Recent developments for higher-dimensional black holes

II. Blackfolds: a new approach to higher-dimensional BHs

Cracow School, Zakopane, June 4, 2009 Niels Obers, Niels Bohr Institute

0902.0427 (**PRL)** (with R. Emparan, T. Harmark, V. Niarchos) 090y.xxxx:: To appear (with R. Emparan, T. Harmark, V. Niarchos) 0708.2181 (JHEP) (with R. Emparan, T. Harmark, V. Niarchos, M.J. Rodriguez) 0802.0519 (Springer Lectures Notes) 0701022 review CQQ (with V. Niarchos and T. Harmark)

Plan

- Introduction
- Separation of scales in higher-dimensional black holes
- Blackfold approach
- Examples of novel black hole families
- Lessons and outlook

Progress in the last years

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What do we know about black objects (i.e. with event horizon) in higher dimensional Einstein gravity ?



In this lecture: restrict (mostly) to asymptotically flat solutions of pure gravity D

 $R_{\mu\nu} = 0 \quad \mathcal{M}^D$

but:- interesting parallels with BHs in KK spaces

- techniques are readily generalized to AdS/dS space + adding charge
- D=4: black hole uniqueness
- D=5: MP black hole (S³), ER black ring (S² \times S¹), black Saturn, ...

 - 4D inspired techniques successful (assuming 2 axial Killing vector fields —> integrability full classification of BHs in terms of "rod-structure" + asympt. charges)

• D \geq 6: MP black holes (S^{D-2}) are only known exact solutions

- full dynamics too complex to be captured by conventional approaches

 \Rightarrow but recent progress: thin black rings (S¹ × S^{D-3}) in any dimension

Novel feature of higher D neutral BHs

▶ in some regimes horizons are characterized by (at least) two separate scales

 $r_0 \ll R$



shape of Kerr BH is always approx. round with radius

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r_0 \sim GM
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 $D \ge 5$: no Kerr bound anymore



Analogue for KK black holes: size of compact manifold vs. horizon radius

Separation of scales

observe separation of scales in explicitly known solutions



- Kerr bound for MP

but: rotating black ring can have arbitrarily large angular momentum for given mass

Emparan,Reall



ultraspinning (small mass) limit

$$rac{J^2}{GM^3}
ightarrow \infty$$

corresponds to: $R \gg r_0$

radius of ring \gg thickness of ring

 $R = radius of S^1$ $r_0 = radius of S^{D-3}$

Separation of scales (cont'd)

 $D \ge 6$: no Kerr bound for MP BHs:

ultraspinning regimes with pancaked horizons

 $\frac{J^{D-3}}{GM^{D-2}} \to \infty$

(approaches black membrane geometry $\mathbb{R}^2 \times S^{D-4}$ for large *J*)

radius of disc $\gg~$ thickness of disc

Note: GL instability is also property of horizons in higher D depending on separation of two length scales along horizon

length vs. thickness (of black brane)

inhomogeneous black branes arise when the two begin to differ

Gregory,Laflamme

Emparan, Myers

Long distance effective theory

• two widely separated scales integrate out short-distance dynamics • use to construct BHs perturbatively e.g. 5D rotating black ring r_0 R locally described as boosted straight black string

- by employing method of matched asymptotic expansion (MAE) thin black ring solution for D ≥ 6 has been constructed Emparan, Harmark, Niarchos, NO, Rodriguez
- MAE was first developed for localized BHs in KK space in limit: $L \gg r_0$

Harmark/Kol,Gorbonos/Karsik et.al Dias,Harmark,Myers,NO

- other technique has been developed as well: classical effective field theory (CIEFT)
 Chu,Goldberger,Rothstein/Kol
- Goal: use these methods to develop a leading order theory for the long-distance dynamics of higher-dimensional black holes

General idea

- ► start with general theory of gravity $S[\Psi] = \{\text{graviton}, \text{p-forms}, \text{scalars}\}$
 - look for BH solutions that have two characteristic scales + integrate out short-distance physics $\Psi = \Psi_{short} + \Psi_{long}$
- ✓ to leading order: blackfold = black-brane probe in asymptotic background $S_{\text{full}} \rightarrow S[\Psi_{\text{long}}] + S_{\text{WV}}[X^{\mu}]$

