

# **Lecture2a: open heavy flavor in the QGP**

# Open heavy flavor production and the QGP

1.  $m_q \gg \Lambda_{\text{QCD}}$  charm quark production is independent of the medium formed in the collision (see above)
2. propagation of heavy quarks in the medium can be used to diagnose it

energy loss – thermalization – hydrodynamic flow  
interaction with the hot/dense QCD medium

- energy loss
  - dependence on medium density and volume
  - color charge dependent (Casimir factor)  $\rightarrow \Delta E_{\text{gluon}} > \Delta E_{\text{quark}}$
  - parton mass dependent (dead cone effect: Dokshitzer & Kharzeev, PLB 519(2001)199)  $\rightarrow \Delta E_{u,d,s} > \Delta E_c > \Delta E_b$
- thermalization
  - dependence on transport properties of the medium

# Formation time of quarkonia

heavy quark velocity in charmonium rest frame:

$v = 0.55$  for  $J/\psi$  see, e.g. G.T. Bodwin et al., hep-ph/0611002

minimum formation time:  $t = \text{radius}/v = 0.45 \text{ fm}$

see also: Huefner, Ivanov, Kopeliovich, and Tarasov,  
Phys. Rev. D62 (2000) 094022; J.P. Blaizot and J.Y.  
Ollitrault, Phys. Rev. D39 (1989) 232  
**formation time of order 1 fm**

formation time is not short compared to plasma formation  
time especially at high energy

formation time of open charm hadrons not well understood

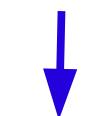
presumably similar to charmonia

separation of time scales for initial hard process and late hadronization/hadron formation is called „factorization“

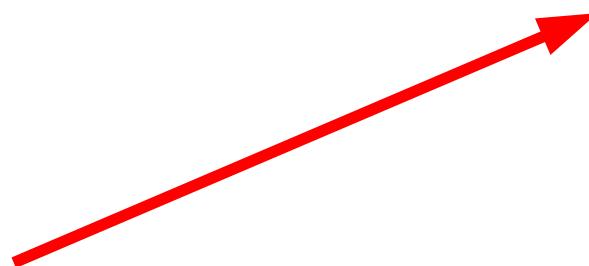
rigorously proven for deep inelastic scattering

## charm conservation equation

no medium  
effect



$$\sigma_{c\bar{c}} = 1/2 \left[ \sigma_{D^+} + \sigma_{D^-} + \sigma_{D^0} + \sigma_{\bar{D}^0} + \sigma_{\Lambda_c} + \sigma_{\bar{\Lambda}_c} \dots \right]$$



medium effects on charmed hadrons affect  
redistribution of charm, but not overall cross section

it is not consistent with the charm conservation  
equation to reduce all charmed hadron masses in  
the medium for an enhanced cross section

# gluon radiation by a quark traversing a medium

from Dokshitzer & Kharzeev, Phys.Lett. B519 (2001) 199-206

we get for the probability of radiation of a gluon with energy  $\omega$  by a quark with mass  $M$  and energy  $E$

$$dP = \frac{\alpha_s C_F}{\pi} \frac{d\omega}{\omega} \frac{k_\perp^2 dk_\perp^2}{(k_\perp^2 + \omega^2 \theta_0^2)^2}, \quad \theta_0 \equiv \frac{M}{E}$$

$$k_\perp^2 \simeq \sqrt{\hat{q} \omega} \quad \hat{q} \equiv \rho \int \frac{d\sigma}{dq^2} q^2 dq^2 \quad C_F = \frac{N_c^2 - 1}{2N_c}$$

here the density of scatterers in the medium is encoded in  $q^\wedge$

# 'dead cone' effect for charm quarks

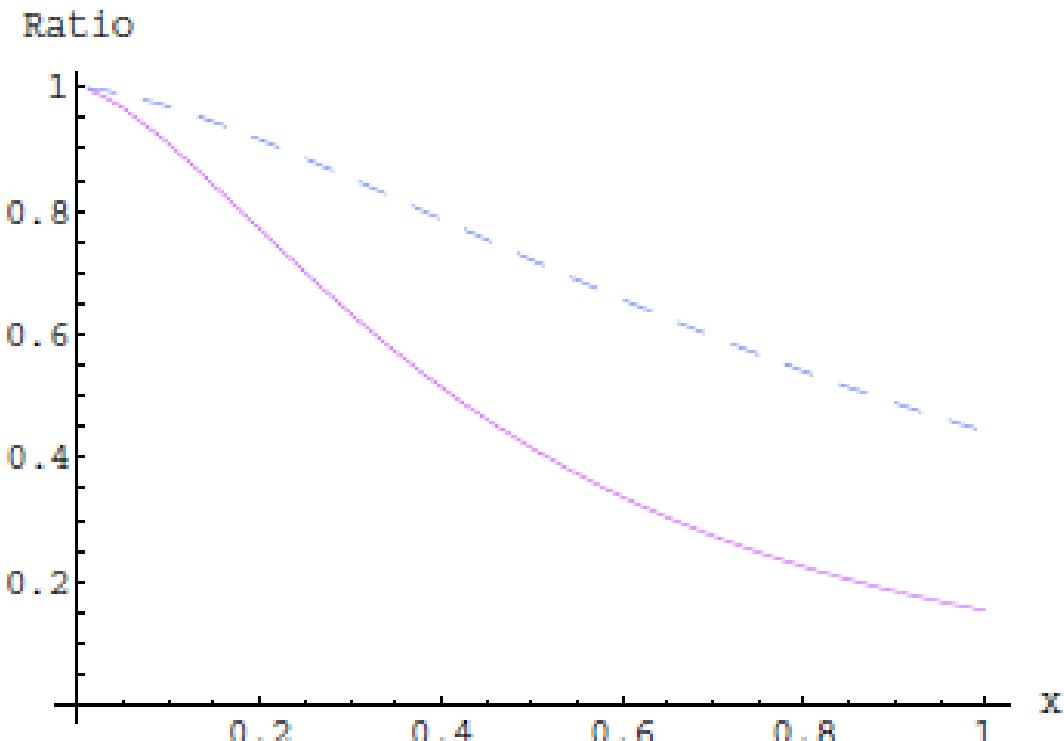


Figure 1: Ratio of gluon emission spectra off charm and light quarks for quark momenta  $p_\perp = 10 \text{ GeV}$  (solid line) and  $p_\perp = 100 \text{ GeV}$  (dashed);  $x = \omega/p_\perp$ .

**now open charm and open beauty in AA collisions**

# how to quantify the effect of the medium?

$$R_{AA} = \text{yield(AA)} / (\text{N}_{\text{coll}} \text{ yield(pp)})$$

$R_{AA}$  = medium/vacuum

$R_{AA} = 1$  if no dense medium is formed

or

if one looks at electro-weak probes

# D meson signals in Pb Pb collisions

measurement:

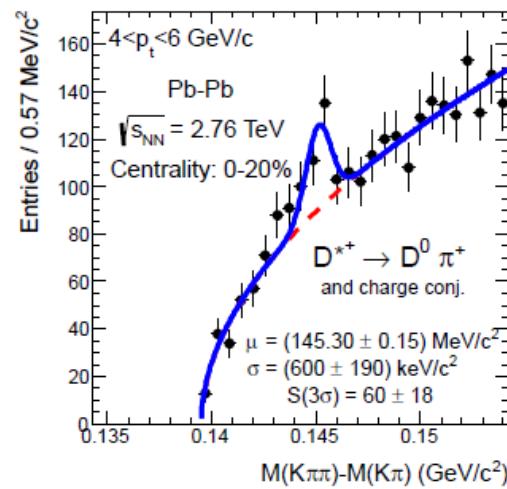
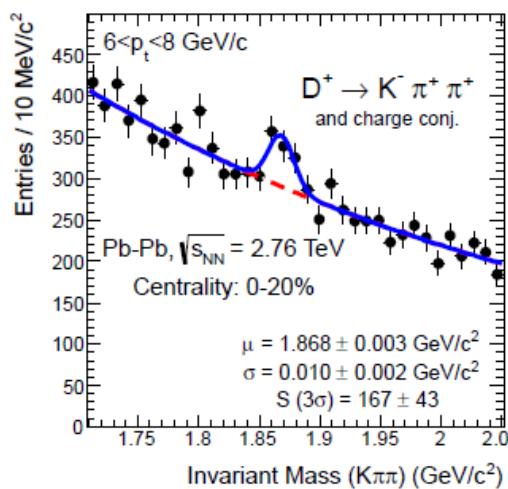
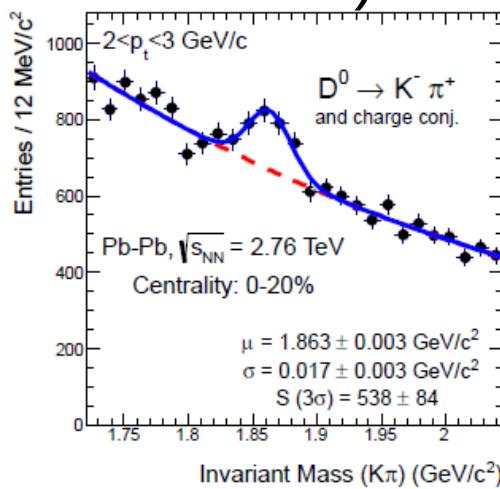
reconstruction of hadronic decays of D-mesons (ALICE)

semi-leptonic decays into electrons (ATLAS,  
ALICE)

"

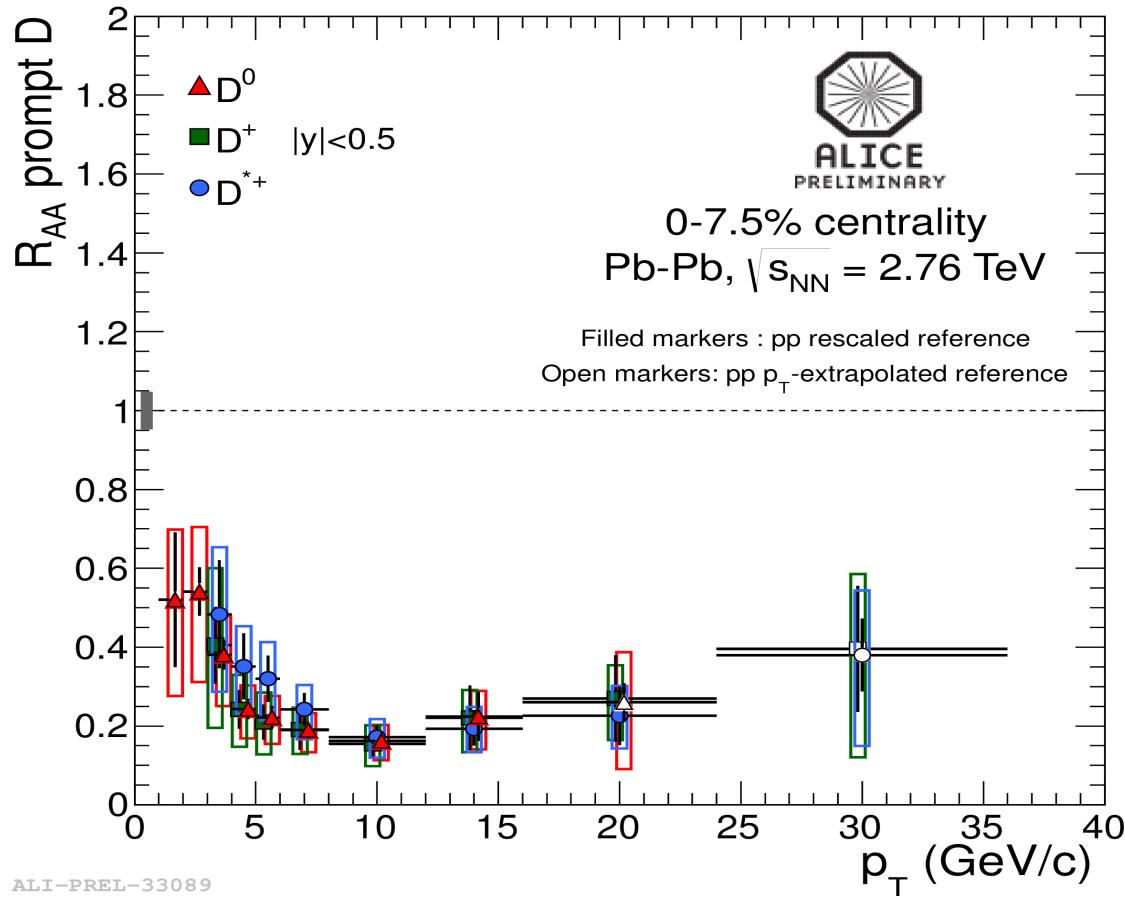
into muons (ATLAS,

ALICE)



# suppression of charm at LHC energy

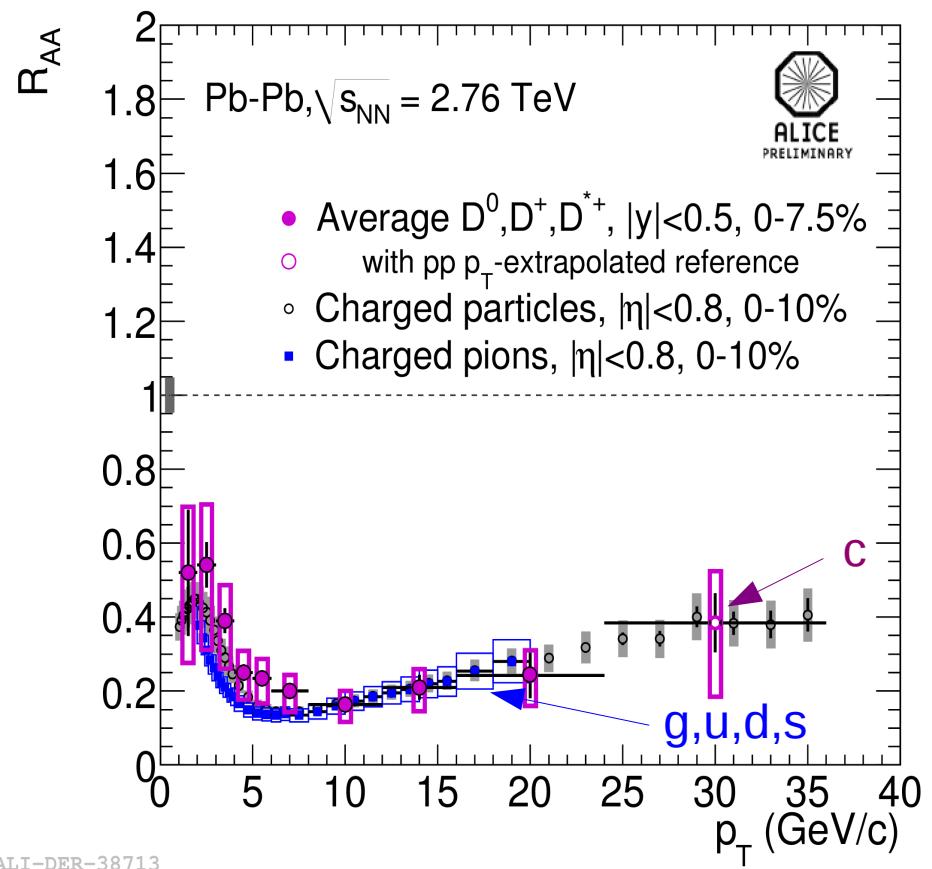
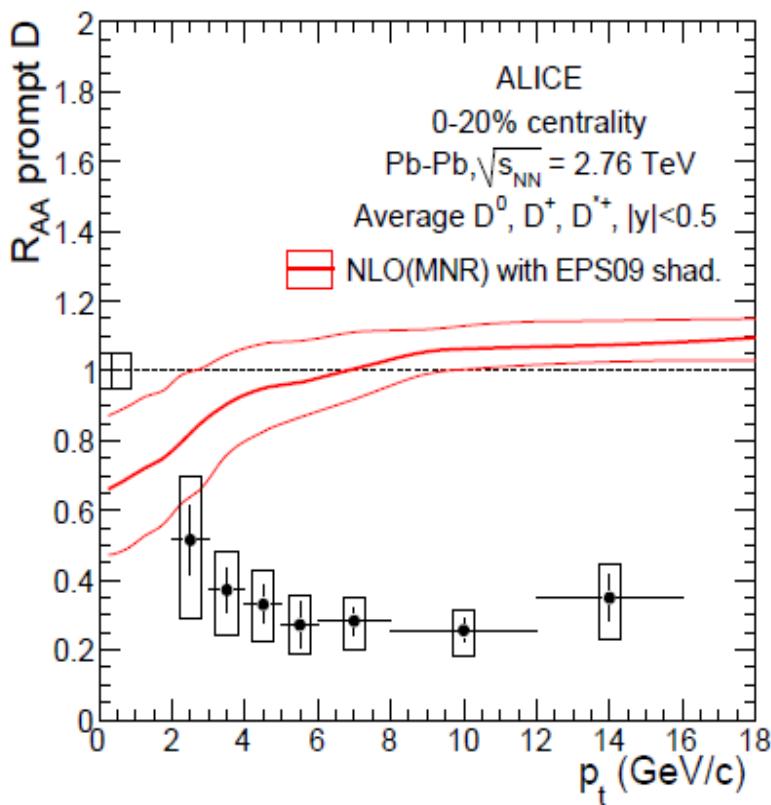
pp reference at 2.76 TeV: measured 7 TeV spectrum scaled with FONLL  
cross checked with 2.76 TeV measurement (large uncertainty due to limited  
luminosity<sup>†, ▲</sup>)



energy loss for all species of D-mesons within errors equal - not trivial  
energy loss of central collisions very significant - suppr. factor 5 for 5-15  
 $\text{GeV}/c$

