

# Elementary Particle Physics: theory and experiments

## Theory:

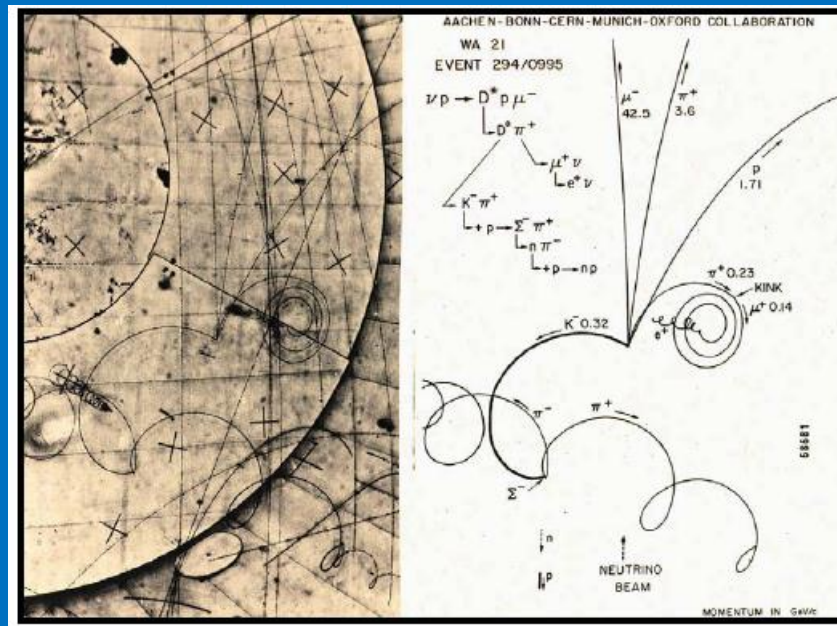
**Symmetries and Quark Model**

**Quantum Chromodynamics**

**The weak interaction and V-A**

Slides taken from M. A. Thomson lectures at  
Cambridge University in 2011

# Symmetries and Quark Model



# Symmetries and Conservation Laws

- ★ Suppose physics is invariant under the transformation

$$\psi \rightarrow \psi' = \hat{U} \psi \quad \text{e.g. rotation of the coordinate axes}$$

- To conserve probability normalisation require

$$\langle \psi | \psi \rangle = \langle \psi' | \psi' \rangle = \langle \hat{U} \psi | \hat{U} \psi \rangle = \langle \psi | \hat{U}^\dagger \hat{U} | \psi \rangle$$

$$\rightarrow \boxed{\hat{U}^\dagger \hat{U} = 1} \quad \text{i.e. } \hat{U} \text{ has to be unitary}$$

- For physical predictions to be unchanged by the symmetry transformation, also require all QM matrix elements unchanged

$$\boxed{\langle \psi | \hat{H} | \psi \rangle = \langle \psi' | \hat{H} | \psi' \rangle} = \langle \psi | \hat{U}^\dagger \hat{H} \hat{U} | \psi \rangle$$

i.e. require

$$\hat{U}^\dagger \hat{H} \hat{U} = \hat{H}$$

$\times \hat{U}$

$$\hat{U} \hat{U}^\dagger \hat{H} \hat{U} = \hat{U} \hat{H} \rightarrow \hat{H} \hat{U} = \hat{U} \hat{H}$$

therefore

$$\boxed{[\hat{H}, \hat{U}] = 0}$$

$\hat{U}$  commutes with the Hamiltonian

- ★ Now consider the infinitesimal transformation ( $\epsilon$  small)

$$\hat{U} = 1 + i\epsilon \hat{G}$$

( $\hat{G}$  is called the **generator** of the transformation)

- For  $\hat{U}$  to be unitary

$$\hat{U}\hat{U}^\dagger = (1 + i\varepsilon\hat{G})(1 - i\varepsilon\hat{G}^\dagger) = 1 + i\varepsilon(\hat{G} - \hat{G}^\dagger) + O(\varepsilon^2)$$

neglecting terms in  $\varepsilon^2$   $UU^\dagger = 1 \rightarrow \boxed{\hat{G} = \hat{G}^\dagger}$

i.e.  $\hat{G}$  is Hermitian and therefore corresponds to an observable quantity  $G$  !

