

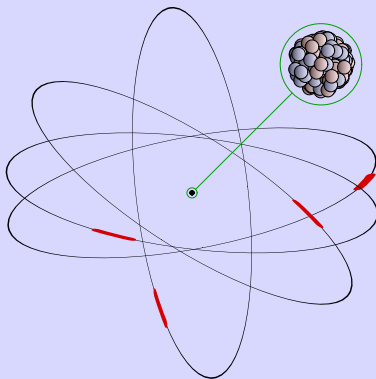
# The initial stages of heavy ion collisions

54th Cracow School of Theoretical Physics, [Zakopane](#), June 2014

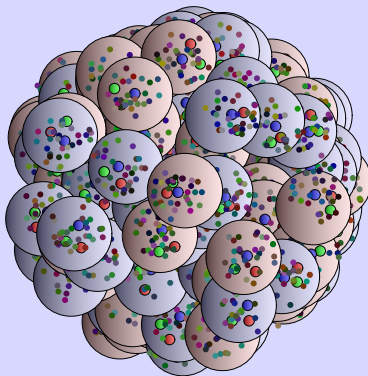
François Gelis  
IPHT, Saclay

# Heavy Ion Collisions

$10^{-10}$  m : atom (99.98% of the mass is in the nucleus)

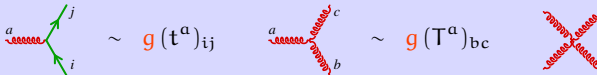


$< 10^{-15}$  m : quarks + gluons



## Strong interactions : Quantum Chromo-Dynamics

- Matter : **quarks** ; Interaction carriers : **gluons**



- $i, j$  : quark colors ;  $a, b, c$  : gluon colors
- $(t^a)_{ij}$  :  $3 \times 3$  SU(3) matrix ;  $(T^a)_{bc}$  :  $8 \times 8$  SU(3) matrix

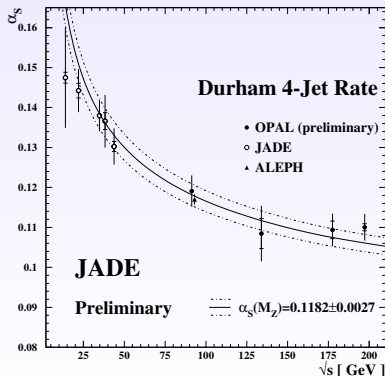
## Lagrangian

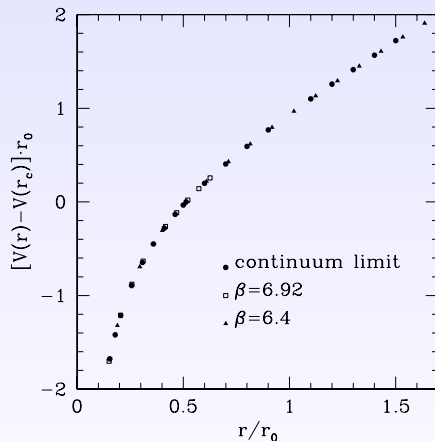
$$\mathcal{L} = -\frac{1}{4}F^2 + \sum_f \bar{\psi}_f (i\not{D} - m_f)\psi_f$$

- **Free parameters** : quark masses  $m_f$ , scale  $\Lambda_{\text{QCD}}$

Running coupling :  $\alpha_s = g^2/4\pi$

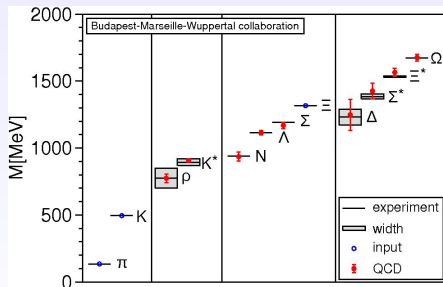
$$\alpha_s(E) = \frac{2\pi N_c}{(11N_c - 2N_f) \log(E/\Lambda_{QCD})}$$



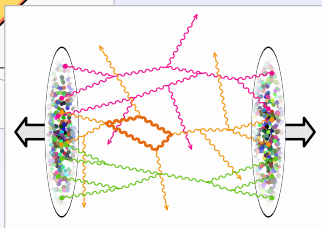
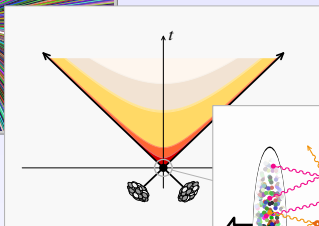
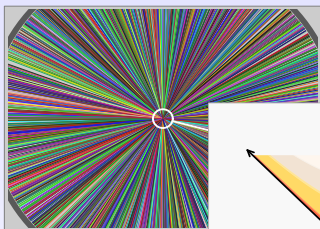


- The quark-antiquark potential increases linearly with distance

- In nature, we do not see free quarks and gluons (the closest we have to actual quarks and gluons are jets)
- Instead, we see hadrons (quark-gluon bound states):

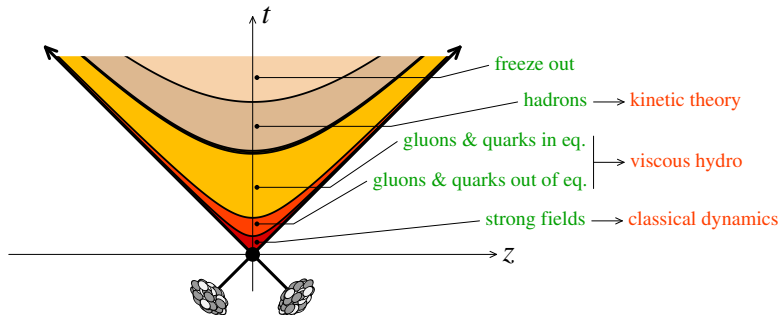




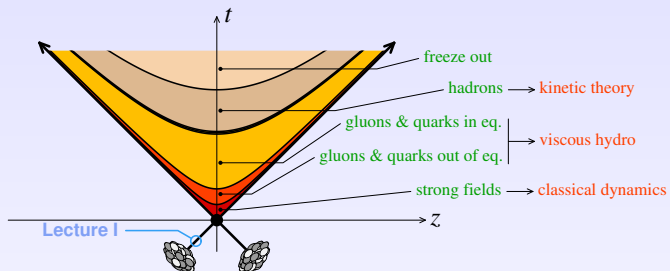


**What can be said about hadronic and nuclear collisions in terms of the underlying quarks and gluons degrees of freedom?**

# Stages of a nucleus-nucleus collision

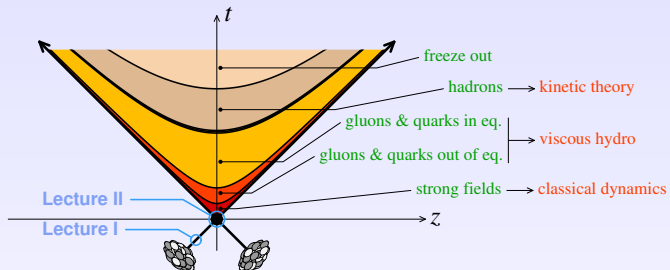


# Stages of a nucleus-nucleus collision



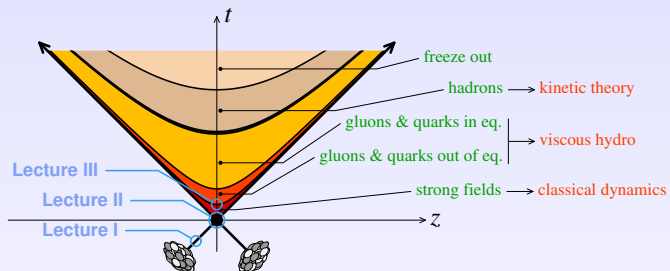
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# Stages of a nucleus-nucleus collision



- **Lecture I** : Nucleon at high energy, Color Glass Condensate
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# Stages of a nucleus-nucleus collision



- **Lecture I** : Nucleon at high energy, Color Glass Condensate
- **Lecture II** : Collision, Factorization at high energy
- **Lecture III** : Evolution after the collision

## Terminology

- **Weakly coupled** :  $g \ll 1$
- **Strongly coupled** :  $g \gg 1$
  
- **Weakly interacting** :  $g\mathcal{A} \ll 1$       $g^2 f(\mathbf{p}) \ll 1$   
 $(2 \rightarrow 2) \gg (2 \rightarrow 3), (3 \rightarrow 2), \dots$
- **Strongly interacting** :  $g\mathcal{A} \sim 1$       $g^2 f(\mathbf{p}) \sim 1$   
 $(2 \rightarrow 2) \sim (2 \rightarrow 3) \sim (3 \rightarrow 2) \sim \dots$

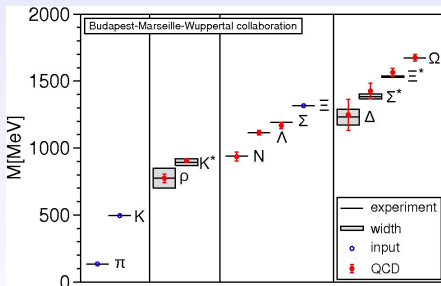
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- **Weakly coupled** :  $g \ll 1$
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**Weakly coupled**  $\not\Rightarrow$  **Weakly interacting**
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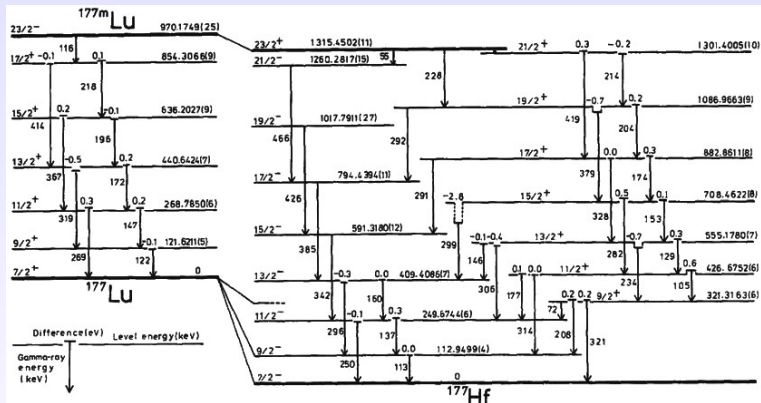
# Parton model



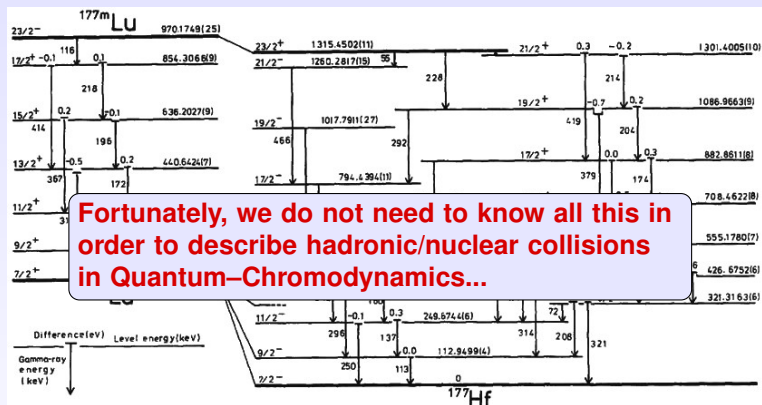
- In nature, we do not see free quarks and gluons (the closest we have to actual quarks and gluons are jets)
- Instead, we see hadrons (quark-gluon bound states):



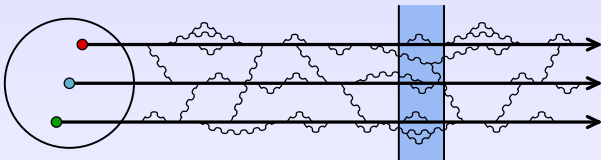
- The hadron spectrum is uniquely given by  $\Lambda_{\text{QCD}}, m_f$
- But this dependence is non-perturbative (it can now be obtained fairly accurately by lattice simulations)



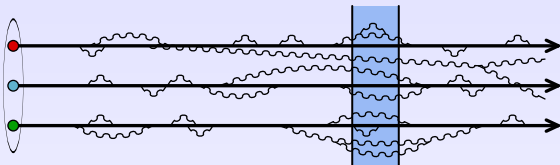
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- A **nucleon at rest** is a very complicated object...
- Contains **valence quarks** + **fluctuations at all space-time scales** smaller than its own size
- Only the fluctuations that are longer lived than the external probe participate in the interaction process
- Interactions are very complicated if the constituents of the nucleon have a non trivial dynamics over time-scales comparable to those of the probe



- Dilation of all internal time-scales for a **high energy nucleon**
- Interactions among constituents now take place over time-scales that are longer than the characteristic time-scale of the probe
  - ▷ **the constituents behave as if they were free**
  - ▷ **the reaction sees a snapshot of the nucleon internals**
- Many fluctuations live long enough to be seen by the probe. The nucleon appears **denser at high energy** (it contains more gluons)

- Provide a snapshot of the two projectiles
  - Flavor and color of each parton
  - Transverse position and momentum
- Since these properties are not known event-by-event, one should aim at a probabilistic description of the parton content of the projectiles