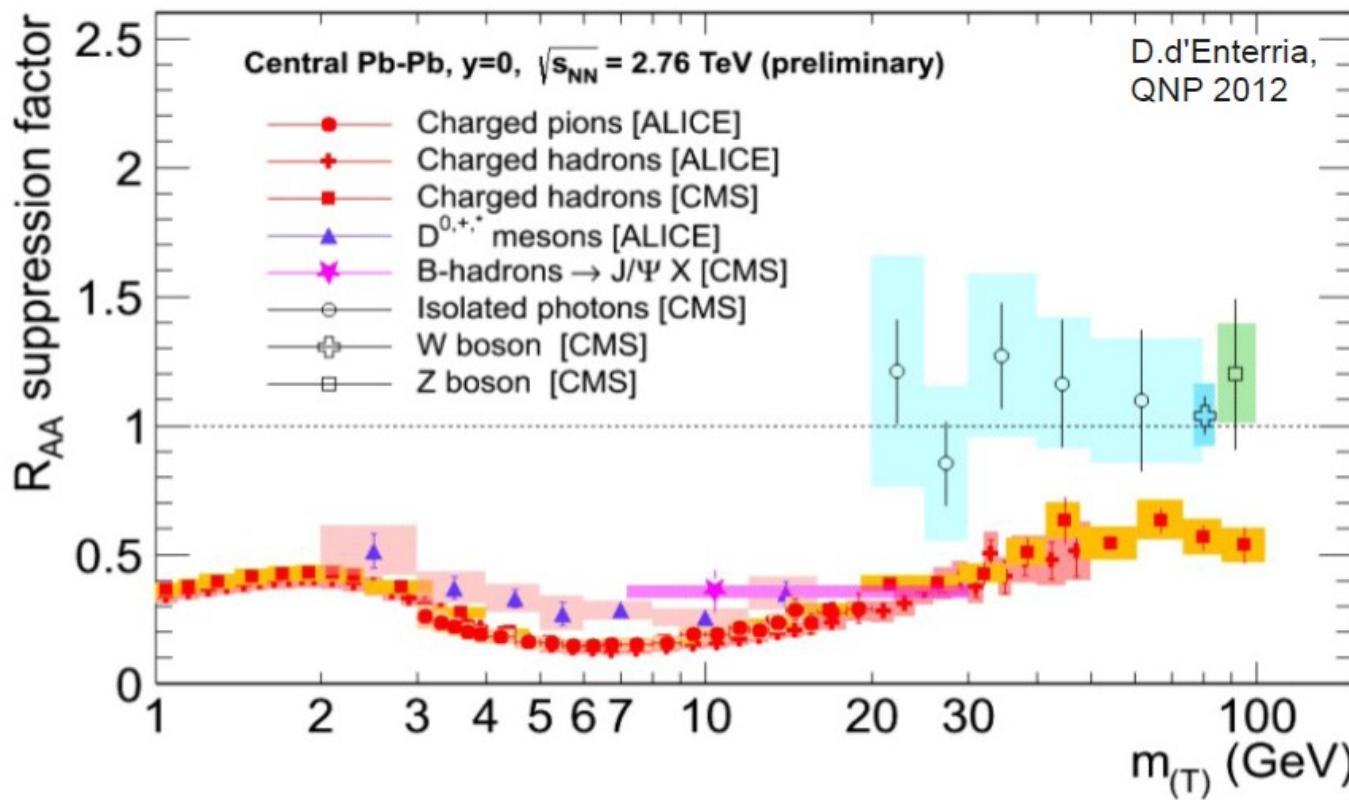
# suppression of charm at LHC energ

comparison to EPS09 shadowing:  
 suppression not an initial state effect  
 will be measured directly in pPb  
 collisions



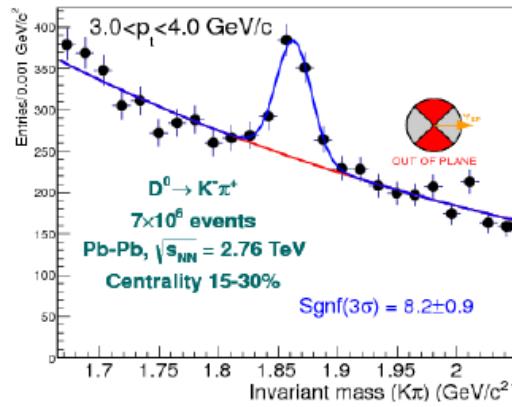
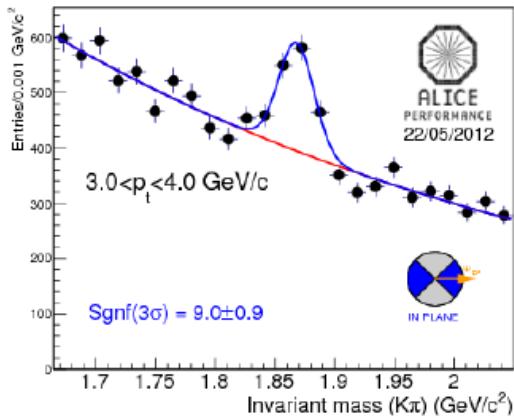
energy loss of charm quarks only slightly  
 less than that for light quark →  
 thermalization

# Suppression only for Strongly Interacting Hard Probes



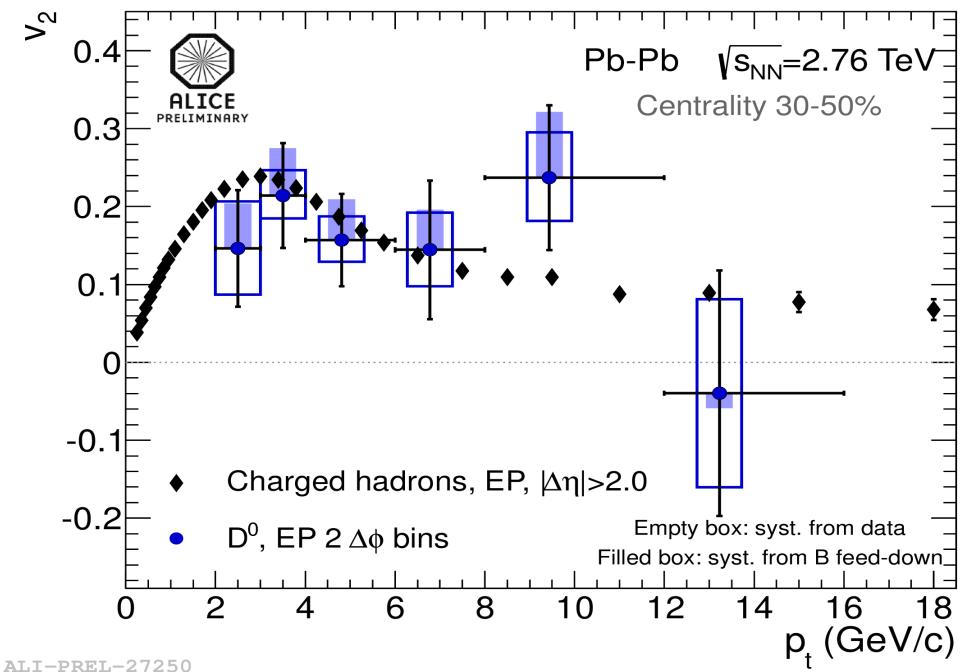
photons, Z and W scale with number of binary collisions in  $\text{PbPb}$  – not affected by medium  
→ demonstrates that charged particle suppression is medium effect: energy loss in QGP

# charm Quarks also Exhibit Elliptic Flow



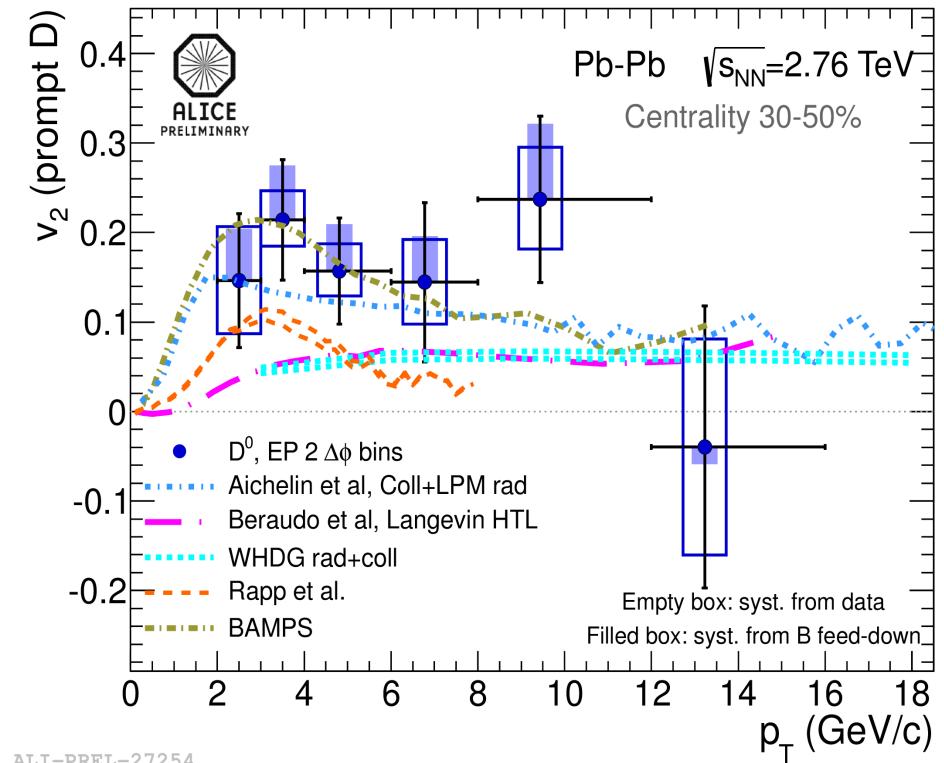
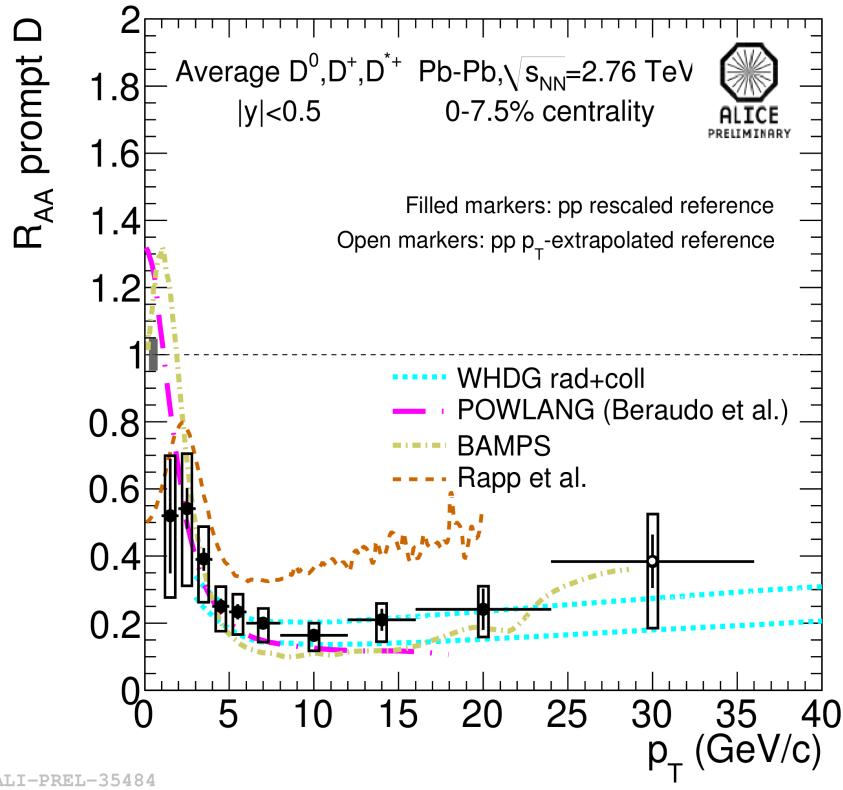
$$v_2 = \frac{\pi}{4} \frac{N_{\text{IN}} - N_{\text{OUT}}}{N_{\text{IN}} + N_{\text{OUT}}}$$

2 centrality classes  
event plane from TPC  
corrected for B-feed down (FONLL)



non-zero elliptic flow for 3 σ effect for  $D^0$  2-6 GeV/c  
within errors charmed hadron  $v_2$  equal to that of all charged  
hadrons

# model description of energy loss and flow of D-mesons

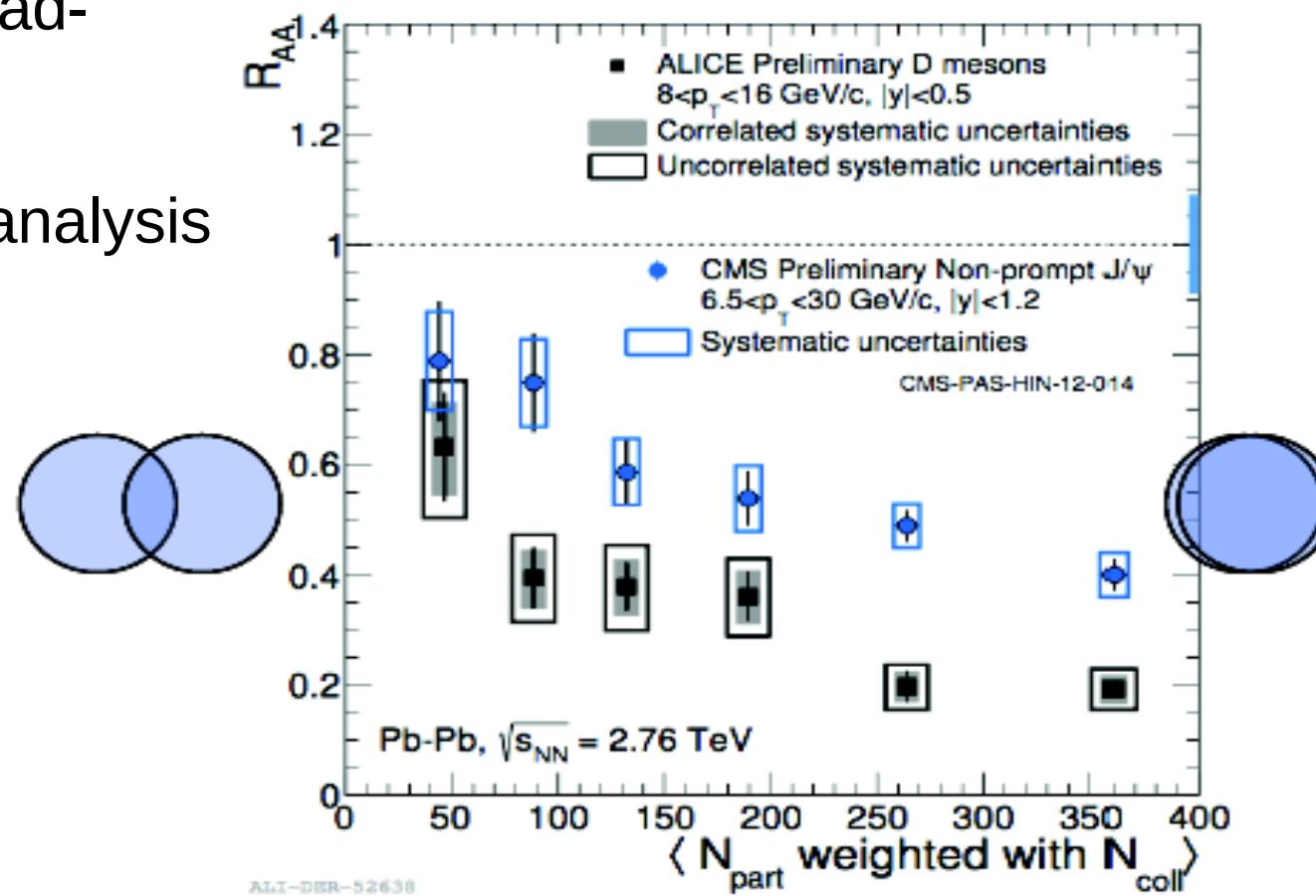


both are determined by transport properties of the medium (QGP) simultaneous description still a challenge for some models

# comparison of suppression for b-quarks and c-quarks

is this the dead-cone effect? need quantitative analysis

$$R_{AA}(p_T) = \frac{1}{\langle N_{coll} \rangle} \frac{dN_{AA}/dp_T}{dN_{pp}/dp_T}$$



## **2b) the quarkonium story**

- some historical remarks
- the statistical hadronization model
- comparison to results from RHIC
- charmonium production at LHC energy
- the color screening length in the QGP
- remarks on bottomonium

# Charmonium as a probe for the properties of the QGP

the original idea: (Matsui and Satz 1986) implant charmonia into the QGP and observe their modification, in terms of suppressed production in nucleus-nucleus collisions with or without plasma formation – **sequential melting**

new insight (pbm, Stachel 2000) QGP screens all charmonia, but charmonium production takes place at the phase boundary, enhanced production at colliders – **signal for deconfined, thermalized charm quarks**

recent reviews: L. Kluberg and H. Satz, arXiv:0901.3831

pbm and J. Stachel, arXiv:0901.2500

both published in Landoldt-Boernstein Review, R. Stock, editor, Springer 2010

Quarkonia:

**heavy** quark bound states **stable** under strong decay

**heavy**: charm ( $m_c \simeq 1.3$  GeV) or beauty ( $m_b \simeq 4.7$  GeV)

**stable**:  $M_{c\bar{c}} \leq 2M_D$  and  $M_{b\bar{b}} \leq 2M_B$

heavy quarks  $\Rightarrow$  quarkonium spectroscopy via  
non-relativistic potential theory

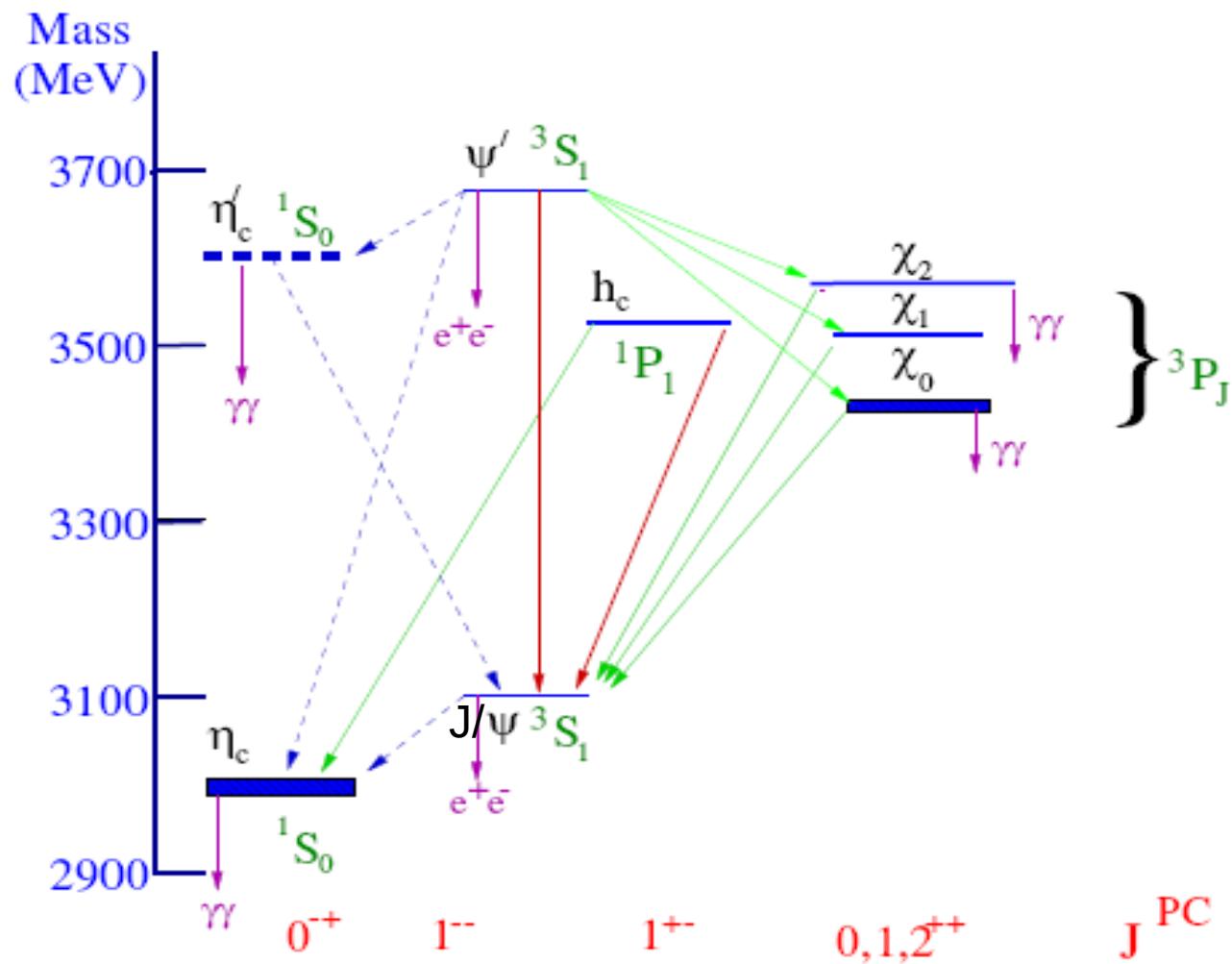
Schrödinger equation  $\left\{2m_c - \frac{1}{m_c}\nabla^2 + V(r)\right\}\Phi_i(r) = M_i\Phi_i(r)$

confining (“Cornell”) potential  $V(r) = \sigma r - \frac{\alpha}{r}$

string tension  $\sigma \simeq 0.2$  GeV<sup>2</sup>, gauge coupling  $\alpha \simeq \pi/12$

