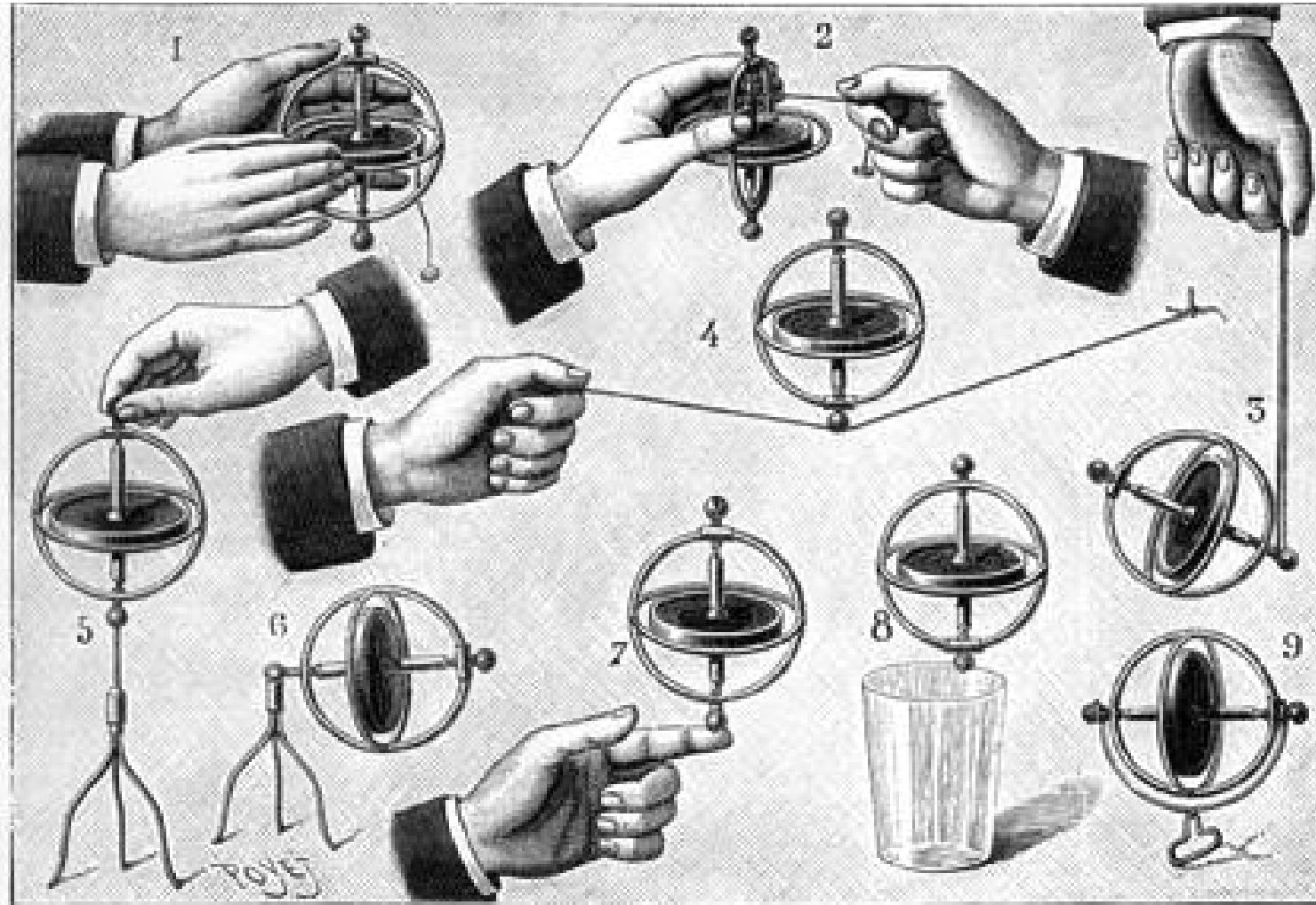


# Collective Neutrino Flavor Oscillations



Georg G. Raffelt

Max Planck Institute for Physics, Munich, Germany

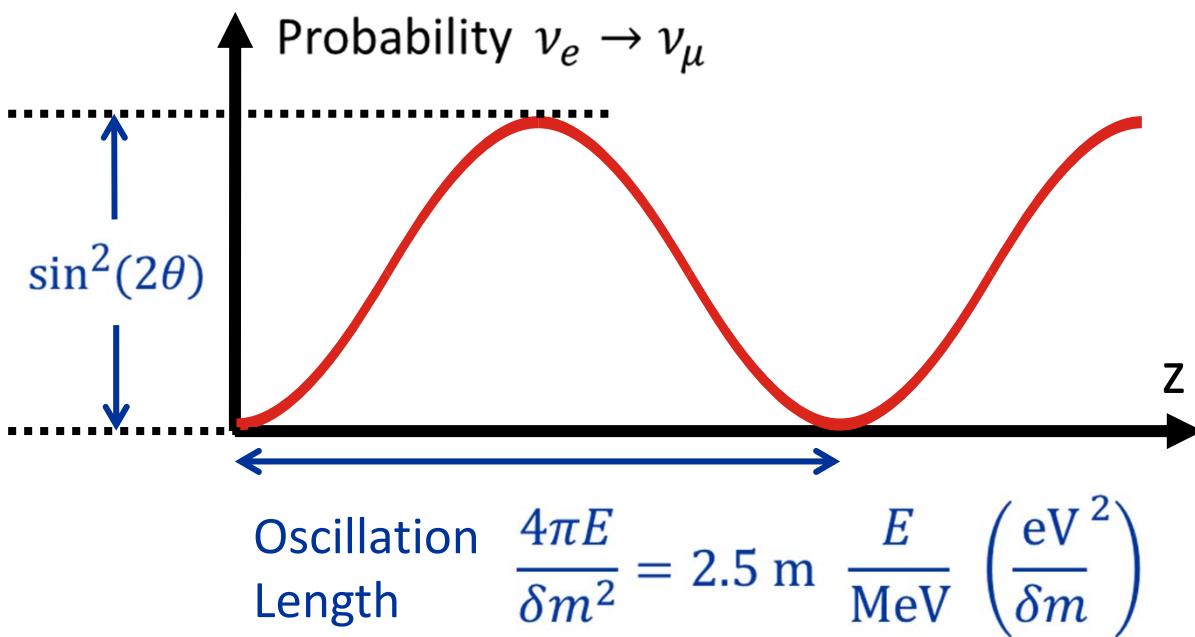
# Neutrino Flavor Oscillations

Two-flavor mixing  $\begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$

Each mass eigenstate propagates as  $e^{ipz}$

with  $p = \sqrt{E^2 - m^2} \approx E - m^2/2E$

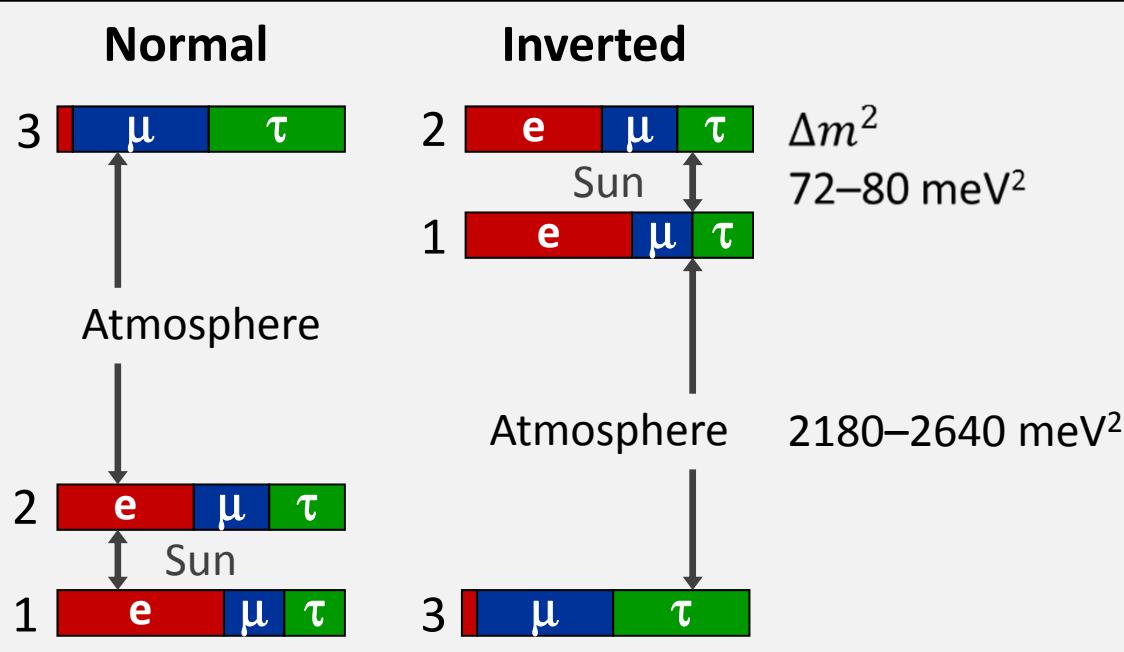
Phase difference  $\frac{\delta m^2}{2E} z$  implies flavor oscillations



# Three-Flavor Neutrino Parameters

Three mixing angles  $\theta_{12}, \theta_{13}, \theta_{23}$  (Euler angles for 3D rotation),  $c_{ij} = \cos \theta_{ij}$ , a CP-violating “Dirac phase”  $\delta$ , and two “Majorana phases”  $\alpha_2$  and  $\alpha_3$

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \underbrace{\begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix}}_{\substack{39^\circ < \theta_{23} < 53^\circ \\ \text{Atmospheric/LBL-Beams}}} \underbrace{\begin{pmatrix} c_{13} & 0 & e^{-i\delta} s_{13} \\ 0 & 1 & 0 \\ -e^{i\delta} s_{13} & 0 & c_{13} \end{pmatrix}}_{\substack{7^\circ < \theta_{13} < \\ \text{Reactor}}} \underbrace{\begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}}_{\substack{33^\circ < \theta_{12} < 37^\circ \\ \text{Solar/KamLAND}}} \underbrace{\begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\frac{\alpha_2}{2}} & 0 \\ 0 & 0 & e^{i\frac{\alpha_3}{2}} \end{pmatrix}}_{\substack{\text{Relevant for} \\ 0\nu2\beta \text{ decay}}} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$



- Tasks and Open Questions
- Precision for all angles
  - CP-violating phase  $\delta$ ?
  - Mass ordering?  
(normal vs inverted)
  - Absolute masses?  
(hierarchical vs degenerate)
  - Dirac or Majorana?

3400 citations

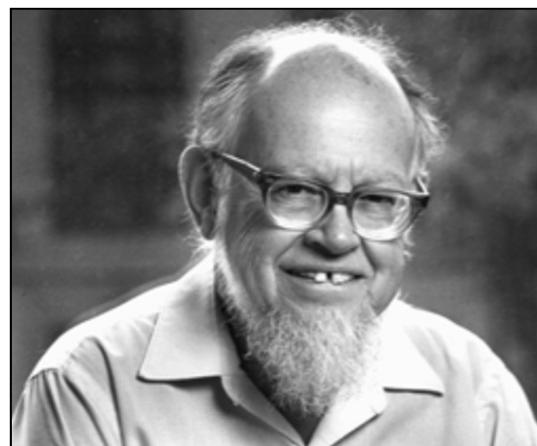
## Neutrino oscillations in matter

L. Wolfenstein

*Carnegie-Mellon University, Pittsburgh, Pennsylvania 15213*

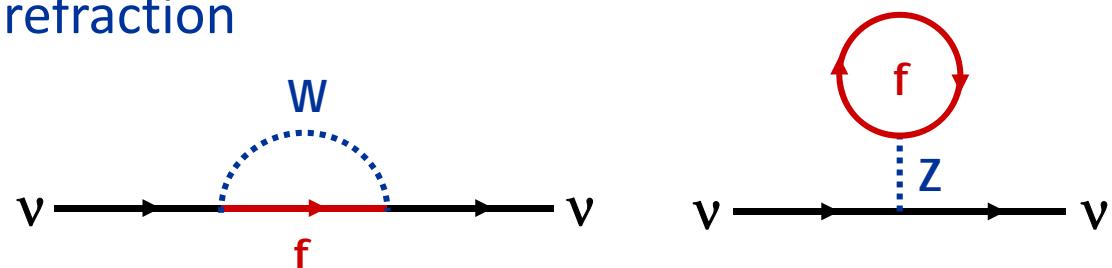
(Received 6 October 1977; revised manuscript received 5 December 1977)

The effect of coherent forward scattering must be taken into account when considering the oscillations of neutrinos traveling through matter. In particular, for the case of massless neutrinos for which vacuum oscillations cannot occur, oscillations can occur in matter if the neutral current has an off-diagonal piece connecting different neutrino types. Applications discussed are solar neutrinos and a proposed experiment involving transmission of neutrinos through 1000 km of rock.



Lincoln Wolfenstein

Neutrinos in a medium suffer flavor-dependent refraction



$$V_{\text{weak}} = \sqrt{2} G_F \times \begin{cases} N_e - N_n/2 & \text{for } \nu_e \\ -N_n/2 & \text{for } \nu_\mu \end{cases}$$

Typical density of Earth: 5 g/cm<sup>3</sup>

$$\Delta V_{\text{weak}} \approx 2 \times 10^{-13} \text{ eV} = 0.2 \text{ peV}$$

# Neutrino Oscillations in Matter

2-flavor neutrino evolution as an effective 2-level problem

$$i \frac{\partial}{\partial z} \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = H \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix}$$

With a  $2 \times 2$  Hamiltonian matrix

$$H = \frac{1}{2E} \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} m_1^2 & 0 \\ 0 & m_2^2 \end{pmatrix} \begin{pmatrix} \cos \theta \\ \sin \theta \end{pmatrix}$$

Mass-squared matrix, rotated by mixing angle  $\theta$  relative to interaction basis, drives oscillations

$$\frac{\Delta m^2}{2E} \sim \begin{cases} 4 \text{ peV} & \text{for 12 mass splitting} \\ 120 \text{ peV} & \text{for 13 mass splitting} \end{cases}$$

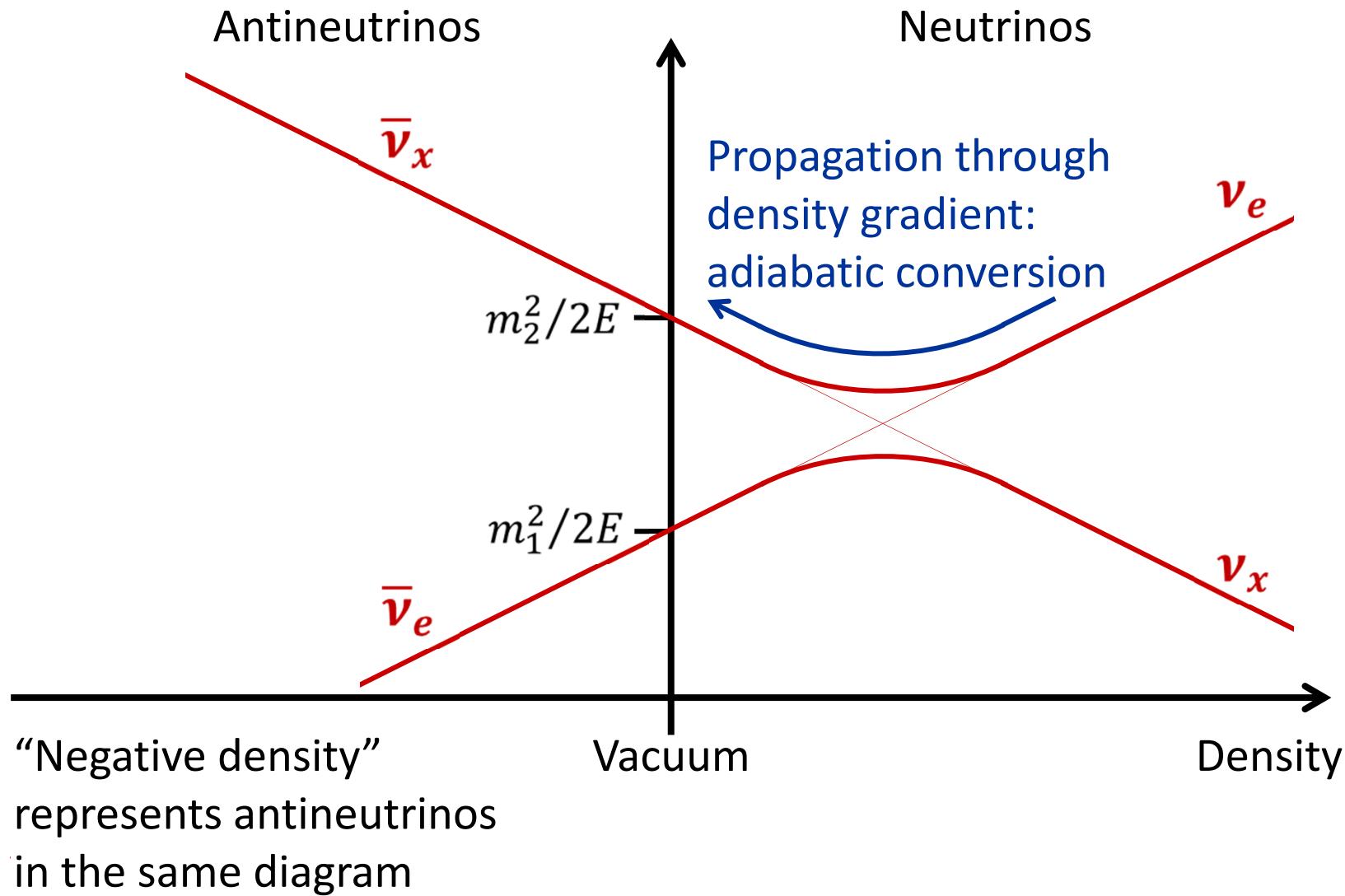
Solar, reactor and supernova neutrinos:  
 $E \sim 10 \text{ MeV}$

$$\begin{array}{c} \text{Negative} \\ \text{for } \bar{\nu} \end{array} \downarrow \begin{pmatrix} -\sin \theta & \cos \theta \end{pmatrix} \pm \sqrt{2} G_F \begin{pmatrix} N_e - \frac{N_n}{2} & 0 \\ 0 & -\frac{N_n}{2} \end{pmatrix}$$

Weak potential difference  
 $\Delta V_{\text{weak}} = \sqrt{2} G_F N_e \sim 0.2 \text{ peV}$   
for normal Earth matter, but  
large effect in SN core  
(nuclear density  $3 \times 10^{14} \text{ g/cm}^3$ )  
 $\Delta V_{\text{weak}} \sim 10 \text{ eV}$

# Mikheev-Smirnov-Wolfenstein (MSW) effect

Eigenvalue diagram of  $2 \times 2$  Hamiltonian matrix for 2-flavor oscillations



# Flavor-Off-Diagonal Refractive Index

2-flavor neutrino evolution as an effective 2-level problem

$$i \frac{\partial}{\partial t} \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = H \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix}$$