- Furthermore,  $[\hat{H}, \hat{U}] = 0 \Rightarrow [\hat{H}, 1 + i\varepsilon\hat{G}] = 0 \Rightarrow [\hat{H}, \hat{G}] = 0$

But from QM  $\frac{d}{dt}\langle\hat{G}\rangle = i\langle[\hat{H}, \hat{G}]\rangle = 0$

i.e.  $G$  is a conserved quantity.

Symmetry  $\longleftrightarrow$  Conservation Law

- ★ For each symmetry of nature have an observable conserved quantity

**Example:** Infinitesimal spatial translation  $x \rightarrow x + \varepsilon$

i.e. expect physics to be invariant under  $\psi(x) \rightarrow \psi' = \psi(x + \varepsilon)$

$$\psi'(x) = \psi(x + \varepsilon) = \psi(x) + \frac{\partial\psi}{\partial x}\varepsilon = \left(1 + \varepsilon\frac{\partial}{\partial x}\right)\psi(x)$$

but  $\hat{p}_x = -i\frac{\partial}{\partial x} \rightarrow \psi'(x) = (1 + i\varepsilon\hat{p}_x)\psi(x)$

The generator of the symmetry transformation is  $\hat{p}_x \rightarrow p_x$  is conserved

- Translational invariance of physics implies momentum conservation !

- In general the symmetry operation may depend on more than one parameter

$$\hat{U} = 1 + i\vec{\epsilon} \cdot \vec{G}$$

For example for an infinitesimal 3D linear translation :  $\vec{r} \rightarrow \vec{r} + \vec{\epsilon}$

$$\rightarrow \hat{U} = 1 + i\vec{\epsilon} \cdot \vec{p} \quad \vec{p} = (\hat{p}_x, \hat{p}_y, \hat{p}_z)$$

- So far have only considered an infinitesimal transformation, however a finite transformation can be expressed as a series of infinitesimal transformations

$$\hat{U}(\vec{\alpha}) = \lim_{n \rightarrow \infty} \left( 1 + i \frac{\vec{\alpha}}{n} \cdot \vec{G} \right)^n = e^{i\vec{\alpha} \cdot \vec{G}}$$

**Example:** Finite spatial translation in 1D:  $x \rightarrow x + x_0$  with  $\hat{U}(x_0) = e^{ix_0 \hat{p}_x}$

$$\begin{aligned} \psi'(x) = \psi(x + x_0) &= \hat{U} \psi(x) = \exp\left(x_0 \frac{d}{dx}\right) \psi(x) && \left(p_x = -i \frac{\partial}{\partial x}\right) \\ &= \left(1 + x_0 \frac{d}{dx} + \frac{x_0^2}{2!} \frac{d^2}{dx^2} + \dots\right) \psi(x) \\ &= \psi(x) + x_0 \frac{d\psi}{dx} + \frac{x_0^2}{2} \frac{d^2\psi}{dx^2} + \dots \end{aligned}$$

i.e. obtain the expected Taylor expansion

# Symmetries in Particle Physics: Isospin

- The proton and neutron have very similar masses and the nuclear force is found to be approximately charge-independent, i.e.

$$V_{pp} \approx V_{np} \approx V_{nn}$$

- To reflect this symmetry, Heisenberg (1932) proposed that if you could “switch off” the electric charge of the proton

There would be no way to distinguish between a proton and neutron

- Proposed that the neutron and proton should be considered as two states of a single entity; the nucleon

$$p = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad n = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

- ★ Analogous to the spin-up/spin-down states of a spin- $\frac{1}{2}$  particle

**ISOSPIN**

- ★ Expect physics to be invariant under rotations in this space
- The neutron and proton form an isospin doublet with total isospin  $I = \frac{1}{2}$  and third component  $I_3 = \pm \frac{1}{2}$

# Flavour Symmetry of Strong Interaction

We can extend this idea to the quarks:

★ Assume the strong interaction treats all quark flavours equally (it does)

• Because  $m_u \approx m_d$ :

The strong interaction possesses an **approximate** flavour symmetry i.e. from the point of view of the strong interaction nothing changes if all up quarks are replaced by down quarks and *vice versa*.