- In quantum mechanics, the transition probability from some hadronic states to the final state is expressed as :

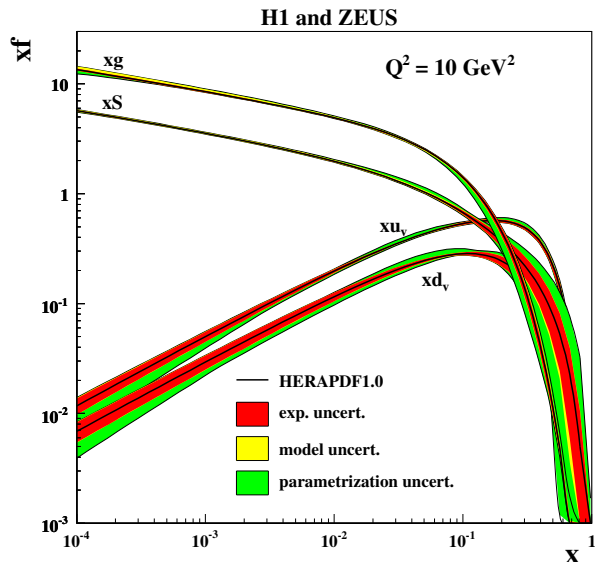
$$\text{transition probability from hadrons to } X \equiv \left| \sum_{h_1 h_2 \rightarrow X} \text{Amplitudes} \right|^2$$

- The parton model assumes that we may be able to write it as :

$$\text{transition probability from hadrons to } X \equiv \sum_{\substack{\text{partons} \\ \{q, g\}}} \text{probability to find } \{q, g\} \text{ in } \{h_1, h_2\} \otimes \left| \sum_{\{q, g\} \rightarrow X} \text{Amplitudes} \right|^2$$

- This property is known as **factorization**. It can be justified in QCD, and it is a consequence of the separation between the timescale of confinement and the collision timescale

# Parton distributions – and possible complications at small $x$



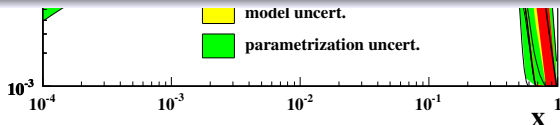
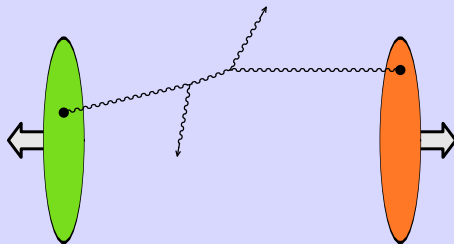


# Parton distributions – and possible complications at small $x$

H1 and ZEUS



Large  $x$  : dilute, dominated by single parton scattering

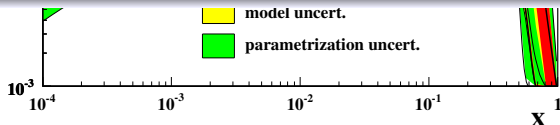
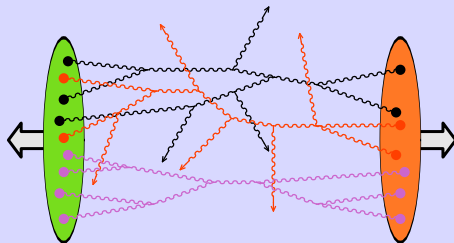


# Parton distributions – and possible complications at small $x$

H1 and ZEUS

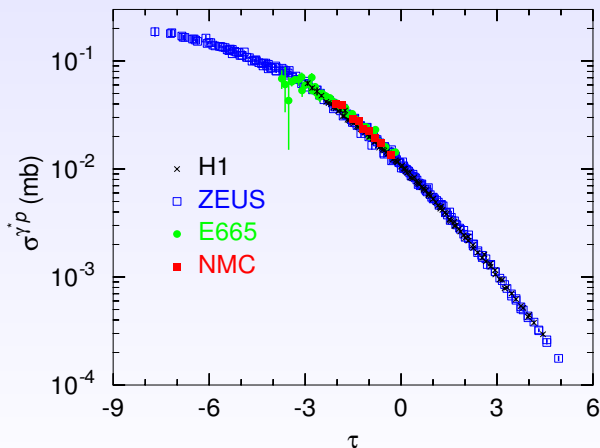


Small  $x$  : dense, multi-parton interactions become likely

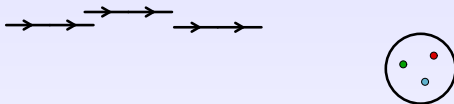


## Small $x$ data displayed differently... (Geometrical scaling)

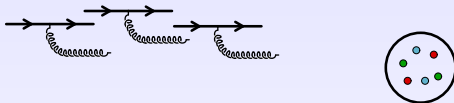
- Small  $x$  data ( $x \leq 10^{-2}$ ) displayed against  $\tau \equiv \log(x^{0.32} Q^2)$  :



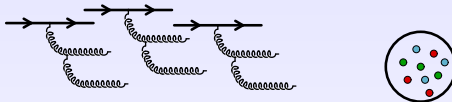
# **Gluon Saturation**



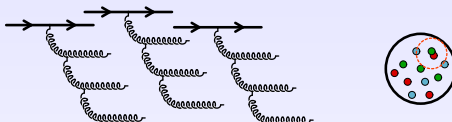
▷ at low energy, only valence quarks are present in the hadron wave function



- ▷ when energy increases, new partons are emitted
- ▷ the emission probability is  $\alpha_s \int \frac{dx}{x} \sim \alpha_s \ln\left(\frac{1}{x}\right)$ , with  $x$  the longitudinal momentum fraction of the gluon
- ▷ at small- $x$  (i.e. high energy), these logs need to be resummed

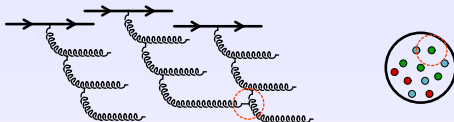


▷ as long as the density of constituents remains small, the evolution is **linear**: the number of partons produced at a given step is proportional to the number of partons at the previous step



▷ eventually, the partons start overlapping in phase-space



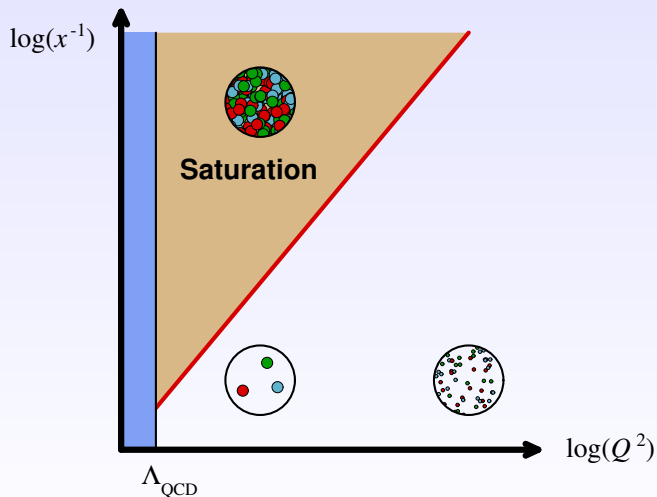


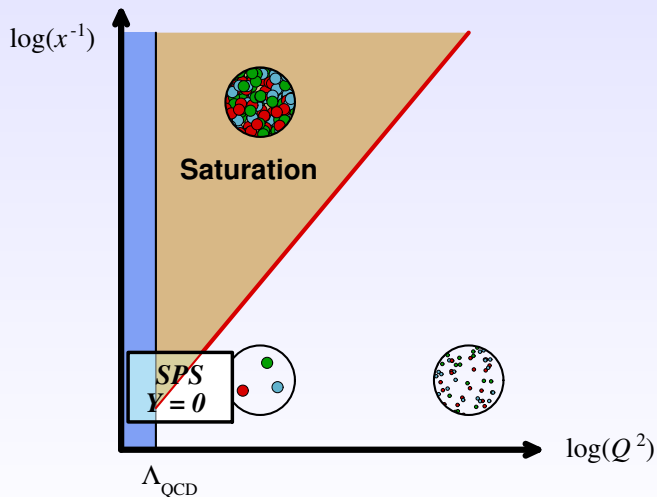
- ▷ parton recombination becomes favorable
  - ▷ after this point, the evolution is **non-linear**:  
the number of partons created at a given step depends non-linearly on the number of partons present previously
- Balitsky (1996), Kovchegov (1996,2000)  
Jalilian-Marian, Kovner, Leonidov, Weigert (1997,1999)  
Iancu, Leonidov, McLerran (2001)

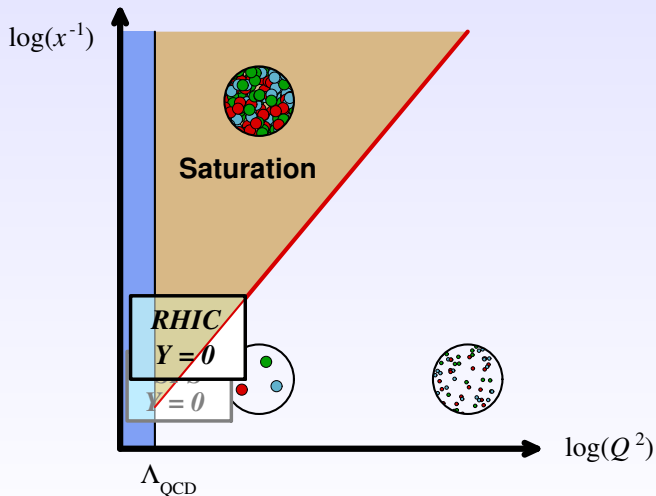
## Saturation criterion [Gribov, Levin, Ryskin (1983)]

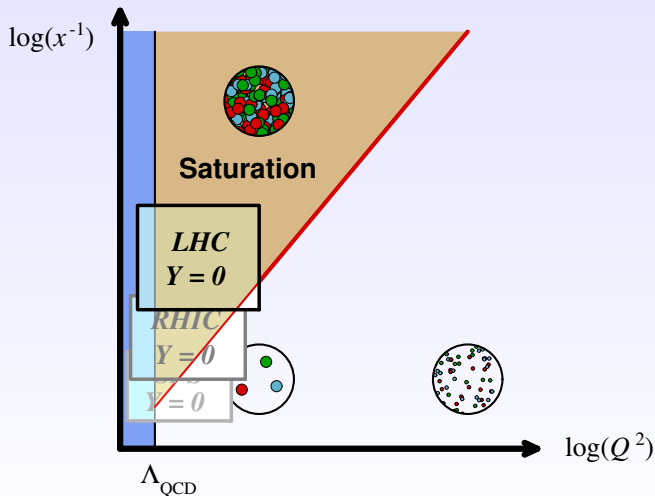
$$\underbrace{\alpha_s Q^{-2}}_{\sigma_{gg \rightarrow g}} \times \underbrace{A^{-2/3} x G(x, Q^2)}_{\text{surface density}} \geq 1$$

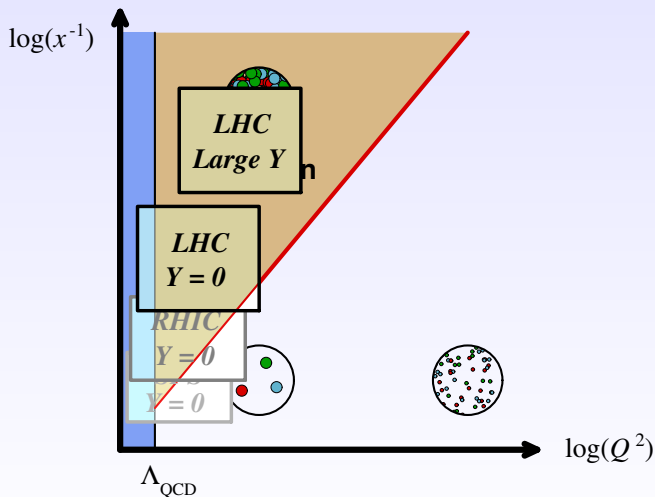
$$Q^2 \leq \underbrace{Q_s^2}_{\text{saturation momentum}} \equiv \frac{\alpha_s x G(x, Q_s^2)}{A^{2/3}} \sim A^{1/3} x^{-0.3}$$