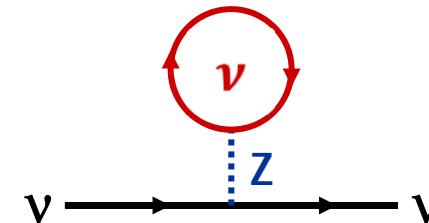
Effective mixing Hamiltonian

$$H = \frac{M^2}{2E} + \sqrt{2}G_F \begin{pmatrix} N_e - \frac{N_n}{2} & 0 \\ 0 & -\frac{N_n}{2} \end{pmatrix} + \sqrt{2}G_F \begin{pmatrix} N_{\nu_e} & N_{\langle \nu_e | \nu_\mu \rangle} \\ N_{\langle \nu_\mu | \nu_e \rangle} & N_{\nu_\mu} \end{pmatrix}$$

Mass term in flavor basis:  
causes vacuum oscillations

Wolfenstein's weak potential, causes MSW  
“resonant” conversion together with vacuum term

Flavor-off-diagonal potential,  
caused by flavor oscillations.  
(J.Pantaleone, PLB 287:128,1992)

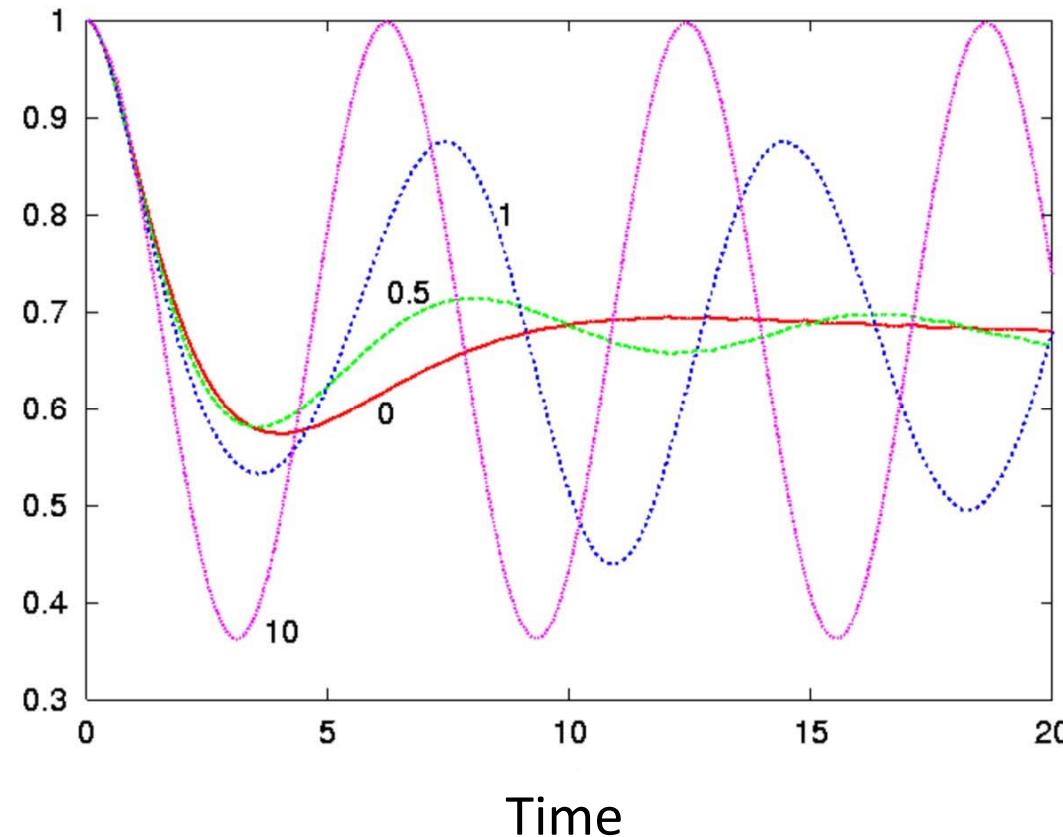


**Flavor oscillations feed back on the Hamiltonian: Nonlinear effects!**

# Synchronizing Oscillations by Neutrino Interactions

- Vacuum oscillation frequency depends on energy  $\omega = \Delta m^2 / 2E$
- Ensemble with broad spectrum quickly decoheres kinematically
- $\nu$ - $\nu$  interactions “synchronize” the oscillations:  $\omega_{\text{sync}} = \langle \Delta m^2 / 2E \rangle$

Average e-flavor  
component of  
polarization vector



Pastor, Raffelt & Semikoz, hep-ph/0109035

Literature on Synchronized Oscillations	
Organization first covered and studied numerically	Samuel: PRD 48 (1993) 1462; PRD 53 (1996) 5382. Kostelecký & Samuel: PRD 49 (1994) 1740; PLB 318 (1993) 127; PRD 52 (1995) 621; PRD 52 (1995) 3184; PLB 385 (1996) 159. Kostelecký, Pantaleone & Samuel: PLB 315 (1993) 46. Pantaleone: PRD 58 (1998) 073002.
Application to early-universe flavor oscillations and limits to lepton asymmetry	Lunardini & Smirnov: PRD 64 (2001) 073006. Dolgov, Hansen, Pastor, Petcov, Raffelt & Semikoz: NPB 632 (2002) 363. Wong: PRD 66 (2002) 025015. Abazajian, Beacom & Bell: PRD 66 (2002) 013008
Simple physical interpretation	Pastor, Raffelt & Semikoz: PRD 65 (2002) 053011
Application to SN hot bubble region	Pastor & Raffelt: astro-ph/0207281

Slide ca. 2004

# Collective Supernova Nu Oscillations since 2006

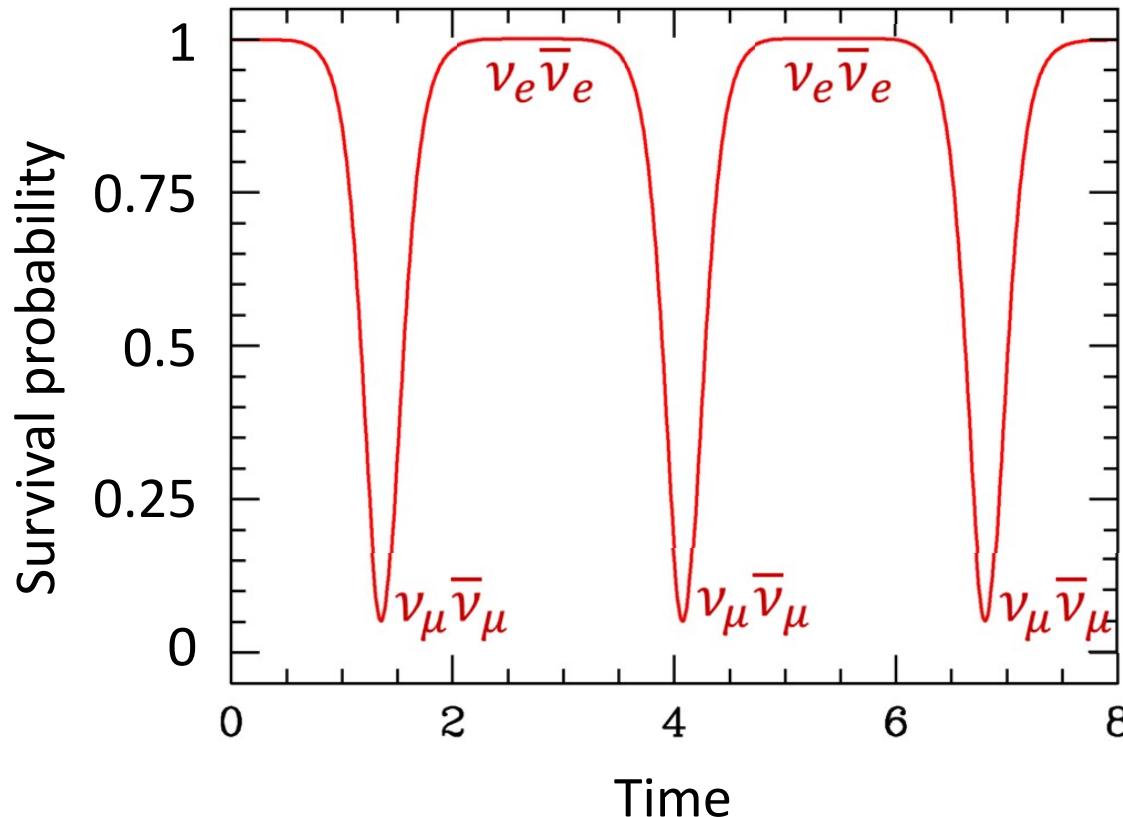
Two seminal papers in 2006 triggered a torrent of activities

Duan, Fuller, Qian, astro-ph/0511275, Duan et al. astro-ph/0606616

Balantekin, Gava & Volpe, arXiv:0710.3112. Balantekin & Pehlivan, astro-ph/0607527. Blennow, Mirizzi & Serpico, arXiv:0810.2297. Cherry, Fuller, Carlson, Duan & Qian, arXiv:1006.2175. Chakraborty, Choubey, Dasgupta & Kar, arXiv:0805.3131. Chakraborty, Fischer, Mirizzi, Saviano, Tomàs, arXiv:1104.4031, 1105.1130. Choubey, Dasgupta, Dighe & Mirizzi, arXiv:1008.0308. Dasgupta & Dighe, arXiv:0712.3798. Dasgupta, Dighe & Mirizzi, arXiv:0802.1481. Dasgupta, Dighe, Mirizzi & Raffelt, arXiv:0801.1660, 0805.3300. Dasgupta, Mirizzi, Tamborra & Tomàs, arXiv:1002.2943. Dasgupta, Dighe, Raffelt & Smirnov, 0904.3542. Dasgupta, Raffelt, Tamborra, arXiv:1001.5396. Duan, Fuller, Carlson & Qian, astro-ph/0608050, 0703776, arXiv:0707.0290, 0710.1271. Duan, Fuller & Qian, arXiv:0706.4293, 0801.1363, 0808.2046, 1001.2799. Duan, Fuller & Carlson, arXiv:0803.3650. Duan & Kneller, arXiv:0904.0974. Duan & Friedland, arXiv:1006.2359. Duan, Friedland, McLaughlin & Surman, arXiv:1012.0532. Esteban-Pretel, Pastor, Tomàs, Raffelt & Sigl, arXiv:0706.2498, 0712.1137. Esteban-Pretel, Mirizzi, Pastor, Tomàs, Raffelt, Serpico & Sigl, arXiv:0807.0659. Fogli, Lisi, Marrone & Mirizzi, arXiv:0707.1998. Fogli, Lisi, Marrone & Tamborra, arXiv:0812.3031. Friedland, arXiv:1001.0996. Gava & Jean-Louis, arXiv:0907.3947. Gava & Volpe, arXiv:0807.3418. Galais, Kneller & Volpe, arXiv:1102.1471. Galais & Volpe, arXiv:1103.5302. Gava, Kneller, Volpe & McLaughlin, arXiv:0902.0317. Hannestad, Raffelt, Sigl & Wong, astro-ph/0608695. Wei Liao, arXiv:0904.0075, 0904.2855. Lunardini, Müller & Janka, arXiv:0712.3000. Mirizzi, Pozzorini, Raffelt & Serpico, arXiv:0907.3674. Mirizzi & Tomàs, arXiv:1012.1339. Pehlivan, Balantekin, Kajino, Yoshida, arXiv:1105.1182. Raffelt, arXiv:0810.1407, 1103.2891. Raffelt & Tamborra, arXiv:1006.0002. Raffelt & Sigl, hep-ph/0701182. Raffelt & Smirnov, arXiv:0705.1830, 0709.4641. Sawyer, hep-ph/0408265, 0503013, arXiv:0803.4319, 1011.4585. Sarikas, Raffelt, Hüdepohl & Janka, arXiv:1109.3601. Wu & Qian, arXiv:1105.2068.

# Collective Pair Conversion

Gas of equal abundances of  $\nu_e$  and  $\bar{\nu}_e$ , inverted mass hierarchy  
Small effective mixing angle (e.g. made small by ordinary matter)



Dense neutrino gas unstable in flavor space:  $\nu_e\bar{\nu}_e \leftrightarrow \nu_\mu\bar{\nu}_\mu$   
Complete pair conversion even for a small mixing angle

**Sanduleak –69 202**

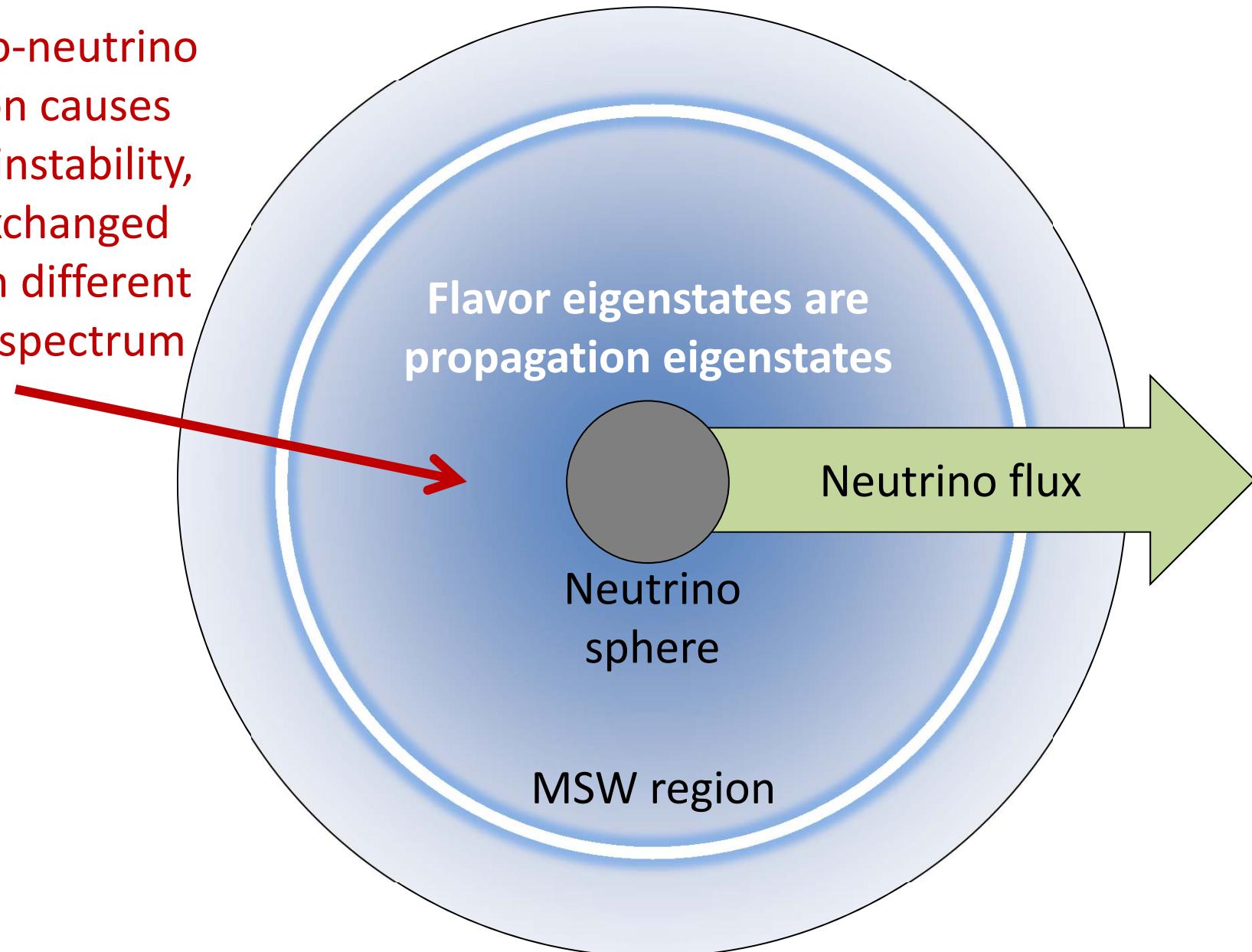


**Supernova 1987A**  
**23 February 1987**



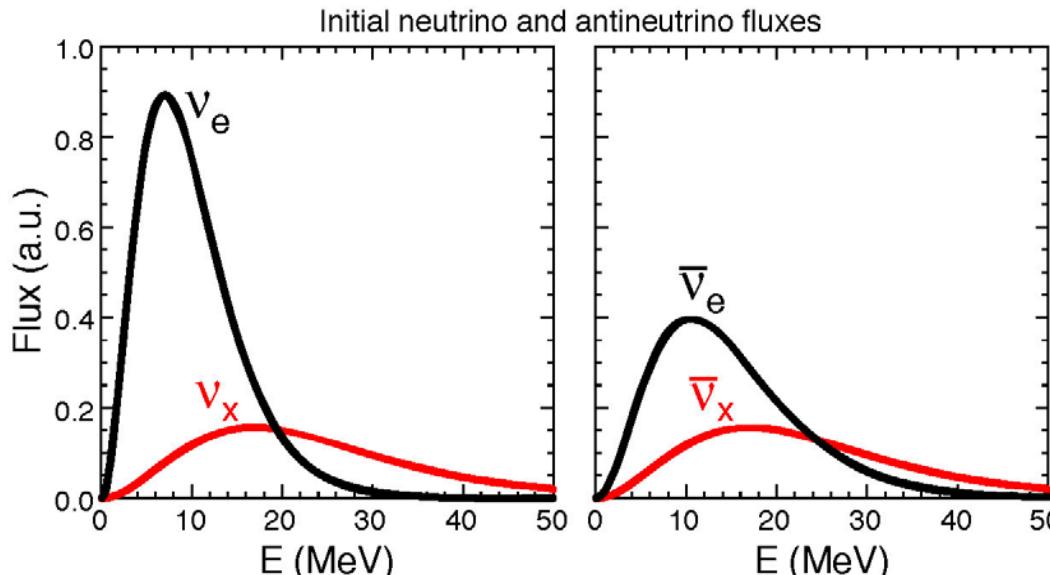
# Flavor Oscillations in Core-Collapse Supernovae

Neutrino-neutrino refraction causes a flavor instability, flavor exchanged between different parts of spectrum

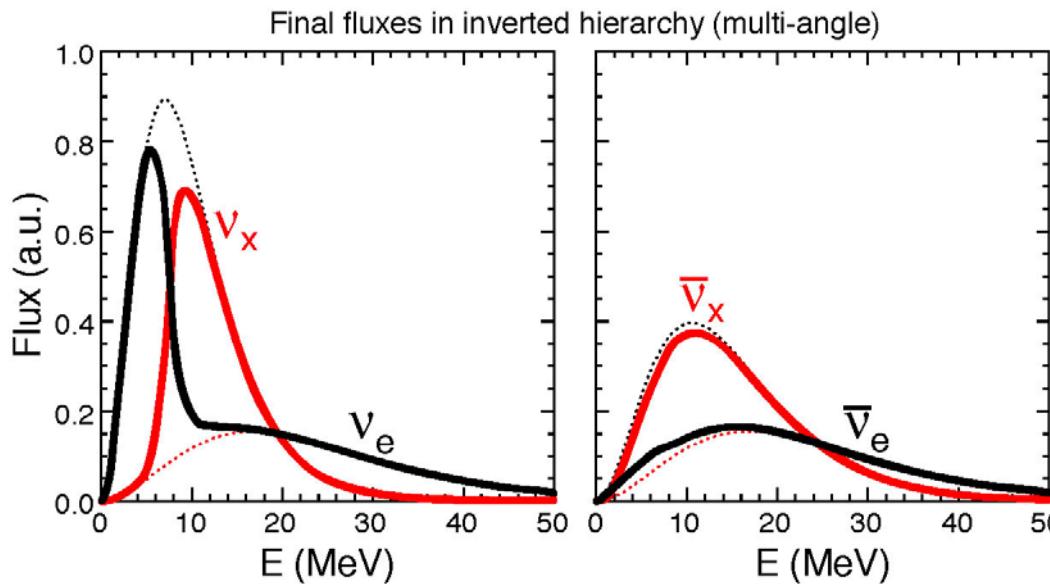


# Spectral Split

Initial  
fluxes at  
neutrino  
sphere



After  
collective  
trans-  
formation



Figures from  
Fogli, Lisi,  
Marrone & Mirizzi,  
arXiv:0707.1998

Explanations in  
Raffelt & Smirnov  
arXiv:0705.1830  
and 0709.4641  
Duan, Fuller,  
Carlson & Qian  
arXiv:0706.4293  
and 0707.0290