• Choose the basis

$$u = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad d = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

• Express the invariance of the strong interaction under  $u \leftrightarrow d$  as invariance under “rotations” in an abstract isospin space

$$\begin{pmatrix} u' \\ d' \end{pmatrix} = \hat{U} \begin{pmatrix} u \\ d \end{pmatrix} = \begin{pmatrix} U_{11} & U_{12} \\ U_{21} & U_{22} \end{pmatrix} \begin{pmatrix} u \\ d \end{pmatrix}$$

The 2x2 **unitary** matrix depends on 4 complex numbers, i.e. 8 real parameters  
But there are four constraints from  $\hat{U}^\dagger \hat{U} = 1$

➔ **8 - 4 = 4 independent matrices**

• In the language of group theory the four matrices form the **U(2)** group

- One of the matrices corresponds to multiplying by a phase factor

$$\hat{U}_1 = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} e^{i\phi}$$

not a flavour transformation and of no relevance here.

- The remaining three matrices form an **SU(2)** group (special unitary) with  $\det U = 1$
- For an infinitesimal transformation, in terms of the Hermitian generators  $\hat{G}$

$$\hat{U} = 1 + i\varepsilon\hat{G}$$

- $\det U = 1 \Rightarrow \text{Tr}(\hat{G}) = 0$

- A linearly independent choice for  $\hat{G}$  are the Pauli spin matrices

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \quad \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

- The proposed flavour symmetry of the strong interaction has the same transformation properties as SPIN !
- Define **ISOSPIN**:  $\vec{T} = \frac{1}{2}\vec{\sigma} \quad \hat{U} = e^{i\vec{\alpha}\cdot\vec{T}}$
- Check this works, for an infinitesimal transformation

$$\hat{U} = 1 + \frac{1}{2}i\vec{\varepsilon}\cdot\vec{\sigma} = 1 + \frac{i}{2}(\varepsilon_1\sigma_1 + \varepsilon_2\sigma_2 + \varepsilon_3\sigma_3) = \begin{pmatrix} 1 + \frac{1}{2}i\varepsilon_3 & \frac{1}{2}i(\varepsilon_1 - i\varepsilon_2) \\ \frac{1}{2}i(\varepsilon_1 + i\varepsilon_2) & 1 - \frac{1}{2}i\varepsilon_3 \end{pmatrix}$$

Which is, as required, unitary and has unit determinant

$$U^\dagger U = I + O(\varepsilon^2) \quad \det U = 1 + O(\varepsilon^2)$$



# Properties of Isospin

- Isospin has the exactly the same properties as spin

$$[T_1, T_2] = iT_3 \quad [T_2, T_3] = iT_1 \quad [T_3, T_1] = iT_2$$

$$[T^2, T_3] = 0 \quad T^2 = T_1^2 + T_2^2 + T_3^2$$

As in the case of spin, have three non-commuting operators,  $T_1, T_2, T_3$  and even though all three correspond to observables, can't know them simultaneously. So label states in terms of **total isospin**  $I$  and the third component of isospin  $I_3$

**NOTE: isospin has nothing to do with spin - just the same mathematics**

- The eigenstates are exact analogues of the eigenstates of ordinary angular momentum  $|s, m\rangle \rightarrow |I, I_3\rangle$

with  $T^2|I, I_3\rangle = I(I+1)|I, I_3\rangle \quad T_3|I, I_3\rangle = I_3|I, I_3\rangle$

- In terms of isospin:

$$u = \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \left| \frac{1}{2}, +\frac{1}{2} \right\rangle \quad d = \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \left| \frac{1}{2}, -\frac{1}{2} \right\rangle$$

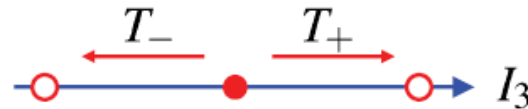
$$I = \frac{1}{2}, \quad I_3 = \pm \frac{1}{2}$$

- In general  $I_3 = \frac{1}{2}(N_u - N_d)$

- Can define isospin ladder operators – analogous to spin ladder operators

$$T_- \equiv T_1 - iT_2$$

u → d



$$T_+ \equiv T_1 + iT_2$$

d → u

$$T_+ |I, I_3\rangle = \sqrt{I(I+1) - I_3(I_3+1)} |I, I_3+1\rangle$$

$$T_- |I, I_3\rangle = \sqrt{I(I+1) - I_3(I_3-1)} |I, I_3-1\rangle$$

Step up/down in  $I_3$  until reach end of multiplet  $T_+ |I, +I\rangle = 0$   $T_- |I, -I\rangle = 0$

$$T_+ u = 0 \quad T_+ d = u \quad T_- u = d \quad T_- d = 0$$

- Ladder operators turn  $u \rightarrow d$  and  $d \rightarrow u$
- ★ Combination of isospin: e.g. what is the isospin of a system of two d quarks, is exactly analogous to combination of spin (i.e. angular momentum)

$$|I^{(1)}, I_3^{(1)}\rangle |I^{(2)}, I_3^{(2)}\rangle \rightarrow |I, I_3\rangle$$