Aim: give general prescription for S_{wv} to leading order in r_0/R

- solve EOM of $S[\Psi_{long}]$: defines asymptotic background
- solve $S[\Psi_{long}]$ to find black brane soln with flat worldvolume:
 - asymptotic charges define the blackfold locally
 - \rightarrow provides short-distance input for S_{wv}
- S_{wv} describes embedding of blackfold in background

$$S_{\mathsf{WV}}[X^{\mu}] = \int \sqrt{-\gamma} \mathcal{L}[X^{\mu}(\sigma^{a})]$$

determined by:

- consistent coupling to bulk fields Ψ (EM/charge conservation)
- use locally stress-energy/currents of the flat black brane solution
- + enforce horizon regularity

Blackfold approach

Emparan, Harmark, Niarchos, NO

Blackfold = Black p-brane whose worldvolume extends along a curved submanifold (of embedding space)

- ► start with flat p-brane: horizon $\mathbb{R}^p \times s_{\text{size: } r_0}^{n+1}$ bend spatial world-volume into submanifold \mathcal{B}_p characterized by length scale: \mathbb{R}
- for asymptotically flat blackfolds in D dims: start with a compact embedding $X^{\mu}(\sigma^{a})$ of submanifold $\mathcal{B}_{p} \subset \mathbb{R}^{D-1}$
 - consider regime of widely separated scales:

curvature radius of submanifold \gg brane thickness

 $R \gg r_0$

→ can approximate the blackfold locally with flat black brane

Question: which \mathcal{B}_p are possible ?

Geometric Censorship

Classical brane dynamics

embedding $X^{\mu}(\sigma)$ of \mathcal{B}_{p} determines induced metric: $\gamma_{\alpha\beta} = \partial_{\alpha}X^{\mu}\partial_{\beta}X^{\nu}g_{\mu\nu}$

what governs dynamics of a blackfold ?

- For point particles this is Newton's 2nd law or geodesic eqn. in GR

► For infinitely thin branes we have Carter equation (brane probe approximation)

 $T^{\mu\nu}_{\ \mu\nu}K_{\mu\nu}^{\ \rho} = 0 \quad (\Leftarrow \quad \nabla_{\mu}T^{\mu\nu} = 0)$ extrinsic curvature tensor (2nd fund. form) energy momentum tensor on brane $T_{\mu\nu}(\sigma^{\alpha}) = \tau_{\mu\nu}(\sigma^{\alpha})\delta^{(D-p-1)}(x - X(\sigma^{\alpha}))$

• Blackfold equations are equivalent to generalized geodesic equation

$$\tau^{\alpha\beta} \Big(\nabla^{(\gamma)}_{\alpha} \partial_{\beta} X^{\rho} + \Gamma^{\rho}_{\mu\nu} \partial_{\alpha} X^{\mu} \partial_{\beta} X^{\nu} \Big) = 0$$

follow from the world-volume action $I_{WV}[X^{\mu}(\sigma^{\alpha})] = \int_{WV} \sqrt{-\gamma} \tau^{\alpha\beta} \gamma_{\alpha\beta}$

Emparan, Harmark, Niarchos, NO

Brane stress tensor

effective stress tensor of blackfold det'd by matching to short-distance physics

- demand that locally ($r \ll R$) blackfold is equivalent to black p-brane up to position dependent Lorentz transformation

We know gravitational field of black p-brane + weak field at $r \gg r_0$

- \rightarrow determines equivalent distributional stress tensor (sources same field in matching region: $r_o \ll r \ll R$)
- If the static (flat) black p-brane metric and stress tensor(define n = D p 3)
 $ds^2 = -f dt^2 + \sum_{i=1}^{p} dz_i^2 + f^{-1} dr^2 + r^2 d\Omega_{n+1}^2, \quad f(r) = 1 \frac{r_0^n}{r^n}$ $\tau_{tt} = r_0^n (n+1)$ $\tau_{ii} = -r_0^n, \quad i = 1 \dots p$

setup of embedding: *m* rotation planes that we want blackfold to rotate in: (r_l, ϕ_l) , $l = 1 \dots m + D - 1 - 2m$ other spatial coordinates

- angular directions correspond locally to boost of flat black brane $z_l \sim z_l + 2\pi r_l(\sigma^{\alpha})$ - align t with worldvolume time

Boosting the p-brane

• We now act with Lorentz transformation: $\begin{array}{c} z o \Lambda z \\ \Lambda \in SO(1,m) \subset SO(1,p) \end{array}$

brane is invariant under spatial rotations: parameterize m boosts as:

 $\Lambda_0^0 = \cosh \alpha, \quad \Lambda_i^0 = \nu_i \sinh \alpha, \quad \sum_{i=1}^m \nu_i^2 = 1$

 $\Rightarrow \text{ boosted EM tensor } \tau_{ij} \rightarrow (\Lambda \tau \Lambda^{\mathsf{T}})_{ij} (\sigma) \text{ is}$ $\tau_{tt} = r_0^n [n \cosh^2 \alpha + 1]$ $\tau_{ii} = r_0^n [n \nu_i^2 \sinh^2 \alpha - 1] , \quad i = 1 \dots m$ $\tau_{i \neq j} = r_0^n n \nu_i \nu_j \sinh^2 \alpha , \quad i, j = 1 \dots m$ $\tau_{ti} = r_0^n n \nu_i \cosh \alpha \sinh \alpha , \quad i = 1 \dots m$ $\tau_{ii} = -r_0^n , \quad i = m + 1 \dots p$

m boost parameters α (σ), ν_i (σ) and brane thickness r_o (σ) may depend on worldvolume coordinate !

 determined here EM tensor with flat indices since we are using local Lorentz frame to map with flat black brane

Blackness condition

blackfold is now locally a boosted black brane but still need to impose that it is overall black (regular horizon)

Blackness condition:

surface gravity and angular velocities constant on the blackfold

can find these locally in terms of the embedding

$$\kappa = \frac{n}{2r_0(\sigma^{\alpha})\cosh\alpha(\sigma^{\alpha})}, \quad \Omega_{Hi} = \frac{\nu_i(\sigma^{\alpha})}{r_i(\sigma^{\alpha})} \tanh\alpha(\sigma^{\alpha})$$

blackness determines the thickness and the boosts in terms of local velocity components

$$r_{0}(\sigma^{\alpha}) = \frac{n}{2\kappa} \sqrt{1 - \Xi(\sigma^{\alpha})^{2}}, \quad \tanh \alpha(\sigma^{\alpha}) = \Xi(\sigma^{\alpha}), \quad \nu_{i}(\sigma^{\alpha}) = \frac{r_{i}(\sigma^{\alpha})\Omega_{Hi}}{\Xi(\sigma^{\alpha})}$$

with local velocity field defined by: $\Xi(\sigma^{\alpha}) = \left(\sum_{i=1}^{m} \left(r_{i}(\sigma^{\alpha})\Omega_{Hi}\right)^{2}\right)^{1/2}$

insert these in EM tensor \rightarrow completely determined in terms of

$$\kappa, \Omega_i, r_i(\sigma)$$

Final form of boosted stress tensor

$$\begin{aligned} \tau_{00} &= \left(\frac{n}{2\kappa}\right)^{n} \left(1 - \Xi^{2}\right)^{\frac{n-2}{2}} \left(n + 1 - \Xi^{2}\right) \\ \tau_{0i} &= \left(\frac{n}{2\kappa}\right)^{n} \left(1 - \Xi^{2}\right)^{\frac{n-2}{2}} n r_{i} \Omega_{i}, \quad i = 1, \dots, m \\ \tau_{ii} &= \left(\frac{n}{2\kappa}\right)^{n} \left(1 - \Xi^{2}\right)^{\frac{n}{2}} \left(\frac{n(r_{i}\Omega_{i})^{2}}{1 - \Xi^{2}} - 1\right), \quad i = 1, \dots, m \\ \tau_{ii} &= -\left(\frac{n}{2\kappa}\right)^{n} \left(1 - \Xi^{2}\right)^{\frac{n}{2}}, \quad i = m + 1, \dots, p \\ \tau_{i \neq j} &= \left(\frac{n}{2\kappa}\right)^{n} \left(1 - \Xi^{2}\right)^{\frac{n-2}{2}} r_{i} r_{j} \Omega_{i} \Omega_{j} \quad i, j = 1, \dots, m \end{aligned}$$

$$\begin{aligned} \log 2 \operatorname{velocity field:} \quad \Xi(\sigma^{\alpha}) &= \left(\sum_{i=1}^{m} \left(r_{i}(\sigma^{\alpha})\Omega_{Hi}\right)^{2}\right)^{1/2} \end{aligned}$$