# Three Ways to Describe Flavor Oscillations

Schrödinger equation in terms of “flavor spinor”

$$i\partial_t \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = H \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \frac{\Delta m^2}{2E} \begin{pmatrix} \cos 2\theta & \sin 2\theta \\ \sin 2\theta & -\cos 2\theta \end{pmatrix} \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix}$$

Neutrino flavor density matrix

$$\rho = \begin{pmatrix} \langle \nu_e | \nu_e \rangle & \langle \nu_e | \nu_\mu \rangle \\ \langle \nu_\mu | \nu_e \rangle & \langle \nu_\mu | \nu_\mu \rangle \end{pmatrix}$$

Equivalent commutator form of Schrödinger equation

$$i\partial_t \rho = [H, \rho]$$

Expand  $2 \times 2$  Hermitean matrices in terms of Pauli matrices

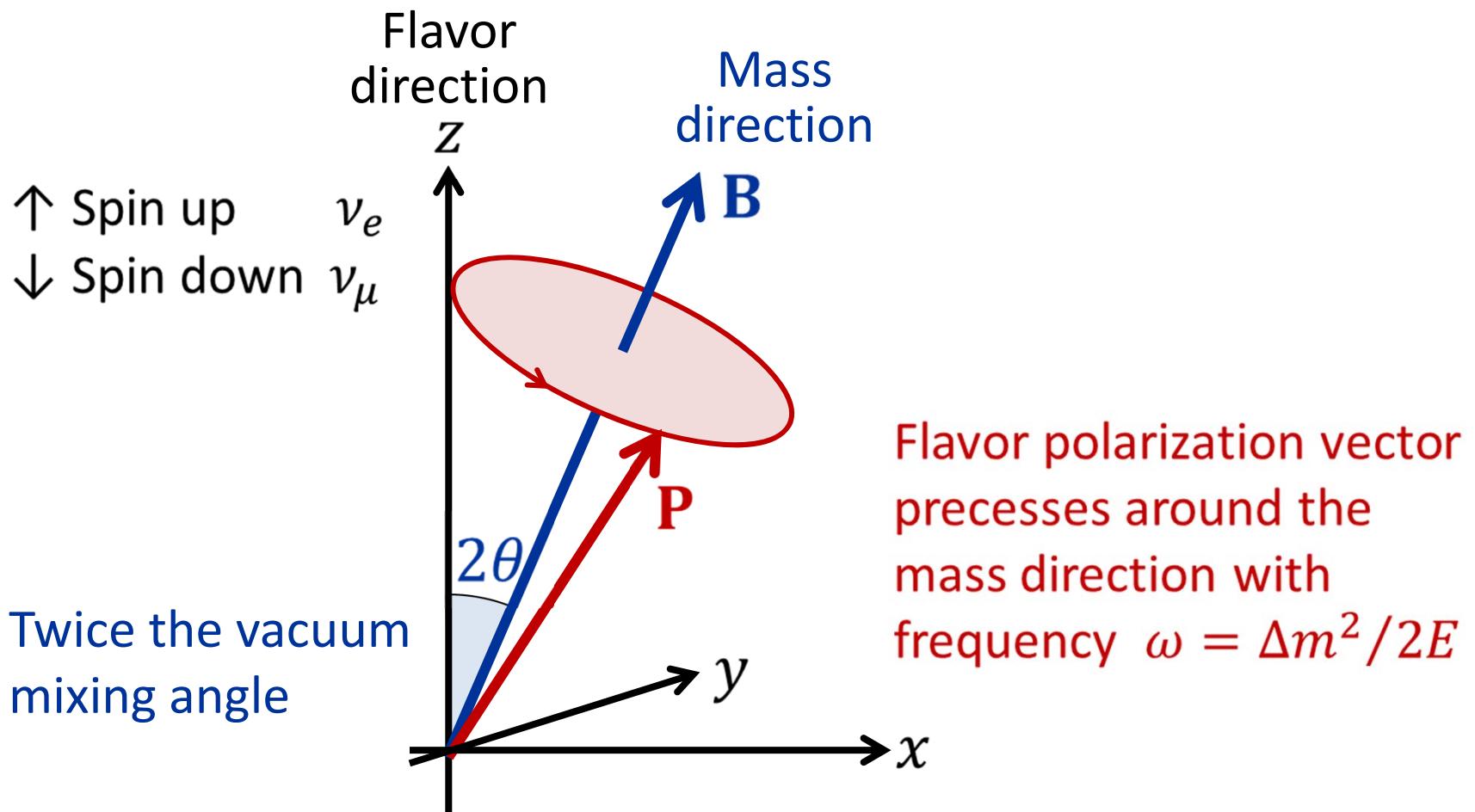
$$\rho = \text{Tr}(\rho) + \frac{1}{2} \mathbf{P} \cdot \boldsymbol{\sigma} \quad \text{and} \quad H = \frac{\Delta m^2}{2E} \mathbf{B} \cdot \boldsymbol{\sigma} \quad \text{with} \quad \mathbf{B} = (\sin 2\theta, 0, \cos 2\theta)$$

Equivalent spin-precession form of equation of motion

$$\dot{\mathbf{P}} = \omega \mathbf{B} \times \mathbf{P} \quad \text{with} \quad \omega = \frac{\Delta m^2}{2E}$$

$\mathbf{P}$  is “polarization vector” or “Bloch vector”

# Flavor Oscillation as Spin Precession



# Adding Matter

Schrödinger equation including matter

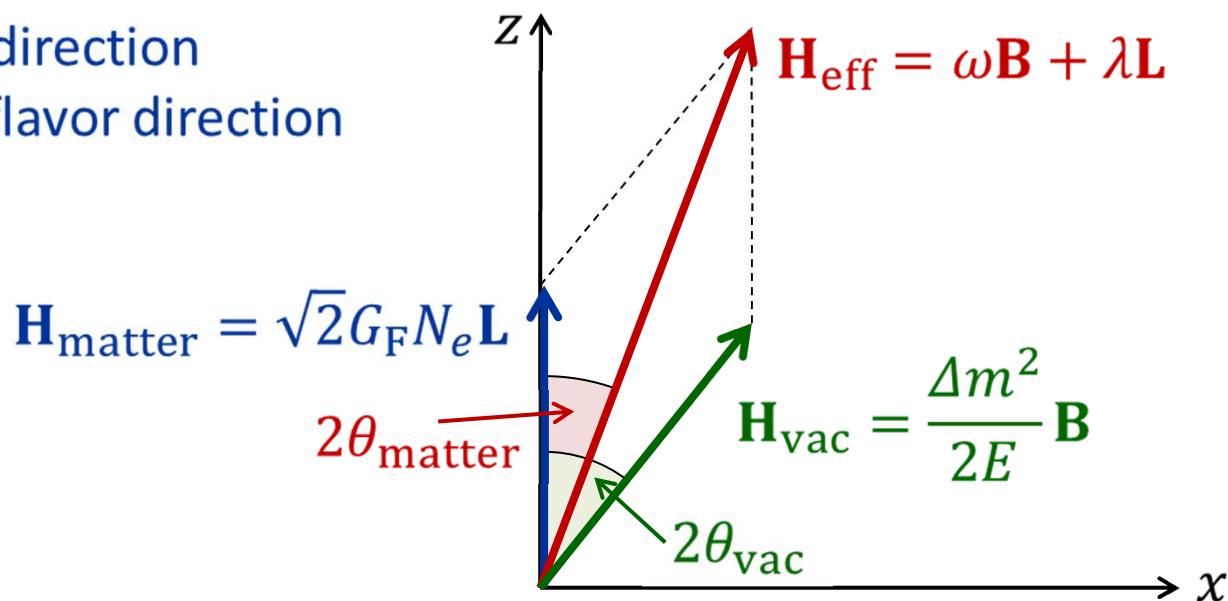
$$i\partial_t \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \left[ \frac{\Delta m^2}{2E} \begin{pmatrix} \cos 2\theta & \sin 2\theta \\ \sin 2\theta & -\cos 2\theta \end{pmatrix} + \sqrt{2} G_F \begin{pmatrix} N_e - \frac{N_n}{2} & 0 \\ 0 & -\frac{N_n}{2} \end{pmatrix} \right] \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix}$$

Corresponding spin-precession equation

$$\dot{\mathbf{P}} = \underbrace{(\omega \mathbf{B} + \lambda \mathbf{L}) \times \mathbf{P}}_{\mathbf{H}_{\text{eff}}} \quad \text{with} \quad \omega = \Delta m^2 / 2E \quad \text{and} \quad \lambda = \sqrt{2} G_F N_e$$

$\mathbf{B}$  unit vector in mass direction

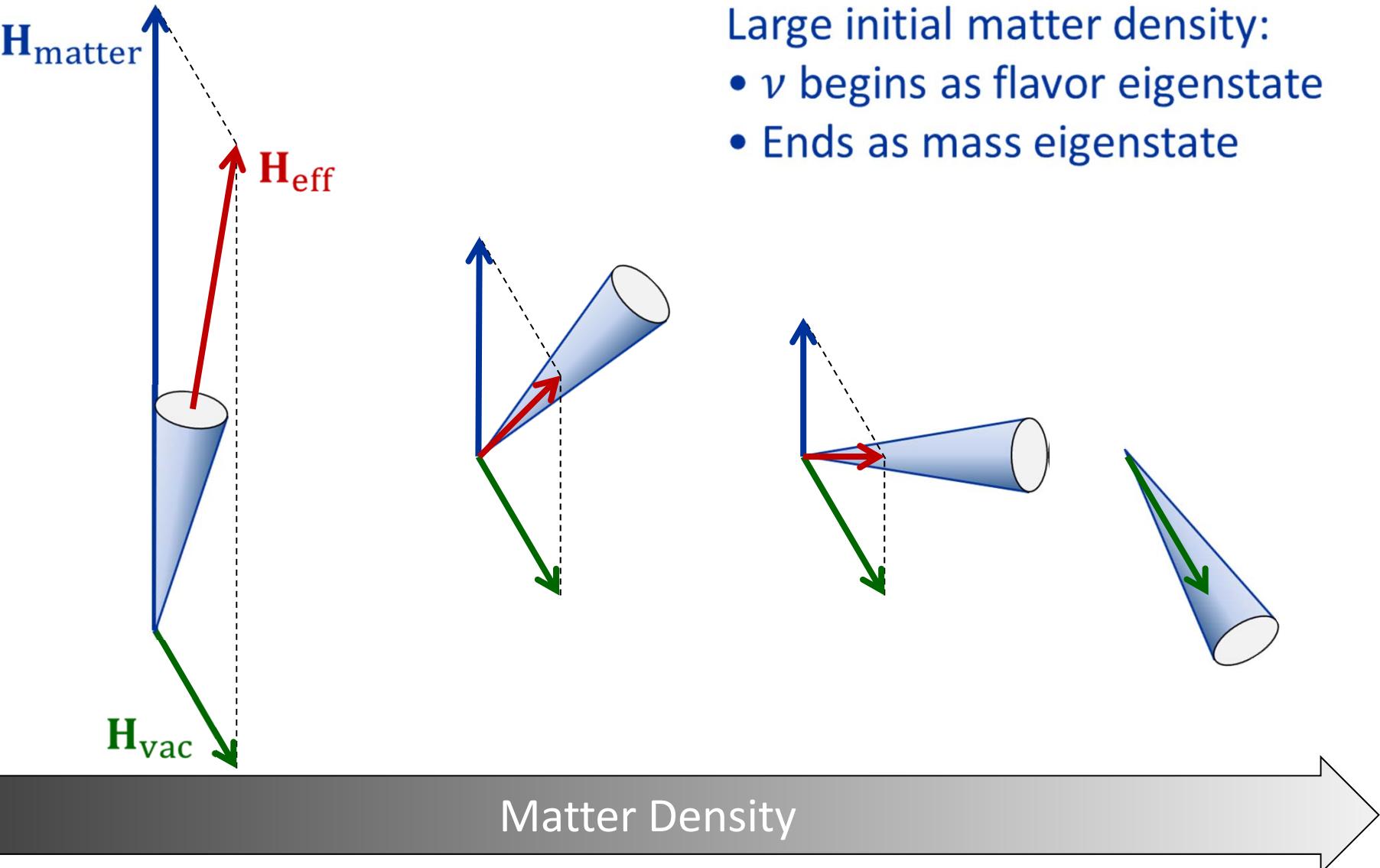
$\mathbf{L} = \mathbf{e}_z$  unit vector in flavor direction



# MSW Effect

Adiabatically decreasing density: Precession cone follows  $H_{\text{eff}}$

- $H_{\text{matter}}$
- $H_{\text{eff}}$
- Large initial matter density:
- $\nu$  begins as flavor eigenstate
  - Ends as mass eigenstate



Matter Density

# Adding Neutrino-Neutrino Interactions

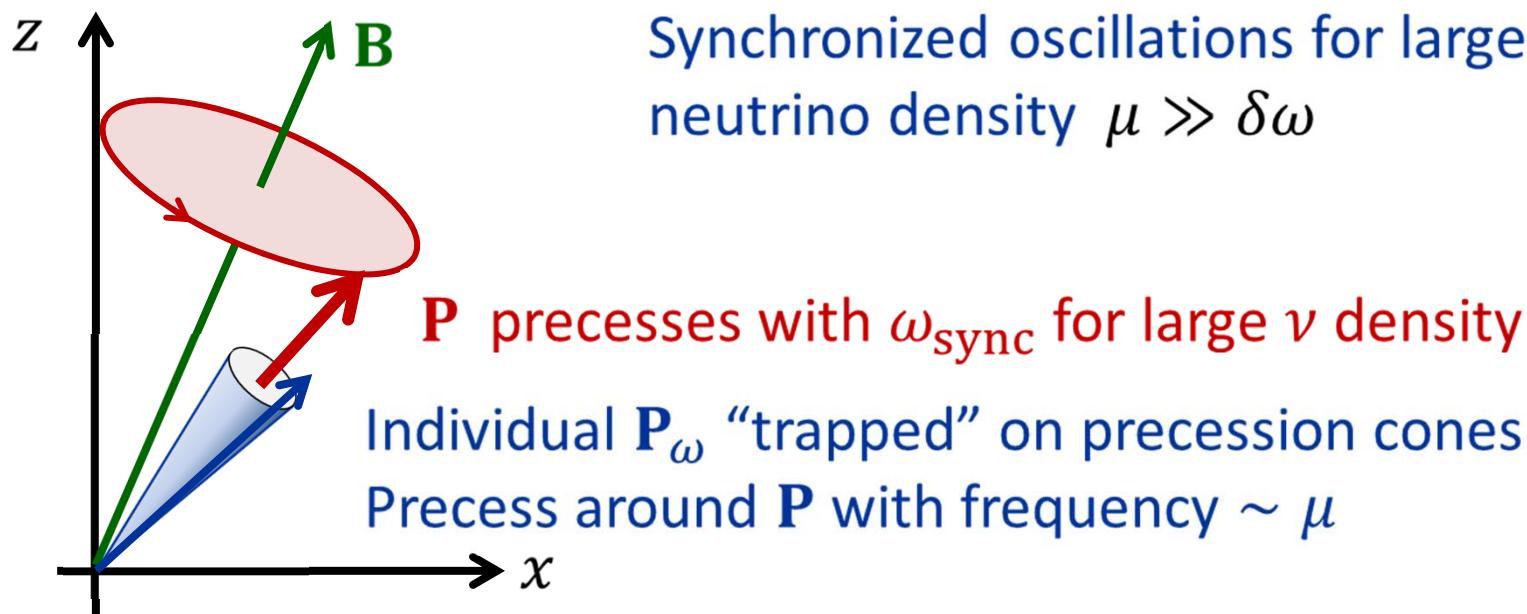
Precession equation for each  $\nu$  mode with energy  $E$ , i.e.  $\omega = \Delta m^2/2E$

$$\dot{\mathbf{P}}_\omega = \underbrace{(\omega \mathbf{B} + \lambda \mathbf{L} + \mu \mathbf{P})}_{\mathbf{H}_{\text{eff}}} \times \mathbf{P}_\omega \quad \text{with} \quad \lambda = \sqrt{2} G_F N_e \quad \text{and} \quad \mu = \sqrt{2} G_F N_\nu$$