- $I_3$  additive:  $I_3 = I_3^{(1)} + I_3^{(2)}$

- $I$  in integer steps from  $|I^{(1)} - I^{(2)}|$  to  $|I^{(1)} + I^{(2)}|$

- ★ Assumed symmetry of Strong Interaction under isospin transformations implies the existence of conserved quantities

- In strong interactions  $I_3$  and  $I$  are conserved, analogous to conservation of  $J_z$  and  $J$  for angular momentum

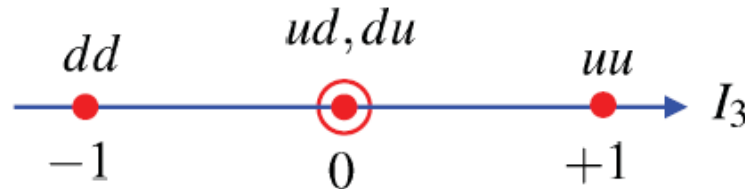
# Combining Quarks


## Goal: derive proton wave-function

- First combine two quarks, then combine the third
- Use requirement that fermion wave-functions are anti-symmetric

Isospin starts to become useful in defining states of more than one quark.

e.g. two quarks, here we have four possible combinations:



Note:  represents two states with the same value of  $I_3$

- We can immediately identify the extremes ( $I_3$  additive)

$$uu \equiv \left| \frac{1}{2}, \frac{1}{2} \right\rangle \left| \frac{1}{2}, \frac{1}{2} \right\rangle = |1, +1\rangle$$

$$dd \equiv \left| \frac{1}{2}, -\frac{1}{2} \right\rangle \left| \frac{1}{2}, -\frac{1}{2} \right\rangle = |1, -1\rangle$$

To obtain the  $|1, 0\rangle$  state use ladder operators

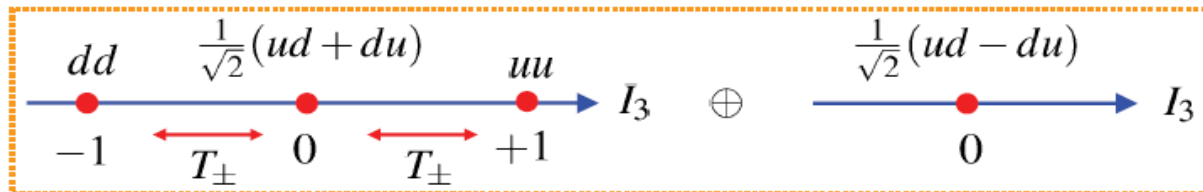
$$T_- |1, +1\rangle = \sqrt{2} |1, 0\rangle = T_-(uu) = ud + du$$

$$\rightarrow |1, 0\rangle = \frac{1}{\sqrt{2}} (ud + du)$$

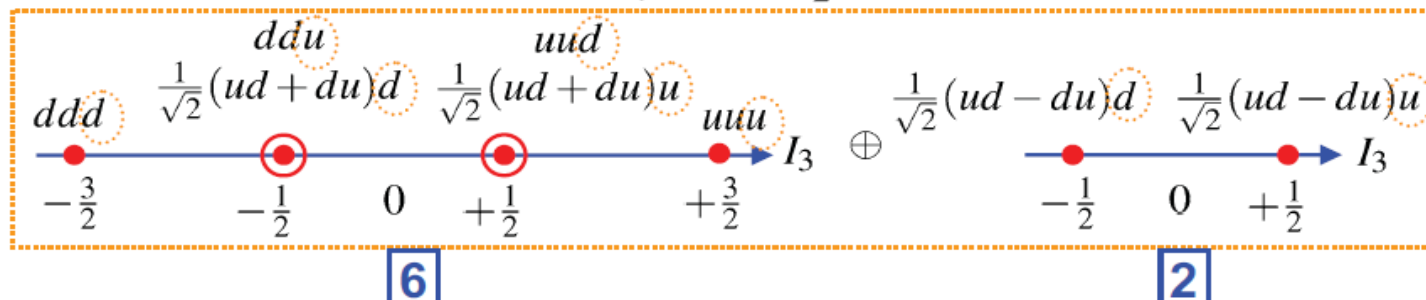
The final state,  $|0, 0\rangle$ , can be found from orthogonality with  $|1, 0\rangle$

$$\rightarrow |0, 0\rangle = \frac{1}{\sqrt{2}} (ud - du)$$

- From four possible combinations of isospin doublets obtain a **triplet** of isospin 1 states and a **singlet** isospin 0 state  $2 \otimes 2 = 3 \oplus 1$