$\Rightarrow$  quarkonium masses  $M_i$  and radii  $r_i$

# charmonium, a bound state of charm and anti-charm quarks



# Quarkonium Properties and Debye Screening

state	$J/\psi$	$\chi_c$	$\psi'$	$\Upsilon$	$\chi_b$	$\Upsilon'$	$\chi'_b$	$\Upsilon''$
mass [GeV]	3.10	3.53	3.68	9.46	9.99	10.02	10.26	10.36
$\Delta E$ [GeV]	0.64	0.20	0.05	1.10	0.67	0.54	0.31	0.20
$\Delta M$ [GeV]	0.02	-0.03	0.03	0.06	-0.06	-0.06	-0.08	-0.07
radius [fm]	0.25	0.36	0.45	0.14	0.22	0.28	0.34	0.39

table from H. Satz, J. Phys. G32 (2006)  
R25

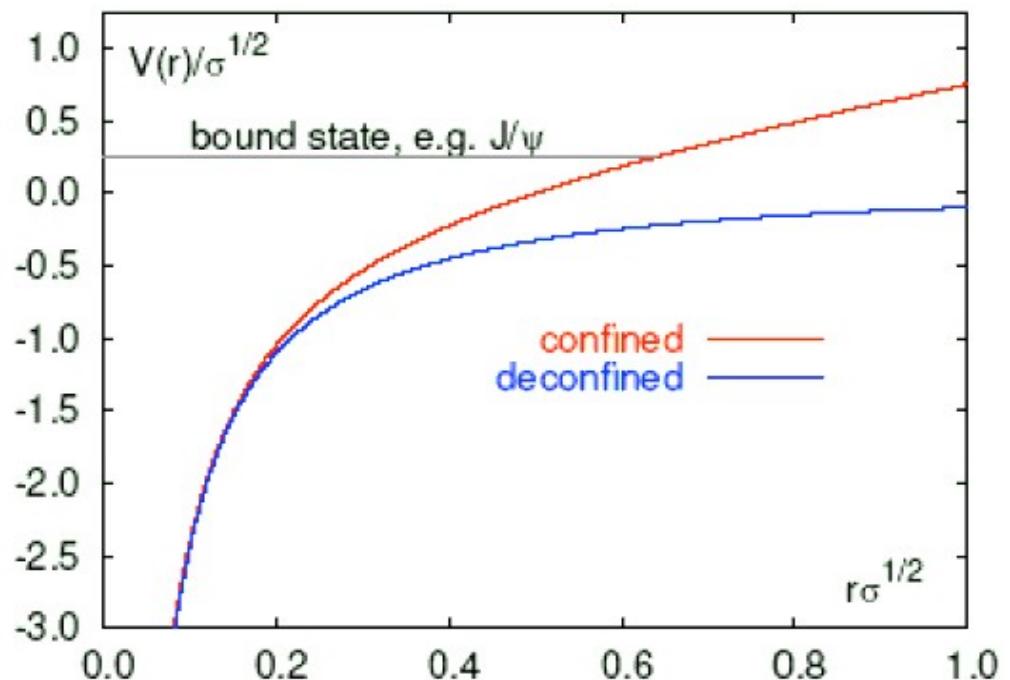
In the QGP, the screening radius  $r_{\text{Debye}}(T)$  decreases with increasing  $T$ . If  $r_{\text{Debye}}(T) < r_{\text{charmonium}}$  the system becomes unbound  $\rightarrow$  suppression compared to charmonium production without QGP. The screening radius can be computed using potential models or solving QCD on the lattice.

# Debye screening

$V(r, T \text{ large})$  no bound state

$V(r, T \text{ small})$  bound state

$$\sigma = \text{string tension} = 1 \text{ GeV/fm} \\ = 0.2 \text{ GeV}^2$$

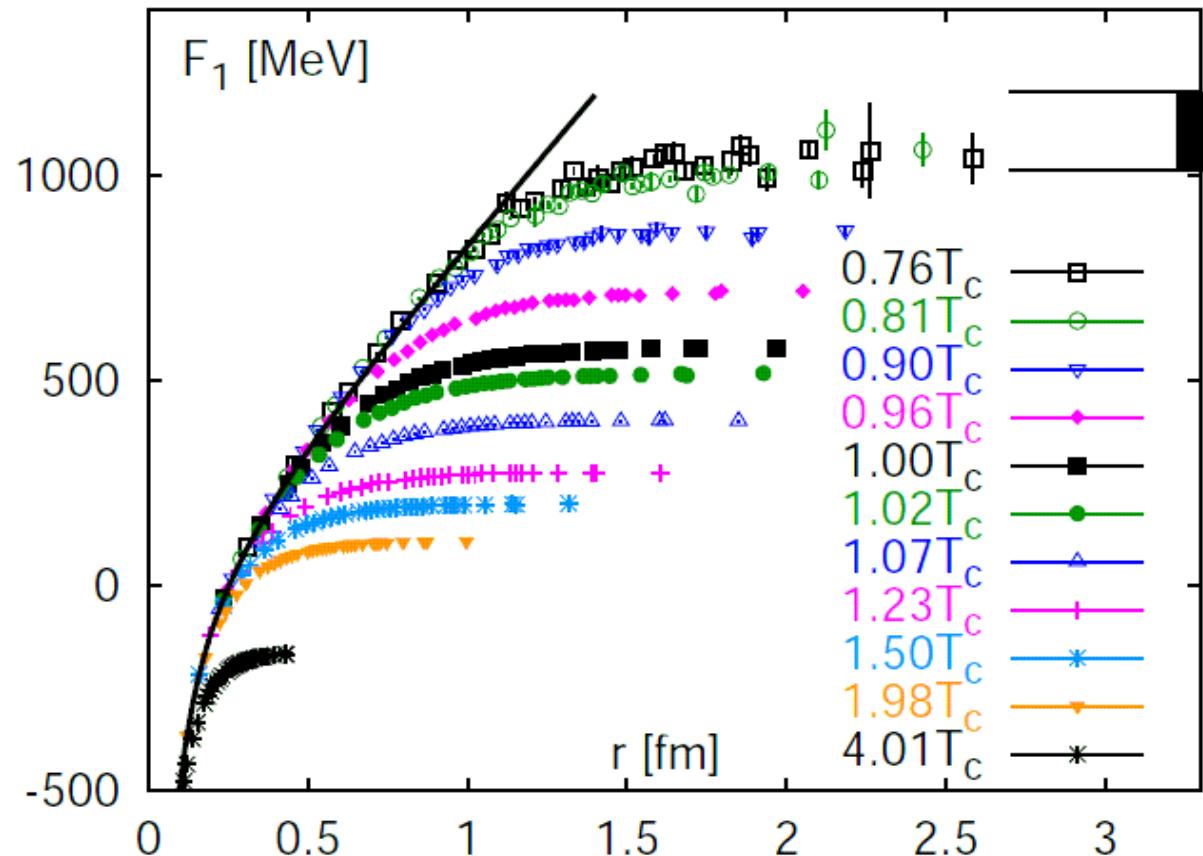


# Free energy of a heavy quark-antiquark pair

color singlet free energy  
 $F_1(T) = U(T) - T S(T)$

note:  $J/\psi$  is bound  
by 640 MeV

$J/\psi$  disappears for  $T > 1.6 T_c$



O. Kaczmarek, F.Zantow, PRD 71(2005)114510

# Debye Screening

screened potential for heavy quark-antiquark pair

$$V_{q\bar{q}}(r, T) = \frac{\sigma}{\mu} \left( 1 - e^{-\mu(T)r} \right) - \frac{\alpha}{r} e^{-\mu(T)r}$$

Debye radius  $r_{\text{Debye}} = 1/\mu(T)$

$$r_{\text{Debye}} \propto 1/n_g^{1/3} \propto 1/(g(T) T)$$

state	$J/\psi$	$\chi_c$	$\psi'$
$E_s^i$ [GeV]	0.64	0.20	0.05
$T_d/T_c$	1.1	0.74	0.1 - 0.2
$T_d/T_c$	$\sim 2.0$	$\sim 1.1$	$\sim 1.1$

using  $F_1$   
using  $U$

## time scales

for the original Matsui/Satz picture to hold, the following time sequence is needed:

- 1) charmonium formation
- 2) quark-gluon plasma (QGP) formation
- 3) melting of charmonium in the QGP
- 4) decay of remaining charmonia and detection

questions:

- a) beam energy dependence of time scales
- b) what happens with the (many) charm quarks at hadronization, i.e at the phase boundary?

at LHC energy, clean separation of time scales

collision time  $\ll$  QGP formation time < charmonium formation time

# **are charmonia (and charmed hadrons) produced thermally?**

ratios of charmed and beauty hadrons exhibit thermal features (Becattini 1997)

but:  $\psi'/\psi$  ratio is far from thermal in pp collisions

see also Sorge&Shuryak, Phys. Rev. Lett. 79 (1997) 2775, where it is further noted that the  $\psi'/\psi$  ratio reaches a thermal value ( $T=170$  MeV) in central PbPb collisions at SPS energy

further analysis by Gorenstein and Gazdzicki, Phys. Rev. Lett. 83 (1999) 4003

result:  $(J/\psi)/\pi$  is approximately constant at SPS energy for PbPb

However, thermal production of charm quarks is appreciable  
only at very high temperatures

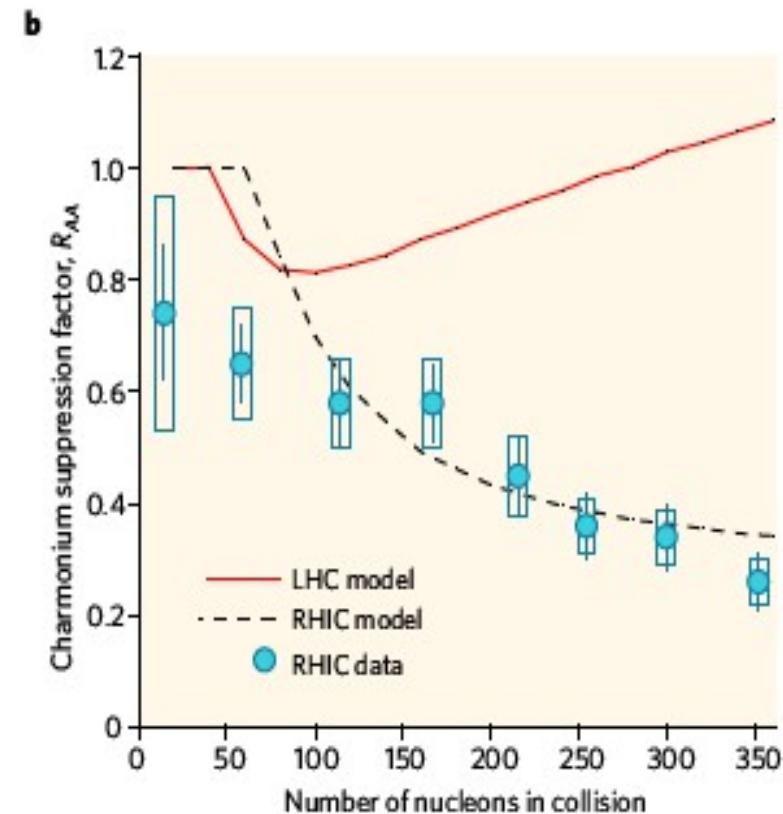
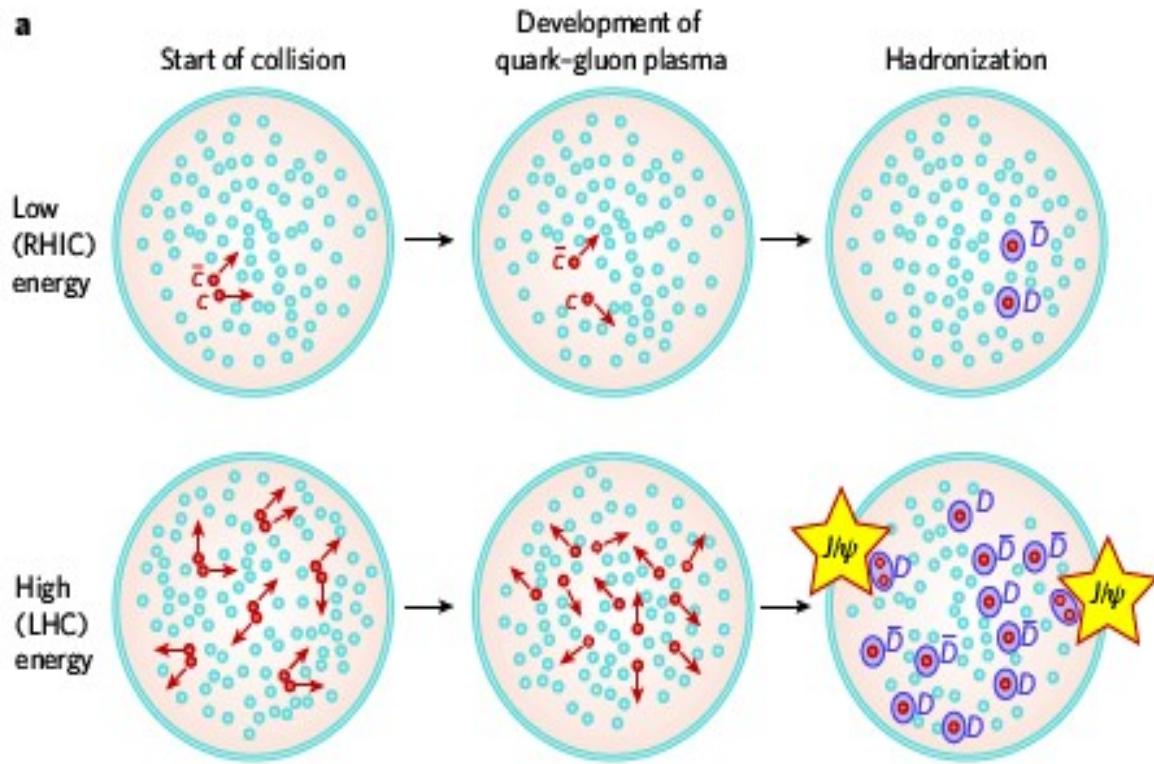
( $T > 800$  MeV, pbm&Redlich, Eur. Phys. J. C16 (2000) 519).

solution: charm quarks produced in hard collisions, then statistical hadronization at the phase boundary.