Total flavor spin of entire ensemble

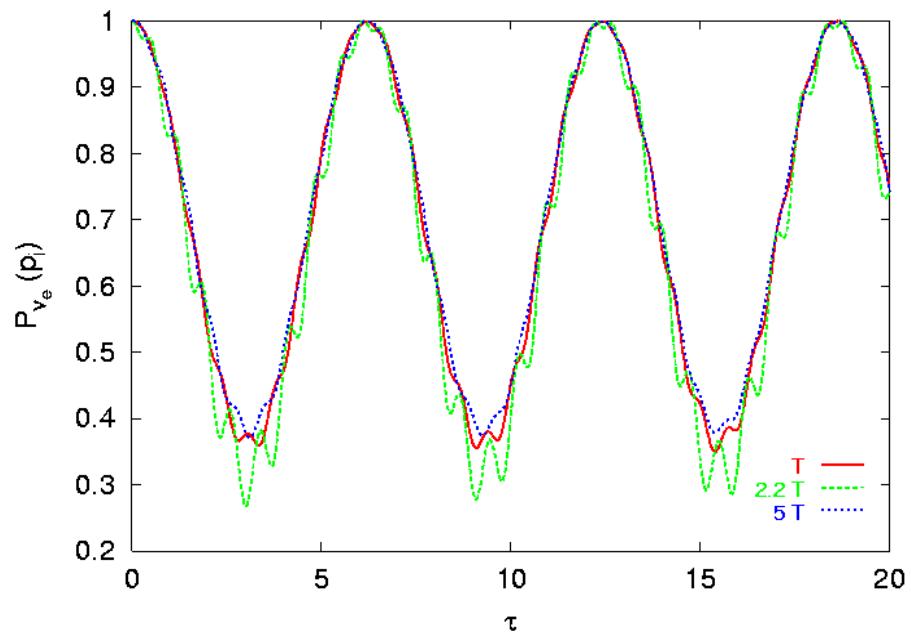
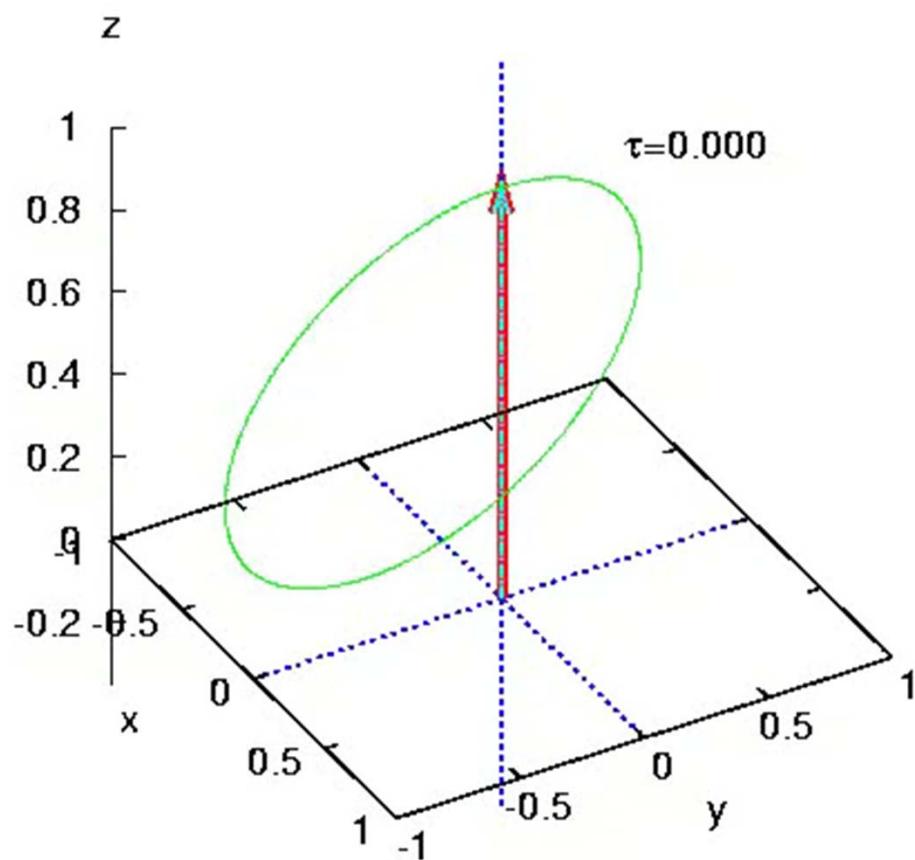
$$\mathbf{P} = \sum_\omega \mathbf{P}_\omega \quad \text{normalize} \quad |\mathbf{P}_{t=0}| = 1$$

Individual spins do not remain aligned – feel “internal” field  $\mathbf{H}_{\nu\nu} = \mu \mathbf{P}$



# Synchronized Oscillations by Nu-Nu Interactions

For large neutrino density, individual modes precess around large common dipole moment



Pastor, Raffelt & Semikoz, hep-ph/0109035

# Two Spins Interacting with a Dipole Force

Simplest system showing  $\nu$ - $\nu$  effects:

Isotropic neutrino gas with 2 energies  $E_1$  and  $E_2$ , no ordinary matter

$$\dot{\mathbf{P}}_1 = (\omega_1 \mathbf{B} + \mu \mathbf{P}) \times \mathbf{P}_1 \quad \text{with} \quad \mathbf{P} = \mathbf{P}_1 + \mathbf{P}_2 \quad \text{and} \quad \omega_{1,2} = \Delta m^2 / 2E$$

$$\dot{\mathbf{P}}_2 = (\omega_2 \mathbf{B} + \mu \mathbf{P}) \times \mathbf{P}_2$$

Go to “co-rotating frame” around  $\mathbf{B}$  direction

$$\dot{\mathbf{P}}_1 = (\omega_c \mathbf{B} - \omega \mathbf{B} + \mu \mathbf{P}) \times \mathbf{P}_1$$

$$\dot{\mathbf{P}}_2 = (\omega_c \mathbf{B} + \omega \mathbf{B} + \mu \mathbf{P}) \times \mathbf{P}_2$$

with  $\omega_c = \frac{1}{2}(\omega_2 + \omega_1)$  and  $\omega = \frac{1}{2}(\omega_2 - \omega_1)$

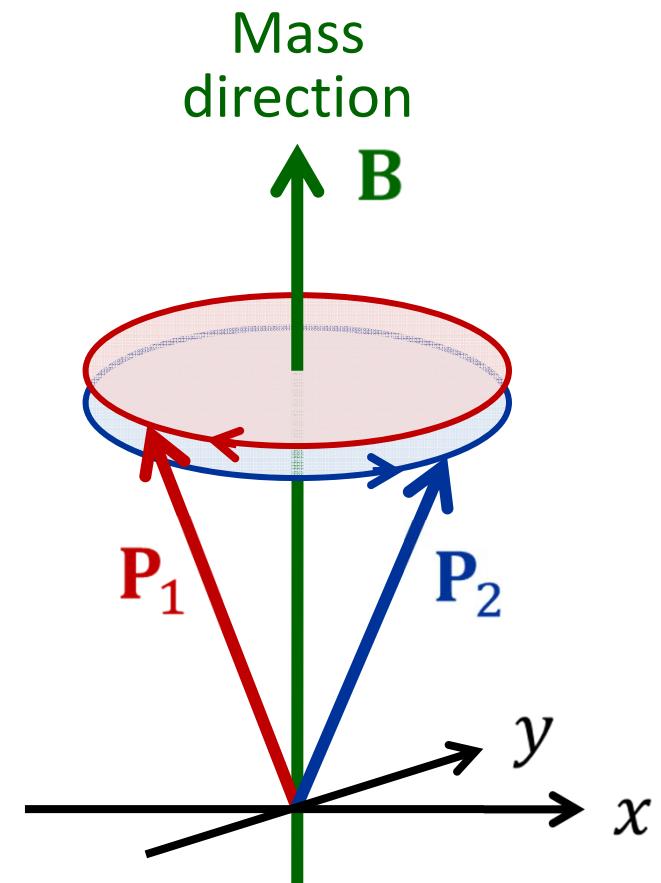
No interaction ( $\mu = 0$ )

$\mathbf{P}_{1,2}$  precess in opposite directions

Strong interactions ( $\mu \rightarrow \infty$ )

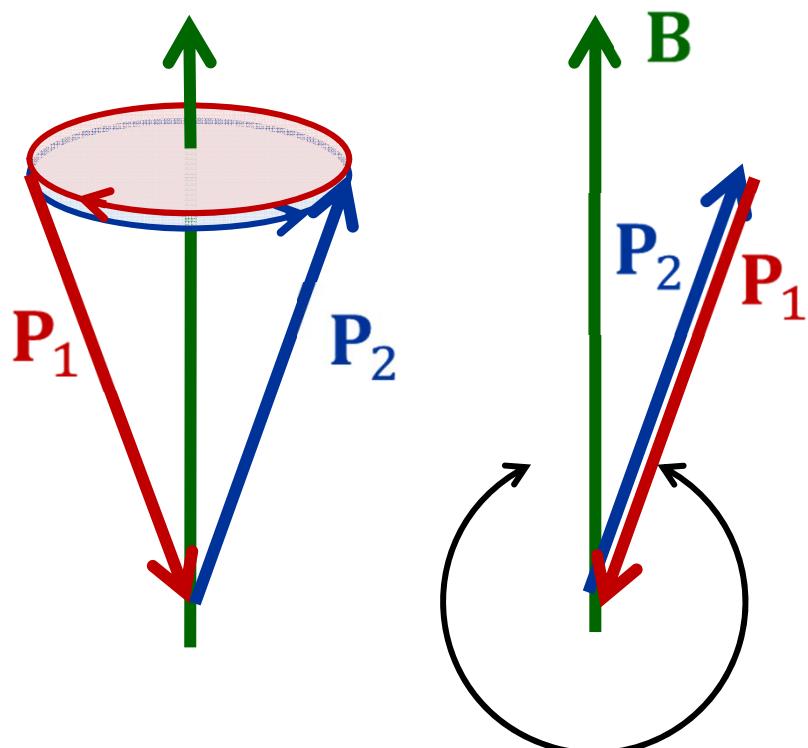
$\mathbf{P}_{1,2}$  stuck to each other

(no motion in co-rotating frame, perfectly synchronized in lab frame)



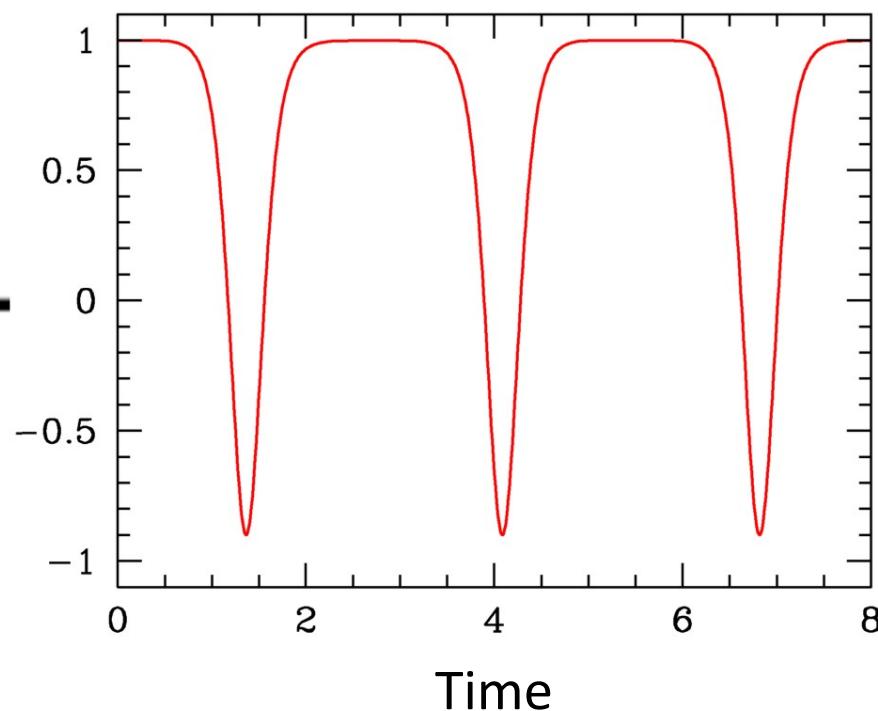
# Two Spins with Opposite Initial Orientation

No interaction ( $\mu = 0$ )  
Free precession in  
opposite directions



Strong interaction  
( $\mu \rightarrow \infty$ )  
Pendular motion

Even for very small mixing angle,  
large-amplitude flavor oscillations

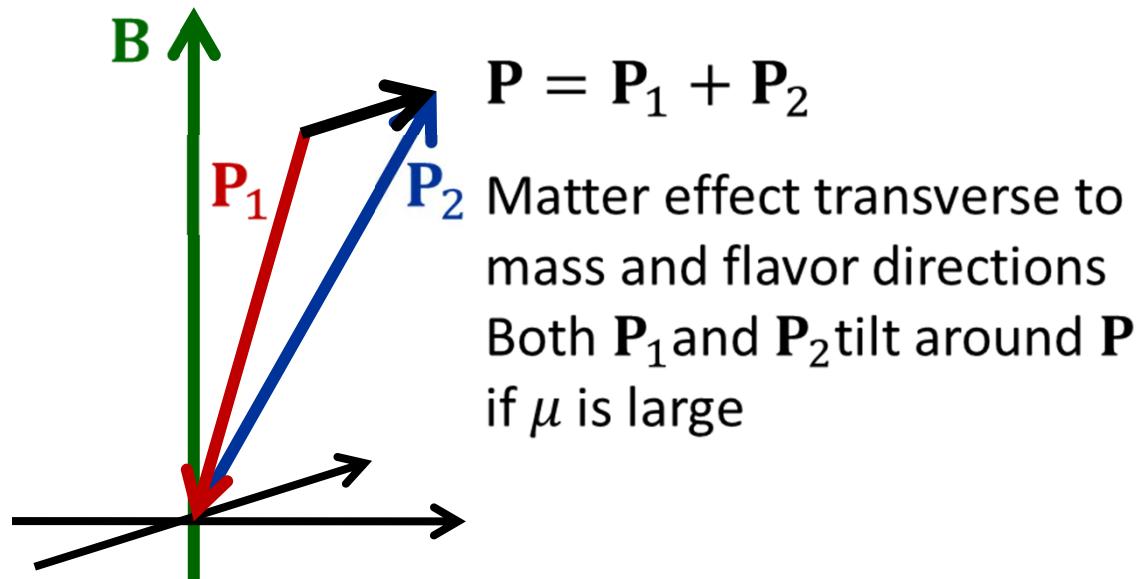
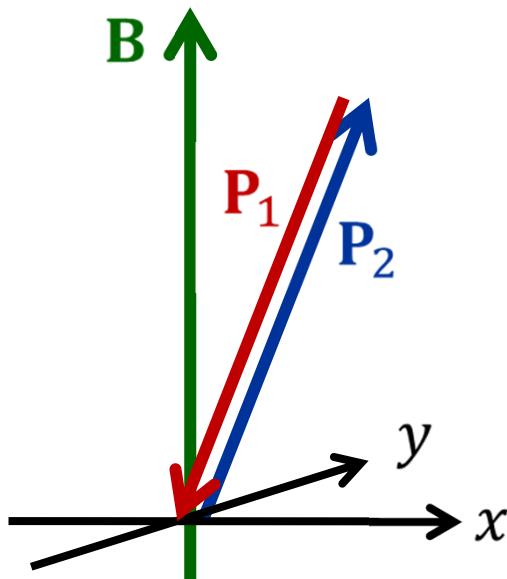


# Instability in Flavor Space

Two-mode example in co-rotating frame, initially  $\mathbf{P}_1 = \downarrow$ ,  $\mathbf{P}_2 = \uparrow$  (flavor basis)

$$\dot{\mathbf{P}}_1 = [-\omega \mathbf{B} + \mu (\mathbf{P}_1 + \mathbf{P}_2)] \times \mathbf{P}_1$$

$$\dot{\mathbf{P}}_2 = [+ \omega \mathbf{B} + \underbrace{\mu (\mathbf{P}_1 + \mathbf{P}_2)}_{0 \text{ initially}}] \times \mathbf{P}_2$$



- Initially aligned in flavor direction and  $\mathbf{P} = 0$
- Free precession  $\pm \omega$

After a short time,  
transverse  $\mathbf{P}$  develops  
by free precession

# Flavor Pendulum

Classical Hamiltonian for two spins interacting with a dipole force  $\mu$

$$H = \omega \mathbf{B} \cdot (\mathbf{P}_2 - \mathbf{P}_1) + \frac{\mu}{2} \mathbf{P}^2$$

Angular-momentum Poisson brackets

$$\{P_i, P_j\} = \epsilon_{ijk} P_k$$

Total angular momentum

$$\mathbf{P} = \mathbf{P}_1 + \mathbf{P}_2$$

Precession equations of motion

$$\dot{\mathbf{P}}_{1,2} = (\mp \omega \mathbf{B} + \mu \mathbf{P}) \times \mathbf{P}_{1,2}$$

Lagrangian top (spherical pendulum with spin), moment of inertia  $I$

$$H = \omega \mathbf{B} \cdot \mathbf{Q} + \frac{\mathbf{P}^2}{2I}$$

Total angular momentum  $\mathbf{P}$ , radius vector  $\mathbf{Q}$ , fulfilling

$$\{P_i, P_j\} = \epsilon_{ijk} P_k, \quad \{Q_i, Q_j\} = 0$$

$$\{P_i, Q_j\} = \epsilon_{ijk} Q_k$$

Pendulum EoMs

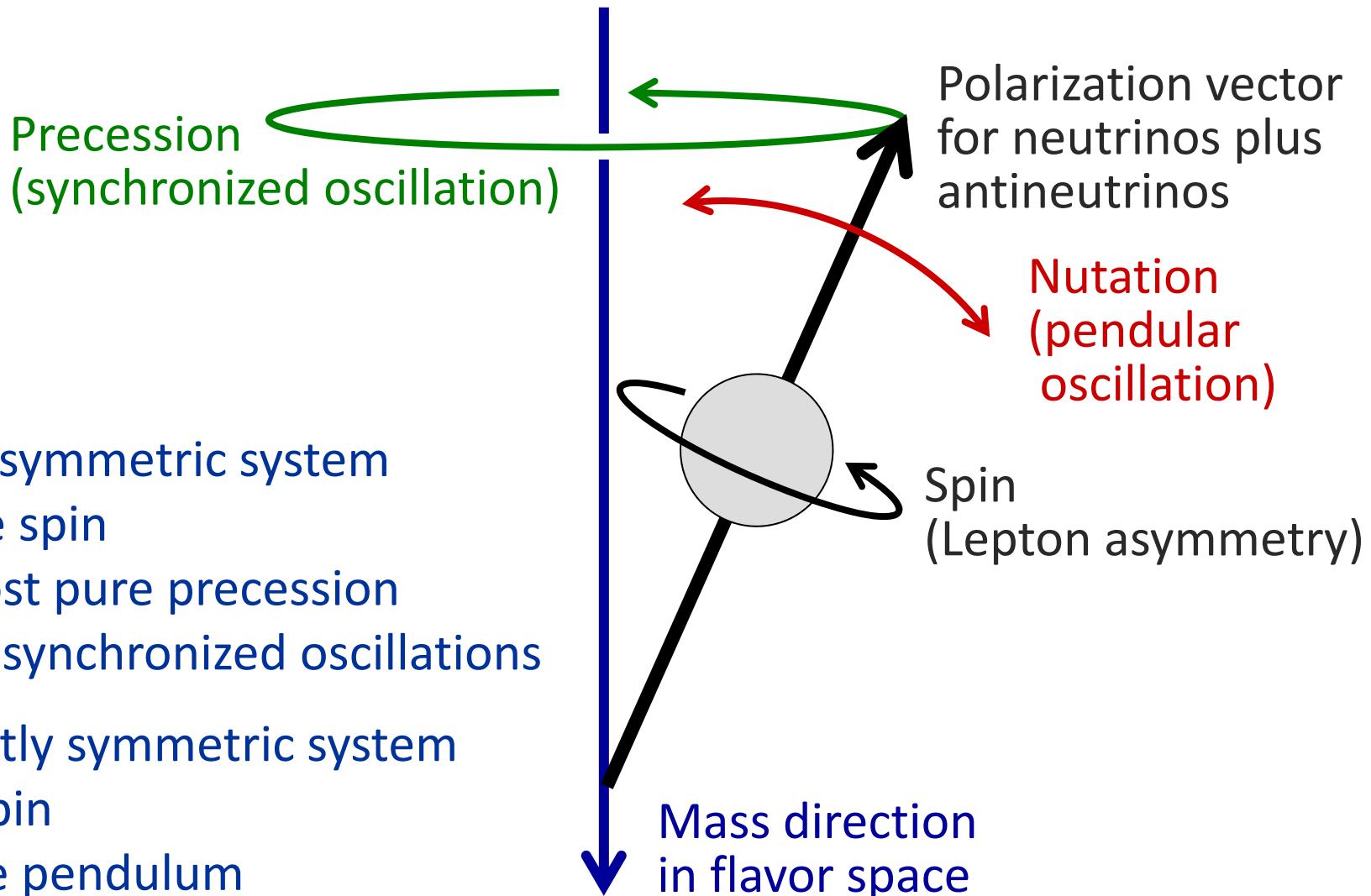
$$\dot{\mathbf{Q}} = I^{-1} \mathbf{P} \times \mathbf{Q} \quad \text{and} \quad \dot{\mathbf{P}} = \omega \mathbf{B} \times \mathbf{Q}$$