EM tensor determined in terms of

$$\kappa, \Omega_i, r_i(\sigma)$$

Worldvolume action and Carter equation

Easy way to find brane EOMs is now by first computing the worldvolume action

$$I_{WV} = \int \sqrt{-\gamma} \ \tau^{ab} \eta_{ab} \propto -\int \sqrt{\gamma} [1 - \Xi(\sigma)^2]^{\frac{n}{2}}$$

+ varying with respect to the embedding coordinates $r_i(\sigma)$

 \rightarrow Carter equation becomes a set of purely geometric equations for embedding of ${\cal B}$ and given temperature + angular velocities

Geometric censorship for blackfolds

(much stronger than topological restrictions)

• e.g. round S¹ satisfies Carter +blackness, but a wiggly S¹ does not

Technical aside: how many EOMs are there ?

embedding is defined by functions $F_i(X^{\mu}) = 0$, $i = 1 \dots n + 2$

number of eqs. = codimension

(number of non-trivial EOMs typically reduced using symmetries)

Thermodynamic quantities and horizon topology

can compute mass and angular momentum by integrating appropriate
 EM tensor components over brane worldvolume

$$M = \int_{\mathcal{B}_p} \sqrt{-\gamma} \ \tau_{tt} , \qquad J_i = \int_{\mathcal{B}_p} \sqrt{-\gamma} \ r_i \tau_{ti}$$

◄ to compute total area: use that locally we have area of a boosted black brane $a_H(\sigma^{\alpha}) = \Omega_{n+1} r_0^{n+1}(\sigma^{\alpha}) \cosh \alpha(\sigma^{\alpha})$

- small sn+1-sphere at each point of blackfold

- \rightarrow horizon is fibration of s^{n+1} over \mathcal{B}_p
 - if fiber is regular, horizon topology: (topology of $\mathcal{B}_p) imes S^{n+1}$
- but $r_{o}(\sigma)$ can go go to zero at codimension-1 locus on \mathcal{B} (where local boost is light-like)
 - e.g. if \mathcal{B}_p is p -ball with s^{n+1} shrinking at boundary: $S^{p+n+1} = S^{D-2}$

total area of horizon:
$$A_H = \int_{\mathcal{B}_p} \sqrt{-\gamma} a_H(\sigma^{\alpha})$$

1st law of thermodynamics

► consider Gibbs free $I_G[X^{\mu}(\sigma)] = M - \Omega_i J_i - 4\pi\kappa A_H$ energy functional:

by explicit computation on finds that this is proportional to the worldvolume brane action:

$$(D-2)I_G = -I_{WV}$$

varying $I_G \Rightarrow 1^{st}$ law of thermodynamics

 1^{st} law of thermo \Leftrightarrow geometric blackfold equations

also get from this:

$$\mathcal{T}(D-3)M = (D-2)(\Omega_i J_i + TS) + \mathcal{T}$$

total integrated tension of blackfold $\mathcal{T} = -\int \sqrt{-\gamma} \sum_{i=1}^{p} \tau_{ii}$

▶ • same as integrated version of local Smarr for black p-brane ! Harmark,NO/Kastor,Traschen

but asymptotically flat solutions should obey Smarr above with zero tension: $\mathcal{T} = 0$

total tension vanishes for blackfold (explicitly checked in examples)

Example: Black ring

- \blacktriangleright wrap black string on a compact 1D space (topologically S^1) specify embedding: S^1 in \mathbb{R}^2 (times point in \mathbb{R}^{D-3}) \mathbb{R}^2 : (r, ϕ) $r = R(\sigma)$, $\phi = \sigma$ $I_{\rm WV} \propto \int \sqrt{-\gamma} (1 - \Xi^2)^{\frac{n}{2}} = \int d\sigma \sqrt{(R')^2 + R^2} (1 - \Omega^2 R^2)^{\frac{n}{2}}$ action full EOM is: $(1 - \Omega^2 R^2) R R'' + ((n+2)\Omega^2 R^2 - 2) R'^2 + ((n+1)\Omega^2 R^2 - 1) R^2 = 0$ • highly non-linear DE; simple solution with constant R. $R = \frac{1}{\sqrt{n+1}} \frac{1}{\Omega}$ or directly from Carter equation: $\frac{\tau_{11}}{R} = 0$ (total tension vanishes)
 - zero tension condition is equivalent to balancing forces on ring
 - centrifugal repulsion balances gravitational tension
 - solution with horizon topology $S^1 \times S^{\text{D-3}}$