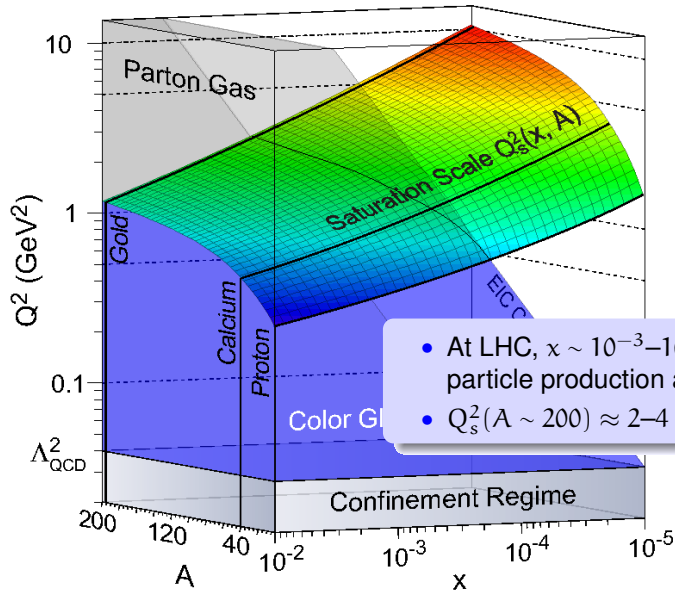






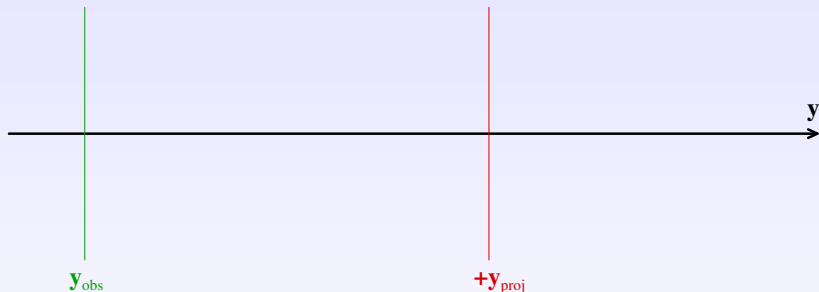


## Saturation domain

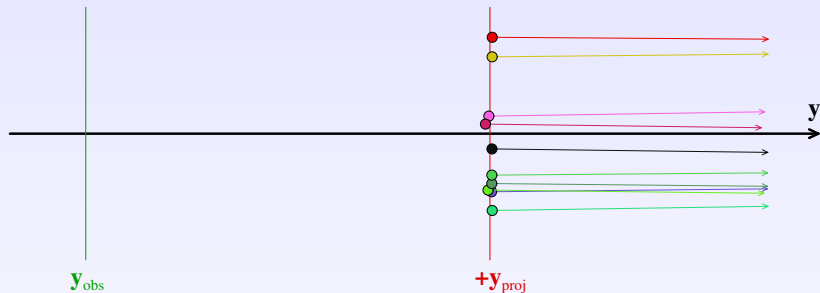




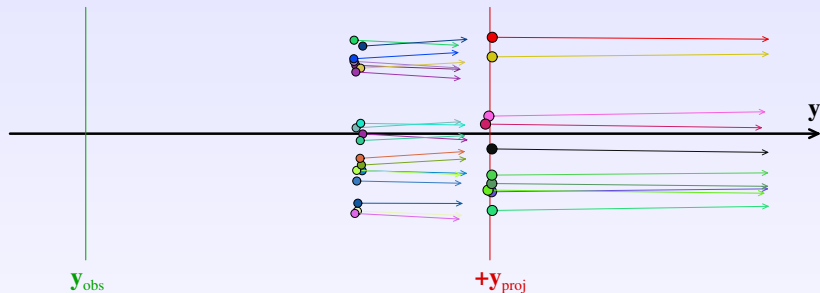
# **Color Glass Condensate**



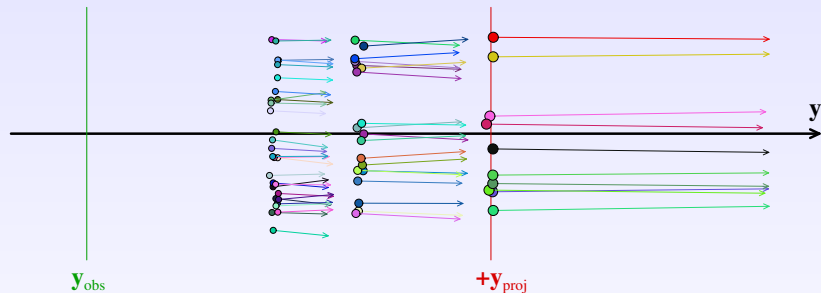
- $p_{\perp}^2 \sim Q_s^2 \sim \Lambda_{\text{QCD}}^2 e^{\lambda(y_{\text{proj}} - y)}$  ,  $p_z \sim Q_s e^{y - y_{\text{obs}}}$
- Fast partons : frozen dynamics, negligible  $p_{\perp} \Rightarrow$  classical current
- Slow partons : evolve with time  $\Rightarrow$  gauge fields



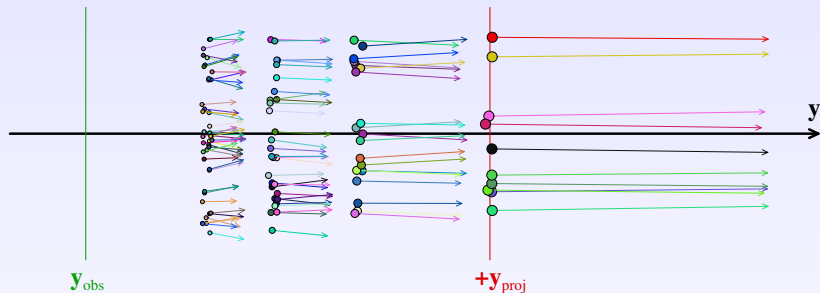
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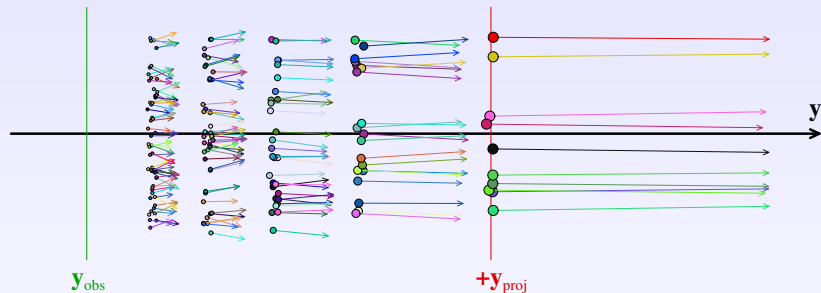
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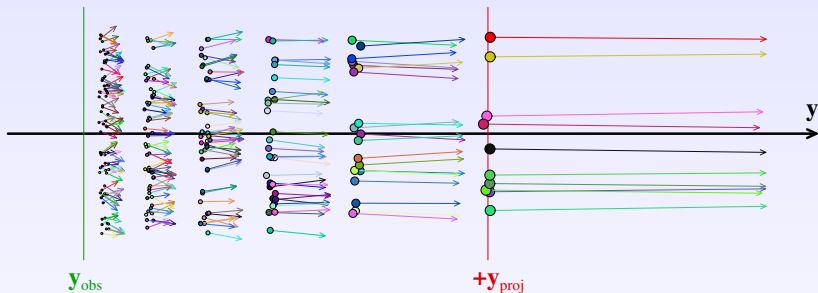
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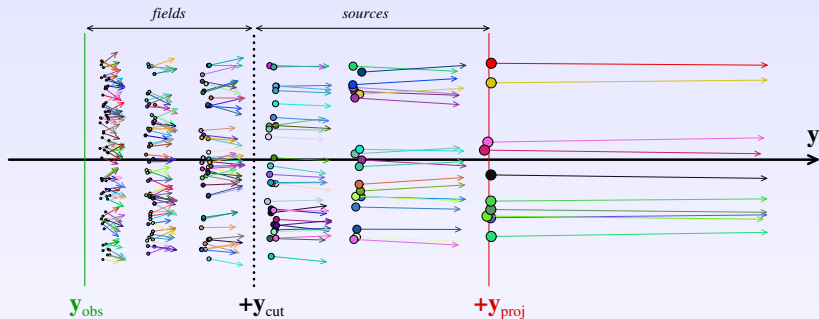


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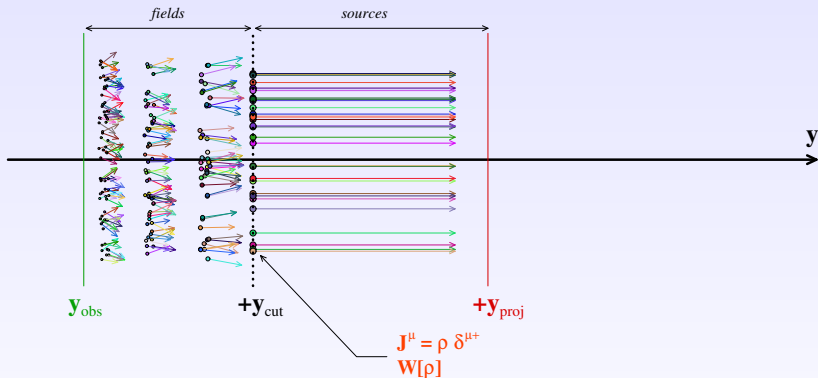
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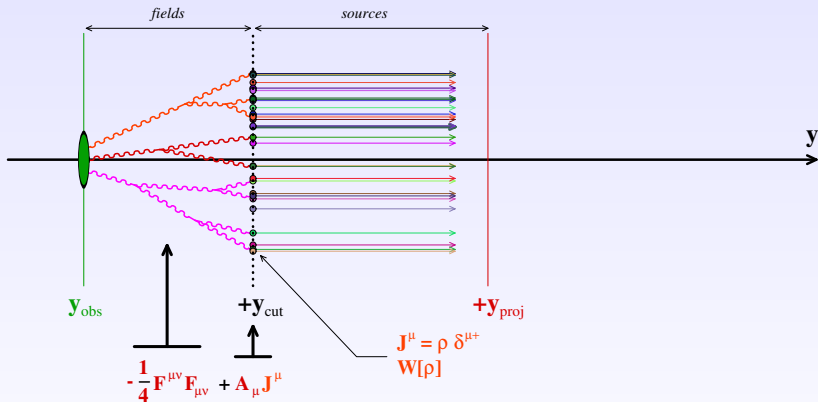
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# Degrees of freedom and their interplay



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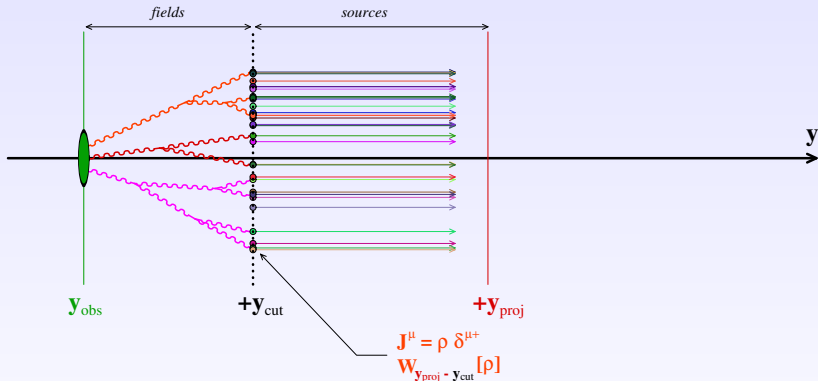
- **Color** : quarks and gluons are colored
- **Glass** : the system has degrees of freedom whose timescale is much larger than the typical timescales for interaction processes. Moreover, these degrees of freedom are stochastic variables, like in “spin glasses” for instance
- **Condensate** : the soft degrees of freedom are as densely packed as they can (the density remains finite, of order  $\alpha_s^{-1}$ , due to the interactions between gluons)

- Expectation values can be written as :

$$\langle \mathcal{O} \rangle = \int [D\rho] W[\rho] \mathcal{O}[\rho]$$

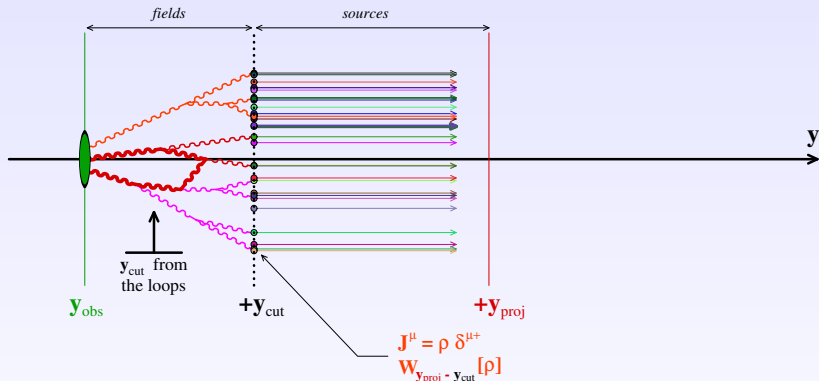
- In this formalism, the  $Y$  dependence of the expectation value  $\langle \mathcal{O} \rangle$  must come from the probability density  $W[\rho]$

# Cancellation of the cutoff dependence



- The cutoff  $y_{\text{cut}}$  is arbitrary and should not affect the result
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- The cutoff  $y_{\text{cut}}$  is arbitrary and should not affect the result
- The probability distribution  $W[\rho]$  changes with the cutoff
- Loop corrections are also  $y_{\text{cut}}$ -dependent and cancel the cutoff dependence coming from  $W[\rho]$ , to all orders  $(\alpha_s y_{\text{cut}})^n$  (Leading Log)