EoMs and Hamiltonians identical (up to a constant) with the identification

$$\mathbf{Q} = \mathbf{P}_2 - \mathbf{P}_1 - \frac{\omega}{\mu} \mathbf{B} \quad \text{and} \quad \mu = I^{-1}$$

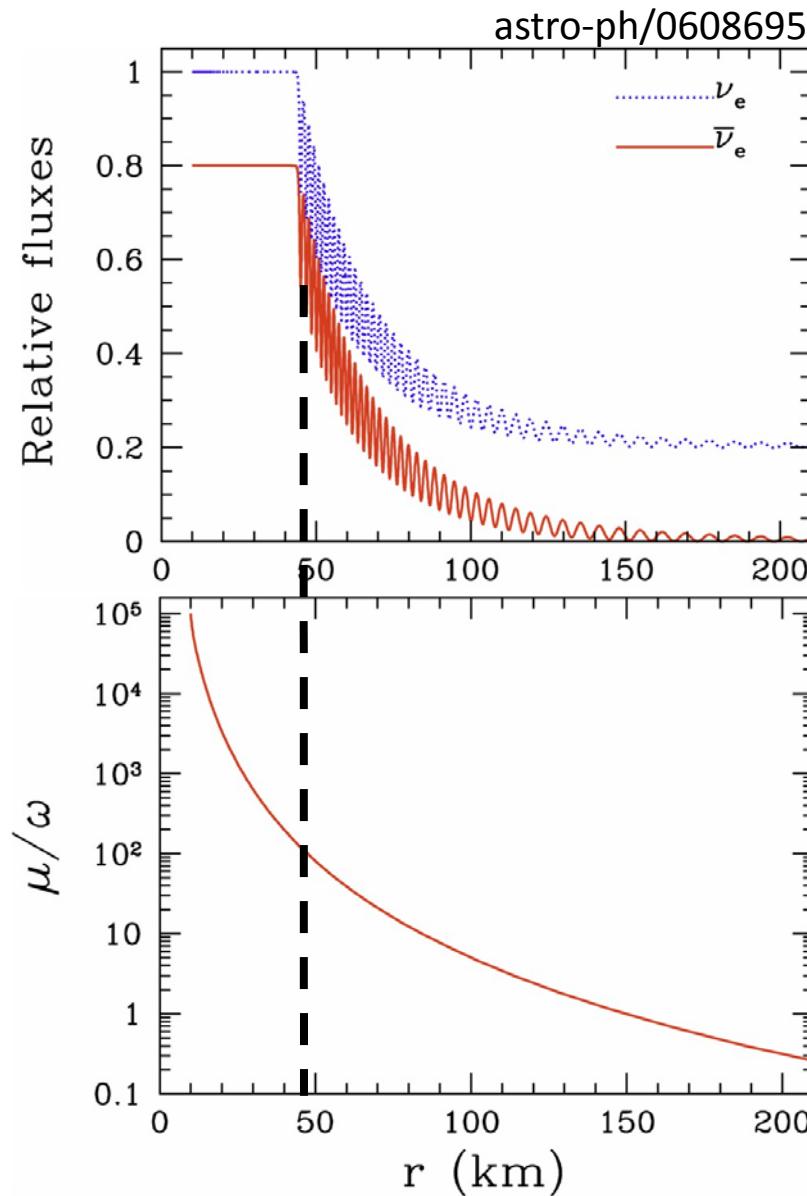
Constants of motion:  $\mathbf{P}_1^2, \mathbf{P}_2^2, \mathbf{B} \cdot \mathbf{P}, \mathbf{P} \cdot \mathbf{Q}, \mathbf{Q}^2$  and  $H$

# Pendulum in Flavor Space



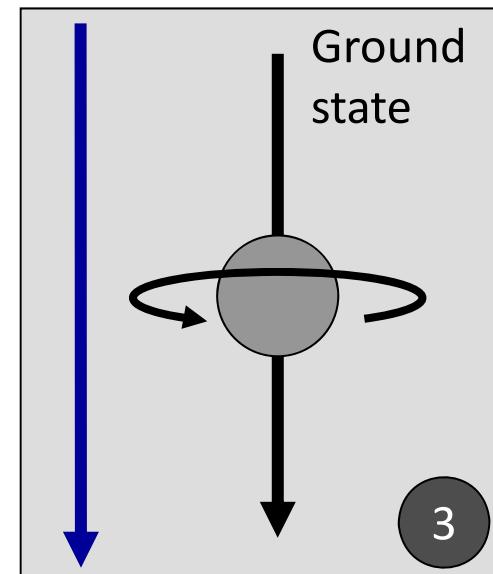
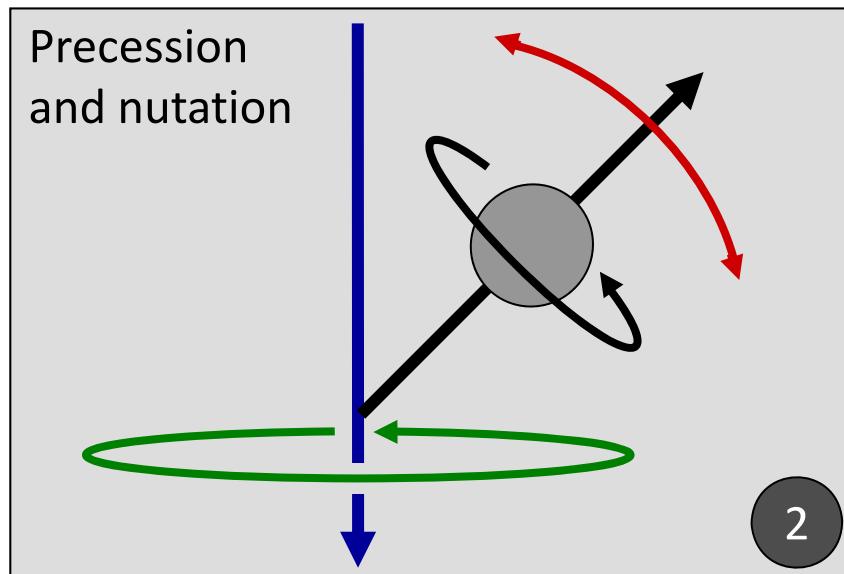
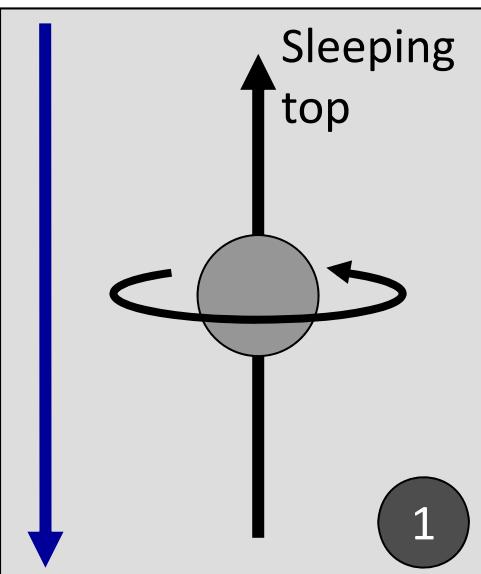
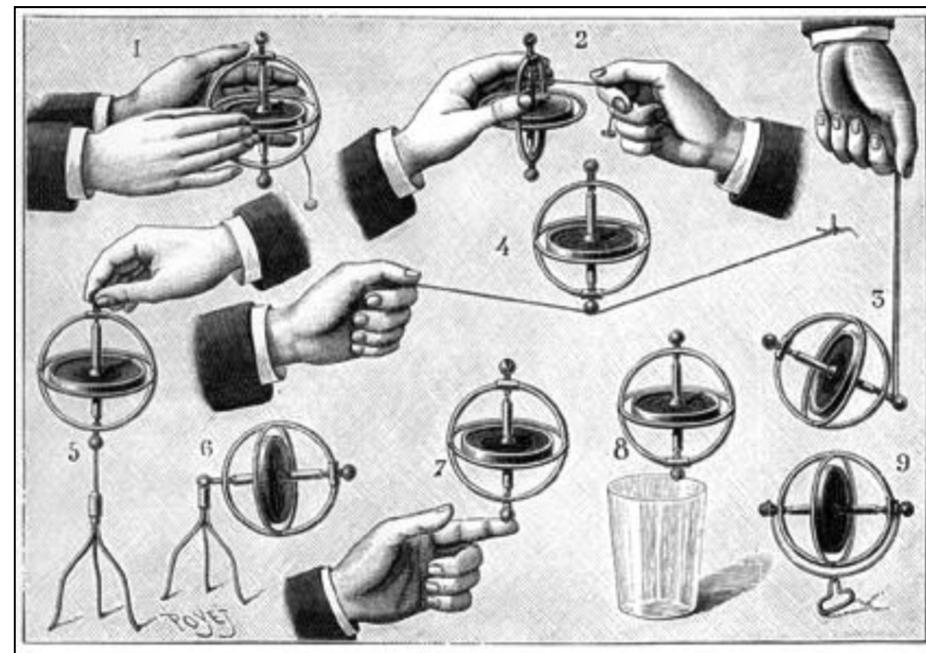
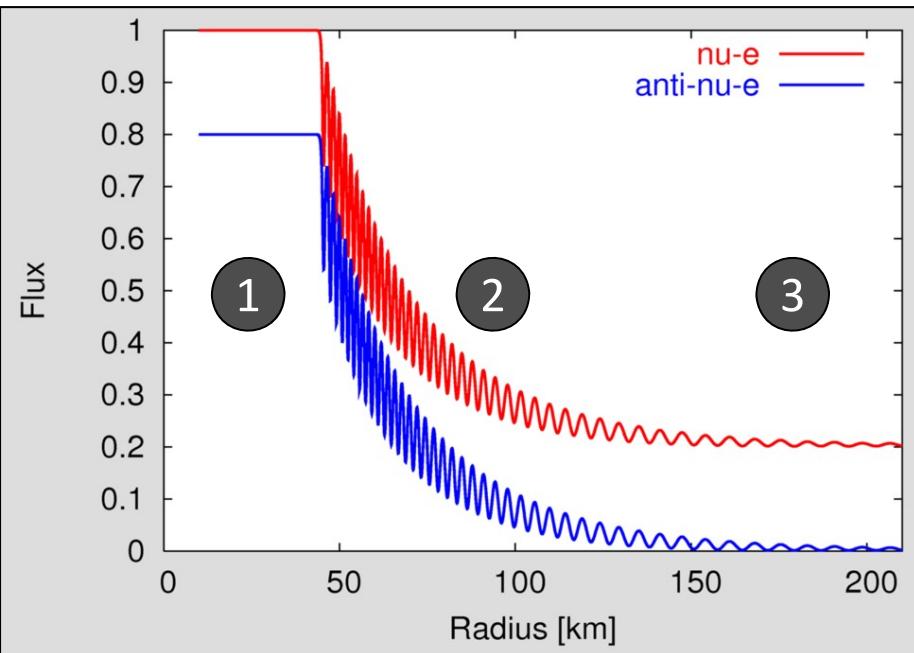
[Hannestad, Raffelt, Sigl, Wong: astro-ph/0608695]

# Flavor Conversion in a Toy Supernova



- Two modes with  $\omega = \pm 0.3 \text{ km}^{-1}$
- Assume 80% anti-neutrinos
- Sharp onset radius
- Oscillation amplitude declining
- Neutrino-neutrino interaction energy at nu sphere ( $r = 10 \text{ km}$ )  
 $\mu = 0.3 \times 10^5 \text{ km}^{-1}$
- Falls off approximately as  $r^{-4}$   
(geometric flux dilution and nus become more co-linear)

# Neutrino Conversion and Flavor Pendulum

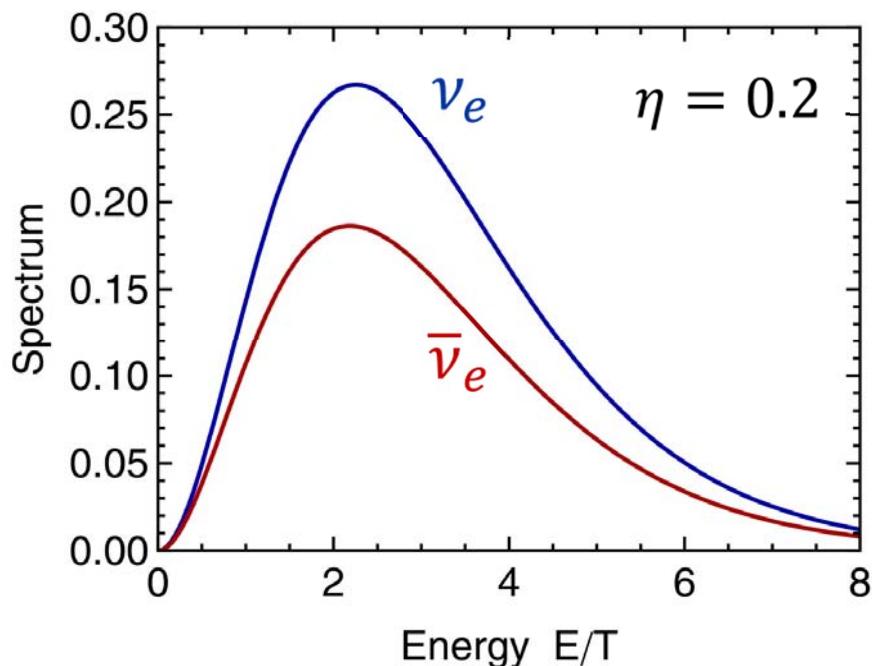


# Fermi-Dirac Spectrum

Fermi-Dirac energy spectrum

$$\frac{dN}{dE} \propto \frac{E^2}{e^{E/T-\eta} + 1}$$

$\eta$  degeneracy parameter,  $-\eta$  for  $\bar{\nu}$



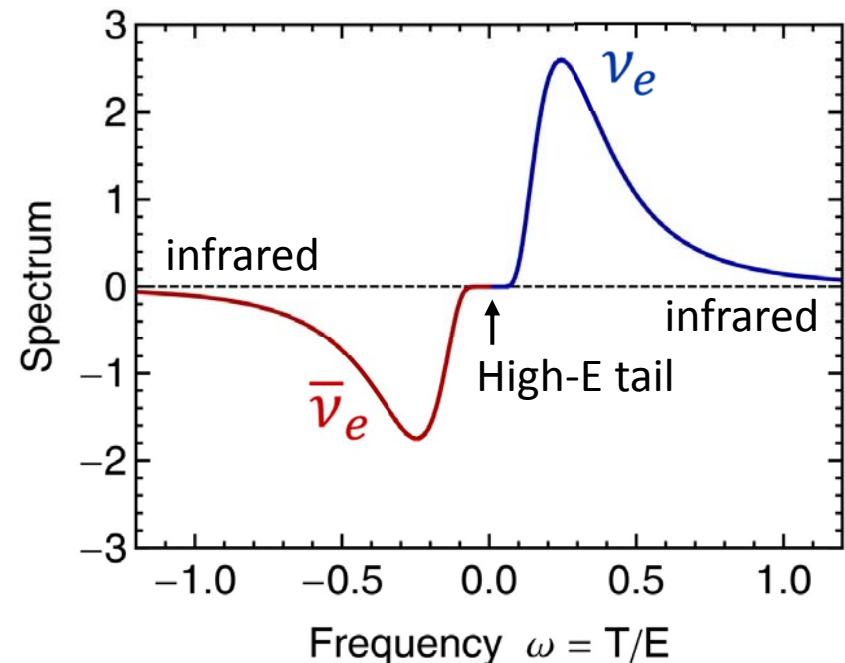
Same spectrum in terms of  $\omega = T/E$

- Antineutrinos  $E \rightarrow -E$
- and  $dN/dE$  negative

(flavor isospin convention)

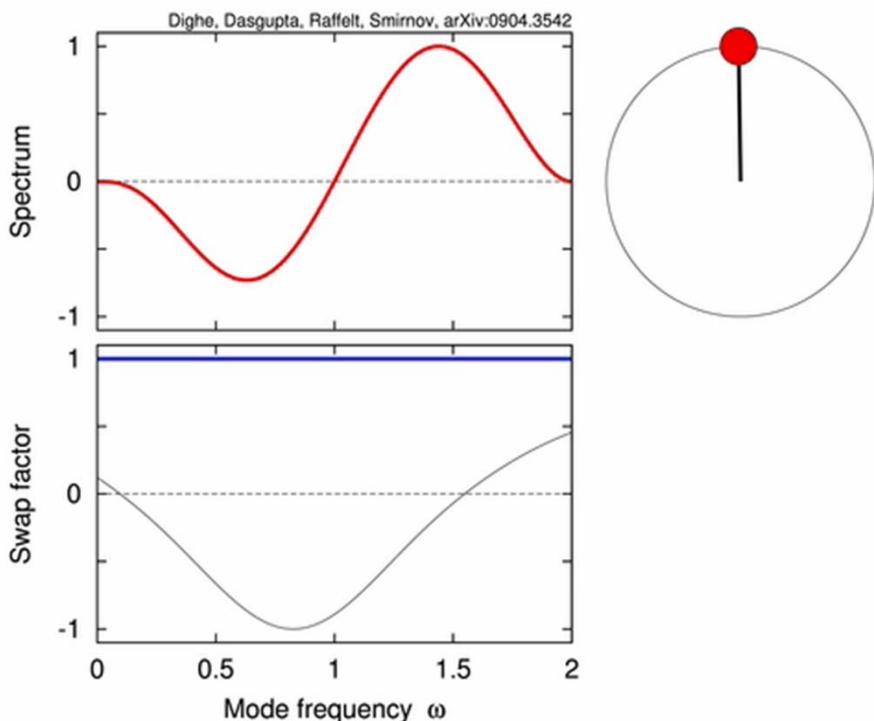
$\omega > 0$ :  $\nu_e = \uparrow$  and  $\nu_\mu = \downarrow$