# quarkonium as a probe for deconfinement at the LHC

## the statistical (re-)generation picture

P. Braun-Munzinger, J. Stachel, The Quest for the Quark-Gluon Plasma,  
Nature 448 Issue 7151, (2007) 302-309.



charmonium enhancement as fingerprint of color screening and deconfinement at LHC energy

pbm, Stachel, Phys. Lett. B490 (2000) 196

Andronic, pbm, Redlich, Stachel, Phys. Lett. B652 (2007) 659

# Statistical hadronization in one page

Thermal model calculation (grand canonical)  $T, \mu_B$ :  $\rightarrow n_X^{th}$

$$N_{c\bar{c}}^{dir} = \frac{1}{2}g_c V(\sum_i n_{D_i}^{th} + n_{\Lambda_i}^{th}) + g_c^2 V(\sum_i n_{\psi_i}^{th} + n_{\chi_i}^{th})$$

$N_{c\bar{c}} \ll 1 \rightarrow \text{Canonical}$ : J.Cleymans, K.Redlich, E.Suhonen, Z. Phys. C51 (1991) 137

charm balance  
equation

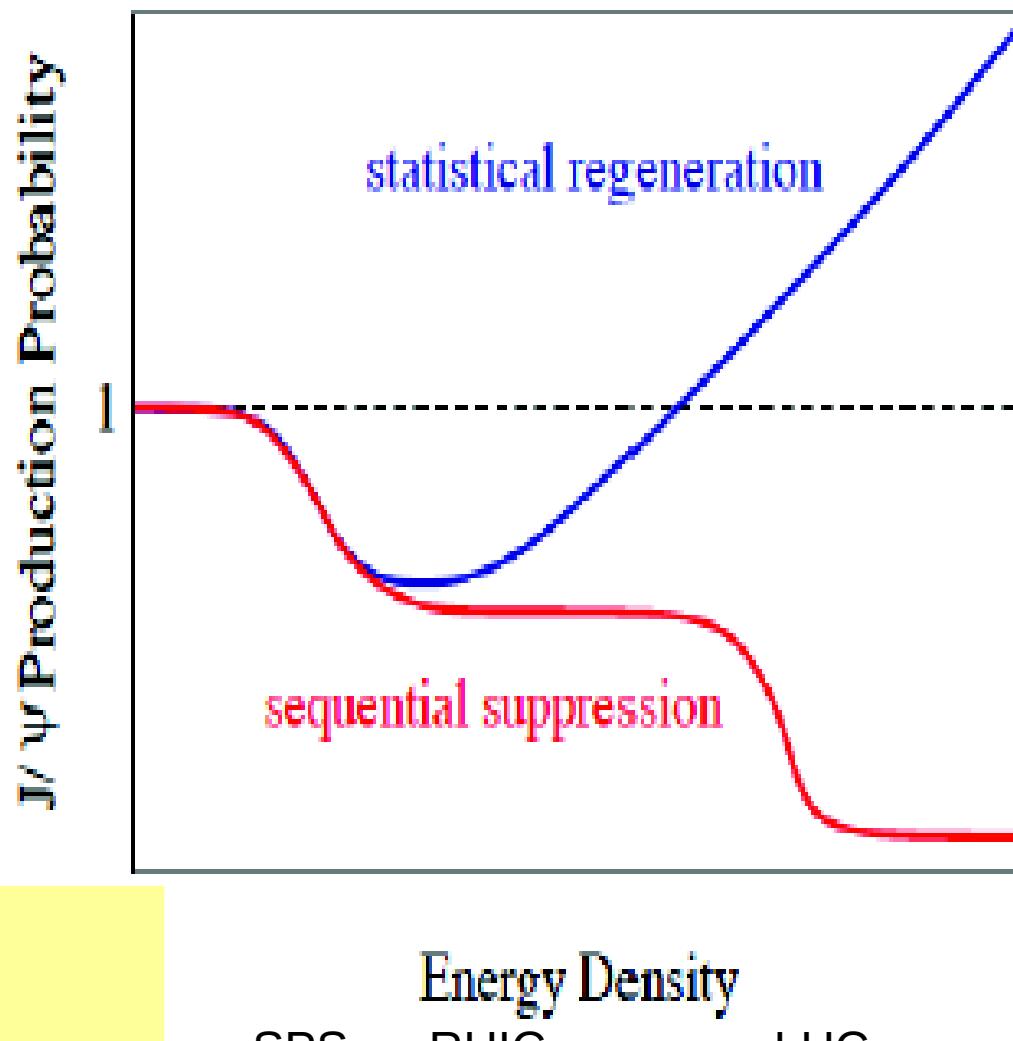
$$\rightarrow N_{c\bar{c}}^{dir} = \frac{1}{2}g_c N_{oc}^{th} \frac{I_1(g_c N_{oc}^{th})}{I_0(g_c N_{oc}^{th})} + g_c^2 N_{c\bar{c}}^{th} \rightarrow g_c$$

---

Outcome:  $N_D = g_c V n_D^{th} I_1 / I_0$      $N_{J/\psi} = g_c^2 V n_{J/\psi}^{th}$

Inputs:  $T, \mu_B$ ,     $V = N_{ch}^{exp} / n_{ch}^{th}$ ,     $N_{c\bar{c}}^{dir}$  (pQCD)

# decision on regeneration vs sequential suppression from LHC data



Picture:  
H. Satz 2009

# **ingredients for prediction of quarkonium and open charm cross sections**

- energy dependence of temperature and baryo-chemical potential (from hadron production analysis)
- open charm (open bottom) cross section in pp or better AA collisions
- quarkonium production cross section in pp collisions (for corona part)

result: quarkonium and open charm cross sections as function of energy, centrality, rapidity, and transverse momentum

## now brief survey of SPS and RHIC results

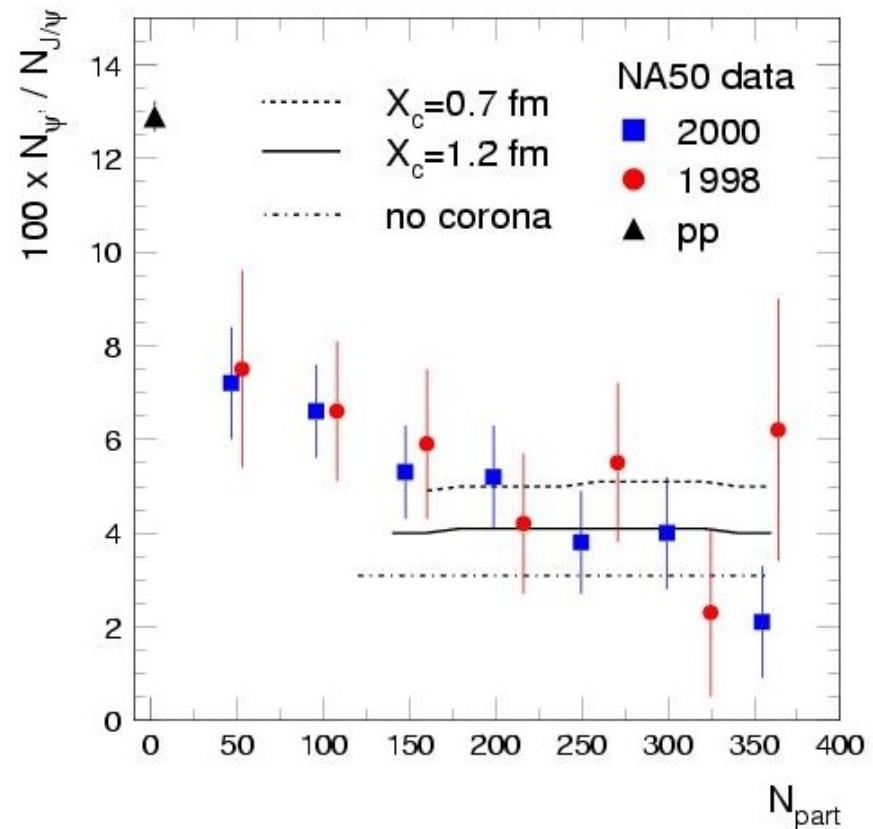
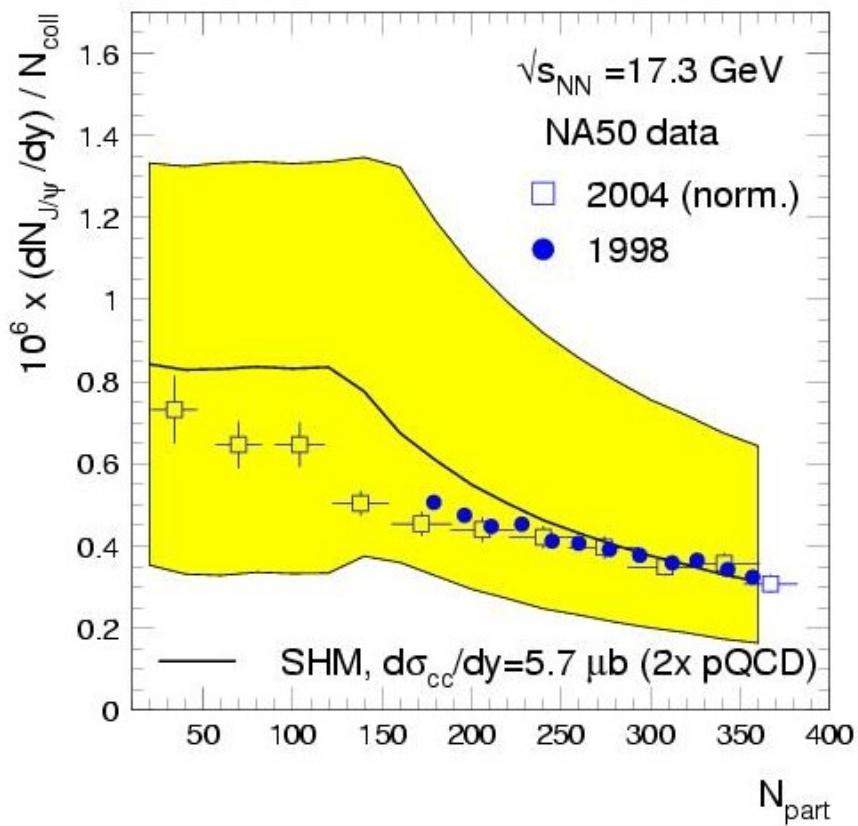
note: charmonium suppression or enhancement is quantified via the nuclear modification factor  $R_{AA}$

$$R_{AA}^i = \frac{Y_{J/\psi}^i(\Delta p_t, \Delta y)}{\langle T_{AA}^i \rangle \times \sigma_{J/\psi}^{pp}(\Delta p_t, \Delta y)}$$

Here,  $T_{AA}$  is the nuclear thickness function

by construction,  $R_{AA} = \text{medium/vacuum}$

# results for SPS energy

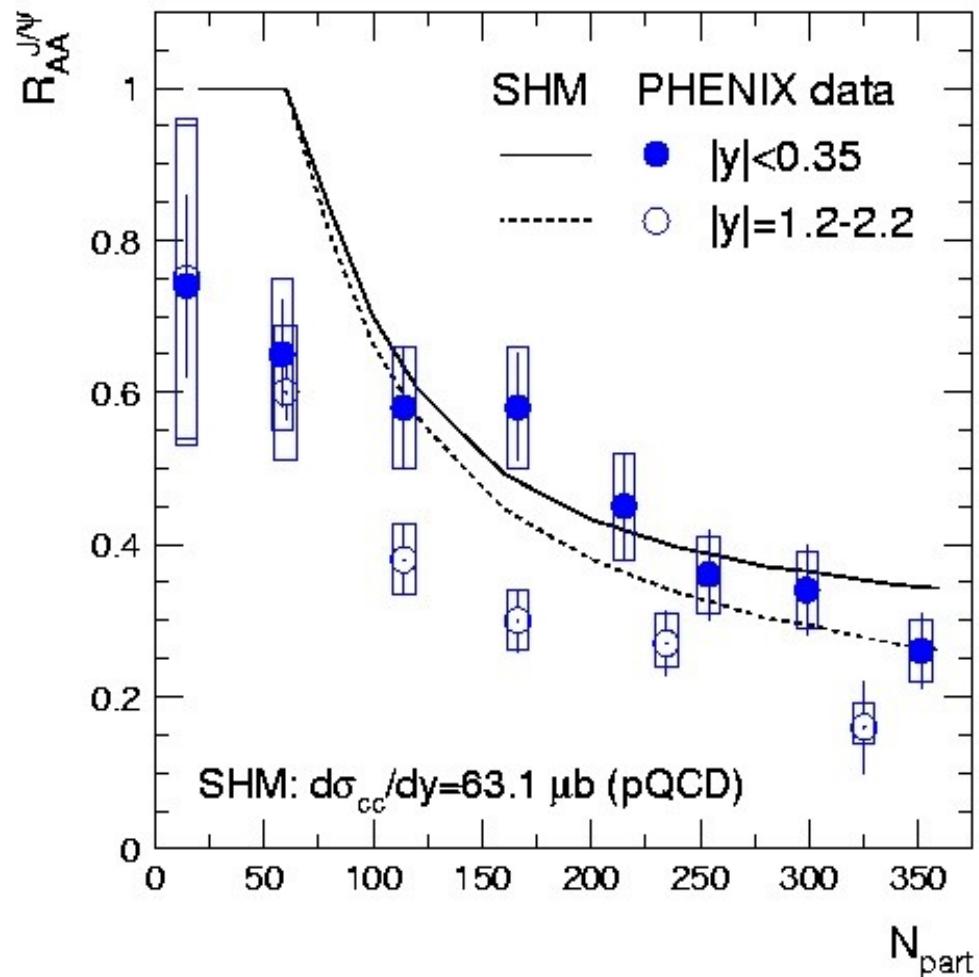


only moderately enhanced (2 x pQCD) cc\_bar cross section needed

psi'/psi ratio is expected from a thermal scenario

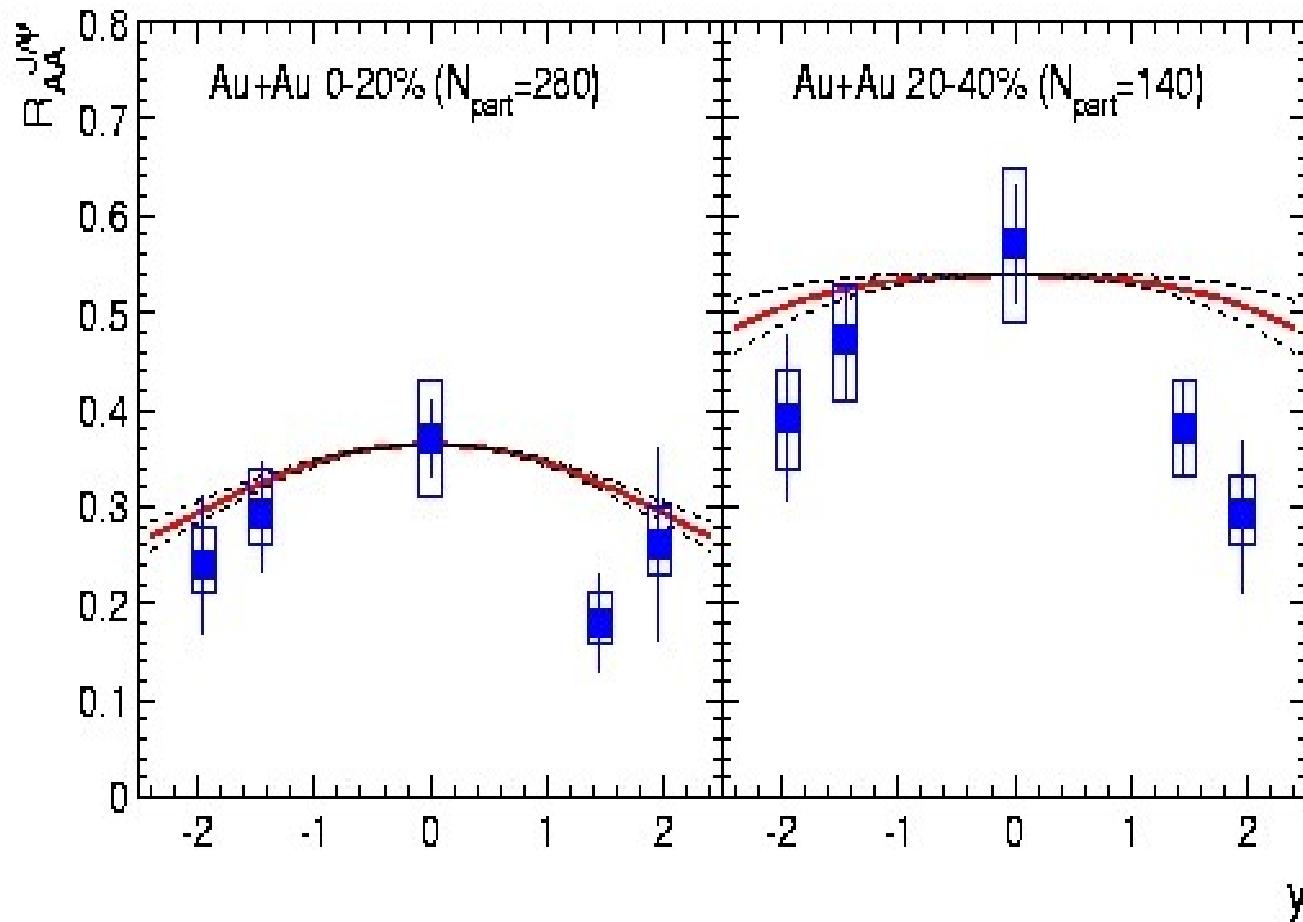
# **a brief look at RHIC data**

# Centrality dependence of nuclear modification factor



data well described  
by our regeneration model  
without any new  
parameters

# Comparison of model predictions to RHIC data: rapidity dependence



suppression is smallest at mid-rapidity (90 deg. emission)  
a clear indication for regeneration at the phase boundary

# **summary of low energy (SPS, RHIC) results**

first indications for (re-)generation picture

interpretation not unique

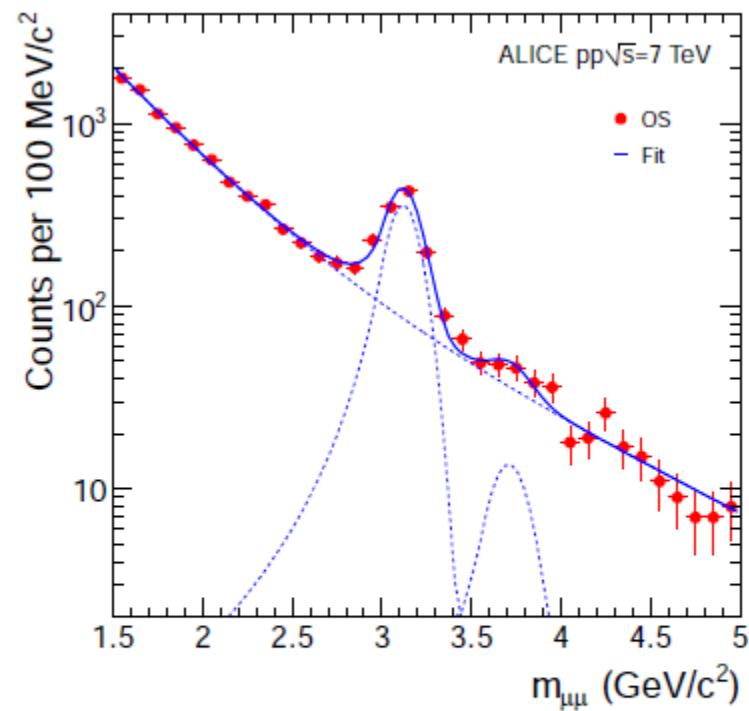
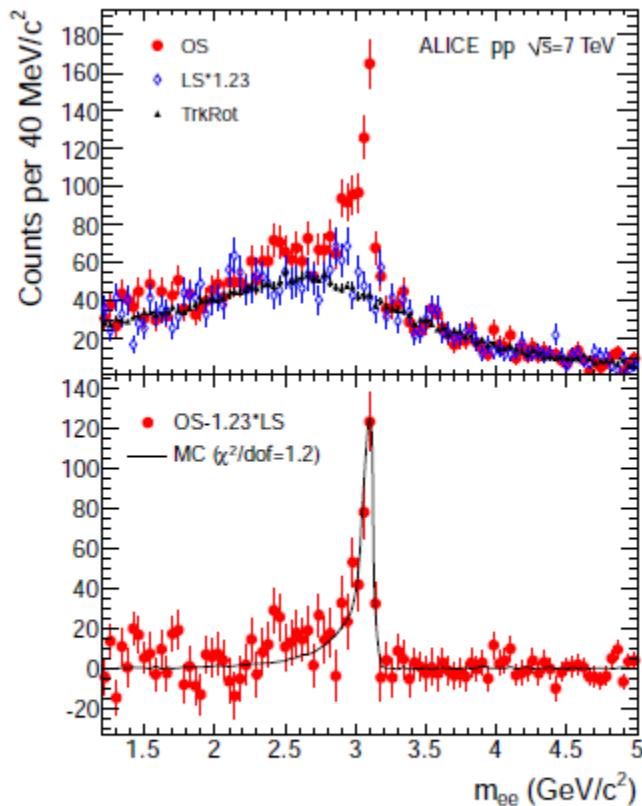
## **now to LHC data**

attempt full measurement of open charm and open beauty  
in pp, pPb, PbPb as function of centrality, rapidity and transverse  
momentum

attempt full measurement including polarization of all quarkonia  
in pp, pPb, PbPb as function of centrality, rapidity and transverse  
momentum

