar

Fermi Collabor. Abdo et al.



 $N_{\rm eff}(E) \propto E^{-3-\epsilon} e^{-E/E_c}$ 

 $E imes au_L($  $(E) \sim \text{Const.}$ 

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# Escape Time: Two Methods

$$\begin{aligned} \mathsf{A.} \quad T_{\rm esc}^{\rm acc}(E) &= \tau_L^{\rm tra} \left[ \frac{N_{\rm acc}}{N_{\rm eff}} \right] \left[ \delta_{\rm eff}(E) + \delta_L(E) - 1 \right]^{-1} \\ \frac{N_{\rm acc}^{\rm gal} = \bar{N}_{\rm acc}^{\rm snr} \times \dot{n}_{\rm snr} \times \tau_{\rm active}}{R_{\rm eff}} & \frac{N_{\rm eff}^{\rm gal} = (4\pi J_{\rm CR,e}/v) V_{\rm CR,e}^{\rm gal}}{R_{\rm eff}} \\ \mathsf{B.} \quad T_{\rm esc}(E) &= \tau_{\rm cross} F_{\rm acc}(E) / F_{\rm esc}(E) \\ F_{\rm acc} &= v N_{\rm acc}^{\rm snr} \quad \text{and} \quad F_{\rm esc} = \dot{Q}_{\rm inj}^{\rm gal} \end{aligned}$$

### Injected Q obtained using e.g. Galprop Fitting to the obseved CR spectrum

 $A_{eff} \equiv A_{sh} + (1 + \delta_{acc}/\xi)A_{SA} = E[\tau_{L,acc}^{-1} + \tau_{L,tra}^{-1}(N_{eff}/N_{acc})]$ 

# **RESULTS From Two Methods**



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# **RESULTS:** Scatering Time

Two methods of determining the coefficient  $D_{\mu\mu}$ or the scattering time  $\tau_{sc} = \langle (1 - \mu^2)^2 / \bar{D}_{\mu\mu} \rangle$  $\tau_{sc} = \tau_{cross}^2 / (T_{esc} - \tau_{cross})$  and  $A_{sh} \propto \tau_{sc}^{-1}$  $\tau_{sc} = \tau_{cross}^2 / T_{esc}$  and  $\tau_{sc} \sim \beta_{eff}^2 \tau_{ac}$  $\beta_{eff}^2 = \beta_{sh}^2 + \beta_A^2 / \eta_{acc}$ 

# **RESULTS:** Scattering Time

#### Solid Curves from Escape time Dashed (SA) and Dotted (Shock) from Acceleration times



#### Scattering And Acceleration Times Interaction with Parallel Waves



# II-B. Application to Acceleration of Electrons in Solar Flares

Using Observed hard X-ray Spectra from Loop top and Foot points of Flare Loops

#### Cartoon and Observation of a Flare Loop







### Results From Inversion of the Kinetic Equation

$$D_{\rm EE} = E \left[ \zeta - \frac{d \ln N}{d \ln E} \right]^{-1} \left[ \dot{E}_{\rm L} + \frac{1}{N} \int_{E}^{\infty} \left( \frac{N}{T_{\rm esc}} - \dot{Q} \right) dE \right]$$

 $N(E) = F_{\rm LT}(E)/v$ 

$$F_{\rm FP} = \frac{v}{\dot{E}_{\rm L}} \int_{E}^{\infty} \frac{N(E')}{T_{\rm esc}(E')} dE'$$

From these we derive Energy Dependence of the diffusion rates

$$D_{\rm EE} = \frac{E^2}{\tau_{\rm L}} \left[ \frac{F_{\rm FP}}{F_{\rm LT}} + 1 \right] \left[ \delta_{\rm LT}(E) + \frac{2\gamma}{\gamma + 1} \right]^{-1}$$
$$\langle D_{\mu\mu}(E) \rangle = \tau_{\rm scat}^{-1} = \frac{\tau_L}{\tau_{\rm cross}^2} \frac{F_{\rm LT}}{F_{\rm FP}} \left[ \delta_{\rm FP}(E) + \frac{2}{\gamma^2 + \gamma} \right]^{-1}$$

Both Coefficients Depend only on Observables

(Petrosian & Chen, 2010 ApJ L, 712, 131)

# The Basic Model: *Relating Electrons and Photons*

Bremsstrahlung Hard X-ray Emission

 $I_i(\epsilon) = \frac{1}{4\pi R^2} \int_{\epsilon}^{\infty} X_i(E) \sigma(\epsilon, E) dE, \text{ with } X_{\text{LT,FP}} = \bar{n}_{\text{LT,FP}} F_{\text{LT,FP}}(E)$ 

Applying our inversion relations we get



Petrosian & Chen (2013) See recent astro-ph posting

## Regularized Inversion of Photon Images to Electron Images

 $I(x,y;\epsilon) = \frac{a^2}{4\pi R^2} \int_{E=\epsilon}^{\infty} N(x,y)\overline{F}(x,y;E)Q(\epsilon,E) dE \quad J(x,y;q) dq = \int_{x} \int_{y} \int_{\epsilon=q}^{\infty} D(q,\epsilon)I(x,y;\epsilon) d\epsilon dx dy$ 