$\omega < 0$ :  $\bar{\nu}_e = \downarrow$  and  $\bar{\nu}_\mu = \uparrow$

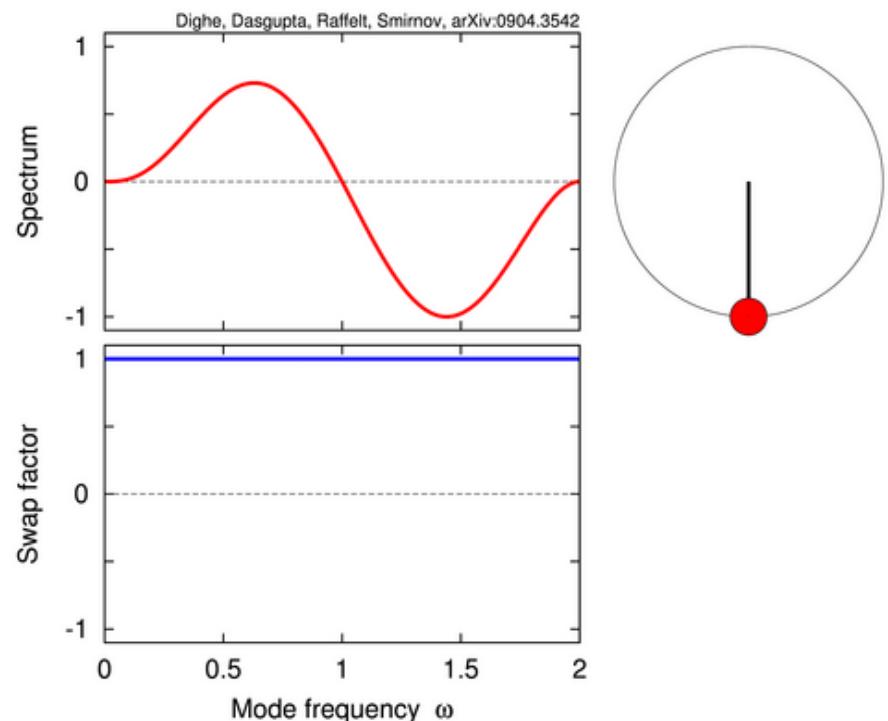


# Flavor Pendulum

Single “positive” crossing  
(potential energy at a maximum)



Single “negative” crossing  
(potential energy at a minimum)

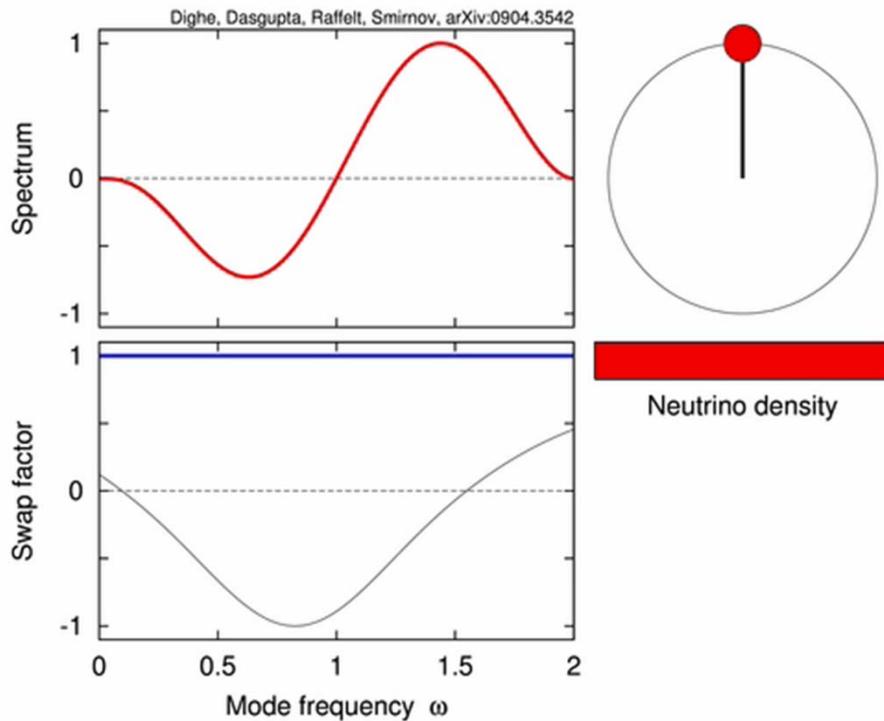


Dasgupta, Dighe, Raffelt & Smirnov, arXiv:0904.3542

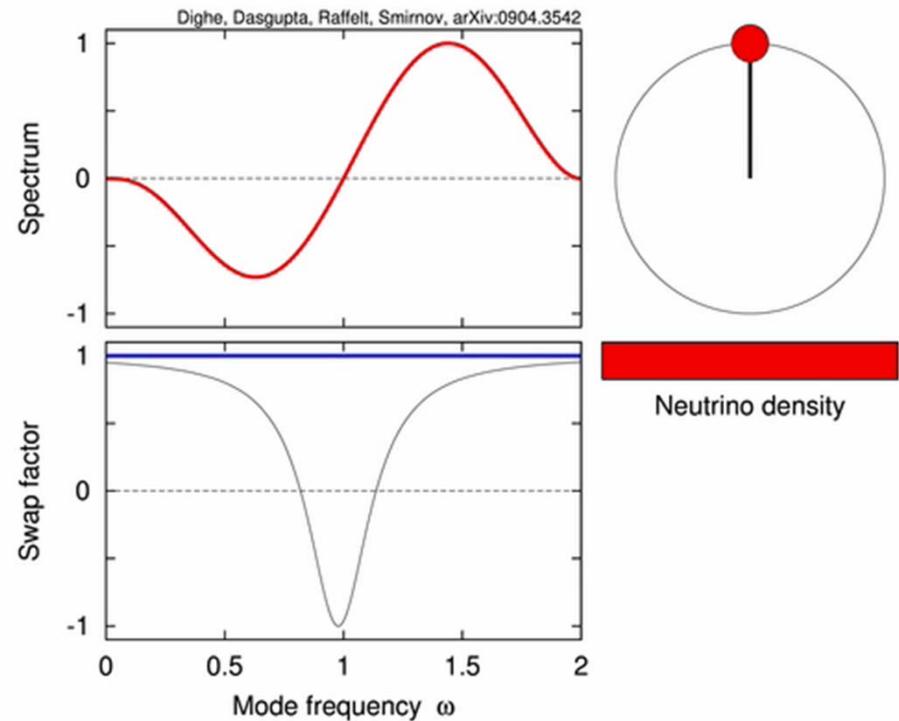
For movies see <http://www.mppmu.mpg.de/supernova/multisplits>

# Decreasing Neutrino Density

Certain initial neutrino density



Four times smaller  
initial neutrino density



Dasgupta, Dighe, Raffelt & Smirnov, arXiv:0904.3542

For movies see <http://www.mppmu.mpg.de/supernova/multisplits>

# Multi-Angle Matter Effect

Precession equation in a homogeneous ensemble

$$\partial_t \mathbf{P}_{\omega, \mathbf{v}} = (\omega \mathbf{B} + \lambda \mathbf{L} + \mu \mathbf{P}) \times \mathbf{P}_{\omega, \mathbf{v}}, \text{ where } \lambda = \sqrt{2} G_F N_e \text{ and } \mu = \sqrt{2} G_F N_\nu$$

↑

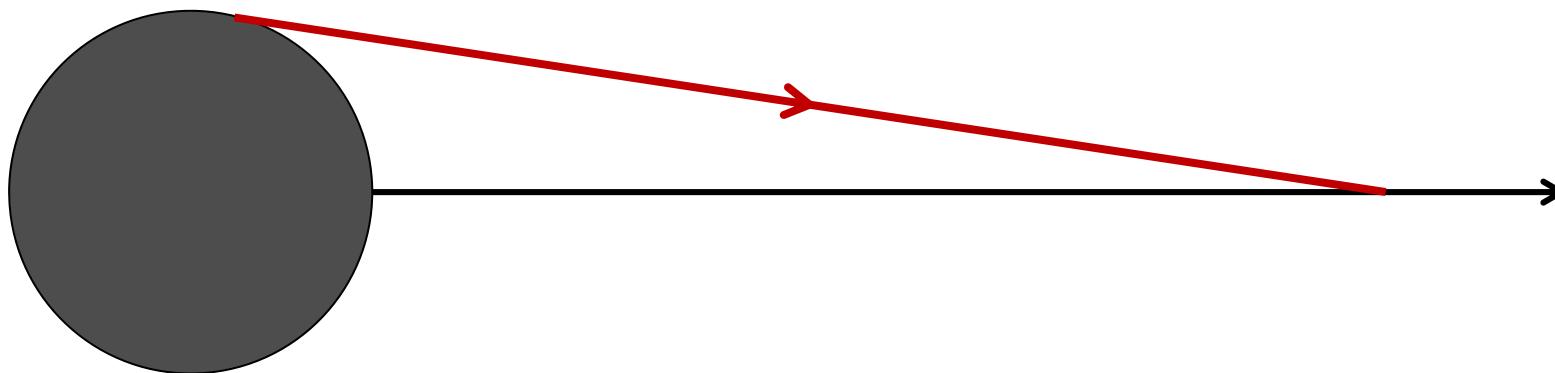
Matter term is “achromatic”, disappears in a rotating frame

Neutrinos streaming from a SN core, evolution along radial direction

$$(\mathbf{v} \cdot \nabla_r) \mathbf{P}_{\omega, \mathbf{v}} = (\omega \mathbf{B} + \lambda \mathbf{L} + \mu \mathbf{P}) \times \mathbf{P}_{\omega, \mathbf{v}}$$

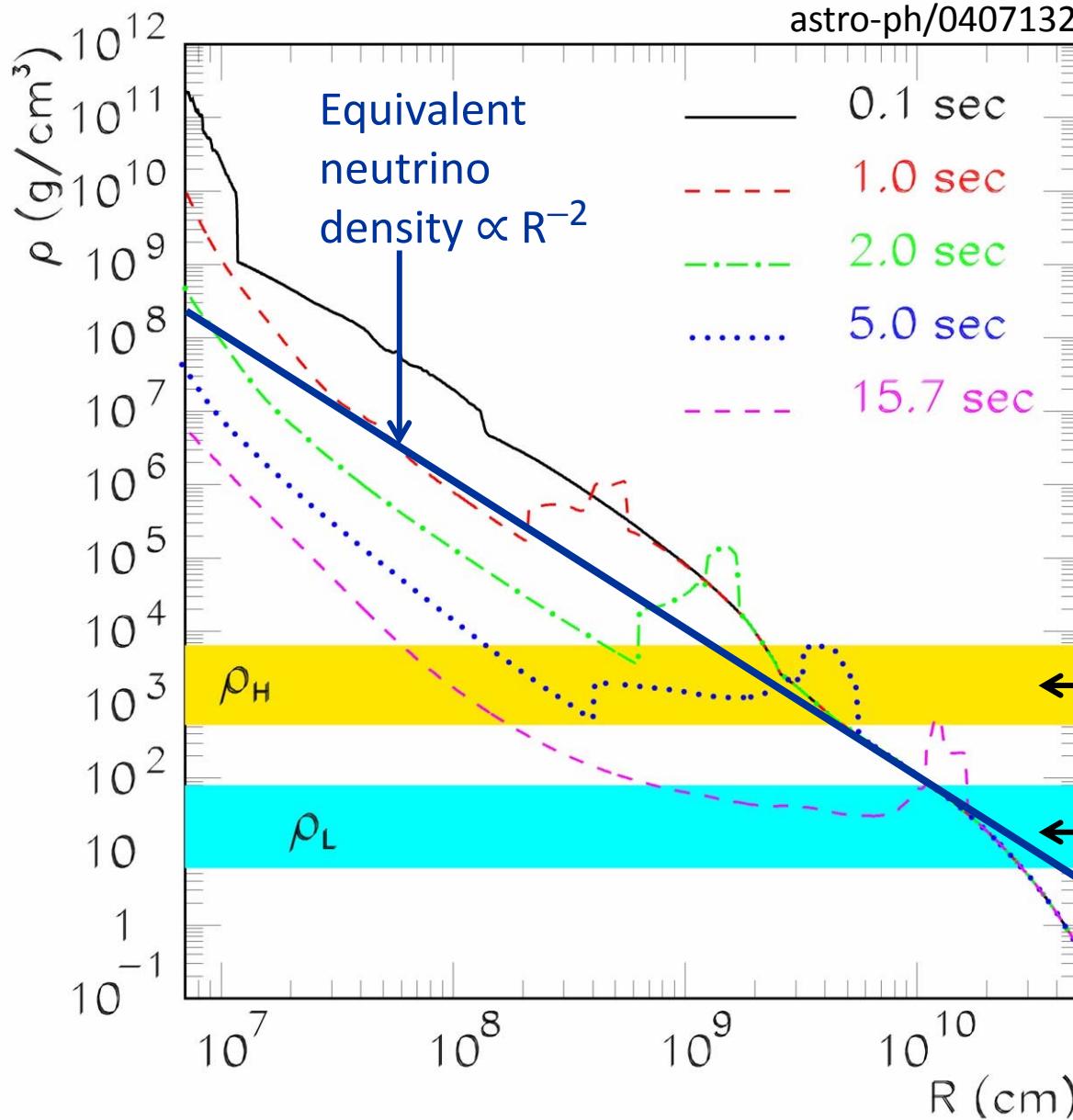
Projected on the radial direction, oscillation pattern compressed:  
Accrues vacuum and matter phase faster than on radial trajectory

Matter effect can suppress collective conversion unless  $N_\nu \gtrsim N_e$



Esteban-Pretel, Mirizzi, Pastor, Tomàs, Raffelt, Serpico & Sigl, arXiv:0807.0659

# Snap Shots of Supernova Density Profiles



Accretion-phase luminosity  
 $L_{\bar{\nu}_e} \sim 3 \times 10^{52}$  erg/s  
Corresponds to a neutrino number density of  
 $3 \times 10^{33} \text{ cm}^{-3} \left(\frac{10 \text{ km}}{R}\right)^2$

H-resonance (13 splitting)

L-resonance (12 splitting)

# General Stability Condition

Spin-precession equations of motion for modes with  $\omega = \Delta m^2/2E$

$$\dot{\mathbf{P}}_\omega = \omega \mathbf{B} \times \mathbf{P}_\omega + \mu \mathbf{P} \times \mathbf{P}_\omega$$

Small-amplitude expansion: x-y-component described as complex number S (off-diagonal  $\rho$  element), linearized EoMs

$$-i\dot{S}_\omega = \omega S_\omega - \mu \int d\omega' g_{\omega'} S_{\omega'}$$

Fourier transform  $S_\omega = Q_\omega e^{i\Omega t}$ , with  $\Omega = \gamma + i\kappa$  a complex frequency

$$(\omega - \Omega)Q_\omega = \mu \int d\omega' g_{\omega'} S_{\omega'}$$

Eigenfunction is  $Q_\omega \propto (\omega - \Omega)^{-1}$  and eigenvalue  $\Omega = \gamma + i\kappa$  is solution of

$$\mu^{-1} = \int d\omega \frac{g_\omega}{\omega - \Omega}$$

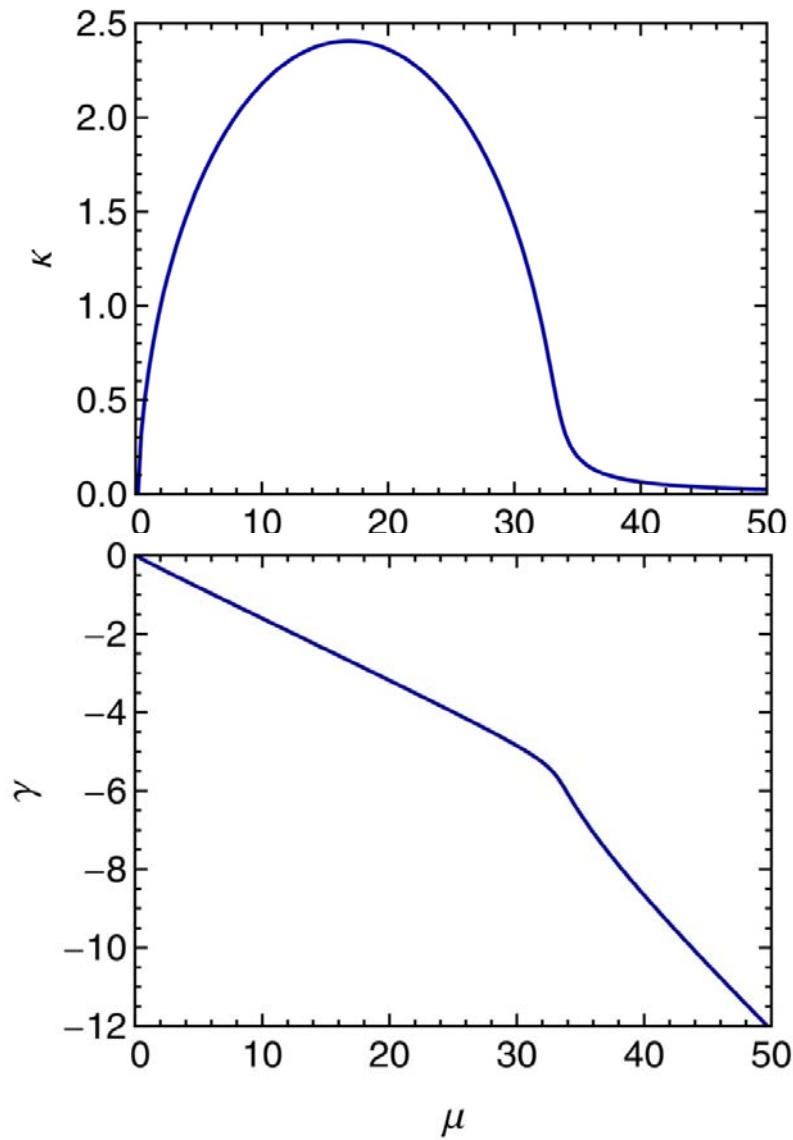
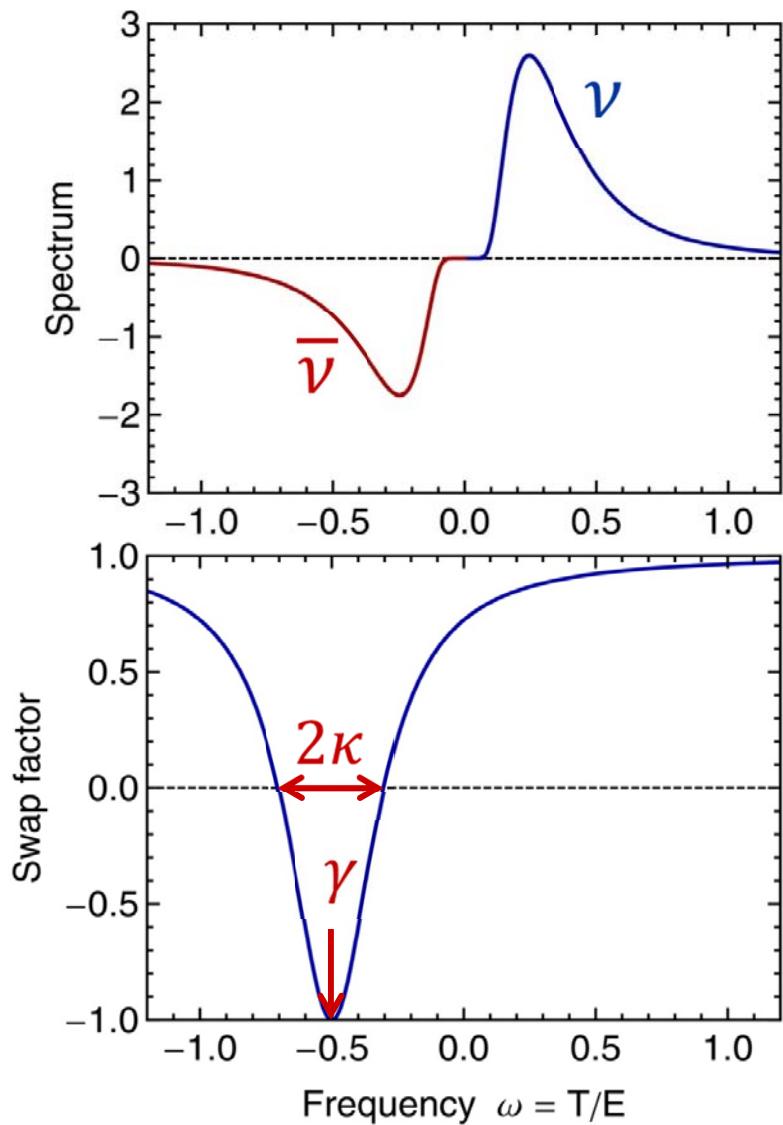
Instability occurs for

$$\kappa = \text{Im } \Omega \neq 0$$

Exponential run-away solutions become pendulum for large amplitude.