...we are on the way

# J/psi identification in pp collisions with ALICE



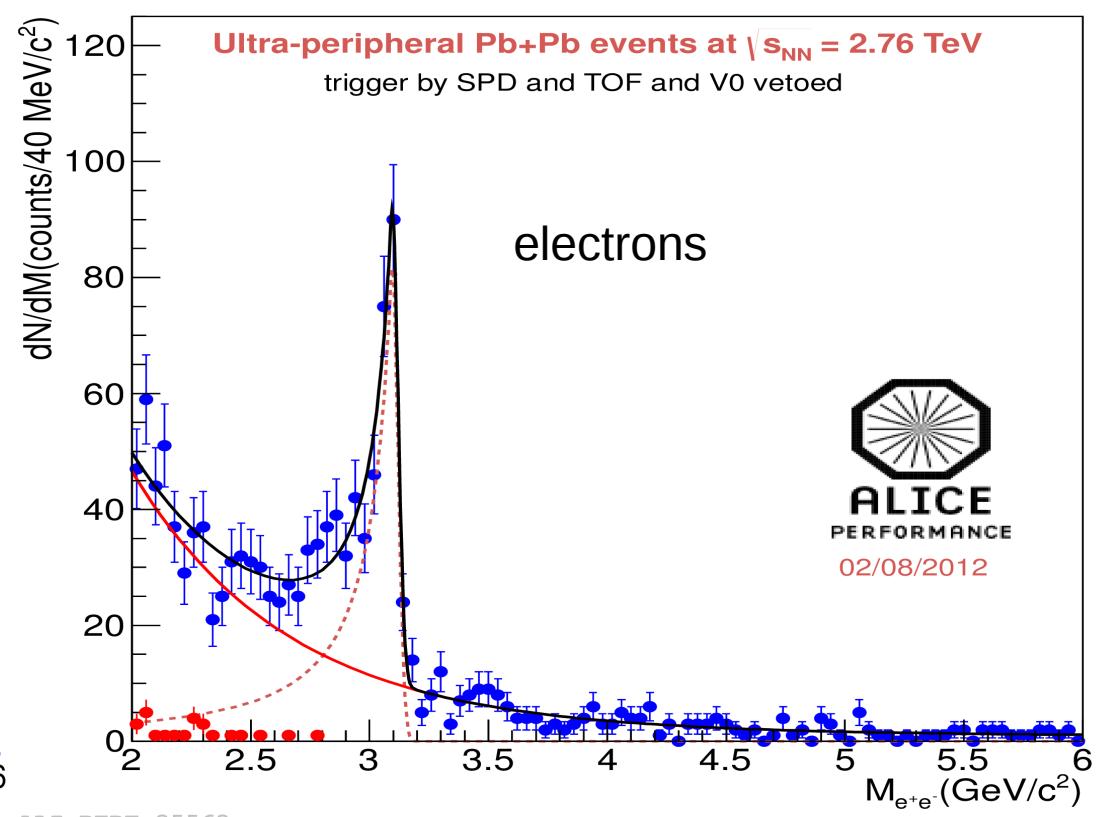
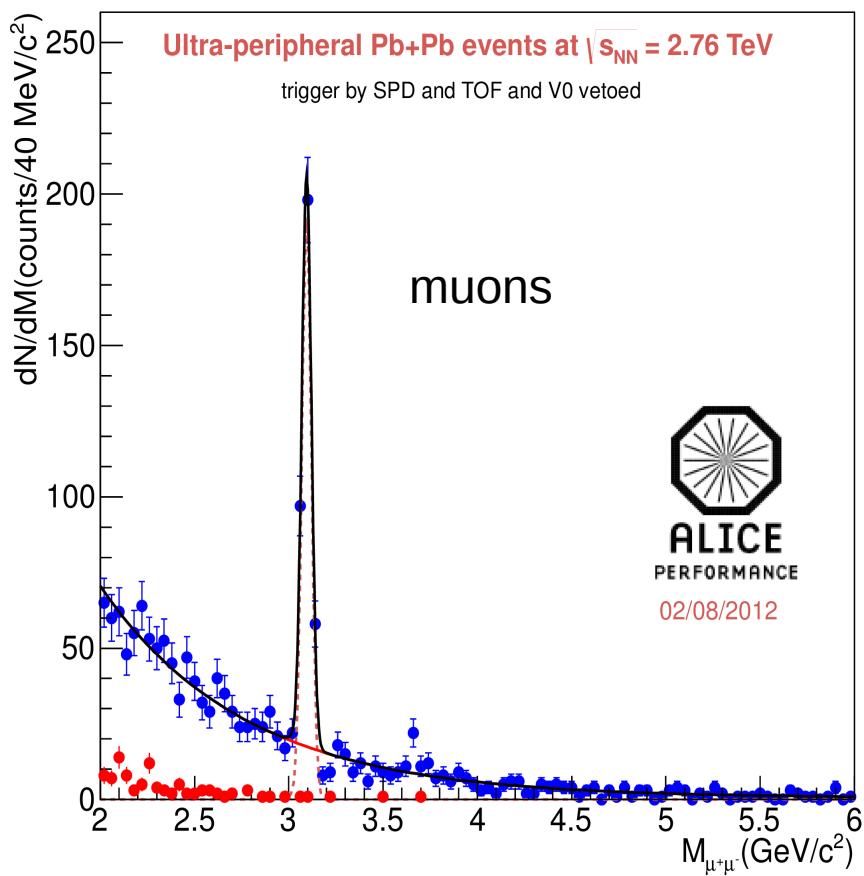
$$N_{J/\psi} = 957 \pm 56 \text{ for } L_{int}=7.9 \text{ nb}^{-1}$$

$$N_{J/\psi} = 352 \pm 32 \text{ for } L_{int}=5.6 \text{ nb}^{-1}$$

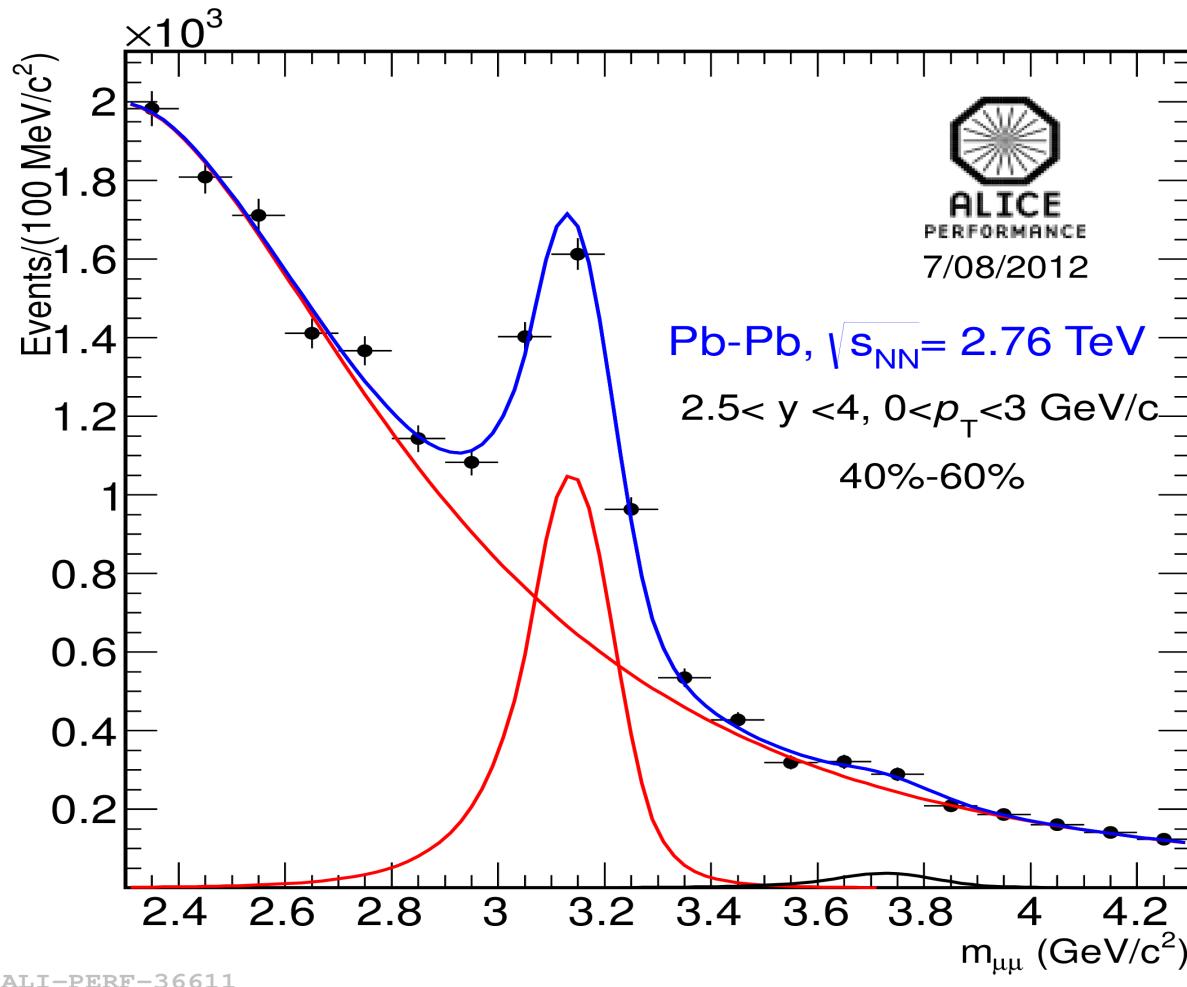
Phys. Lett. B 704 (2011) 442

# J/psi line shape in ultra-peripheral Pb—Pb collisions

resolution: about 23 MeV for J/psi, precision determination of tail due to internal and external bremsstrahlung

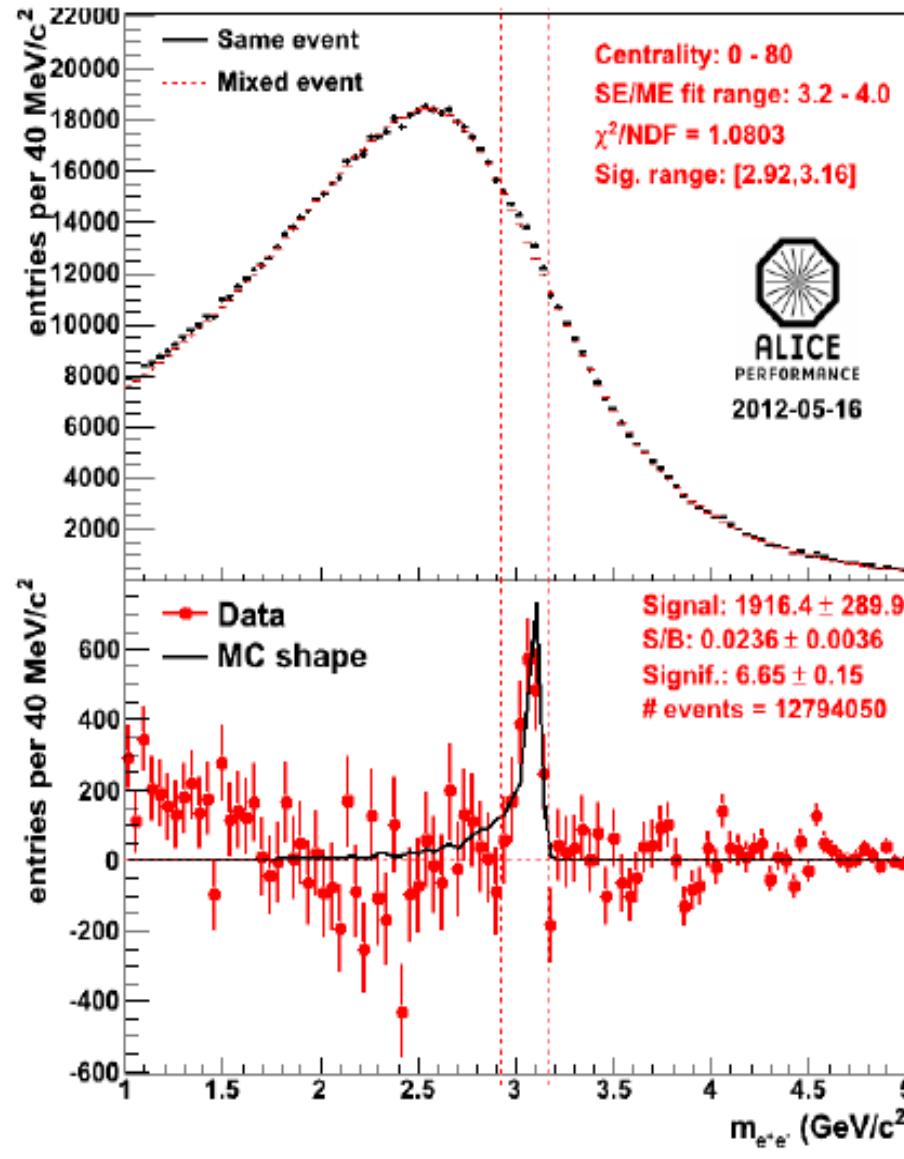


# J/psi → mu mu in PbPb collisions



note: ALICE measurements include  $p_T(J/\psi) = 0$

# J/psi in e+e- needs electron ID in both TPC and TRD



most challenging: PbPb collisions

in spite of significant combinatorial background

(true electrons, not from J/y decay but e.g. D- or B-mesons) resonance well visible

# in Pb—Pb collisions charm quarks are suppressed relative to pp collisions

in the  $\text{pt}$  range  $3 < \text{pt} < 10 \text{ GeV}$  there are much fewer charm quarks compared to expectations from pp collisions

→ **charm quarks in PbPb are at low pt!**

expect that charmonia are suppressed in the  $\text{pt} > 3\text{GeV}$  range

measurements at low  $\text{pt}$  are absolutely essential for the charmonium story

solution: normalization of  $\text{J}/\psi$  to the open charm cross section in PbPb collisions

first step:  $(\text{J}/\psi)/D$  ratio in PbPb collisions  
to come soon from ALICE

# Normalization

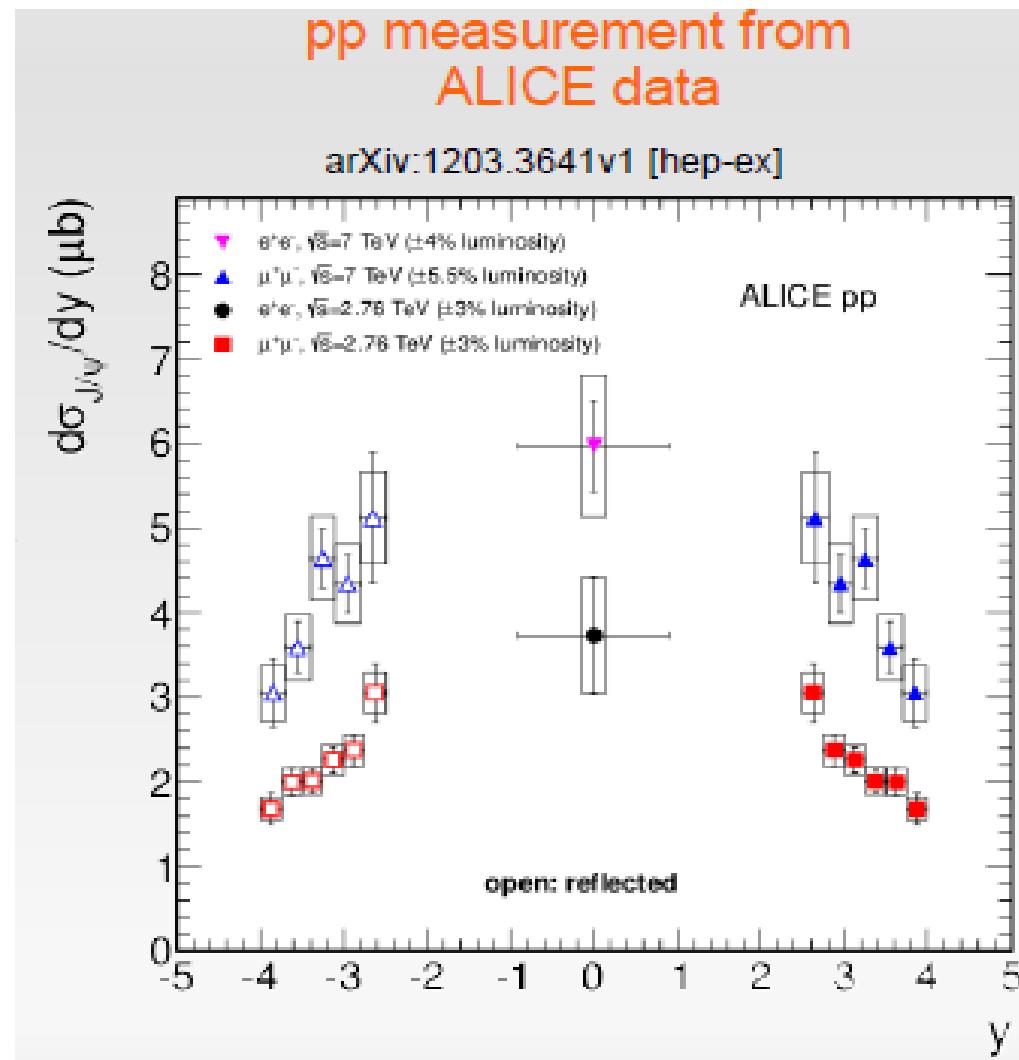
pp @ 2.76 TeV reference for the nuclear modification factor  $R_{AA}$  in Pb-Pb collisions

$$R_{AA}^i = \frac{Y_{J/\psi}^i(\Delta p_t, \Delta y)}{\langle T_{AA}^i \rangle \times \sigma_{J/\psi}^{pp}(\Delta p_t, \Delta y)}$$

the pp reference is also the main source of systematic uncertainty in the  $R_{AA}$  computation:

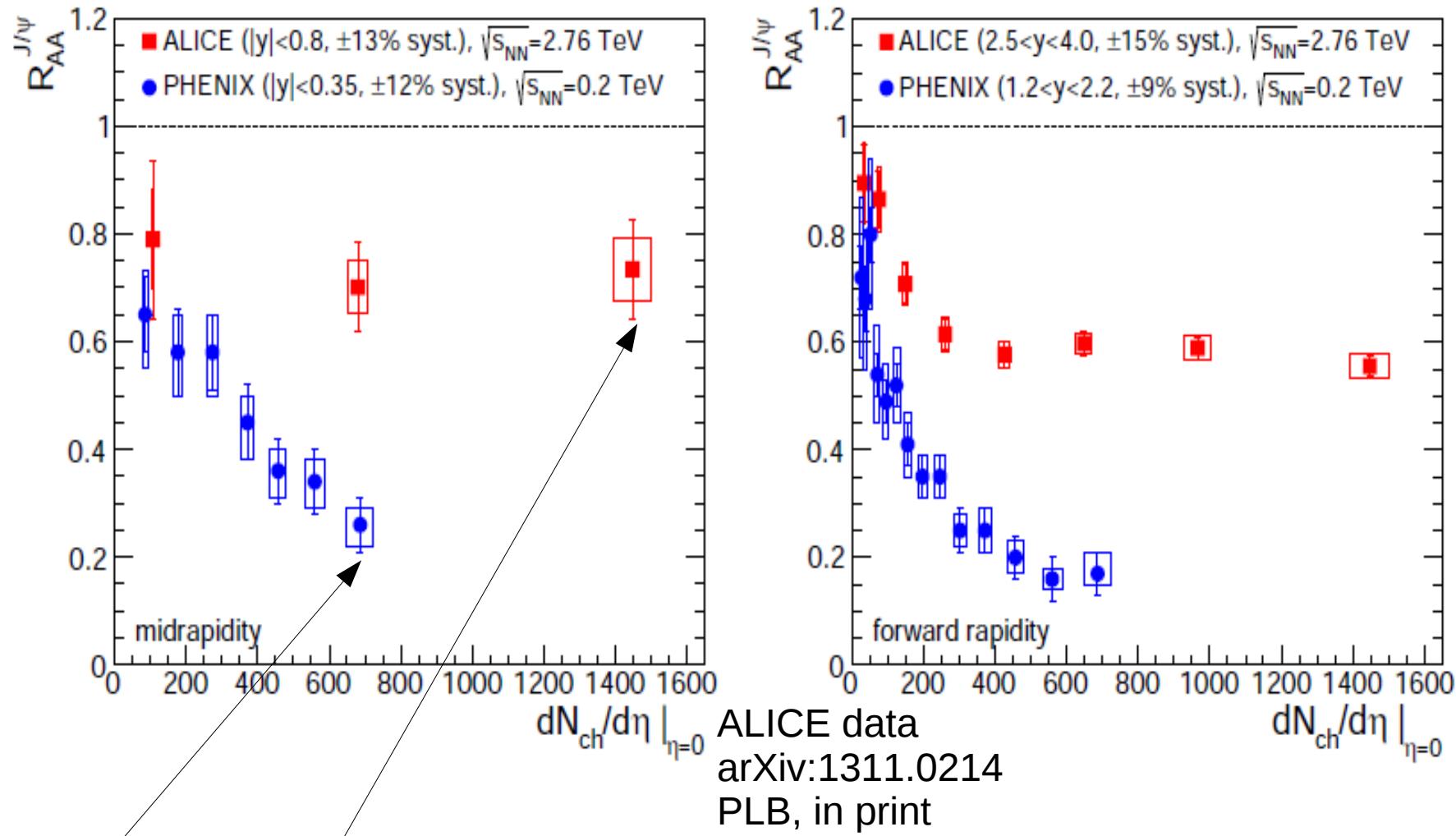
$J/\psi$  ( $2.5 < y < 4$ ), total syst. uncertainty of 9%

$J/\psi$  ( $|y| < 0.9$ ), total syst. uncertainty of 26%



# less suppression when increasing the energy density

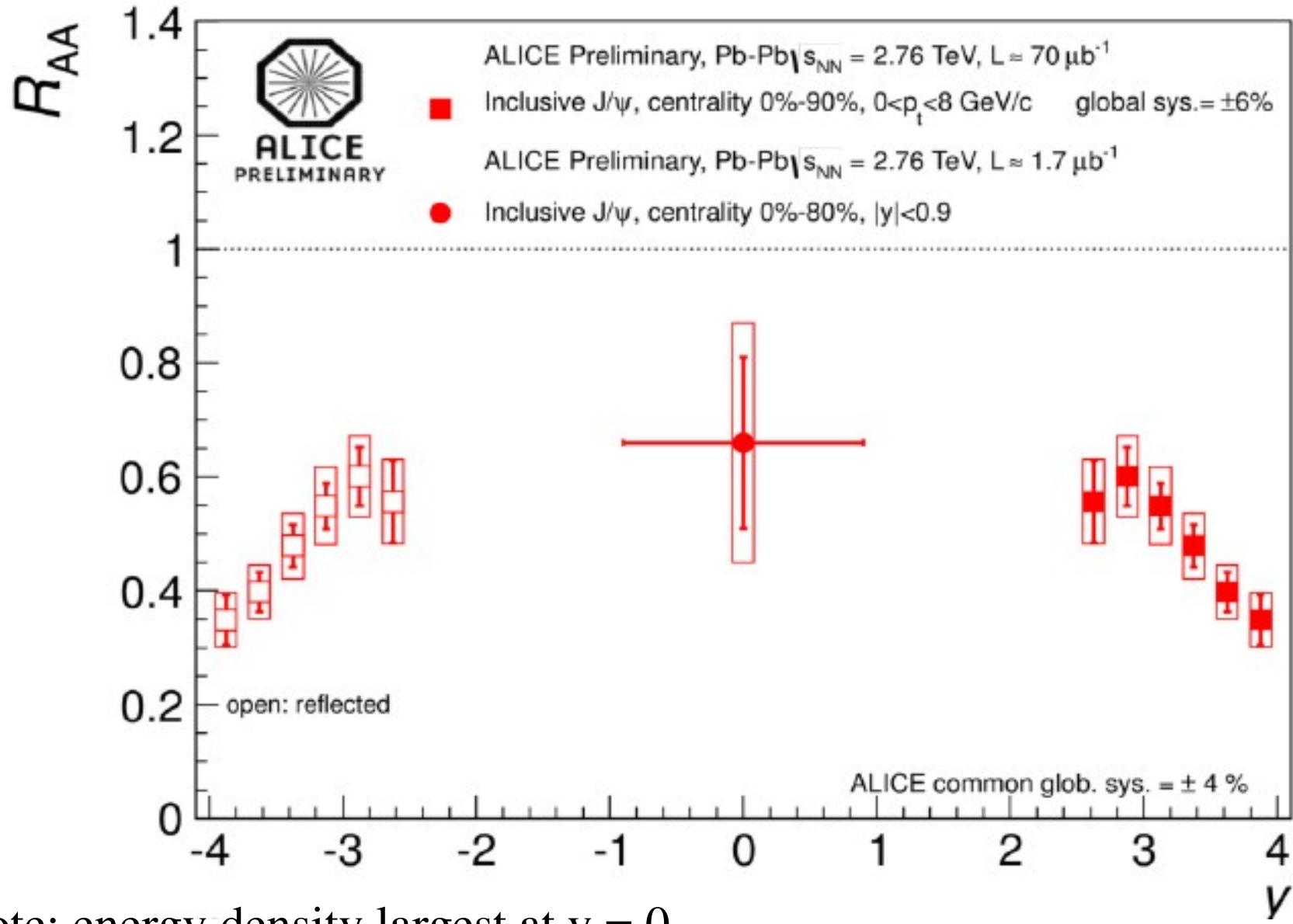
midrapidity      forward rapidity



from here to here more than factor of 2 increase in energy density, but  $R_{AA}$  increases by more than a factor of 3

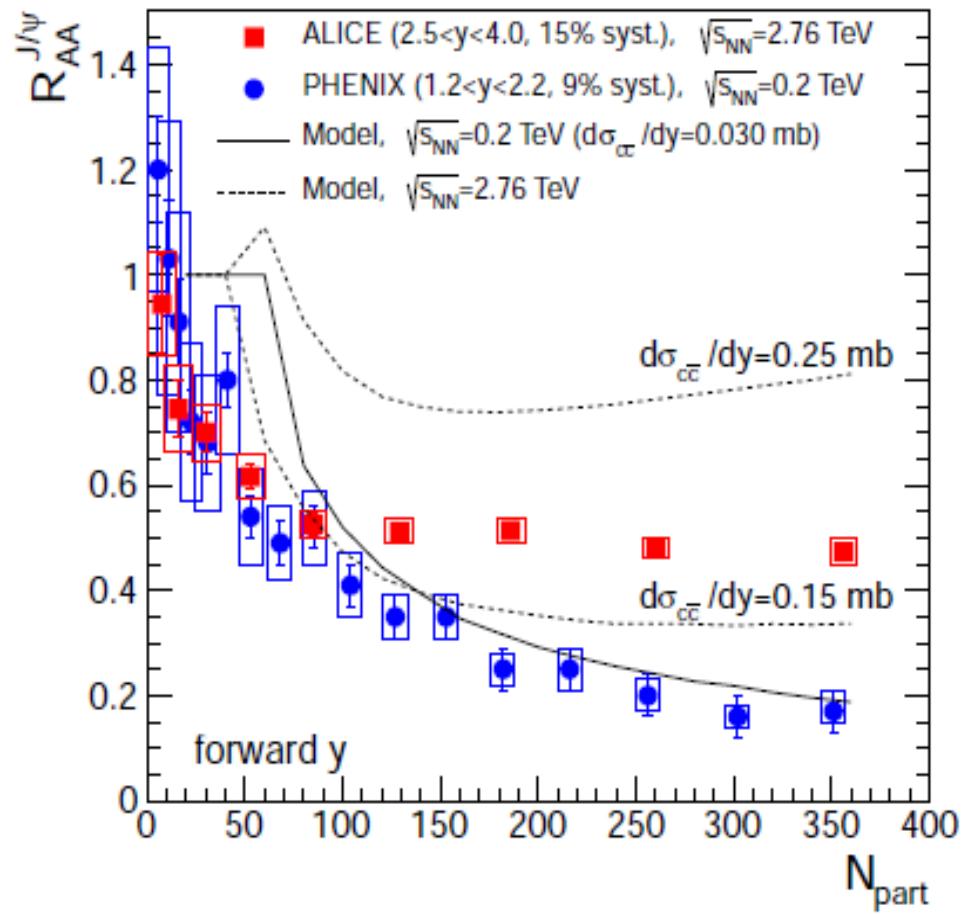
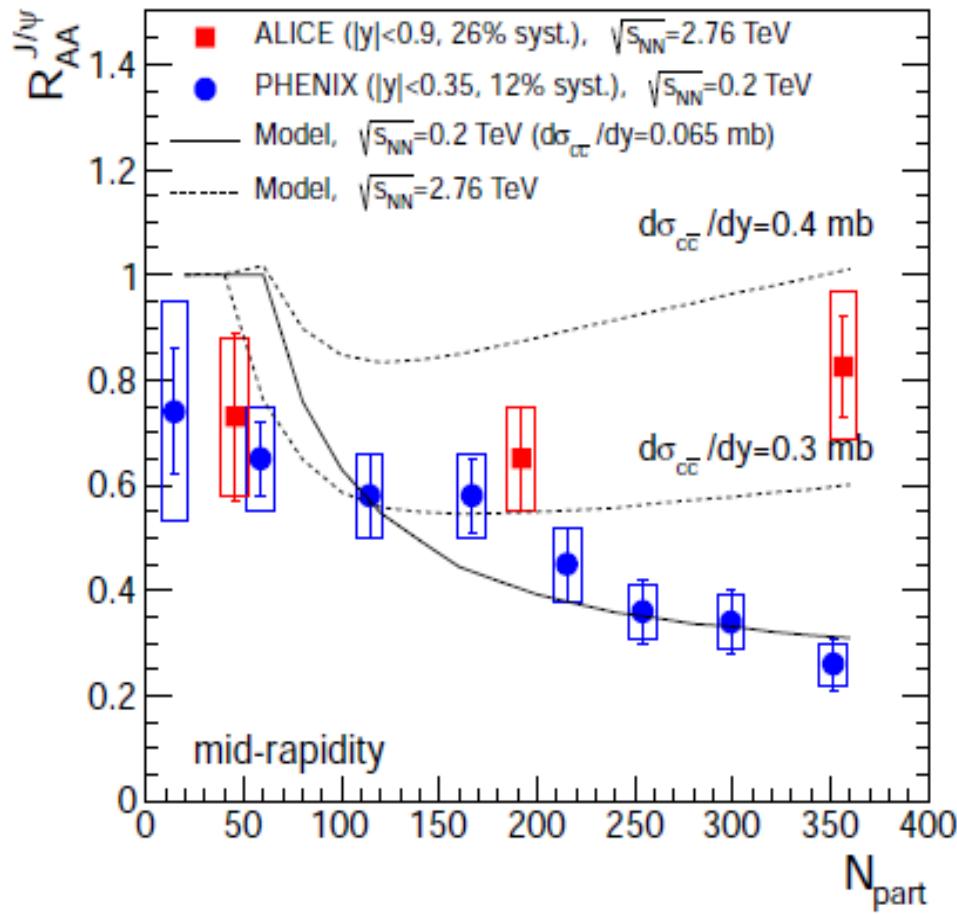
2007 prediction impressively confirmed by LHC data

# Rapidity dependence



note: energy density largest at  $y = 0$

# statistical hadronization model



ALICE data and evolution from RHIC to LHC energy described quantitatively

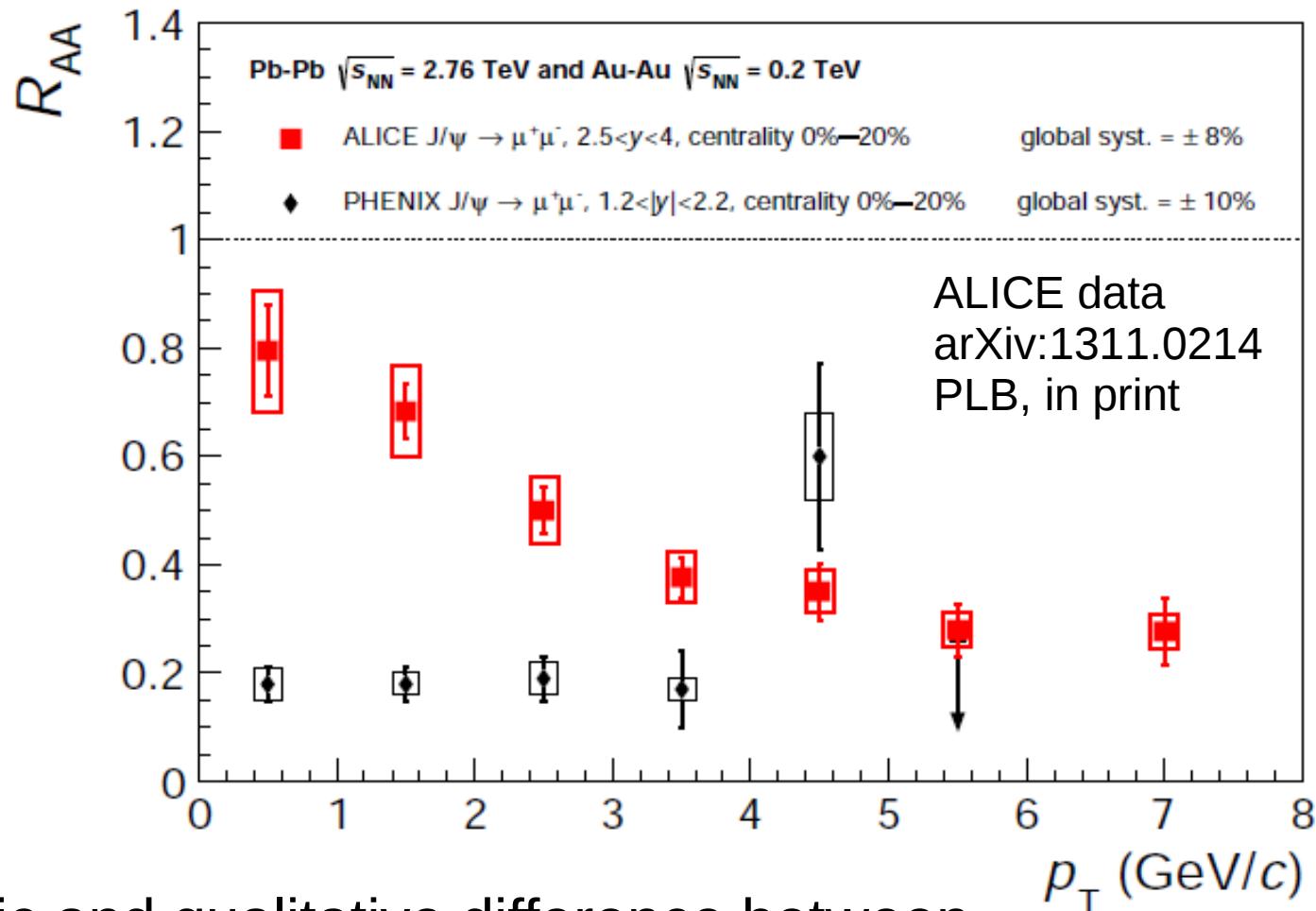
## **back to J/psi data – what about spectra and hydrodynamic flow of charm and charmonia?**

if charmonia are produced via statistical hadronization of charm quarks at the phase boundary, then:

- charm quarks should be in thermal equilibrium
  - low pt enhancement
  - flow of charm quarks
  - flow of charmonia