Jalilian-Marian, Iancu, McLerran, Weigert, Leonidov, Kovner

$$\frac{\partial W_Y[\rho]}{\partial Y} = \frac{1}{2} \int_{\vec{x}_\perp, \vec{y}_\perp} \underbrace{\frac{\delta}{\delta \rho_a(\vec{x}_\perp)} \chi_{ab}(\vec{x}_\perp, \vec{y}_\perp) \frac{\delta}{\delta \rho_b(\vec{y}_\perp)}}_{\mathcal{H} \text{ (JIMWLK Hamiltonian)}} W_Y[\rho]$$

with

$$\chi_{ab}(\vec{x}_\perp, \vec{y}_\perp) \equiv \frac{\alpha_s}{4\pi^3} \int d^2 \vec{z}_\perp \frac{(\vec{x}_\perp - \vec{z}_\perp) \cdot (\vec{y}_\perp - \vec{z}_\perp)}{(\vec{x}_\perp - \vec{z}_\perp)^2 (\vec{y}_\perp - \vec{z}_\perp)^2} \times \left[ \left( 1 - \tilde{U}^\dagger(\vec{x}_\perp) \tilde{U}(\vec{z}_\perp) \right) \left( 1 - \tilde{U}^\dagger(\vec{z}_\perp) \tilde{U}(\vec{y}_\perp) \right) \right]_{ab}$$

- $\tilde{U}$  is a Wilson line in the adjoint representation (exponential of the gauge field  $A^+$  such that  $\nabla_\perp^2 A^+ = -\rho$ )



- **Sketch of a derivation** : exploit the frame independence in order to write :

$$\langle \mathcal{O} \rangle_Y = \underbrace{\int [D\rho] W_0[\rho] \mathcal{O}_Y[\rho]}_{\text{Balitsky-Kovchegov description}} = \underbrace{\int [D\rho] W_Y[\rho] \mathcal{O}_0[\rho]}_{\text{CGC description}}$$

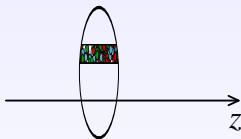
- Calculate the 1-loop correction to some generic observable, and extract the terms in  $\alpha_s Y$
- **Universality** : the evolution of  $W_Y[\rho]$  does not depend on the observable one is considering

$$\frac{\partial \mathbf{T}(\vec{x}_\perp, \vec{y}_\perp)}{\partial Y} = \frac{\alpha_s N_c}{2\pi^2} \int d^2 \vec{z}_\perp \frac{(\vec{x}_\perp - \vec{y}_\perp)^2}{(\vec{x}_\perp - \vec{z}_\perp)^2 (\vec{y}_\perp - \vec{z}_\perp)^2} \times \left\{ \mathbf{T}(\vec{x}_\perp, \vec{z}_\perp) + \mathbf{T}(\vec{z}_\perp, \vec{y}_\perp) - \mathbf{T}(\vec{x}_\perp, \vec{y}_\perp) - \mathbf{T}(\vec{x}_\perp, \vec{z}_\perp) \mathbf{T}(\vec{z}_\perp, \vec{y}_\perp) \right\}$$

$$\mathbf{T}(\vec{x}_\perp, \vec{y}_\perp) \equiv 1 - \frac{1}{N_c} \text{Tr} U(\vec{x}_\perp) U^\dagger(\vec{y}_\perp)$$

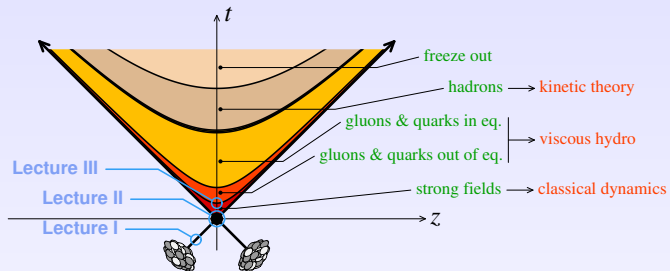
- $\mathbf{T}$  is small in the dilute regime, and grows when  $x$  decreases
- The r.h.s. vanishes when  $\mathbf{T}$  reaches 1, and the growth stops
- Both  $\mathbf{T} = 0$  and  $\mathbf{T} = 1$  are fixed points of this equation
  - $\mathbf{T} = \epsilon$  : r.h.s.  $> 0$   $\Rightarrow$   $\mathbf{T} = 0$  is **unstable**
  - $\mathbf{T} = 1 - \epsilon$  : r.h.s.  $> 0$   $\Rightarrow$   $\mathbf{T} = 1$  is **stable**

- The JIMWLK equation must be completed by an initial condition, given at some moderate  $x_0$
- As with DGLAP, the initial condition is non-perturbative
- The **McLerran-Venugopalan** model is often used as an initial condition at moderate  $x_0$  for a **large nucleus** :



- partons distributed randomly
  - many partons in a small tube
  - no correlations at different  $\vec{x}_\perp$
- The MV model assumes that the density of color charges  $\rho(\vec{x}_\perp)$  has a **Gaussian** distribution :

$$W_{x_0}[\rho] = \exp \left[ - \int d^2\vec{x}_\perp \frac{\rho_a(\vec{x}_\perp)\rho_a(\vec{x}_\perp)}{2\mu^2(\vec{x}_\perp)} \right]$$



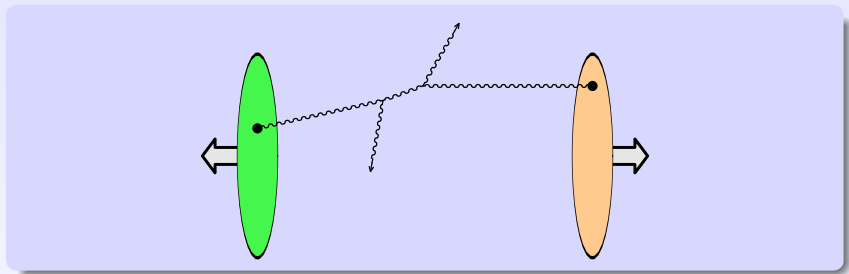
- Lecture I : Nucleon at high energy, Color Glass Condensate
- Lecture II : Collision, Factorization at high energy
- Lecture III : Evolution after the collision

**CGC at LO**

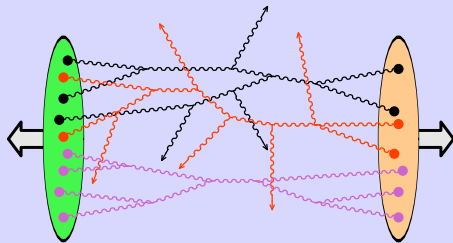


$$\mathcal{L} = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \underbrace{(J_1^\mu + J_2^\mu)}_{J^\mu} A_\mu$$

- Given the sources  $\rho_{1,2}$  in each projectile, how do we calculate observables? Is there some kind of perturbative expansion?
- Loop corrections and factorization?

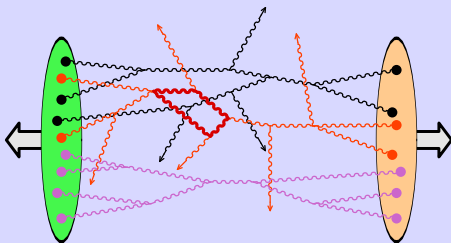


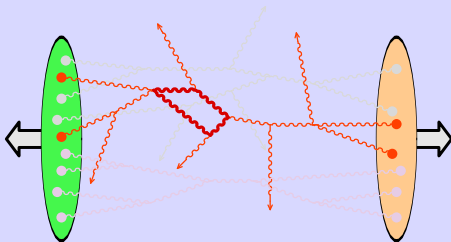
- Dilute regime : one parton in each projectile interact



- Dilute regime : one parton in each projectile interact
- Dense regime : multiparton processes become crucial  
(+ pileup of many partonic scatterings in each AA collision)







- In the **saturated regime**, the sources are of order  $1/g$  (because  $\langle \rho\rho \rangle \sim$  occupation number  $\sim 1/\alpha_s$ )

The order of each **connected subdiagram** is

$$\frac{1}{g^2} g^{\# \text{ produced gluons}} g^{2(\# \text{ loops})}$$

- Example : gluon spectrum :

$$\frac{dN_1}{d^3\vec{p}} = \frac{1}{g^2} \left[ c_0 + c_1 g^2 + c_2 g^4 + \dots \right]$$

- The coefficients  $c_0, c_1, \dots$  are themselves series that resum all orders in  $(g\rho_{1,2})^n$ . For instance,

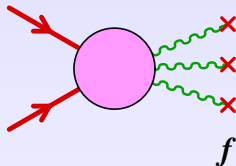
$$c_0 = \sum_{n=0}^{\infty} c_{0,n} (g\rho_{1,2})^n$$

- At Leading Order, we want to calculate the full  $c_0/g^2$  contribution

- Average gluon multiplicity  $\sim 1/g^2 \gg 1$
- Probability of a given final state  $\sim \exp(-1/g^2) \ll 1$   
 $\implies$  not very useful
- Inclusive observables :  
average of some quantity over **all possible final states**

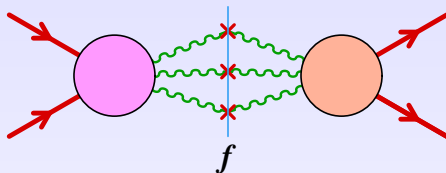
$$\langle \mathcal{O} \rangle \equiv \sum_{\text{all final states } f} \mathcal{P}(AA \rightarrow f) \mathcal{O}[f]$$

**Schwinger-Keldysh formalism** : technique to perform the sum over final states without computing the individual transition probabilities  $\mathcal{P}(AA \rightarrow f)$



**Time-ordered  
perturbation theory :**

$$G_{++}(p) = \frac{i}{p^2 + i\epsilon}$$

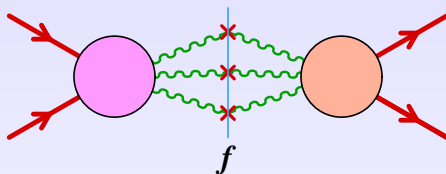


**Time-ordered  
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$$G_{++}(p) = \frac{i}{p^2 + i\epsilon}$$

**Anti time-ordered  
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$$G_{--}(p) = \frac{-i}{p^2 - i\epsilon}$$



**Time-ordered  
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**Anti time-ordered  
perturbation theory :**

$$G_{--}(p) = \frac{-i}{p^2 - i\epsilon}$$

**Schwinger-Keldysh formalism :**

- Accross the cut :  $G_{+-}(p) \equiv 2\pi\theta(-p^0)\delta(p^2)$
- Draw all the graphs  $AA \rightarrow AA$  that have a given order in  $g^2$
- Sum over all the possibilities of assigning the labels  $+$  and  $-$  to the internal vertices

- Schwinger-Keldysh formalism  $\iff$  Cutkosky's cutting rules
- The generating functional  $Z[j_+, j_-]$  of the Schwinger-Keldysh formalism can be obtained from the generating functional  $Z[j]$  of time-ordered perturbation theory :

$$Z[j_+, j_-] = \exp \left[ \int d^4x d^4y G_{+-}^0(x, y) \square_x \square_y \frac{\delta^2}{\delta j_+(x) \delta j_-(y)} \right] Z[j_+] Z^*[j_-]$$

- Physical sources :  $j_+ = j_-$
- $G_{++} + G_{--} = G_{+-} + G_{-+}$
- $G_{++} - G_{+-} = G_{-+} - G_{--} = G_R$  (retarded propagator)



- The Leading Order is the sum of all the tree diagrams  
The sum over the  $\pm$  labels turns all propagators into retarded  
Expressible in terms of **classical solutions of Yang-Mills equations** :

$$\mathcal{D}_\mu \mathcal{F}^{\mu\nu} = J_1^\nu + J_2^\nu$$

- Boundary conditions :  $\lim_{x^0 \rightarrow -\infty} \mathcal{A}^\mu(x) = 0$

(WARNING : this is not true for exclusive observables!)