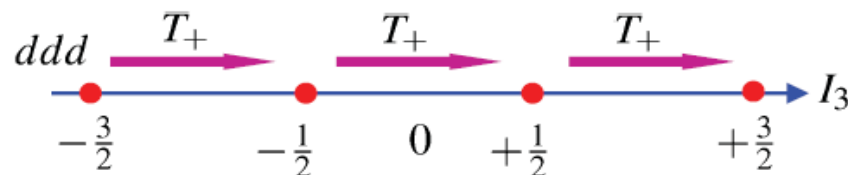


- Can move around **within** multiplets using ladder operators
- note, as anticipated  $I_3 = \frac{1}{2}(N_u - N_d)$
- States with different total isospin are physically different – the isospin 1 triplet is **symmetric** under interchange of quarks 1 and 2 whereas singlet is **anti-symmetric**
- ★ Now add an additional up or down quark. From **each of the above 4 states** get two new isospin states with  $I'_3 = I_3 \pm \frac{1}{2}$



- Use ladder operators and orthogonality to group the 6 states into isospin multiplets, e.g. to obtain the  $I = \frac{3}{2}$  states, step up from  $ddd$

★ Derive the  $I = \frac{3}{2}$  states from  $ddd \equiv |\frac{3}{2}, -\frac{3}{2}\rangle$



$$T_+|\frac{3}{2}, -\frac{3}{2}\rangle = T_+(ddd) = (T_+d)dd + d(T_+d)d + dd(T_+)d$$

$$\sqrt{3}|\frac{3}{2}, -\frac{1}{2}\rangle = udd + dud + ddu$$

$$|\frac{3}{2}, -\frac{1}{2}\rangle = \frac{1}{\sqrt{3}}(udd + dud + ddu)$$

$$T_+|\frac{3}{2}, -\frac{1}{2}\rangle = \frac{1}{\sqrt{3}}T_+(udd + dud + ddu)$$

$$2|\frac{3}{2}, +\frac{1}{2}\rangle = \frac{1}{\sqrt{3}}(uud + udu + uud + duu + udu + duu)$$

$$|\frac{3}{2}, +\frac{1}{2}\rangle = \frac{1}{\sqrt{3}}(uud + udu + duu)$$

$$T_+|\frac{3}{2}, +\frac{1}{2}\rangle = \frac{1}{\sqrt{3}}T_+(uud + udu + duu)$$

$$\sqrt{3}|\frac{3}{2}, +\frac{3}{2}\rangle = \frac{1}{\sqrt{3}}(uuu + uuu + uuu)$$

$$|\frac{3}{2}, +\frac{3}{2}\rangle = uuu$$

★ From the **6** states on previous page, use orthogonality to find  $|\frac{1}{2}, \pm\frac{1}{2}\rangle$  states

★ The **2** states on the previous page give another  $|\frac{1}{2}, \pm\frac{1}{2}\rangle$  doublet

- ★ The eight states  $uuu, uud, udu, udd, duu, dud, ddu, ddd$  are grouped into an **isospin quadruplet** and two **isospin doublets**

$$2 \otimes 2 \otimes 2 = 2 \otimes (3 \oplus 1) = (2 \otimes 3) \oplus (2 \otimes 1) = 4 \oplus 2 \oplus 2$$