# Comparison of transverse momentum spectra at RHIC and LHC

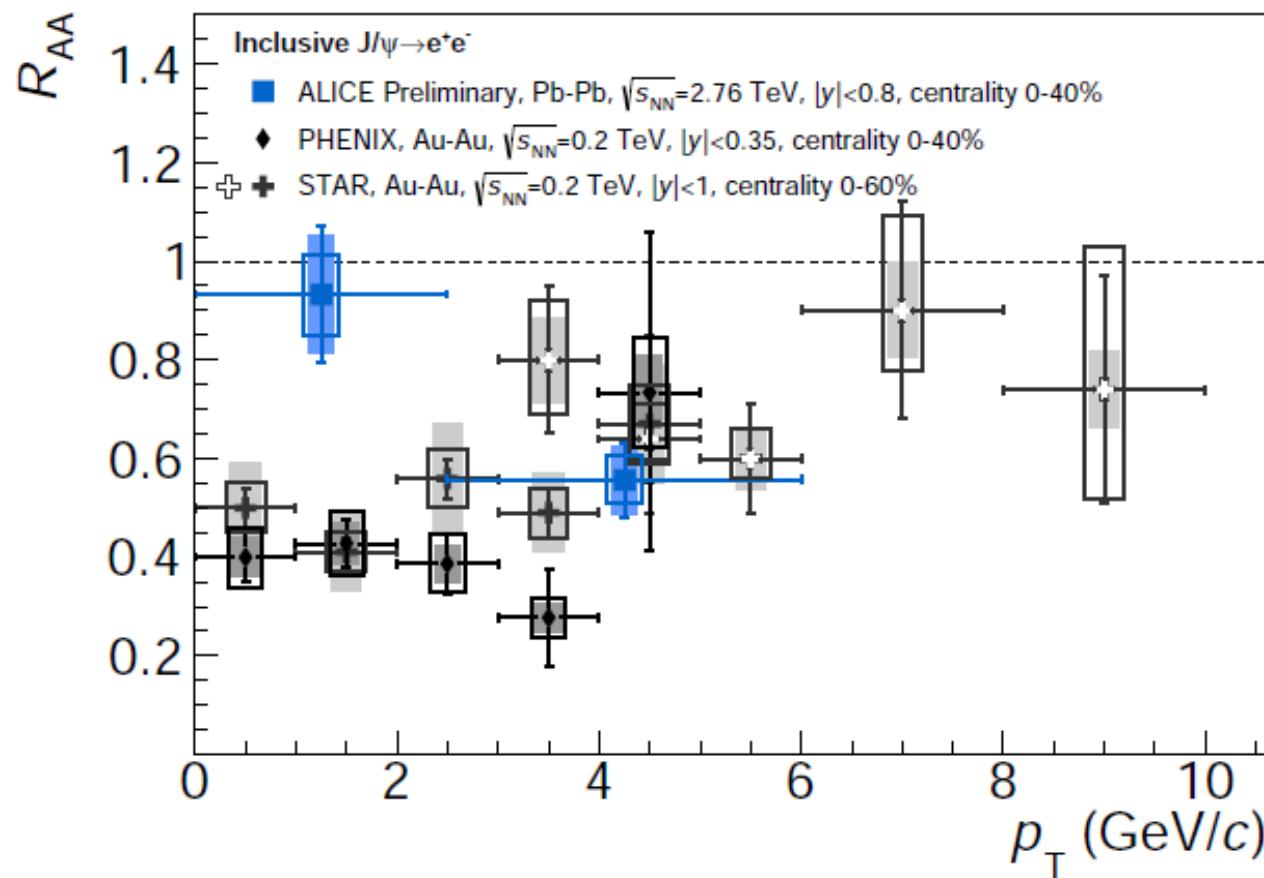
forward rapidity



dramatic and qualitative difference between RHIC and LHC results

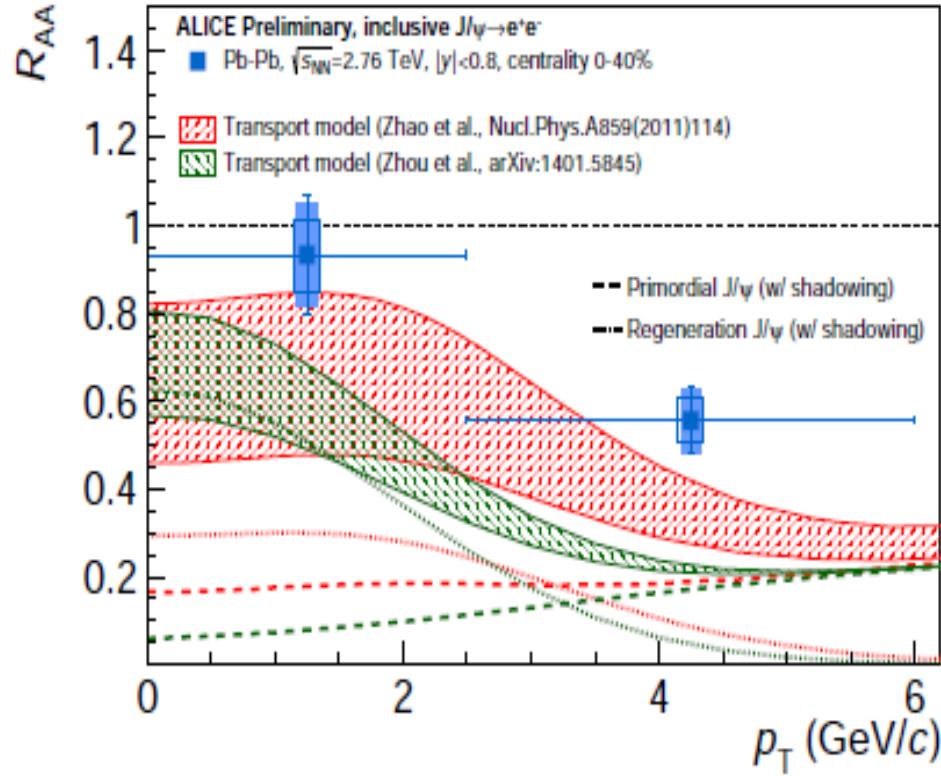
# Comparison of transverse momentum spectra at RHIC and LHC

## midrapidity

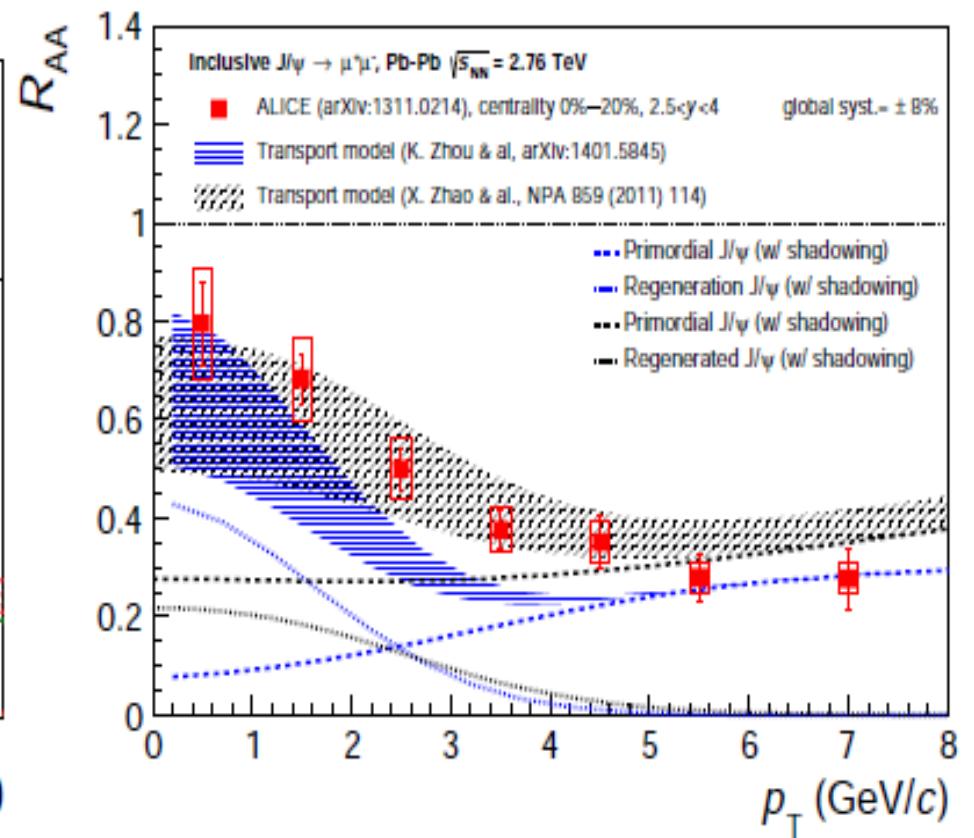


# comparison with (re-)generation models

midrapidity



forward rapidity



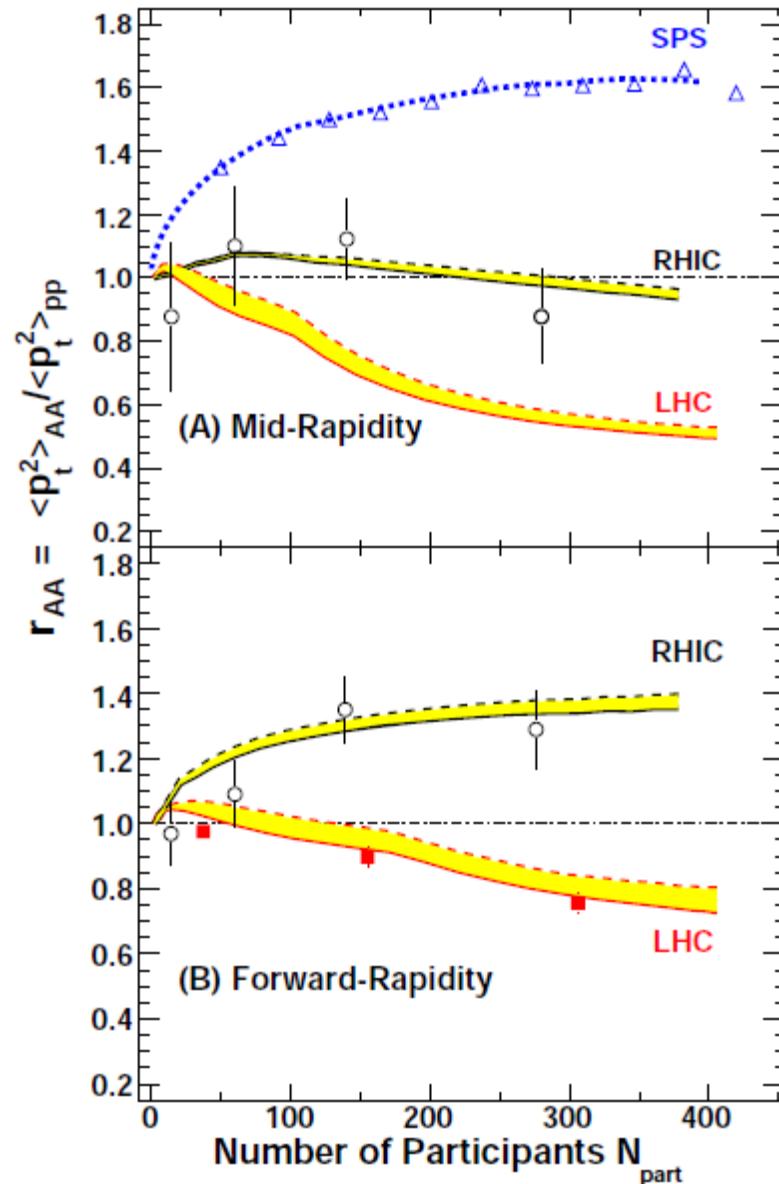
good agreement lends further strong support to the  
'full color screening and late  $\text{J}/\psi$  production' picture

# analysis of transverse momentum spectra

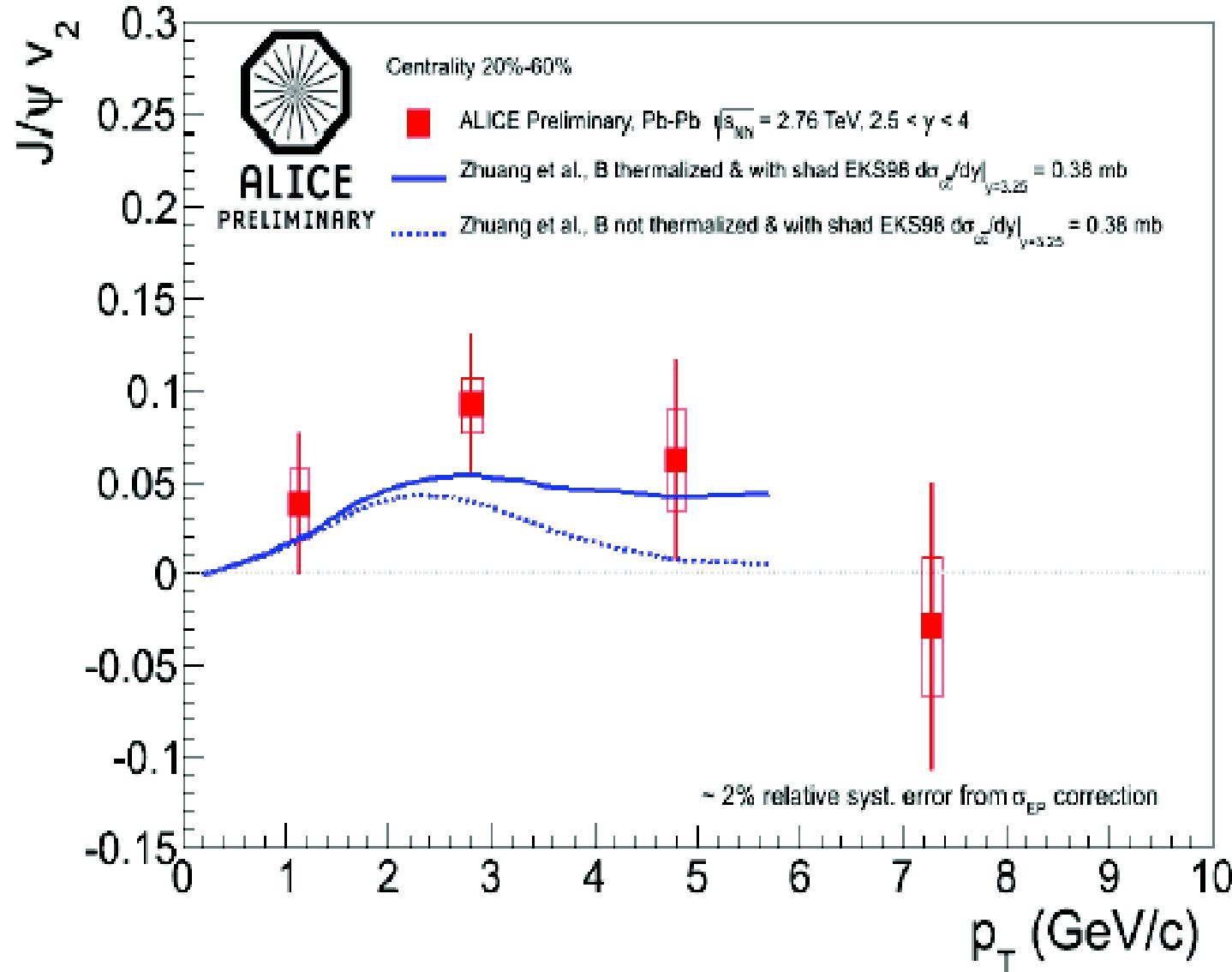
arXiv:1309.7520v1 [nucl-th] 29 Sep 2013

Zhou, Xu, Zhuang

at LHC energy, mostly (re-) generation of charmonium,  $p_t$  distribution exhibits features of strong energy loss and approach to thermalization for charm quarks



# J/psi flow compared to models including (re-) generation



hydrodynamic flow of  $J/\psi$  consistent with (re-)generation

# **Charmonium production at LHC energy: deconfinement, and color screening**

- Charmonia formed at the phase boundary → full color screening at  $T_c$
- Debye screening length  $< 0.4$  fm near  $T_c$
- Combination of uncorrelated charm quarks into J/psi → deconfinement

**statistical hadronization picture of charmonium  
production provides  
most direct way towards information on the  
degree of deconfinement reached  
as well as on  
color screening and the question of bound states in the QGP**

# Debye mass, LQCD, and J/psi data

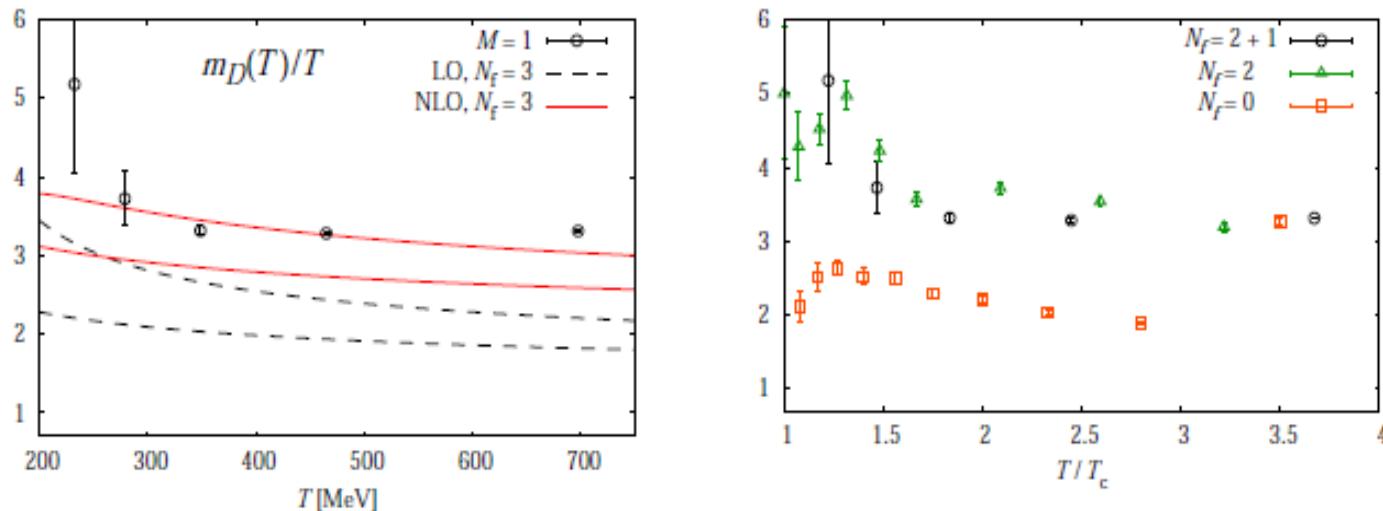


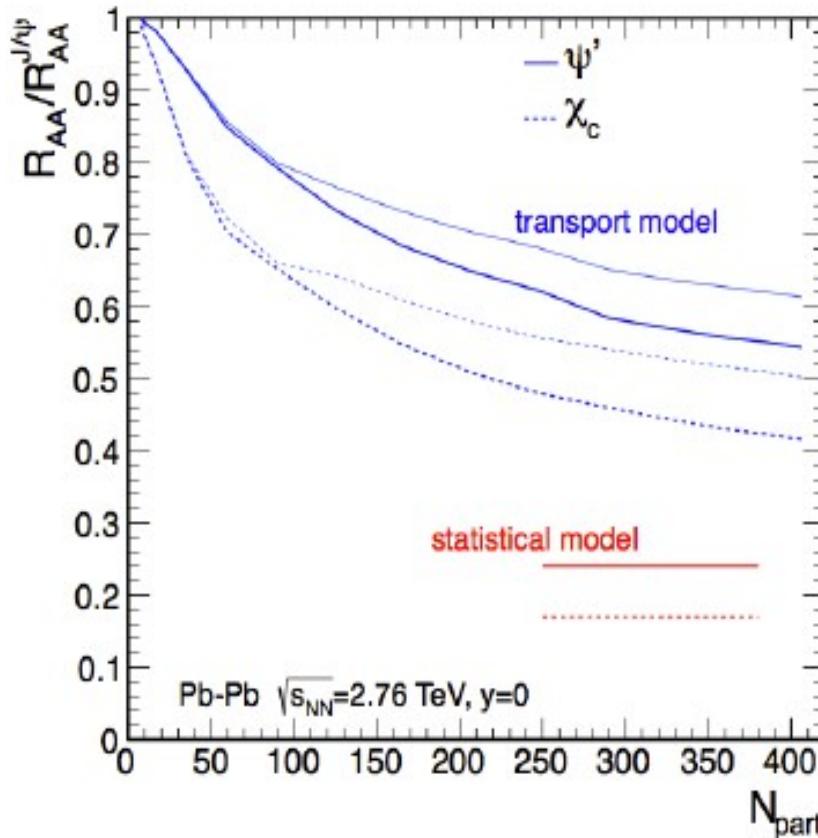
Fig. 6. (Left) The Debye screening mass on the lattice in the color-singlet channel together with that calculated in the leading-order (LO) and next-to-leading-order (NLO) perturbation theory shown by dashed-black and solid-red lines, respectively. The bottom (top) line expresses a result at  $\mu = \pi T$  ( $3\pi T$ ), where  $\mu$  is the renormalization point. (Right) Flavor dependence of the Debye screening masses. We assume the pseudo-critical temperature for 2 + 1-flavor QCD as  $T_c \sim 190$  MeV.