### Components of the energy-momentum tensor at **LO** :

$$T_{\text{LO}}^{00} = \frac{1}{2} \underbrace{[\mathbf{E}^2 + \mathbf{B}^2]}_{\text{class. fields}} \quad T_{\text{LO}}^{0i} = [\mathbf{E} \times \mathbf{B}]^i$$

$$T_{\text{LO}}^{ij} = \frac{\delta^{ij}}{2} [\mathbf{E}^2 + \mathbf{B}^2] - [\mathbf{E}^i \mathbf{E}^j + \mathbf{B}^i \mathbf{B}^j]$$

This sum of trees obeys :

$$\square \mathcal{A} + \mathcal{U}'(\mathcal{A}) = \mathcal{J} \quad , \quad \lim_{x_0 \rightarrow -\infty} \mathcal{A}(x) = 0$$

- Perturbative expansion (illustrated here for  $\mathcal{U}(\mathcal{A}) \propto \mathcal{A}^3$ ) :

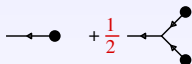


- Built with retarded propagators

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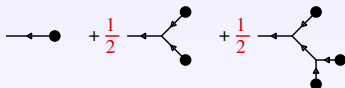


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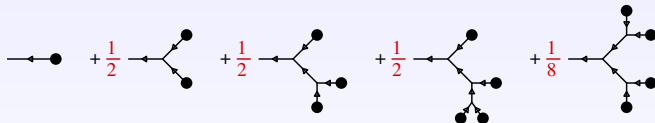


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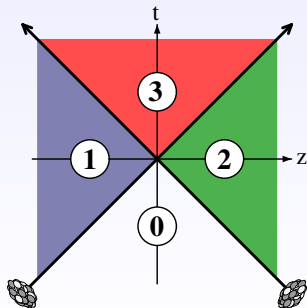
- Built with retarded propagators
- Classical fields resum the full series of tree diagrams

[Kovner, McLerran, Weigert (1995)]

[Krasnitz, Venugopalan (1999)] [Lappi (2003)]

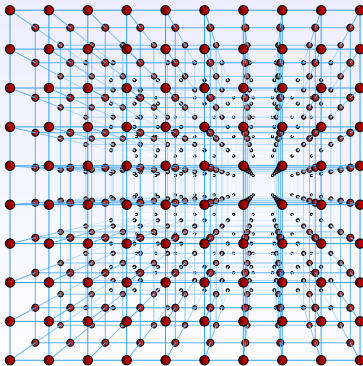
- Sources located on the light-cone :

$$J^\mu = \delta^{\mu+} \underbrace{\rho_1(x^-, \mathbf{x}_\perp)}_{\sim \delta(x^-)} + \delta^{\mu-} \underbrace{\rho_2(x^+, \mathbf{x}_\perp)}_{\sim \delta(x^+)}$$



- **Region 0** :  $\mathcal{A}^\mu = 0$
- **Regions 1,2** :  $\mathcal{A}^\mu$  depends only on  $\rho_1$  or  $\rho_2$  (known analytically)
- **Region 3** :  $\mathcal{A}^\mu =$  radiated field known analytically at  $\tau = 0^+$  numerical solution for  $\tau > 0$

- Choose a variable that you call “time”  
( $\tau = \sqrt{t^2 - z^2}$  in a high energy collision)
- Conjuguate momenta :  $E \equiv \frac{\partial \mathcal{L}}{\partial (\partial_\tau A)}$ . Hamiltonian :  $\mathcal{H} = EA - \mathcal{L}$
- Discretize space on a **3-dim cubic lattice** :

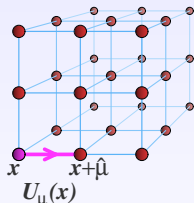


- Naively, one may think of putting the gauge potential  $A^i$  on the nodes of the lattice. **Problem** : this breaks the gauge invariance by terms proportional to the lattice spacing
- **Wilson formulation** : introduce a **link variable**

$$U_i(x) \equiv \text{P exp } i g \int_x^{x+\hat{i}} ds A^i(s)$$

that lives on the edge between the nodes  $x$  and  $x+\hat{i}$ .  
Under a gauge transformation, it transforms as

$$U_i(x) \rightarrow \Omega(x) U_i(x) \Omega^\dagger(x+\hat{i})$$



- The  $A^\tau$  potential should live on the nodes (but in practice, one ignores it altogether by choosing the  $A^\tau = 0$  gauge)
- The electrical fields  $E^i$  live on the nodes of the lattice

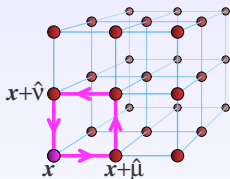


- Hamiltonian in  $A^\tau = 0$  gauge :

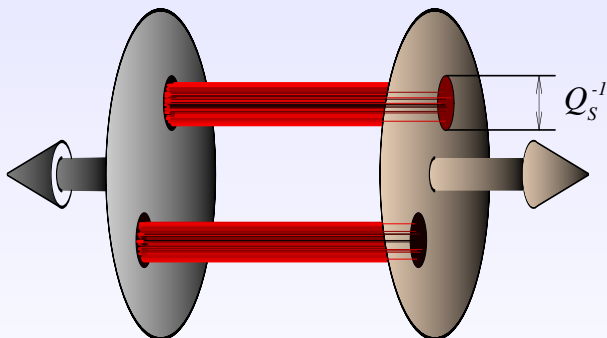
$$\mathcal{H} = \sum_{\vec{x};i} \frac{E^i(\vec{x})E^i(\vec{x})}{2} - \frac{6}{g^2} \sum_{\vec{x};ij} 1 - \frac{1}{3} \text{Re Tr} \underbrace{(\mathbf{U}_i(\vec{x})\mathbf{U}_j(\vec{x} + \hat{i})\mathbf{U}_i^\dagger(\vec{x} + \hat{j})\mathbf{U}_j^\dagger(\vec{x}))}_{\text{plaquette at the point } \vec{x} \text{ in the } ij \text{ plane}}$$

Properties :

- Invariant under the residual gauge transformations that preserve  $A^\tau = 0$  (i.e. time independent gauge transformations)
- Hamilton equations  $\Leftrightarrow$  lattice classical Yang-Mills equations
- The Hamilton equations on the lattice form a (large) set of ordinary differential equations, that can be solved with the **leapfrog algorithm**



[McLerran, Lappi (2006)]

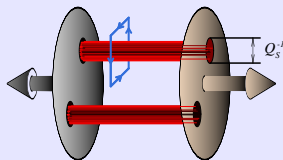


- Seed for the long range rapidity correlations (ridge)  
[Dumitru, FG, McLerran, Venugopalan (2008)]  
[Dusling, FG, Lappi, Venugopalan (2009)]

[McLerran, Lappi (2006)]

[Dumitru, Nara, Petreska (2013)]

[Dumitru, Lappi, Nara (2014)]



$$W \equiv \left\langle P \exp i g \int_{\gamma} dx^i \mathcal{A}^i \right\rangle$$

$$W \sim \exp(-\text{Area}) \text{ for } \text{Area} \times Q_s^2 \gtrsim 1$$

$\Rightarrow$  magnetic flux bundled  
in domains of area  $\sim Q_s^{-2}$

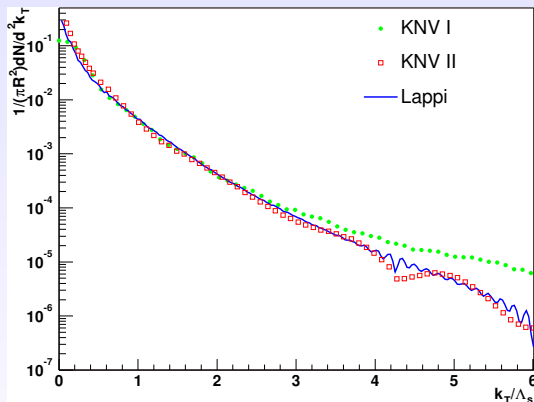
- Seed for the long range rapidity correlations (ridge)  
[Dumitru, FG, McLerran, Venugopalan (2008)]  
[Dusling, FG, Lappi, Venugopalan (2009)]

- The gluon spectrum at LO is given by :

$$\left. \frac{dN_1}{dY d^2\vec{p}_\perp} \right|_{LO} = \frac{1}{16\pi^3} \int_{x,y} e^{ip \cdot (x-y)} \square_x \square_y \sum_\lambda \epsilon_\lambda^\mu \epsilon_\lambda^\nu \mathcal{A}_\mu(x) \mathcal{A}_\nu(y)$$

### Inclusive multigluon spectra at Leading Order

$$\left. \frac{dN_n}{d^3\mathbf{p}_1 \cdots d^3\mathbf{p}_n} \right|_{LO} = \left. \frac{dN_1}{d^3\mathbf{p}_1} \right|_{LO} \times \cdots \times \left. \frac{dN_1}{d^3\mathbf{p}_n} \right|_{LO}$$



- Lattice artifacts at large momentum  
(they do not affect much the overall number of gluons)
- Important softening at small  $k_{\perp}$  compared to pQCD (saturation)

## Gluon multiplicity (in a symmetric collision)

$$\frac{dN_{\text{gluons}}}{dy} \sim \int d^2\mathbf{b} \frac{Q_s^2(\mathbf{b})}{\alpha_s}$$

- The energy dependence of the multiplicity is inherited from that of the saturation momentum :

$$Q_s^2 \sim x^{-0.3} \sim s^{0.15}$$

# Next-to- Leading Order

- Naive perturbative expansion :

$$\frac{dN}{d^3\vec{p}} = \frac{1}{g^2} \left[ c_0 + c_1 g^2 + c_2 g^4 + \dots \right]$$

Note : so far, we have seen how to compute  $c_0$

- **Problem** :  $c_{1,2,\dots}$  contain powers of the cutoff  $y_{\text{cut}}$  :

$$\begin{aligned} c_1 &= c_{10} + c_{11} y_{\text{cut}} \\ c_2 &= c_{20} + c_{21} y_{\text{cut}} + \underbrace{c_{22} y_{\text{cut}}^2}_{\text{Leading Log terms}} \end{aligned}$$

Leading Log terms

- These terms are unphysical. However, they are universal and can be absorbed into the distributions  $W[\rho_{1,2}]$



### [FG, Lappi, Venugopalan (2007–2008)]

- Observables at NLO can be obtained from the LO by “fiddling” with the initial condition of the classical field :

$$\mathcal{O}_{\text{NLO}} = \left[ \frac{1}{2} \int_{\mathbf{u}, \mathbf{v}} \Gamma_2(\mathbf{u}, \mathbf{v}) \frac{\partial}{\partial \mathcal{A}_{\text{init}}(\mathbf{u})} \frac{\partial}{\partial \mathcal{A}_{\text{init}}(\mathbf{v})} + \int_{\mathbf{u}} \alpha(\mathbf{u}) \frac{\partial}{\partial \mathcal{A}_{\text{init}}(\mathbf{u})} \right] \mathcal{O}_{\text{LO}}$$

- NLO : the time evolution remains classical;  
 $\hbar$  only enters in the initial condition
- NNLO :  $\hbar$  starts appearing in the time evolution itself
- NOT true for exclusive observables
- This formula is the basis for proving the factorization of the  $W[\rho]$  and their universality (at Leading Log)

- By keeping only the terms that contain the cutoff :

$$\frac{1}{2} \int_{\mathbf{u}, \mathbf{v}} \Gamma_2(\mathbf{u}, \mathbf{v}) \frac{\partial}{\partial \mathcal{A}_{\text{init}}(\mathbf{u})} \frac{\partial}{\partial \mathcal{A}_{\text{init}}(\mathbf{v})} + \int_{\mathbf{u}} \alpha(\mathbf{u}) \frac{\partial}{\partial \mathcal{A}_{\text{init}}(\mathbf{u})} = y_{\text{cut}}^+ \mathcal{H}_1 + y_{\text{cut}}^- \mathcal{H}_2$$

$\mathcal{H}_{1,2}$  : JIMWLK Hamiltonians for the two nuclei

- Notes :
  - the  $y_{\text{cut}}$  terms do not mix the two nuclei  $\Rightarrow$  Factorization
  - same operator in all inclusive observables  $\Rightarrow$  Universality

- By integrating over  $\rho_{1,2}$ 's, one can absorb the  $y_{\text{cut}}$ -dependent terms into universal distributions  $W_{1,2}[\rho_{1,2}]$
- $\mathcal{H}$  is a self-adjoint operator :

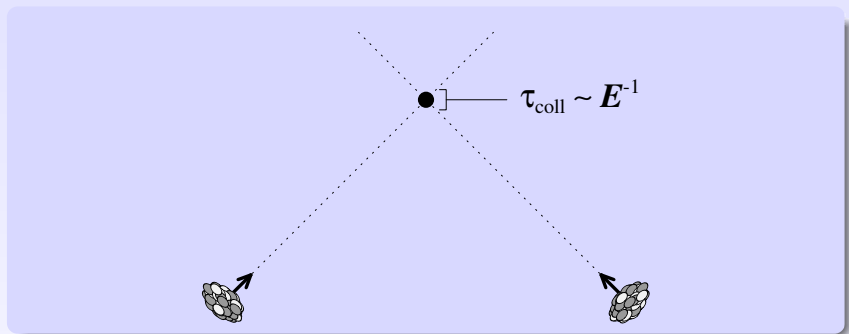
$$\int [D\rho] W (\mathcal{H} \Theta) = \int [D\rho] (\mathcal{H} W) \Theta$$

## Single inclusive gluon spectrum at Leading Log accuracy

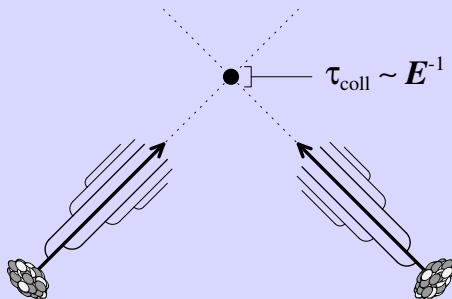
$$\frac{dN_1}{d^3\vec{p}} \Big|_{\text{Leading Log}} = \int [D\rho_1 D\rho_2] W_1[\rho_1] W_2[\rho_2] \underbrace{\frac{dN_1}{d^3\vec{p}} \Big|_{\text{LO}}}_{\text{fixed } \rho_{1,2}}$$

- Cutoff absorbed into the evolution of  $W_{1,2}$  with rapidity

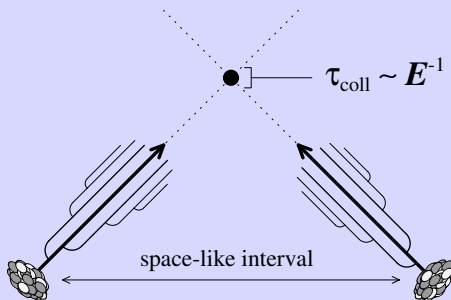
$$\frac{\partial W}{\partial y} = \mathcal{H} W \quad (\text{JIMWLK equation})$$