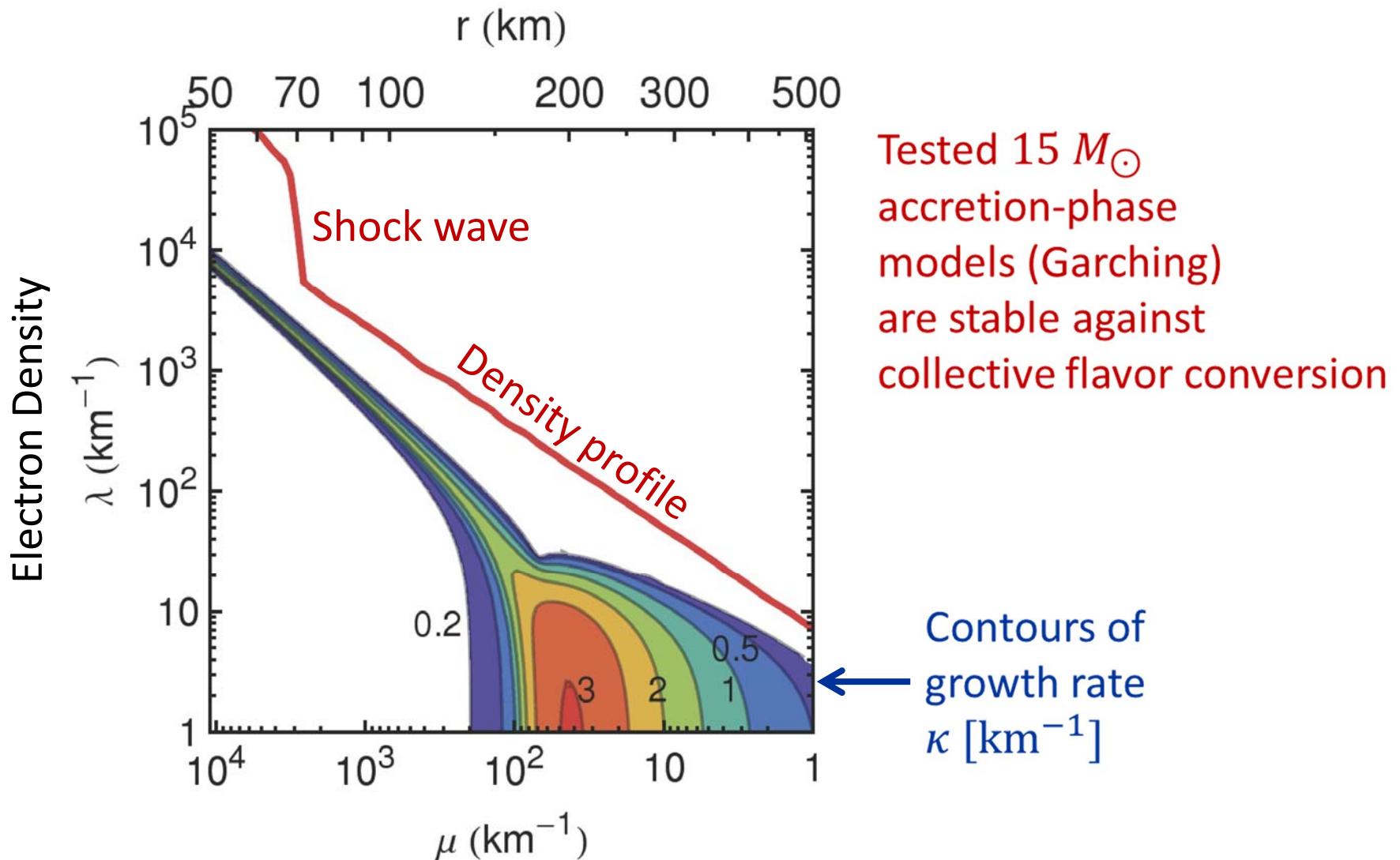
Banerjee, Dighe & Raffelt, arXiv:1107.2308

# Stability of Fermi-Dirac Spectrum



Banerjee, Dighe & Raffelt, arXiv:1107.2308

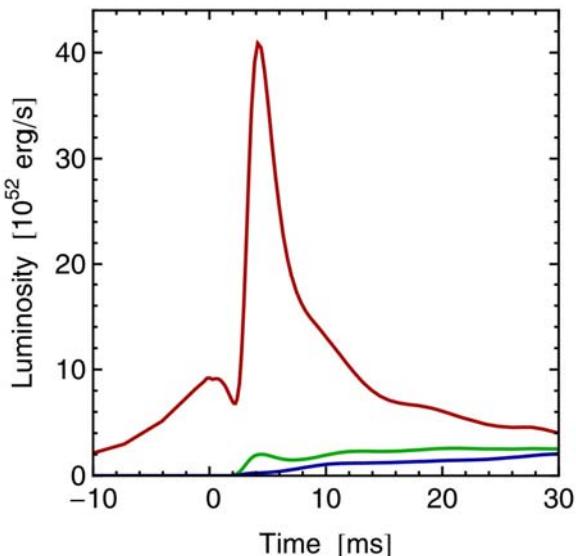
# Multi-Angle Multi-Energy Stability Analysis



Sarikas, Raffelt, Hüdepohl & Janka, arXiv:1109.3601

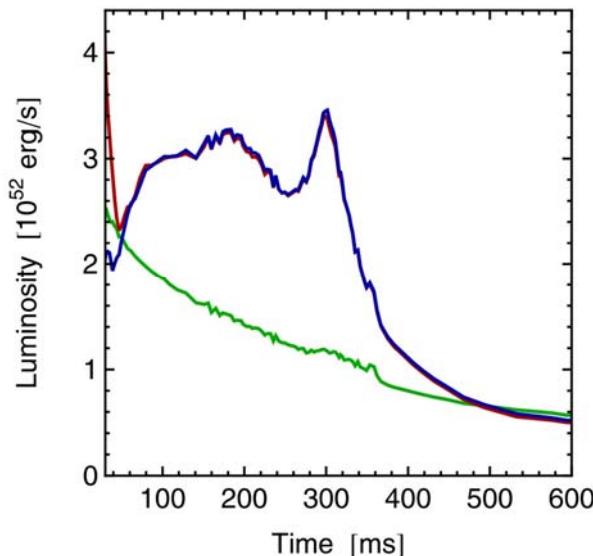
# Three Phases of Neutrino Emission

## Prompt $\nu_e$ burst



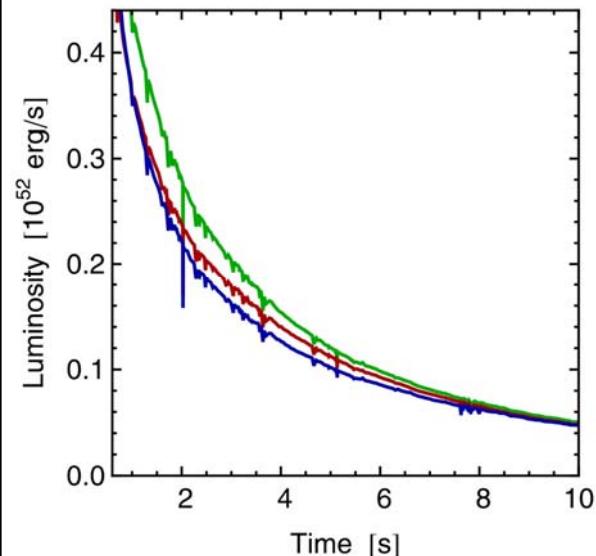
- Shock breakout
- De-leptonization of outer core layers

## Accretion



- Shock stalls  $\sim 150$  km
- Neutrinos powered by infalling matter

## Cooling



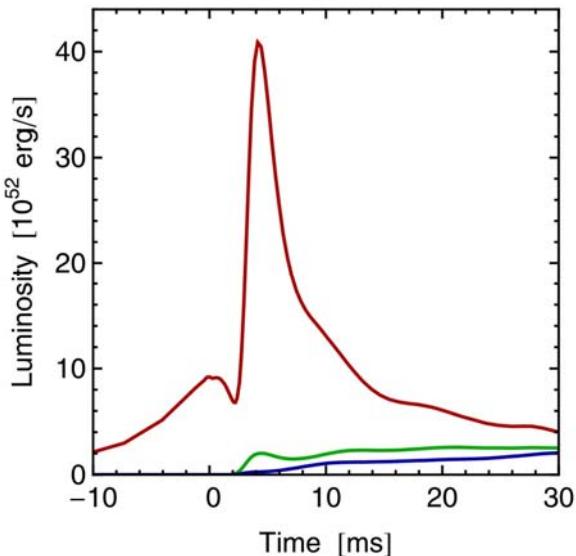
Cooling on neutrino diffusion time scale

- Spherically symmetric model ( $10.8 M_\odot$ ) with Boltzmann neutrino transport
  - Explosion manually triggered by enhanced CC interaction rate

Fischer et al. (Basel group), A&A 517:A80, 2010 [arxiv:0908.1871]

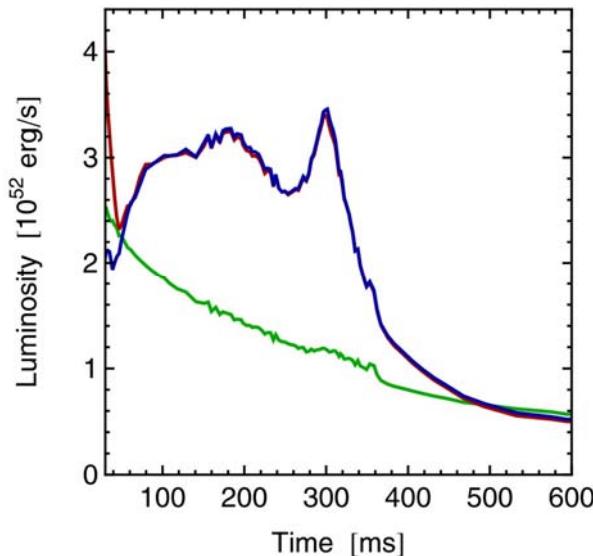
# Three Phases of Neutrino Emission

Prompt  $\nu_e$  burst



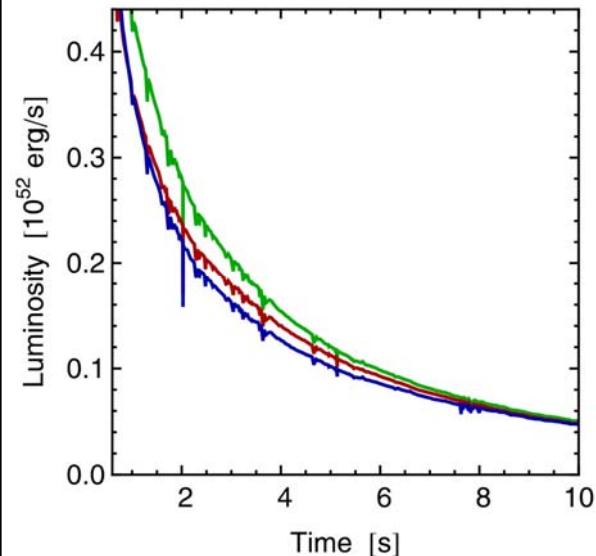
No large  $\nu_e$  detector available

Accretion



Large fluxes and large  $\bar{\nu}_e$ - $\bar{\nu}_x$  flux differences

Cooling

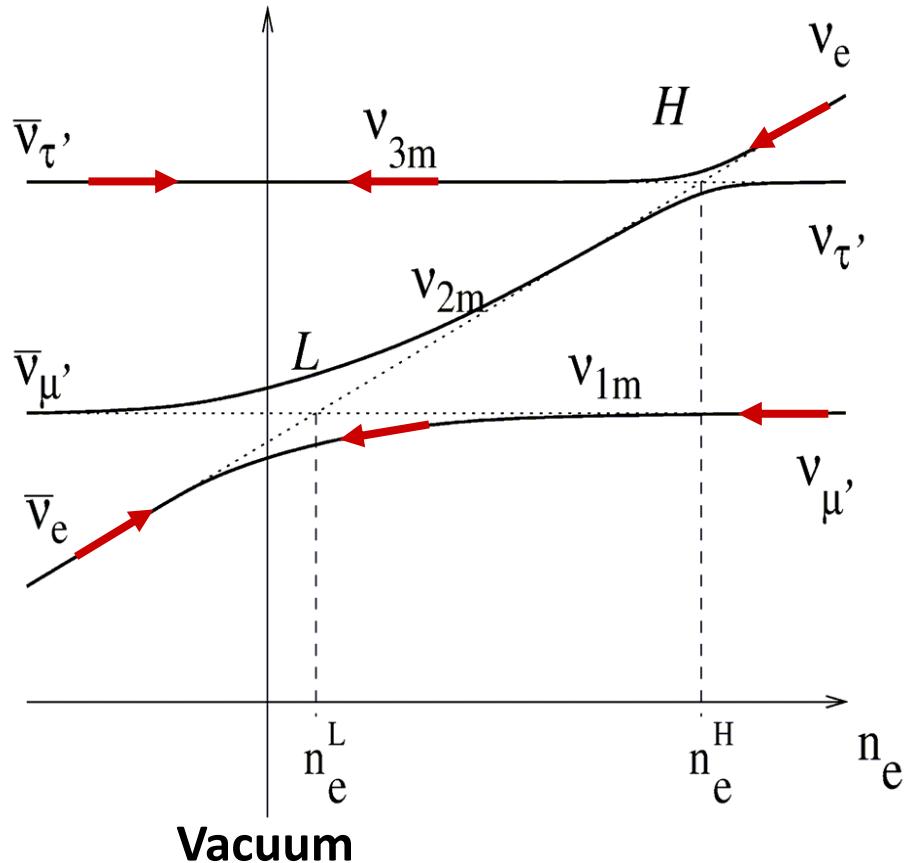


Smaller fluxes and small  $\bar{\nu}_e$ - $\bar{\nu}_x$  flux differences

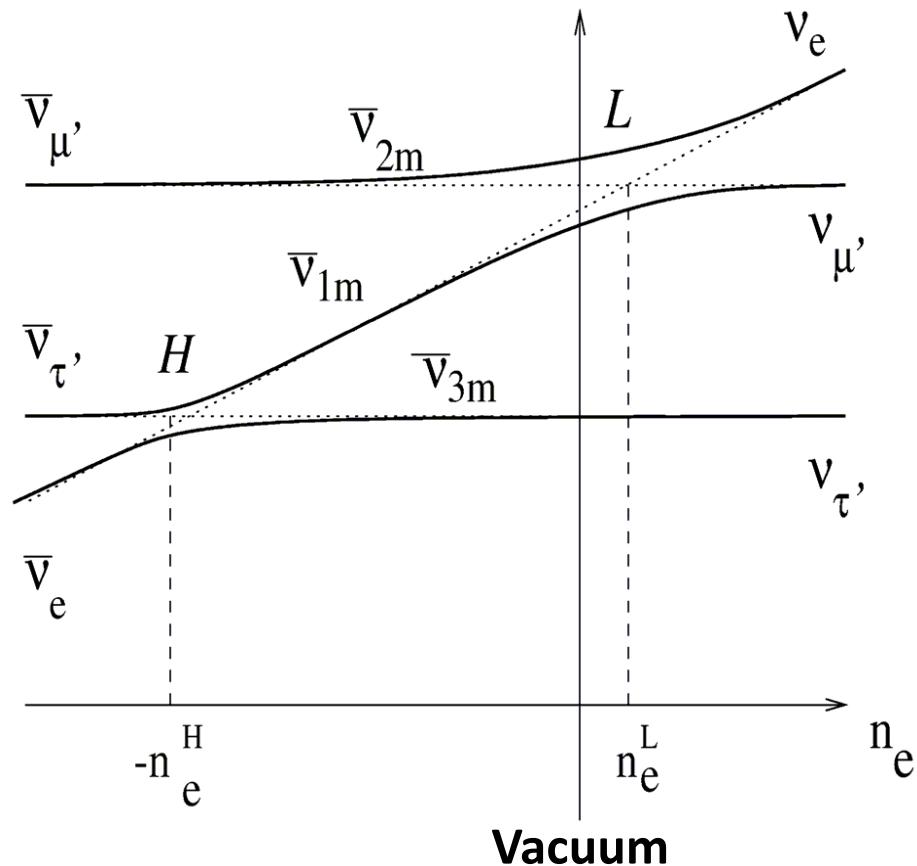
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    - Explosion manually triggered by enhanced CC interaction rate
- Fischer et al. (Basel group), A&A 517:A80, 2010 [arxiv:0908.1871]

# Level-Crossing Diagram in a Supernova Envelope

Normal mass hierarchy



Inverted mass hierarchy



Dighe & Smirnov, Identifying the neutrino mass spectrum from a supernova neutrino burst, astro-ph/9907423