- Different multiplets have different symmetry properties

$$|\frac{3}{2}, +\frac{3}{2}\rangle = uuu$$

$$|\frac{3}{2}, +\frac{1}{2}\rangle = \frac{1}{\sqrt{3}}(uud + udu + duu)$$

$$|\frac{3}{2}, -\frac{1}{2}\rangle = \frac{1}{\sqrt{3}}(ddu + dud + udd)$$

$$|\frac{3}{2}, -\frac{3}{2}\rangle = ddd$$

**S**

A quadruplet of states which are symmetric under the interchange of any two quarks

$$|\frac{1}{2}, -\frac{1}{2}\rangle = -\frac{1}{\sqrt{6}}(2ddu - udd - dud)$$

$$|\frac{1}{2}, +\frac{1}{2}\rangle = \frac{1}{\sqrt{6}}(2uud - udu - duu)$$

**M<sub>S</sub>**

Mixed symmetry.  
Symmetric for 1 ↔ 2

$$|\frac{1}{2}, -\frac{1}{2}\rangle = \frac{1}{\sqrt{2}}(udd - dud)$$

$$|\frac{1}{2}, +\frac{1}{2}\rangle = \frac{1}{\sqrt{2}}(udu - duu)$$

**M<sub>A</sub>**

Mixed symmetry.  
Anti-symmetric for 1 ↔ 2

- Mixed symmetry states have no definite symmetry under interchange of quarks 1 ↔ 3 etc.

# Combining Spin

- Can apply exactly the same mathematics to determine the possible spin wave-functions for a combination of 3 spin-half particles

$$|\frac{3}{2}, +\frac{3}{2}\rangle = \uparrow\uparrow\uparrow$$

$$|\frac{3}{2}, +\frac{1}{2}\rangle = \frac{1}{\sqrt{3}}(\uparrow\uparrow\downarrow + \uparrow\downarrow\uparrow + \downarrow\uparrow\uparrow)$$

$$|\frac{3}{2}, -\frac{1}{2}\rangle = \frac{1}{\sqrt{3}}(\downarrow\downarrow\uparrow + \downarrow\uparrow\downarrow + \uparrow\downarrow\downarrow)$$

$$|\frac{3}{2}, -\frac{3}{2}\rangle = \downarrow\downarrow\downarrow$$

**S**

A quadruplet of states which are symmetric under the interchange of any two quarks

$$|\frac{1}{2}, -\frac{1}{2}\rangle = -\frac{1}{\sqrt{6}}(2\downarrow\downarrow\uparrow - \uparrow\downarrow\downarrow - \downarrow\uparrow\downarrow)$$

$$|\frac{1}{2}, +\frac{1}{2}\rangle = \frac{1}{\sqrt{6}}(2\uparrow\uparrow\downarrow - \uparrow\downarrow\uparrow - \downarrow\uparrow\uparrow)$$

**M<sub>S</sub>**

Mixed symmetry.  
Symmetric for 1 ↔ 2

$$|\frac{1}{2}, -\frac{1}{2}\rangle = \frac{1}{\sqrt{2}}(\uparrow\downarrow\downarrow - \downarrow\uparrow\downarrow)$$

$$|\frac{1}{2}, +\frac{1}{2}\rangle = \frac{1}{\sqrt{2}}(\uparrow\downarrow\uparrow - \downarrow\uparrow\uparrow)$$

**M<sub>A</sub>**

Mixed symmetry.  
Anti-symmetric for 1 ↔ 2

- Can now form total wave-functions for combination of three quarks

# Baryon wave functions (ud)

★ Quarks are fermions so require that the total wave-function is anti-symmetric under the interchange of any two quarks

★ the total wave-function can be expressed in terms of:

$$\Psi = \phi_{\text{flavour}} \chi_{\text{spin}} \xi_{\text{colour}} \eta_{\text{space}}$$

★ The colour wave-function for all bound qq̄ states is anti-symmetric (see handout 8)

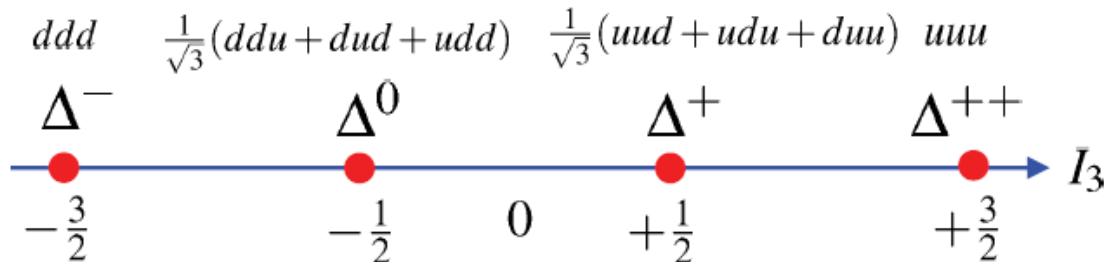
• Here we will only consider the lowest mass, ground state, baryons where there is no internal orbital angular momentum.