- The duration of the collision is very short:  $\tau_{\text{coll}} \sim E^{-1}$



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- The terms we want to resum are due to the radiation of soft gluons, which takes a long time
  - ▷ it must happen (long) before the collision



- The duration of the collision is very short:  $\tau_{\text{coll}} \sim E^{-1}$
- The terms we want to resum are due to the radiation of soft gluons, which takes a long time
  - ▷ it must happen (long) before the collision
- The projectiles are not in causal contact before the impact
  - ▷ the  $y_{\text{cut}}$ -dependent terms are intrinsic properties of the projectiles, independent of the measured observable

- The previous factorization can be extended to multi-particle inclusive spectra :

$$\begin{aligned} \frac{dN_n}{d^3\vec{p}_1 \cdots d^3\vec{p}_n} & \stackrel{\text{Leading Log}}{=} \\ & = \int [D\rho_1 D\rho_2] W_1[\rho_1] W_2[\rho_2] \left. \frac{dN_1}{d^3\vec{p}_1} \cdots \frac{dN_1}{d^3\vec{p}_n} \right|_{LO} \end{aligned}$$

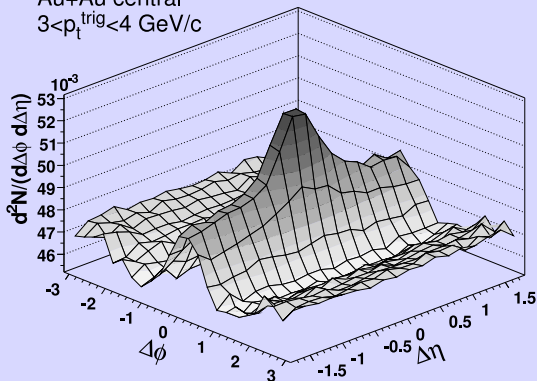
- At Leading Log accuracy, all the rapidity correlations come from the evolution of the distributions  $W[\rho_{1,2}]$ 
  - ▷ they are a property of the pre-collision initial state
- Predicts long range ( $\Delta y \sim \alpha_s^{-1}$ ) correlations in rapidity

# Ridge correlations

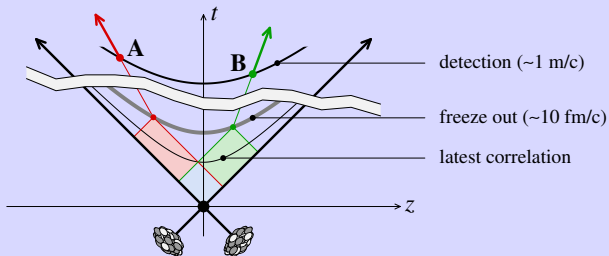


[STAR Collaboration, RHIC]

Au+Au central  
 $3 < p_t^{\text{trig}} < 4 \text{ GeV}/c$



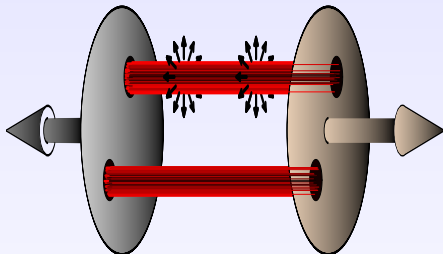
- Long range rapidity correlation
- Narrow correlation in azimuthal angle
- Narrow jet-like correlation near  $\Delta\eta = \Delta\phi = 0$



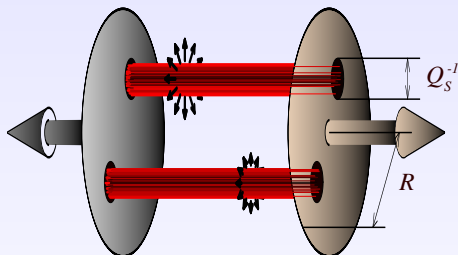
- By causality, long range rapidity correlations are sensitive to the dynamics of the system at early times :

$$\tau_{\text{correlation}} \leq \tau_{\text{freeze out}} e^{-|\Delta y|/2}$$

- $\eta$ -independent fields lead to long range correlations :

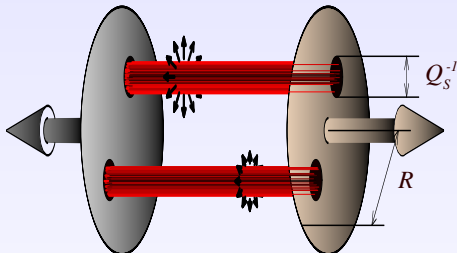


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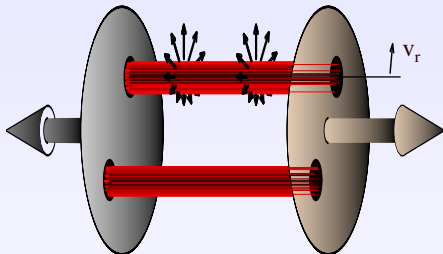
- Particles emitted by different flux tubes are not correlated
  - ▷  $(RQ_s)^{-2}$  sets the strength of the correlation

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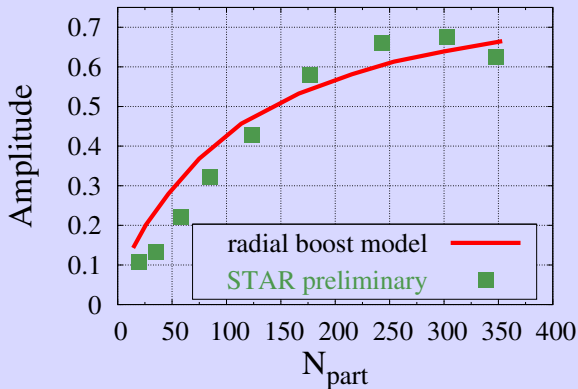


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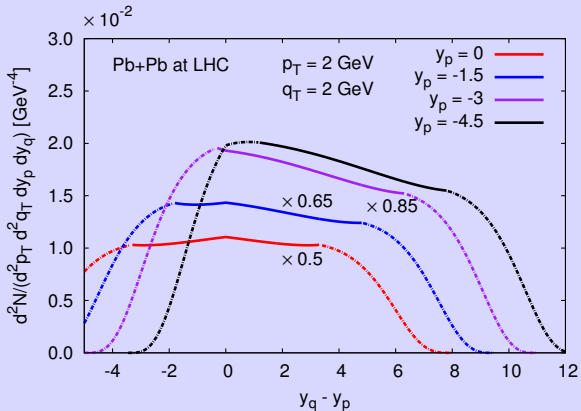


- Particles emitted by different flux tubes are not correlated
  - ▷  $(RQ_s)^{-2}$  sets the strength of the correlation
- At early times, the correlation is flat in  $\Delta\varphi$   
The collimation in  $\Delta\varphi$  is produced later by radial flow

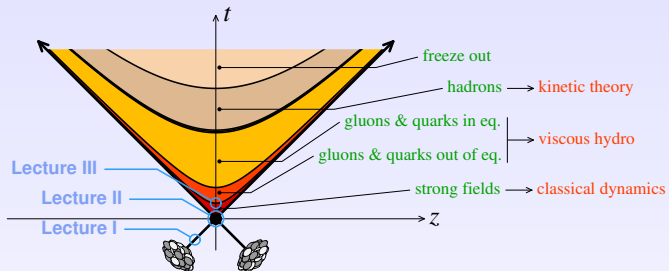


- Main effect : increase of the radial flow velocity with the centrality of the collision

## Estimate at LHC energy

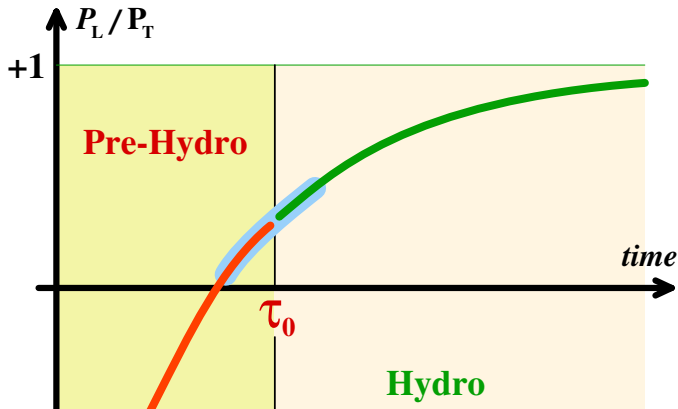






- Lecture I : Nucleon at high energy, Color Glass Condensate
- Lecture II : Collision, Factorization at high energy
- Lecture III : Evolution after the collision

# Post collision evolution



**GOAL : smooth matching to Hydrodynamics**

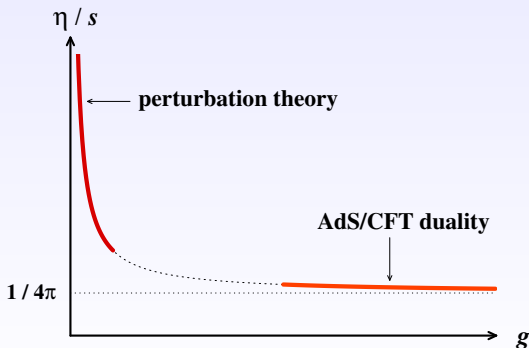
- The pre-hydro model should bring the system to a situation that hydrodynamics can handle
- Pre-hydro and hydro should agree over some range of time  $\Rightarrow$  no  $\tau_0$  dependence
- NOTE : the anisotropic hydro model of [Ryblewski, Strickland et al.](#) may help for a matching at small  $\tau_0$

## Conditions for hydrodynamics

- The initial  $P_L/P_T$  should not be too small  
(for the stability of hydro codes)
- The ratio  $\eta/s$  should be small enough  
(for an efficient transfer from spatial to momentum anisotropy)

Weak coupling QCD result [Arnold, Moore, Yaffe (2000)]

$$\frac{\eta}{s} \approx \frac{5.1}{g^4 \ln\left(\frac{2.4}{g}\right)}$$



# Is there another possibility?

From kinetic theory :

$$\frac{\eta}{s} \sim \frac{\text{mean free path}}{\text{de Broglie wavelength}}$$

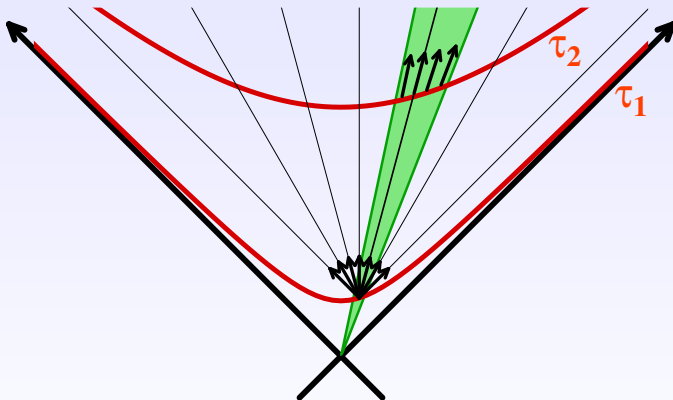
- **(de Broglie wavelength)**<sup>-1</sup>  $\sim Q$
- **(mean free path)**<sup>-1</sup>  $\sim \underbrace{g^4 Q^{-2}}_{\text{cross section}} \times \underbrace{\int_{\mathbf{k}} f_{\mathbf{k}}}_{\text{density}} \underbrace{(1 + f_{\mathbf{k}})}_{\text{Bose enhancement}}$

If  $g \ll 1$  but  $f_{\mathbf{k}} \sim g^{-2}$  (**weakly coupled, but strongly interacting**)

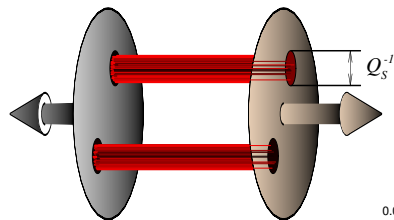
$$\frac{\eta}{s} \sim g^0 \quad \left( \text{even w/o quasiparticles, } B \sim \frac{Q^2}{g} \text{ has the same effect} \right)$$

[Asakawa, Bass, Mueller (2006)]

# Competition between Expansion and Isotropization



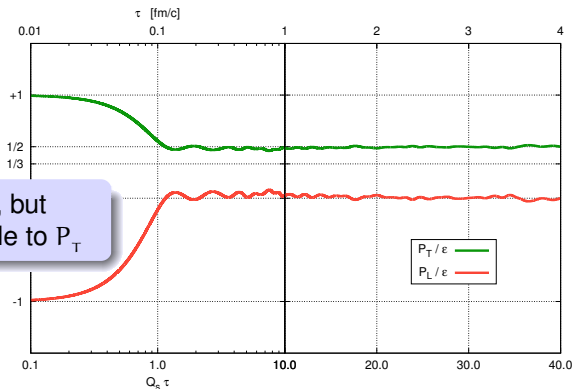
# CGC at LO : strong pressure anisotropy at all times



When  $E \parallel B$  :