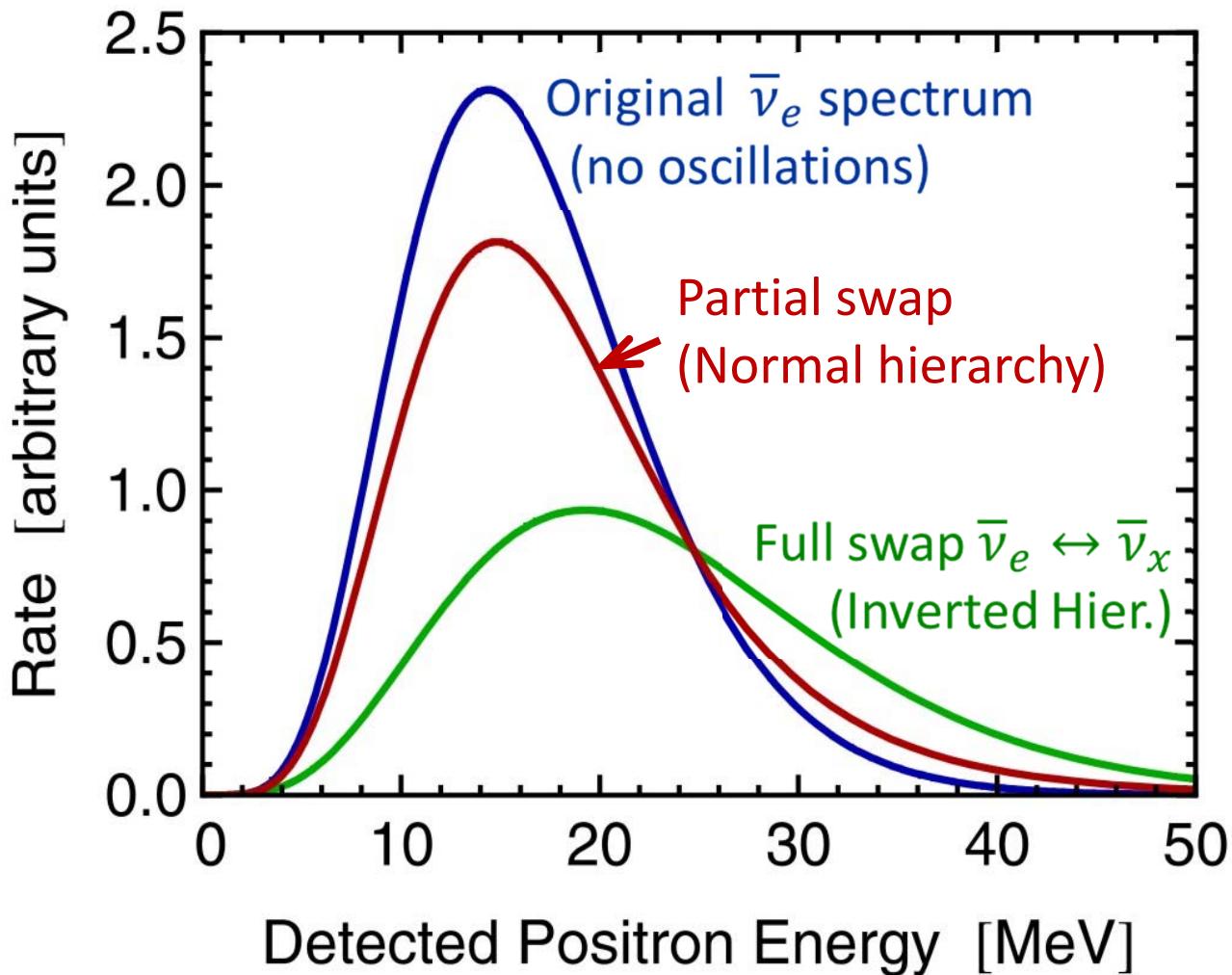
# Signature of Flavor Oscillations (Accretion Phase)

	1-3-mixing scenarios		
	A	B	C
Mass ordering	Normal (NH)	Inverted (IH)	Any (NH/IH)
$\sin^2 \theta_{13}$	$\gtrsim 10^{-3}$		$\lesssim 10^{-5}$
MSW conversion	adiabatic		non-adiabatic
$\nu_e$ survival prob.	0	$\sin^2 \theta_{12} \approx 0.3$	$\sin^2 \theta_{12} \approx 0.3$
$\bar{\nu}_e$ survival prob.	$\cos^2 \theta_{12} \approx 0.7$	0	$\cos^2 \theta_{12} \approx 0.7$
$\bar{\nu}_e$ Earth effects	Yes	No	Yes

**May distinguish mass ordering**

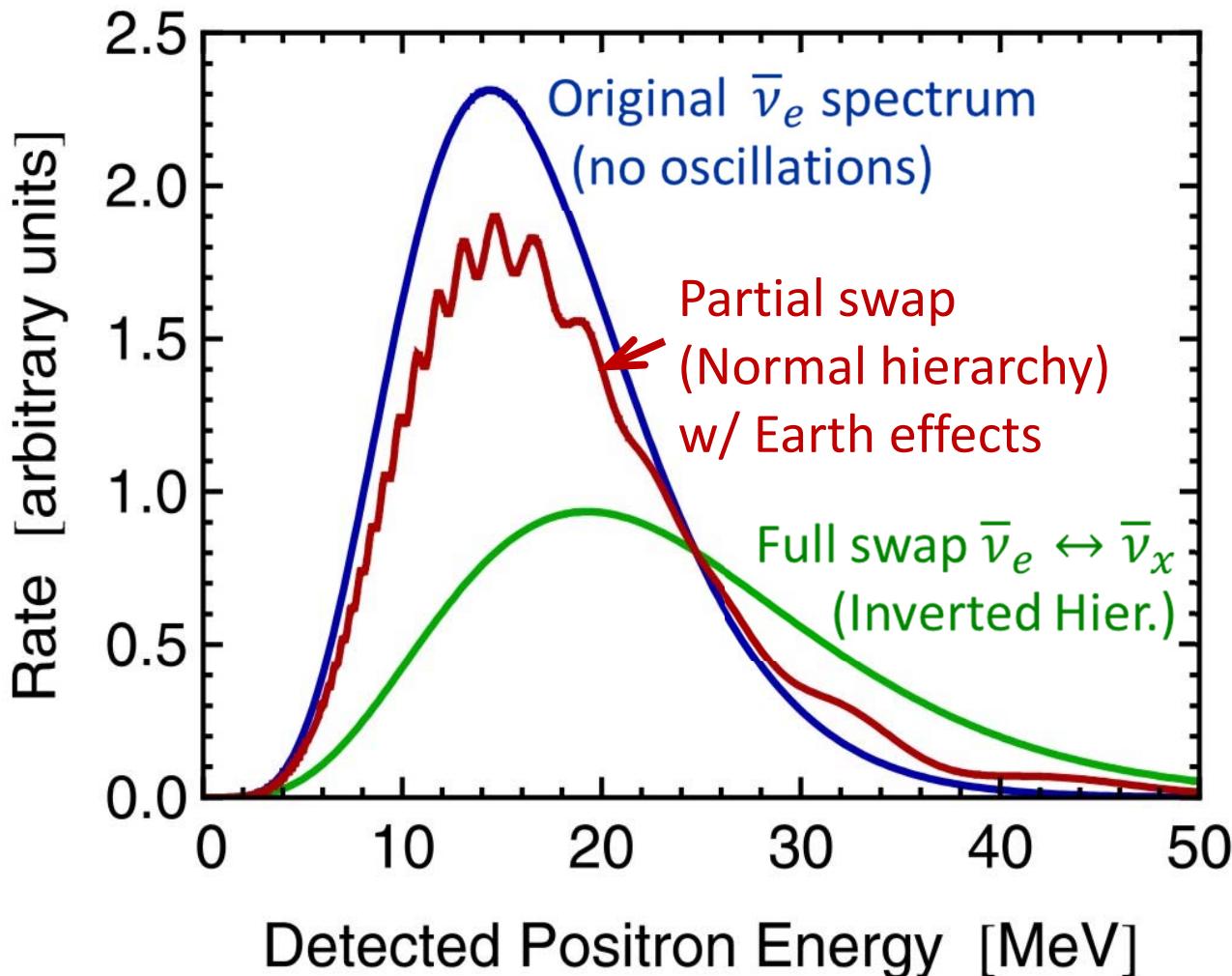
Assuming collective effects are not important during accretion phase  
(Chakraborty et al., arXiv:1105.1130, Sarikas et al. arXiv:1109.3601)

# Oscillation of Supernova Anti-Neutrinos



Basel accretion phase model ( $10.8 M_{\odot}$ )  
Detection spectrum by  $\bar{\nu}_e + p \rightarrow n + e^+$  (water Cherenkov or scintillator detectors)

# Oscillation of Supernova Anti-Neutrinos



Basel accretion phase model ( $10.8 M_{\odot}$ )

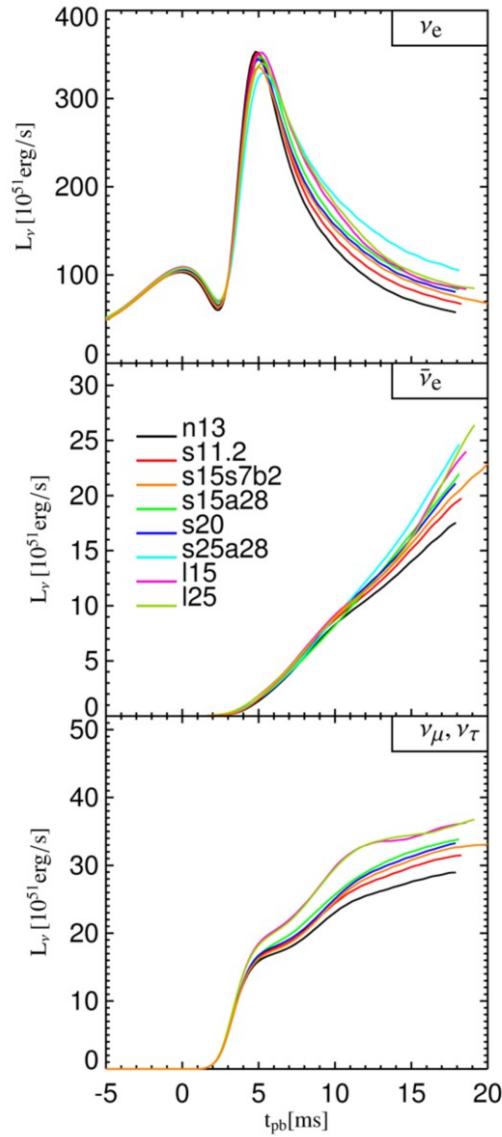
Detection spectrum by  $\bar{\nu}_e + p \rightarrow n + e^+$  (water Cherenkov or scintillator detectors)

8000 km path length in Earth assumed

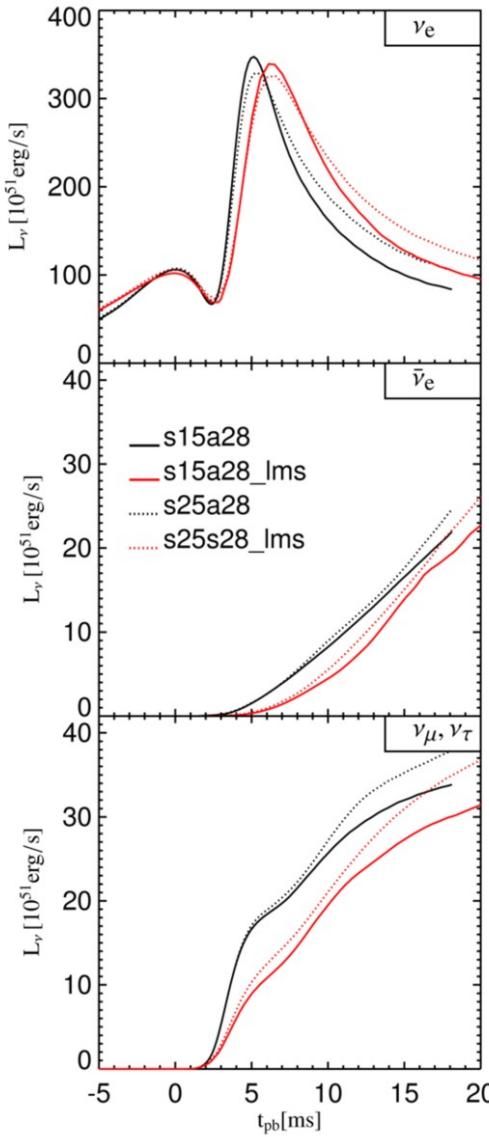
Detecting Earth effects requires good energy resolution  
(Large scintillator detector, e.g. LENA, or megaton water Cherenkov)

# Neutronization Burst as a Standard Candle

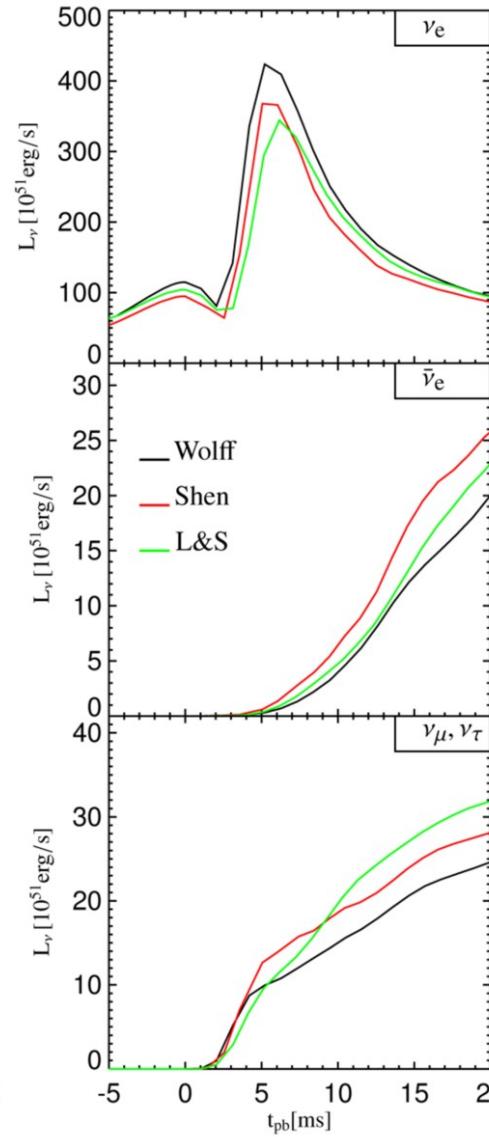
Different Mass



Neutrino Transport



Nuclear EoS

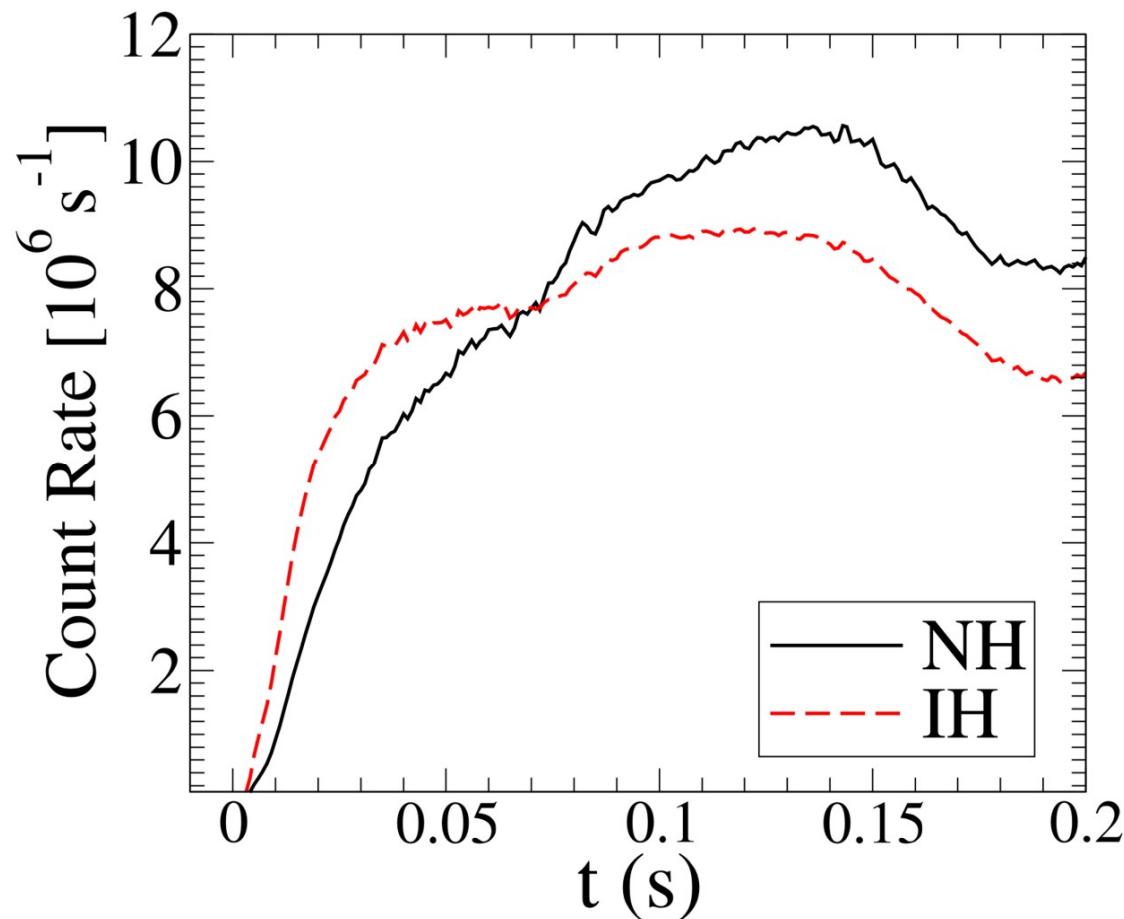


If mixing scenario  
is known, can  
determine SN  
distance  
(better than 5-10%)

Kachelriess, Tomàs,  
Buras, Janka,  
Marek & Rampp,  
astro-ph/0412082

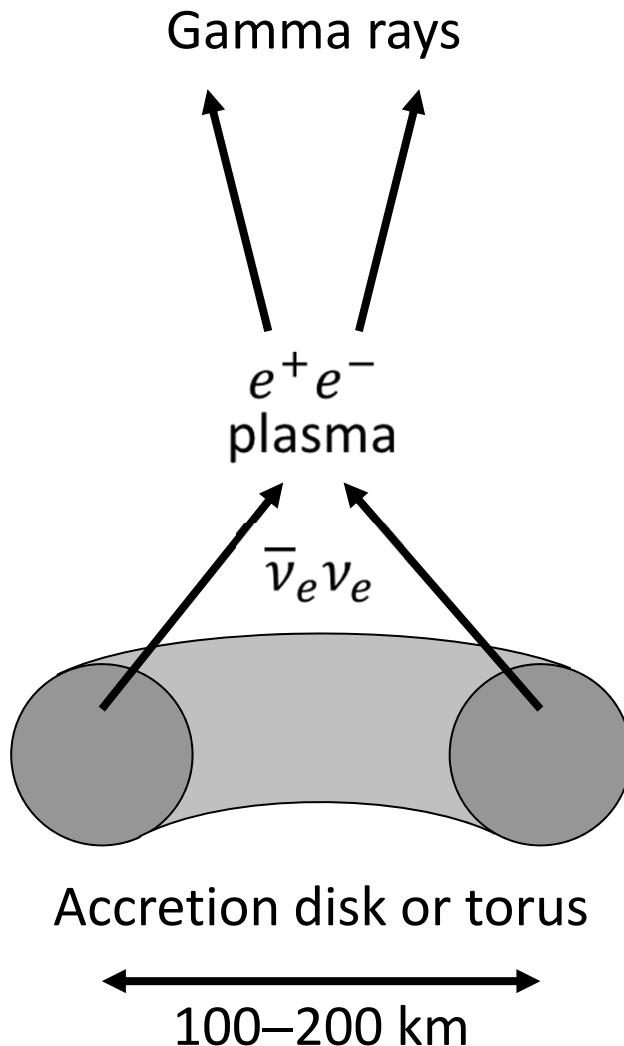
# Rise Time as Hierarchy Discriminator

Rise time of counting rate in IceCube can distinguish hierarchy (for “large”  $\theta_{13}$ ). but depends on numerical model calibration



Chakraborty, Fischer, Hüdepohl, Janka, Mirizzi, Serpico, arXiv:1111.4483

# Coalescing Neutron Stars and Short Gamma-Ray Bursts



- Annihilation rate strongly suppressed if  $\nu_e \bar{\nu}_e$  pairs transform to  $\nu_x \bar{\nu}_x$  pairs
- Collective effects important?

Density of torus relatively small:

- $\nu_\mu$  and  $\nu_\tau$  not efficiently produced
- Large  $\nu_e \bar{\nu}_e$  pair abundance