• For L=0 the spatial wave-function is symmetric (-1)<sup>L</sup>.



★ Two ways to form a totally symmetric wave-function from spin and isospin states:

❶ combine totally symmetric spin and isospin wave-functions  $\phi(S)\chi(S)$



Spin 3/2  
Isospin 3/2

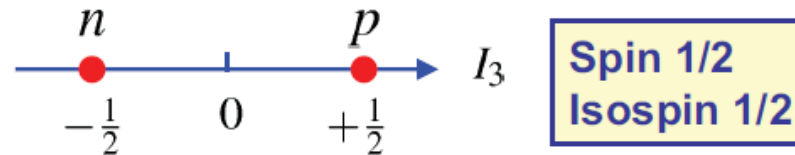


② combine mixed symmetry spin and mixed symmetry isospin states

- Both  $\phi(M_S)\chi(M_S)$  and  $\phi(M_A)\chi(M_A)$  are sym. under inter-change of quarks  $1 \leftrightarrow 2$
- Not sufficient, these combinations have no definite symmetry under  $1 \leftrightarrow 3, \dots$
- However, it is not difficult to show that the (normalised) linear combination:

$$\frac{1}{\sqrt{2}}\phi(M_S)\chi(M_S) + \frac{1}{\sqrt{2}}\phi(M_A)\chi(M_A)$$

is totally symmetric (i.e. symmetric under  $1 \leftrightarrow 2; 1 \leftrightarrow 3; 2 \leftrightarrow 3$ )



- The spin-up proton wave-function is therefore:

$$|p \uparrow\rangle = \frac{1}{6\sqrt{2}}(2uud - udu - duu)(2\uparrow\uparrow\downarrow - \uparrow\downarrow\uparrow - \downarrow\uparrow\uparrow) + \frac{1}{2\sqrt{2}}(udu - duu)(\uparrow\downarrow\uparrow - \downarrow\uparrow\uparrow)$$

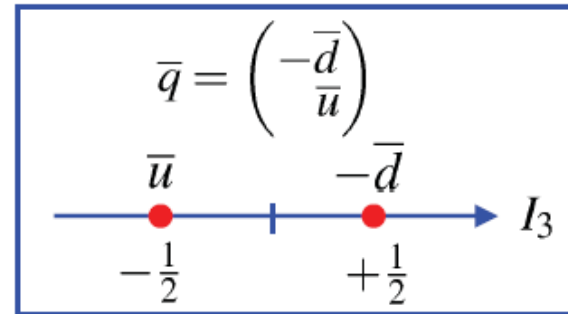
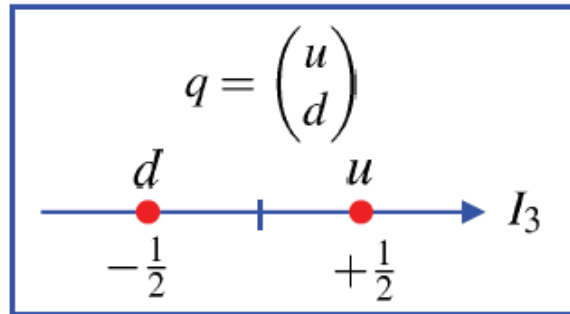


$$|p \uparrow\rangle = \frac{1}{\sqrt{18}}( 2u \uparrow u \uparrow d \downarrow - u \uparrow u \downarrow d \uparrow - u \downarrow u \uparrow d \uparrow + \\ 2u \uparrow d \downarrow u \uparrow - u \uparrow d \uparrow u \downarrow - u \downarrow d \uparrow u \uparrow + \\ 2d \downarrow u \uparrow u \uparrow - d \uparrow u \downarrow u \uparrow - d \uparrow u \uparrow u \uparrow )$$

**NOTE:** not always necessary to use the fully symmetrised proton wave-function, e.g. the first 3 terms are sufficient for calculating the proton magnetic moment

# Anti-quarks and Mesons (u and d)

★ The u, d quarks and  $\bar{u}$ ,  $\bar{d}$  anti-quarks are represented as isospin doublets



$$\bar{u} = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

$$\bar{d} = -\begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

- **Subtle point:** The ordering and the minus sign in the anti-quark doublet ensures that anti-quarks and quarks transform in the same way (see Appendix I). This is necessary if we want physical predictions to be invariant under  $u \leftrightarrow d$ ;  $\bar{u} \leftrightarrow \bar{d}$
- Consider the effect of ladder operators on the anti-quark isospin states

e.g. 
$$T_+ \bar{u} = T_+ \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \end{pmatrix} = -\bar{d}$$