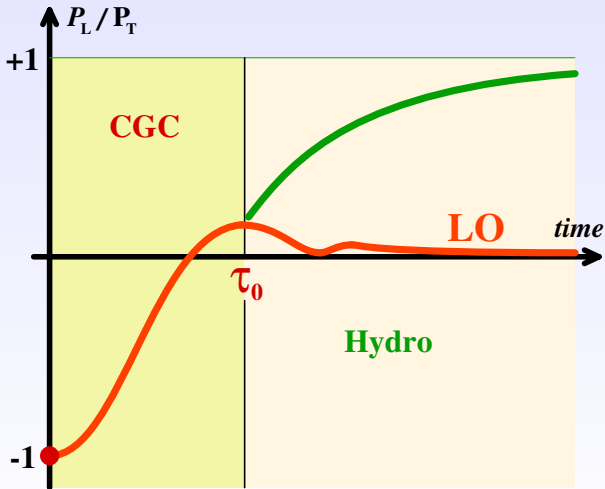
$$P_T = \epsilon, P_L = -\epsilon$$

$P_L$  rises to positive values, but never becomes comparable to  $P_T$





# CGC at LO : unsatisfactory matching to hydrodynamics



$$\uparrow P_L / P_T$$

## Matching to hydro :

- Compute  $T^{\mu\nu}$  from CGC
- Find time-like eigenvector :  $u_\mu T^{\mu\nu} = \epsilon u^\nu$
- Get pressure from some equation of state  $P = f(\epsilon)$
- Get viscous stress as difference between full and ideal  $T^{\mu\nu}$

## “CGC initial conditions” very often means :

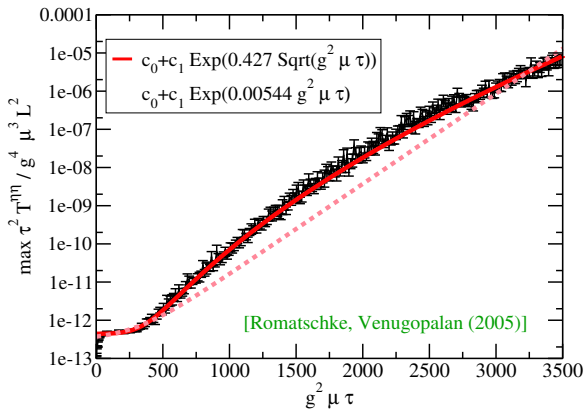
- $\epsilon = T^{00}$  from CGC (or a CGC-inspired model)
- Initial flow neglected, Viscous stress = 0

**NOTE** : glasma fields start to flow at  $\tau \sim Q_s^{-1}$  :

**[Krasnitz, Nara, Venugopalan (2002)] [Chen, Fries (2013)]**

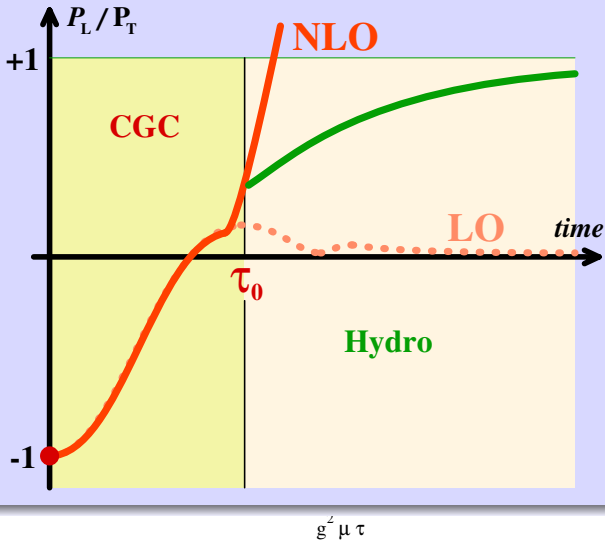
## CGC at NLO : instabilities

[Mrowczynski (1988), Romatschke, Strickland (2003), Arnold, Lenaghan, Moore (2003), Rebhan, Romatschke, Strickland (2005), Arnold, Lenaghan, Moore, Yaffe (2005), Romatschke, Rebhan (2006), Bodeker, Rummukainen (2007), Fujii, Itakura (2008),..., **Attems, Rebhan, Strickland (2012), Fukushima (2013)**]



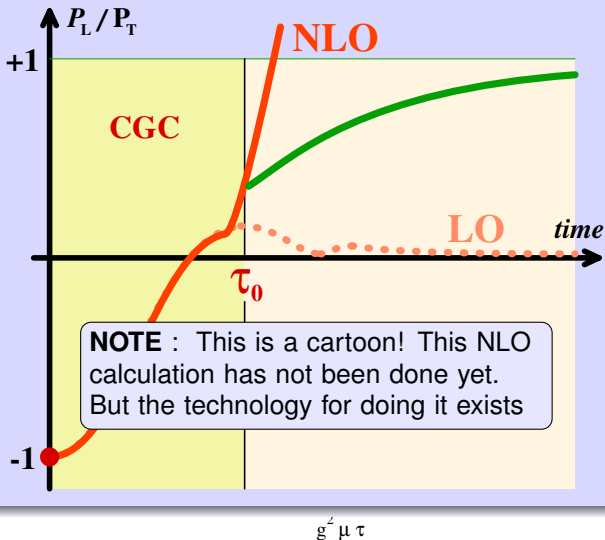
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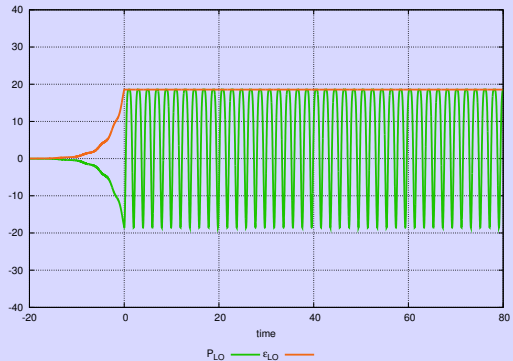
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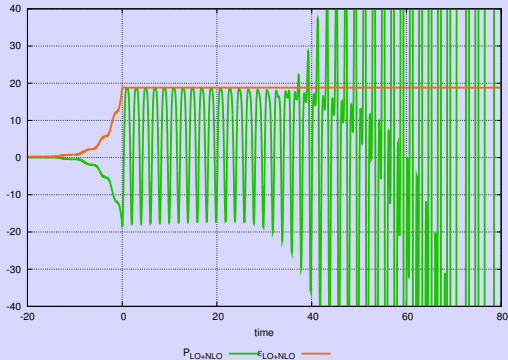


# Example of pathologies in fixed order calculations (scalar theory)

LO

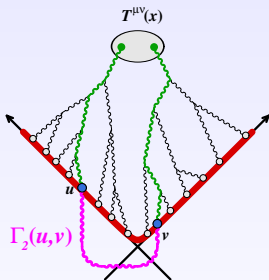


## LO + NLO



- Small correction to the energy density (protected by energy conservation)
- Secular divergence in the pressure

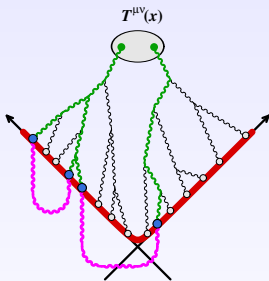
Loop  $\sim g^2$  ,  $e^{\sqrt{\mu\tau}}$  for each field perturbation



- 1 loop :  $(ge^{\sqrt{\mu\tau}})^2$

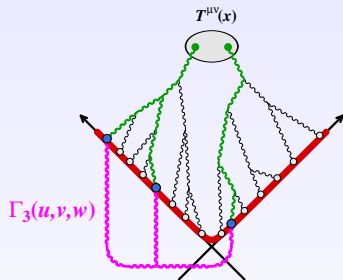


Loop  $\sim g^2$  ,  $e^{\sqrt{\mu\tau}}$  for each field perturbation



- 1 loop :  $(ge^{\sqrt{\mu\tau}})^2$
- 2 disconnected loops :  $(ge^{\sqrt{\mu\tau}})^4$

Loop  $\sim g^2$  ,  $e^{\sqrt{\mu\tau}}$  for each field perturbation



- 1 loop :  $(ge^{\sqrt{\mu\tau}})^2$
- 2 disconnected loops :  $(ge^{\sqrt{\mu\tau}})^4$
- 2 nested loops :  $g(ge^{\sqrt{\mu\tau}})^3$ 
  - ▷ subleading

## Leading terms at $\tau_{\max}$

- All disconnected loops to all orders
  - ▷ exponentiation of the 1-loop result

- We need the operator :

$$\exp \left[ \frac{1}{2} \int_{\mathbf{u}, \mathbf{v}} \Gamma_2(\mathbf{u}, \mathbf{v}) \frac{\partial}{\partial \mathcal{A}_{\text{init}}(\mathbf{u})} \frac{\partial}{\partial \mathcal{A}_{\text{init}}(\mathbf{v})} + \int_{\mathbf{u}} \alpha(\mathbf{u}) \frac{\partial}{\partial \mathcal{A}_{\text{init}}(\mathbf{u})} \right]$$

One can prove that

$$e^{\frac{\alpha}{2} \partial_x^2} f(x) = \int_{-\infty}^{+\infty} dz \frac{e^{-z^2/2\alpha}}{\sqrt{2\pi\alpha}} f(x+z)$$

$$T_{\text{resummed}}^{\mu\nu} = \int [D\mathbf{a}] \exp \left[ -\frac{1}{2} \int_{\mathbf{u}, \mathbf{v}} \mathbf{a}(\mathbf{u}) \Gamma_2^{-1}(\mathbf{u}, \mathbf{v}) \mathbf{a}(\mathbf{v}) \right] T_{\text{LO}}^{\mu\nu}[\mathcal{A}_{\text{init}} + \mathbf{a}]$$

- There is a unique choice of the variance  $\Gamma_2$  such that

$$T_{\text{resummed}}^{\mu\nu} = T_{\text{LO}}^{\mu\nu} + T_{\text{NLO}}^{\mu\nu} + \dots$$

- This resummation collects all the terms with the worst time behavior

$$T_{\text{resummed}}^{\mu\nu} = \int [D\mathbf{a}] \exp \left[ -\frac{1}{2} \int_{\mathbf{u}, \mathbf{v}} \mathbf{a}(\mathbf{u}) \Gamma_2^{-1}(\mathbf{u}, \mathbf{v}) \mathbf{a}(\mathbf{v}) \right] T_{\text{LO}}^{\mu\nu} [\mathcal{A}_{\text{init}} + \mathbf{a}]$$

- There is a unique choice of the variance  $\Gamma_2$  such that

$$T_{\text{resummed}}^{\mu\nu} = T_{\text{LO}}^{\mu\nu} + T_{\text{NLO}}^{\mu\nu} + \dots$$

- This resummation collects all the terms with the worst time behavior
- Equivalent to Gaussian fluctuations of the initial field + classical time evolution
- At  $Q_s \tau_0 \ll 1$ :  $\mathcal{A}_{\text{init}} \sim Q_s/g$  ,  $\mathbf{a} \sim Q_s$

1. Determine the 2-point function  $\Gamma_2(\mathbf{u}, \mathbf{v})$  that defines the Gaussian fluctuations, for the initial time  $Q_s \tau_0$  of interest

Note : this is an initial value problem, whose outcome is uniquely determined by the state of the system at  $x^0 = -\infty$ , and depends on the history of the system from  $x^0 = -\infty$  to  $\tau = \tau_0$

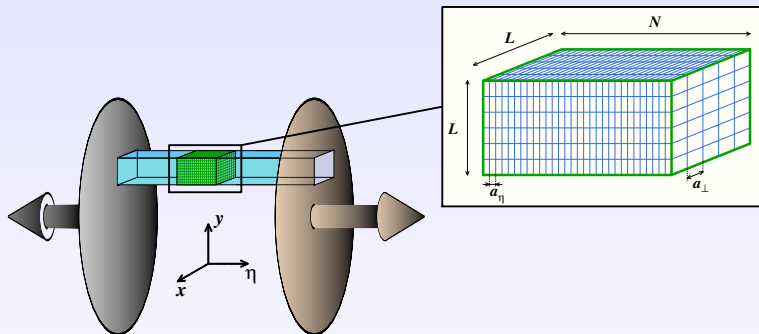
Problem solvable only if the fluctuations are weak,  $a^\mu \ll Q_s/g$

$Q_s \tau_0 \ll 1$  necessary for the fluctuations to be Gaussian

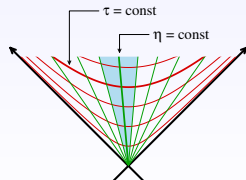
2. Solve the classical Yang-Mills equations from  $\tau_0$  to  $\tau_f$

Note : the problem as a whole is boost invariant, but individual field configurations are not  $\implies$  3+1 dimensions necessary

3. Do a Monte-Carlo sampling of the fluctuating initial conditions



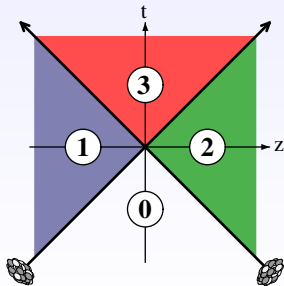
- Comoving coordinates :  $\tau, \eta, x_{\perp}$
- Only a sub-volume is simulated + periodic boundary conditions
- $L^2 \times N$  lattice