New solutions: odd-spheres

- ▶ black brane wrapped on $\mathcal{B}_{2k+1} = S^{2k+1}$
- embed in $\mathbb{R}^{2k+2:}$ $d\rho^2 + \rho^2 \left(\sum_{i=1}^{k+1} d\mu_i^2 + \mu_i^2 d\phi_i^2 \right)$, $\sum_{i=1}^{k+1} \mu_i^2 = 1$

sphere embedded as ho=R worldvolume coordinates: μ_i , ϕ_i

• assume R = const. and take all Ω_i equal (simple solution ansatz)

► action is: $I_{WV} \propto \int R^p (1 - \Omega^2 R^2)^{\frac{n}{2}}$ EOM solved by $R = \sqrt{\frac{p}{n+p} \frac{1}{\Omega}}$

(equivalent to $\sum_{i=1}^{p} \tau_{ii} = 0$ so total tension vanishes)

Novel family of blackfolds with horizon topology: $S^{2k+1} \times S^{n+1}$

- includes black rings for k = 0
- for $k \ge 1$: boosts depend on location on the S^{2k+1}
- uniform thickness

products of odd-spheres

▶ black brane wrapped on

 $\mathcal{B}_p = \prod_a S^{p_a}$, $p_a = \text{odd}$, $\sum_a p_a = p$

- number of spheres cannot be larger than n+2

•assume R = const. for each sphere + take all Ω_i equal for each sphere (simple solution ansatz)

► action is:
$$I_{WV} \propto \prod_{a} \int R_{a}^{p} (1 - (\Omega^{(a)})^{2} R_{a}^{2})^{\frac{n}{2}}$$

EOM solved by $R_{a} = \sqrt{\frac{p_{a}}{n+p}} \frac{1}{\Omega^{(a)}}$

many new blackfolds with non-trivial horizon topology:

$$\mathbb{T}^{p} \times S^{n+1}, \quad (\mathbb{T}^{p-3} \times S^{3}) \times S^{n+1}, \\ (S^{3} \times S^{3}) \times S^{n+1}, \quad \dots$$

Ultraspinning MP BHs as even-ball blackfolds

- ▶ blackfold eqs. do not admit even-sphere solutions for \mathcal{B}_p
- tension at fixed points of rotation group cannot be counterbalanced by centrifugal forces
 - instead solutions with $\mathcal{B}_{p} = \text{ellipsoidal even-ball}$

thickness r_0 shrinks to zero at boundary of ball so including the s^{n+1} fibers, horizon topology is S^{D-2}

• reproduce precisely all physical quantities of MP BH with p/2 ultra-spins

- highly non-trivial check on approach (rotation has fixed points at center of ball, $r_0(\sigma)$ varying)

 \blacktriangleleft simplest example: black disc: $D_2 \subset \mathbb{R}^2$



corresponds to MP BH with one angular momentum in ultraspinning limit

Blackfold Bestiary

blackfold construction shows existence of new types of asymptotically flat stationary black holes in higher dimensions

D = 4	D = 5	D = 6	D = 7	D = 8	D = 9	
S^2	S^3	S^4	S^5	S^6	S^{7}	Kerr, MP BH
		$\mathcal{B}_2\otimes s^2$	$\mathcal{B}_2\otimes s^3$	$egin{array}{c} \mathcal{B}_2\otimes s^4\ \mathcal{B}_4\otimes s^2 \end{array}$	${\mathcal B}_2\otimes s^5\ {\mathcal B}_4\otimes s^3$	ultraspinning MP BH
	$S^1 imes s^2$	$S^1 imes s^3$	$S^1 imes s^4$	$S^1 imes s^5$	$S^1 imes s^6$	black ring
		$\mathbb{T}^2 imes s^2$	$\mathbb{T}^2 imes s^3$	$\mathbb{T}^2 imes s^4$	$\mathrm{T}^2 imes s^5$	black torus
			$S^3 imes s^2$	$S^3 imes s^3$	$S^3 imes s^4$	
			$\mathbb{T}^3 imes s^2$	$\mathbb{T}^3 imes s^3$	$\mathrm{T}^3 imes \mathrm{s}^4$	
				$S^1 imes S^3 imes s^2$	$S^1 imes S^3 imes s^3$	
					$\mathrm{T}^4 imes s^3$	

for product odd-sphere and even-ball blackfolds
 with equal sizes and angular momenta (at fixed mass):