RHESSI produces count visibility, Fourier component of the source

$$V(u,v;q) = \mathcal{F}^2(J(x,y;q)) \equiv \int_x \int_y J(x,y;q) e^{2\pi i (ux+vy)} dx dy$$

Defining electron flux visibility spectrum and count cross section  $W(u,v;E) = a^{2} \int_{x} \int_{y} N(x,y)\overline{F}(x,y;E)e^{2\pi i(ux+vy)} dx dy \qquad K(q,E) dq = \int_{\epsilon=q}^{\infty} D(q,\epsilon)Q(\epsilon,E) d\epsilon$ We get  $V(u,v;q) = \frac{1}{4\pi R^{2}} \int_{q}^{\infty} W(u,v;E)K(q,E) dE$ 

Regularized inversion produced smoothed electron flux visibility spectrum

$$\left\|\boldsymbol{V}_{[u,v]} - \boldsymbol{K} \cdot \boldsymbol{W}_{[u,v]}\right\|^{2} + \lambda_{[u,v]} \left\|\boldsymbol{W}_{[u,v]}\right\|^{2} = \text{minimum}$$

Fourier Transform Gives  $N(x,y)\overline{F}(x,y;E) = \frac{1}{a^2} \int_u \int_v W(u,v;E) e^{-2\pi i (ux+vy)} du dv$ 

Piana et al. 2007

## 2003 Nov 3 Flare (X3.9 class)

LT source detected up to 100-150 keV (Chen & Petrosian 2013)



#### HXR images by MEM\_NJIT

Х





# 2003 November 3 Flare X3.9



# 2005 September 8 Flare M2.1



# **Escape and Scattering Times**

Theory and Empirical Determinations

Effenberger and VP 2017

Chen & VP 2013



#### Scattering And Acceleration Times Interaction with Parallel Waves



So How Do We Fix It Possible Solutions

Weak Diffusion and Converging Field Lines at the Loop top Escape time (determined by scatterings into the loss cone) will increase with energy

# Trapped by a Magnetic Bottle



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#### Scattering And Acceleration Times Interaction with Parallel Waves



# SUMMARY and CONCLUSIONS

1. For sources where observations give the *Accelerated and Escaping Particle Spectra* 

we can determine the basic acceleration parameters given the plasma characteristics using the newly developed *inversion technique*.

2. The results from application to supernova remnants and cosmic ray observations are very promising.

3. Application to *RHESSI* Imaging spectroscopic data show some new results.

# III. Some Interesting Puzzle on Acceleration of Ions

Extreme enhancement of 3He and heavy ions

### SEP-Ion Spectra and 3He Enrichment

"Impulsive" Events Mason et al. 2016

#### "Gradual" Events Desital. 2015



### •2. SEP ION Enrichments



Reames et al.



# He3, He4 Fluence Ratios

Not bimodal: gradual variation with acceleration rate



#### Resonant Wave-Particle Interactions 4He and 3He



# B. He3, He4 Abundances and Spectra



# He3, He4 Fluence Ratios

Not bimodal: gradual variation with acceleration rate



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#### Flare accelerated He4 Spectra Do not agree with observed gradual events



# Gradual or Delayed Events *Re-acceleration at the CME shock*



# Gradual or Delayed Events *Re-acceleration at the CME shock*

$$\partial N/\partial t = -\partial (A_{\rm sh}N)/\partial E - N/T_{\rm esc} + \dot{Q} = 0$$

Solution with Source term flare accelerated electrons

$$F(E) = N(E)/T_{\rm esc} = \left(\tau_{\rm ac}^{\rm sh}/T_{\rm esc}\right) \int_0^E \dot{Q} dE'/E \quad R(E) \equiv \tau_{\rm ac}^{\rm sh}/T_{\rm esc} = R_0 E'$$

# **BUT** Flare accelerated He4 Spectra

after re-acceleration at the CME-shock agree



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### Numerical treatment of re-Acceleration

#### **Re-acceleration timescales**





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# A. Electrons

RHESSI 2019

### 1. SEP and HXR *Electron* Spectra

*"Impulsive; Prompt" "Gradual; Delayed" Events* 



RHESSI 2019

## • 1. SEP and HXR *Electron* Spectra

Distributions of *"Impulsive; Prompt"* and *"Gradual; Delayed"* 



## • 1. SEP and HXR *Electron* Numbers

Correlations of "Impulsive; Prompt" Events only









# Impulsive or Prompt Events Acceleration by Turbulence only at the Flare Site





# Impulsive or Prompt Events

• Acceleration by Turbulence only at the Flare Site

### Relative numbers of RPP and SEP (electrons)



Krucker et al. 2007

 Gradual or Delayed Events Re-acceleration at the CME shock

 $\partial N/\partial t = -\partial (A_{\rm sh}N)/\partial E - N/T_{\rm esc} + Q = 0$ 

Solution with Source term flare accelerated electrons



# SUMMARY and CONCLUSIONS 2

1. Inversion methods can determine the Fokker-Planck Coefficients directly and non parametrically from observed spectra.

2. Both Shock and Stochastic Acceleration Driven by Turbulence Can Account For Many High Energy Astrophysical Phenomena.