arXiv:1112.2756 WHOT-QCD Coll.

from J/psi data and statistical hadronization analysis:

$m_{\text{Debye}}/T > 3.3$   
at  $T = 0.15$  GeV

# Are there hadronic bound states in the QGP?



transport model:

X. Zhao, R. Rapp,  
NPA 859 (2011) 114

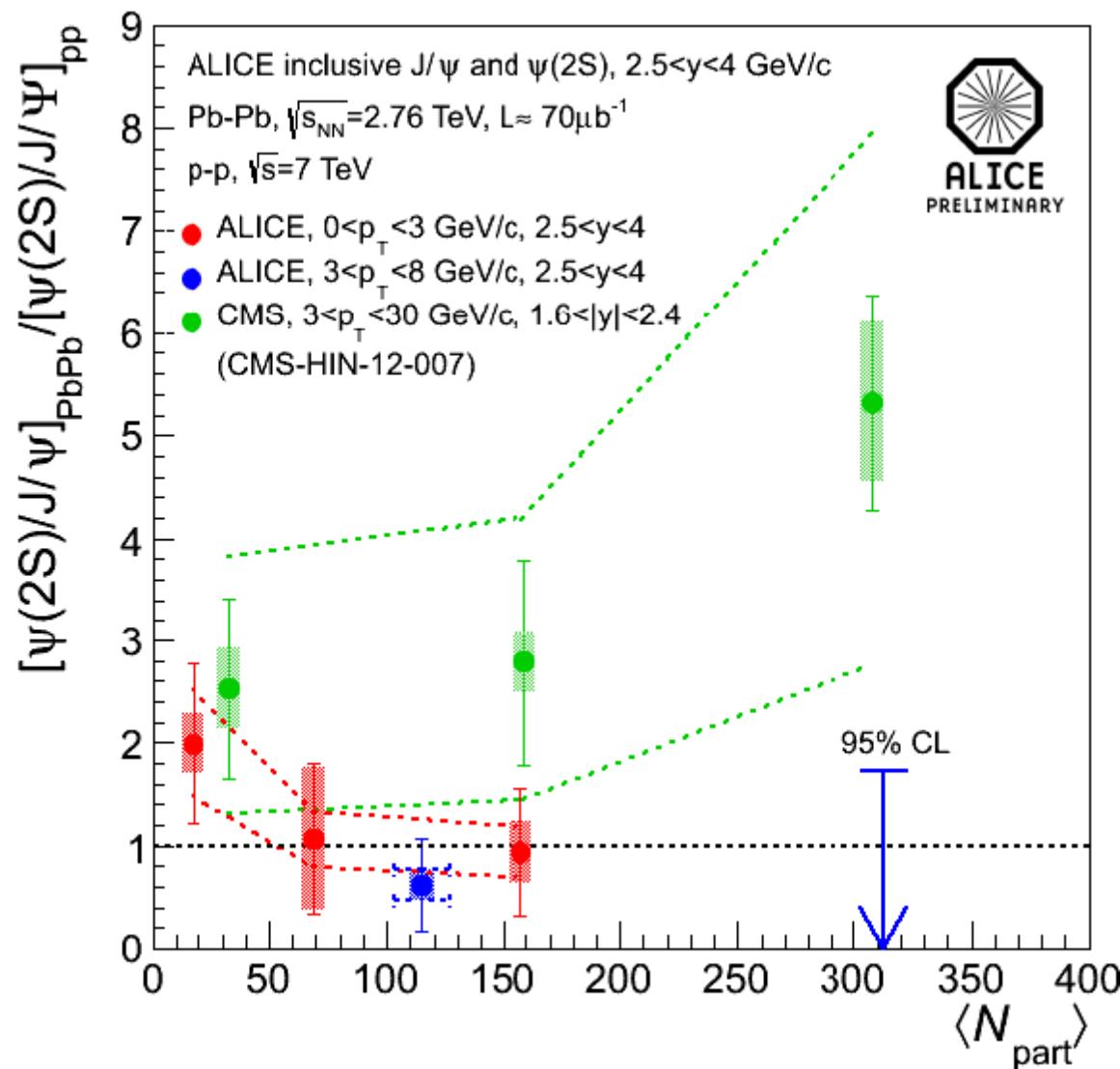
statistical model:

A. Andronic et al.,  
PLB 678 (2009) 350

Possible resolution of a fundamental question:  
can there be bound states of colorless hadrons in the QGP or  
are all hadrons formed at the phase boundary?

measurement of  $\psi'/\psi$  and  $\chi_c/\psi$  ratio will settle the issue → ALICE upgrade

# First results on $\psi'/(\text{J}/\psi)$ ratio

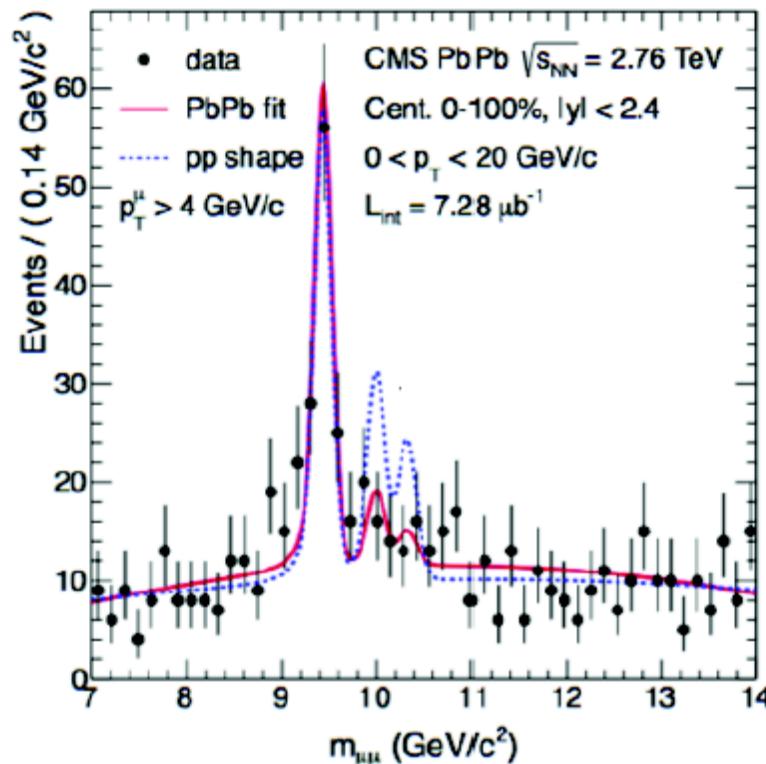


dramatic enhancement in CMS data not confirmed by ALICE measurements

# Sequential Upsilon suppression

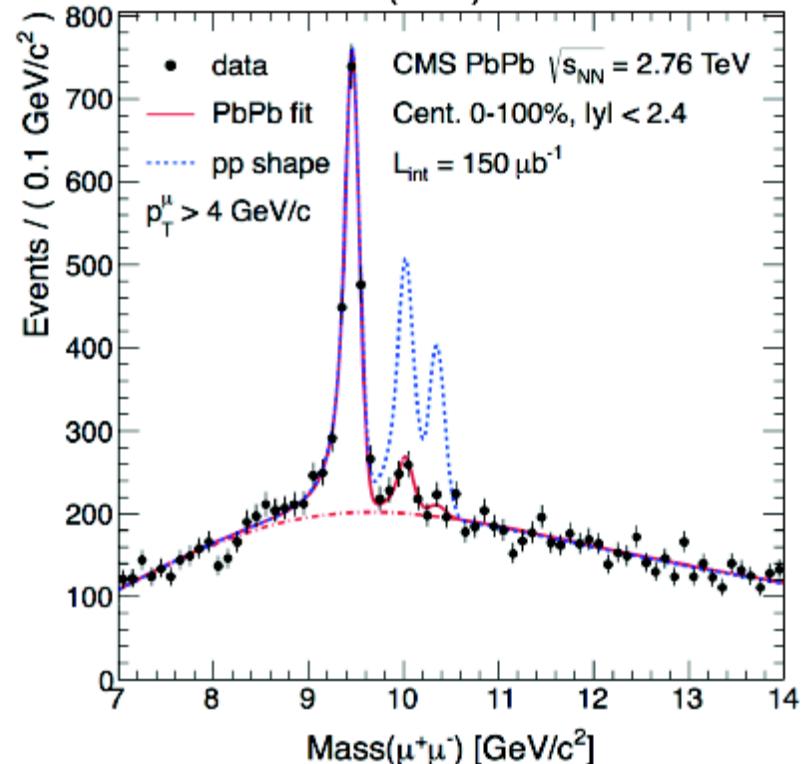
2010 data

PRL 107 (2011) 052302



2011 data

PRL 109 (2012) 222301



Indication of suppression of  
( $\Upsilon(2S)$ + $\Upsilon(3S)$ ) relative to  $\Upsilon(1S)$   
→  $2.4\sigma$  significance

Observation of sequential  
suppression of  $\Upsilon$  family  
→ Detailed studies

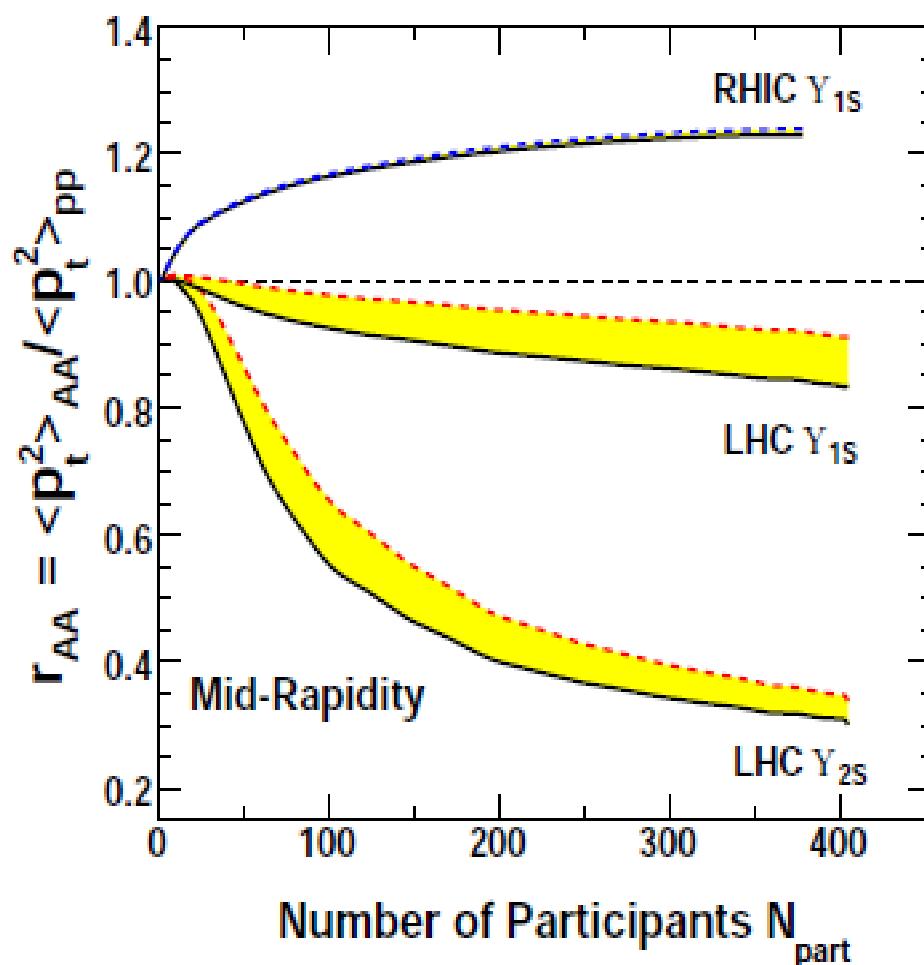


# transverse momentum distributions for Y states

if picture of Debye screening and (re-)generation also applies to Y states, expect similar  $p_t$  pattern as for charmonia

needs approach to thermalization for b quarks!

predictions by Zhou, Xu,  
Zhuang  
arXiv:1309.7520 [nucl-th]

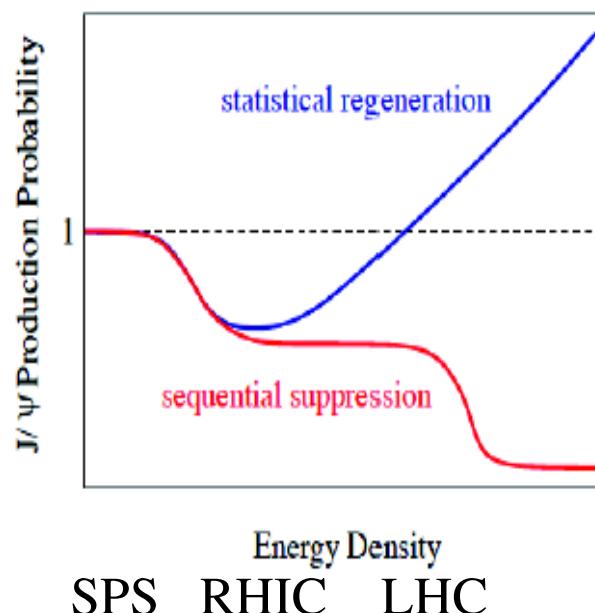


# summary 1 – quarkonium production

- spectacular difference between results from RHIC and LHC
- J/psi production is consistent with complete Debye screening and (re-)generation at the QCD phase boundary
- charm quarks are thermalized and deconfined
- Y production: also suppressed but unclear relation to color screening are b quarks and/or Y thermalized?

## summary 2

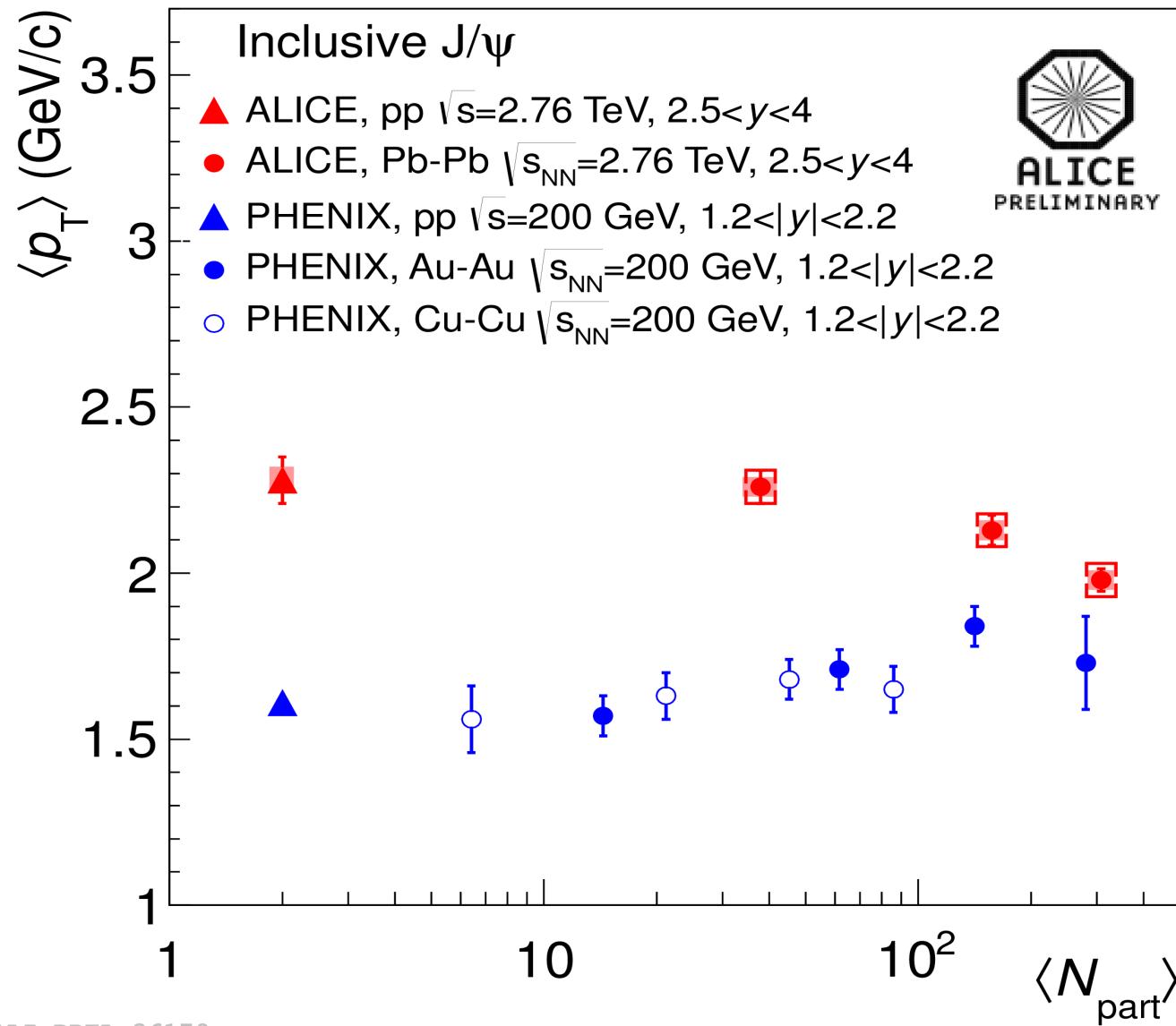
- charmonium production – a fingerprint for deconfined quarks and gluons
- evidence for energy loss and flow of charm quarks --> thermalization
- charmonium generation at the phase boundary – a new process
- first indications for this from  $\psi'/(J/\psi)$  SPS and  $J/\psi$  RHIC data
- evolution from RHIC to LHC described quantitatively
- charmonium enhancement at LHC –  $J/\psi$  color-screened at  $T_c$ , deconfined QGP



cartoon Helmut Satz, 2009

# **extra slides**

# Evolution of J/psi transverse momentum spectra – evidence for thermalization and charm quark coalescence at the phase boundary



# Evolution of J/psi transverse momentum spectra – evidence for thermalization and charm quark coalescence at the phase boundary