## Expression of the variance (from 1-loop considerations)

$$\Gamma_2(\mathbf{u}, \mathbf{v}) = \int_{\text{modes } \mathbf{k}} \mathbf{a}_{\mathbf{k}}(\mathbf{u}) \mathbf{a}_{\mathbf{k}}^*(\mathbf{v})$$

$$\left[ \mathcal{D}_\rho \mathcal{D}^\rho \delta_\mu^\nu - \mathcal{D}_\mu \mathcal{D}^\nu + ig \mathcal{F}_{\mu}{}^\nu \right] \mathbf{a}_{\mathbf{k}}^\mu = 0, \quad \lim_{x^0 \rightarrow -\infty} \mathbf{a}_{\mathbf{k}}(x) \sim e^{i\mathbf{k} \cdot x}$$



**0.**  $\mathcal{A}^\mu = 0$ , trivial

**1,2.**  $\mathcal{A}^\mu = \text{pure gauge}$ , analytical solution

**3.**  $\mathcal{A}^\mu$  non-perturbative  
 $\Rightarrow$  expansion in  $Q_s \tau$

- $\mathbf{a}_{\mathbf{k}}^\mu(\tau, \eta, \mathbf{x}_\perp)$  known analytically at  $Q_s \tau \ll 1$ , in the gauge  $\alpha^\tau = 0$



- At the moment, two implementations of this method, but discrepancy in the results regarding the behavior of  $P_L/P_T \dots$

# **Classical Statistical Approximation**

## Classical Statistical Approximation (CSA)

- Classical time evolution
  - Quantum fluctuations in the initial conditions
- 
- Dynamics fully **non-linear**  $\Rightarrow$  no unbounded growth
  - Individual classical trajectories may be chaotic  $\Rightarrow$  a small initial ensemble can span a large phase space volume

- Consider the von Neumann equation for the density operator :

$$\frac{\partial \hat{\rho}_\tau}{\partial \tau} = i\hbar [\hat{H}, \hat{\rho}_\tau] \quad (1)$$

- Introduce the Wigner transforms :

$$W_\tau(\mathbf{x}, \mathbf{p}) \equiv \int d\mathbf{s} e^{i\mathbf{p}\cdot\mathbf{s}} \langle \mathbf{x} + \frac{\mathbf{s}}{2} | \hat{\rho}_\tau | \mathbf{x} - \frac{\mathbf{s}}{2} \rangle$$

$$\mathcal{H}(\mathbf{x}, \mathbf{p}) \equiv \int d\mathbf{s} e^{i\mathbf{p}\cdot\mathbf{s}} \langle \mathbf{x} + \frac{\mathbf{s}}{2} | \hat{H} | \mathbf{x} - \frac{\mathbf{s}}{2} \rangle \quad (\text{classical Hamiltonian})$$

- (1) is equivalent to

$$\begin{aligned} \frac{\partial W_\tau}{\partial \tau} &= \mathcal{H}(\mathbf{x}, \mathbf{p}) \frac{2}{i\hbar} \sin \left( \frac{i\hbar}{2} \left( \overleftarrow{\partial}_\mathbf{p} \overrightarrow{\partial}_\mathbf{x} - \overleftarrow{\partial}_\mathbf{x} \overrightarrow{\partial}_\mathbf{p} \right) \right) W_\tau(\mathbf{x}, \mathbf{p}) \\ &= \underbrace{\{\mathcal{H}, W_\tau\}}_{\text{Poisson bracket}} + \mathcal{O}(\hbar^2) \end{aligned}$$

- Approximating the right hand side by the Poisson bracket  
 $\iff$  classical time evolution instead of quantum  
 $\implies \mathcal{O}(\hbar^2)$  error
  
- In addition :  $\hbar$  dependence in the initial state  
Uncertainty principle,  $\Delta x \cdot \Delta p \geq \hbar$   
 $\implies$  the Wigner distribution  $W_{\tau=0}(\mathbf{x}, \mathbf{p})$  must have a width  $\gtrsim \hbar$
  
- All the  $\mathcal{O}(\hbar)$  effects can be accounted for by a Gaussian initial distribution  $W_{\tau=0}(\mathbf{x}, \mathbf{p})$

$$\langle \mathcal{O} \rangle = \int [D\phi_+ D\phi_-] \mathcal{O}[\phi_{\pm}] e^{i(S[\phi_+] - S[\phi_-])}$$

- $\phi_+$  = field in the amplitude
- $\phi_-$  = field in the conjugate amplitude
- $\phi_+ - \phi_-$  = quantum interference

- Introduce :  $\phi_1 \equiv \phi_+ - \phi_-$ ,  $\phi_2 \equiv \frac{1}{2}(\phi_+ + \phi_-)$

$$S[\phi_+] - S[\phi_-] = \phi_1 \cdot \frac{\delta S[\phi_2]}{\delta \phi_2} + \text{terms cubic in } \phi_1$$

- Strong field regime :  $\phi_{\pm}$  large, but  $\phi_+ - \phi_-$  small  
Neglect the terms cubic in  $\phi_1$   
 $D\phi_1 \rightarrow$  classical Euler-Lagrange equation for  $\phi_2$
- The only remaining fluctuations are in the initial condition for  $\phi_2$

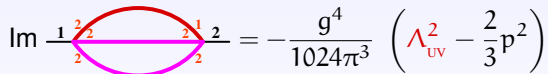
- Start from Schwinger-Keldysh perturbation theory
- Rotate from the basis  $\phi_{\pm}$  to the basis  $\phi_{1,2}$
- New perturbative rules :
  - Propagators  $G_{12}$ ,  $G_{21}$  and  $G_{22}$  ( $G_{11} = 0$ )
  - Vertices 1222 and 1112
- **CSA : drop the 1112 vertex**

- CSA  $\neq$  underlying theory at 2-loops and beyond
- Sources of fluctuations of the initial fields :

$$G_{22}(p) \sim \left( f_0(p) + \frac{1}{2} \right) \delta(p^2)$$

quasiparticles  $\leftarrow$   $\quad$   $\rightarrow$  vacuum fluctuations

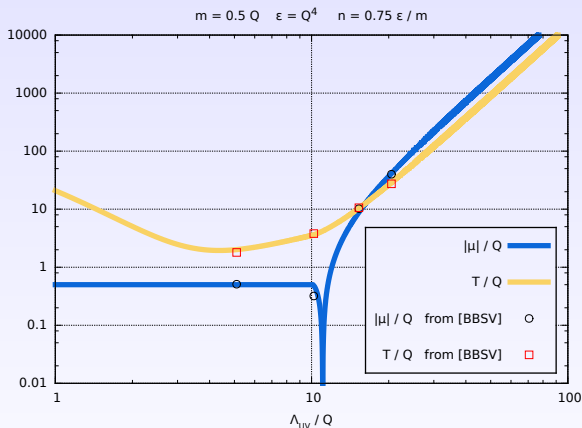
- **Vacuum fluctuations** make the CSA **non-renormalizable**.  
Example of problematic graph :



$$\text{Im} \frac{1}{2} \frac{2}{2} \frac{2}{2} \frac{2}{2} = -\frac{g^4}{1024\pi^3} \left( \Lambda_{uv}^2 - \frac{2}{3} p^2 \right)$$

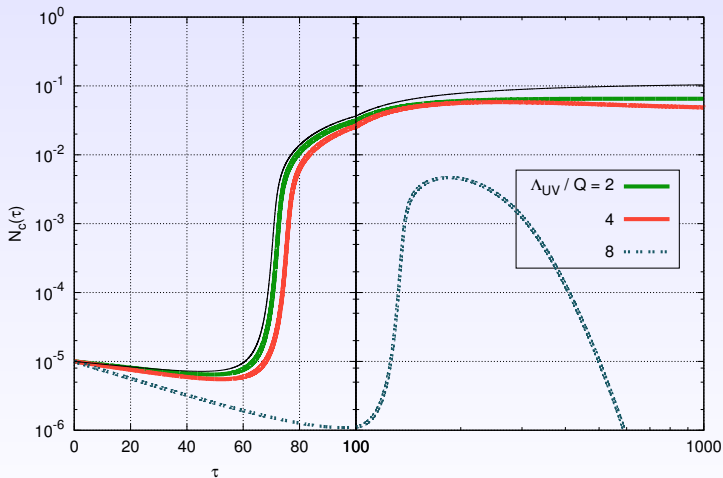
- With only **quasiparticle**-induced fluctuations :
  - Finite if  $f_0(p)$  falls faster than  $p^{-1}$
  - Super-renormalizable if  $f_0(p) \sim p^{-1}$  [Aarts, Smit (1997)]





- Sweet range :  $\Lambda_{UV} \sim (3 - 6) \times Q$
- But no continuum limit

# Occupation in the zero mode for various UV cutoffs



# **Bose-Einstein condensation**

## CGC initial conditions

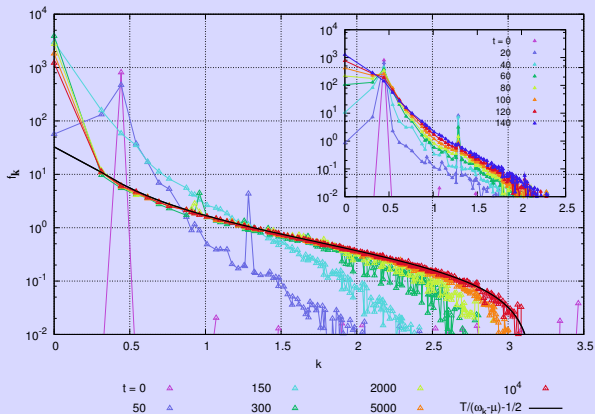
$$\epsilon_0 \sim \frac{Q_s^4}{\alpha_s} \quad n_0 \sim \frac{Q_s^3}{\alpha_s} \quad (n\epsilon^{-3/4})_0 \sim \alpha_s^{-1/4}$$

## Equilibrium state

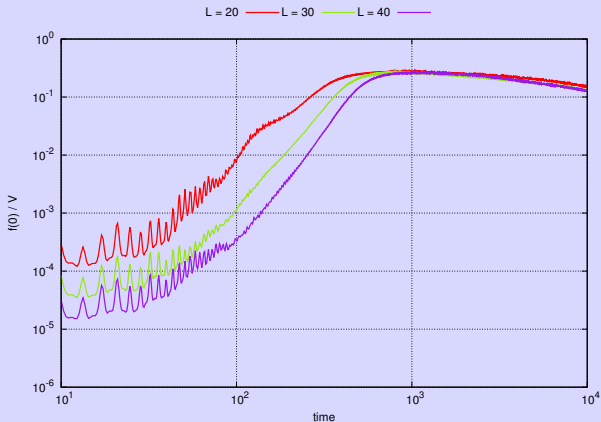
$$\epsilon \sim T^4 \quad n \sim T^3 \quad n\epsilon^{-3/4} \sim 1$$

- The excess of gluons can be eliminated in two ways :
  - via inelastic processes  $3 \rightarrow 2$
  - by condensation on the zero mode

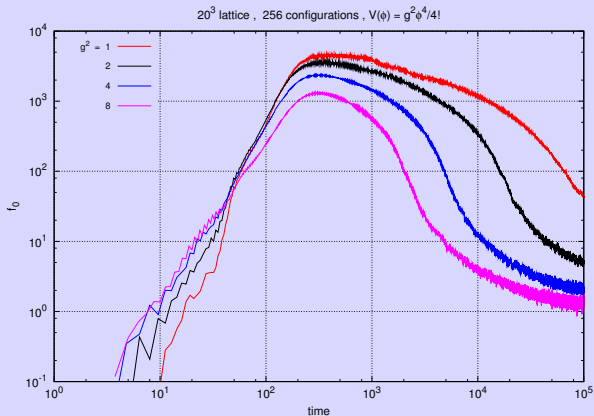
# Bose-Einstein condensation (in a scalar field theory)



- Start with an overpopulated initial condition, with an empty zero mode
- Very quickly, the zero mode becomes highly occupied



$$f(\mathbf{k}) = \frac{1}{e^{\beta(\omega_{\mathbf{k}} - \mu)} - 1} + n_0 \delta(\mathbf{k}) \implies f(0) \propto V = L^3$$



- Formation time almost independent of the coupling
- Condensate lifetime much longer than its formation time
- Smaller amplitude and faster decay at large coupling

# **Summary and Outlook**



- **Gluon saturation and recombination**
  - prevents the gluon occupation number to go above  $1/\alpha_s$
  - prevents violations of unitarity in scattering amplitudes
- **Two equivalent descriptions**
  - **Balitsky-Kovchegov :**  
Non-linear evolution equation for specific matrix elements  
The non-linear terms lead to the dynamical generation of geometrical scaling  
Applicable to collisions between a saturated and a dilute projectile
  - **Color Glass Condensate :**  
The color fields of the target evolve with rapidity  
More suitable to collisions of two saturated projectiles
- **Isotropization, Thermalization**
  - Instabilities require the resummation of additional contributions
  - Possibility of the formation of a Bose-Einstein condensate