 $A(J) \sim J^{-p/n}$

tori dominate entropically

Caveats

- regularity of black brane horizon after bending ?
 - shown for black 1-folds (i.e. black strings)
 - extension to *p*-folds (to appear) (use matched asymptotic expansion)
- backreaction of blackfold on background geometry is neglected (to leading order in r_0/R)
- could make it impossible for leading-order solution to remain stationary (must be analyzed case-by-case)
- blackfolds may be (classically) unstable
- can use blackfold equations to analyze stability under long wavelength perturbations ($\lambda \gg r_0$)
- there are short wavelength ($\lambda \sim r_0$) instabilities (GL-type) outside approach

Lessons from blackfold approach

dynamics of higher-dimensional black holes naturally organized in relative value of scales

 $0 \leq J \lesssim M(GM)^{\frac{1}{D-3}}$

 $J\gtrsim M(GM)^{rac{1}{D-3}}$

- single length scale: Kerr BH behavior
- regime of mergers and connections between phase when two horizon scales meet $r_0 \sim R$
- not accesible to effective methods; requires extrapolation or numerics

$$J \gg M(GM)^{\frac{1}{D-3}}$$

blackfolds

 extreme rich physics in this regime; study dynamics rather than exact solutions for all possible BHs



- purely topological analysis cannot distinguish between these two factors

Other cases

- static minimal blackfolds (no boost)
- s $au_{ij} = -P\eta_{ij}$ $\longrightarrow K^{
 ho} = 0$ (mean curvature vector)

minimal submanifold

e,g. hyperboloid (static non-compact blackfold)



axisymmetric blackfolds



use numerics or further perturbative approach ?

New blackfolds in 5D: helical rings and strings

Emparan, Harmark, Niarchos, NO (in progress)

for black 1-folds we can take curves with tangent vector equal to a linear combination of isometries $\zeta = \sum_i c_i \xi^{(i)}|_{x=X(\sigma)}$

 \rightarrow for critical boost this satisfies Carter + blackness

- helical black string: $\zeta \sim (k\partial_x + \partial_\phi)|_{r=R}$
 - helix with pitch k

- boost along string gives momentum along x and angular momentum along ϕ

• helical black ring:
$$\zeta \sim (n\partial_\phi + m\partial_\psi)|_{r_1 = R_1, r_2 = R_2}$$

- helix of radius R₂ around circular trajectory of radius R₁ that closes on itself after m turns
- boost is linear combo of two angular momenta

has only single spatial U(1) isometry:

first evidence of such a solution in 5D ! (as admited by rigidity theorem)

Charged blackfolds

Emparan, Harmark, Niarchos, NO (in progress)

Emparan

Carter equation:
$$K^{\rho}_{\alpha\beta}\tau^{\alpha\beta} = F^{\rho}$$
, $\nabla_{\mu_1}J^{\mu_1\cdots\mu_{p+1}} = 0$
worldvolume action $I_{WV} = \int \sqrt{\gamma}\tau^{ij}\gamma_{ij} + A \cdot J$

use branes in EMD-gravity (includes supergravities relevant for string theory)

- must now also add charge conservation to blackness conditions



seems to generate highly non-trivial blackfolds

odd-sphere solutions

S¹: dipole rings in any dimension (includes known dipole ring in 5D)

- from boosting and bending a charged string higher spheres (in progress)
- even-ball solutions
 - charged rotating discs ?

could potentially be stable ! (under investigation)

Further Outlook

- charged blackfolds
 - in progress Emparan, Harmark, Niarchos, NO
- method can also be applied to blackfolds in other backgrounds (AdS, dS)
 - black rings in (A)dS Caldarelli, Empran, Rodriguez
 - SUSY blackfolds ?
 - extremal black holes and black rings
 Figueras,Kunduri,Lucetti,Rangamani
 cf. 5D supersymmetric black ring
 Elvang,Emparan,Mateos,Reall
- stability analysis
- relation with DBI
- higher-order analysis (via MAE/CIEFT) (in progress: horizons stay regular)
- blackfold motion + relation to fluid/gravity correspondence
- duality of higher D black holes to plasma balls + rings in AdS (cf. Lahiri, Minwalla) – many similar features

The end