- The effect of the ladder operators on anti-particle isospin states are:

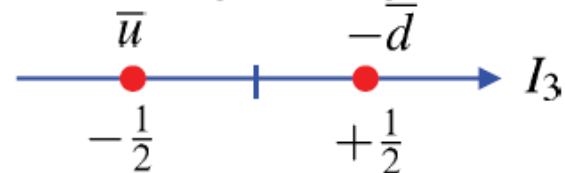
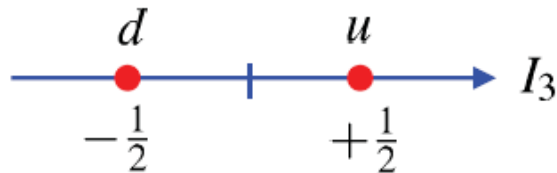
$$T_+ \bar{u} = -\bar{d} \quad T_+ \bar{d} = 0 \quad T_- \bar{u} = 0 \quad T_- \bar{d} = -\bar{u}$$

Compare with

$$T_+ u = 0 \quad T_+ d = u \quad T_- u = d \quad T_- d = 0$$

# Light ud Mesons

- ★ Can now construct meson states from combinations of up/down quarks



- Consider the  $q\bar{q}$  combinations in terms of isospin

$$|1, +1\rangle = |\frac{1}{2}, +\frac{1}{2}\rangle |\frac{1}{2}, +\frac{1}{2}\rangle = -u\bar{d}$$

$$|1, -1\rangle = |\frac{1}{2}, -\frac{1}{2}\rangle |\frac{1}{2}, -\frac{1}{2}\rangle = d\bar{u}$$

The bar indicates this is the isospin representation of an anti-quark

To obtain the  $I_3 = 0$  states use ladder operators and orthogonality

$$T_- |1, +1\rangle = T_- [-u\bar{d}]$$

$$\sqrt{2}|1, 0\rangle = -T_- [u]\bar{d} - uT_- [\bar{d}]$$

$$= -d\bar{d} + u\bar{u}$$

$$\Rightarrow |1, 0\rangle = \frac{1}{\sqrt{2}} (u\bar{u} - d\bar{d})$$

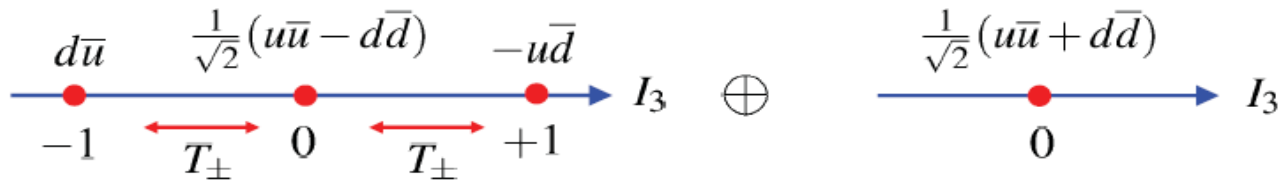
- Orthogonality gives:  $|0, 0\rangle = \frac{1}{\sqrt{2}} (u\bar{u} + d\bar{d})$

# Light ud Mesons

★ To summarise:



➔ **Triplet of  $I = 1$  states and a singlet  $I = 0$  state**



• You will see this written as  $2 \otimes \bar{2} = 3 \oplus 1$

Quark doublet

Anti-quark doublet

• To show the state obtained from orthogonality with  $|1, 0\rangle$  is a singlet use ladder operators

$$T_+ |0, 0\rangle = T_+ \frac{1}{\sqrt{2}} (u\bar{u} + d\bar{d}) = \frac{1}{\sqrt{2}} (-u\bar{d} + u\bar{d}) = 0$$

similarly  $T_- |0, 0\rangle = 0$

★ A singlet state is a "dead-end" from the point of view of ladder operators

# SU(3) flavour

- ★ Extend these ideas to include the strange quark. Since  $m_s > m_u, m_d$  don't have an exact symmetry. But  $m_s$  not so very different from  $m_u, m_d$  and can treat the strong interaction (and resulting hadron states) as if it were symmetric under  $u \leftrightarrow d \leftrightarrow s$

- **NOTE:** any results obtained from this assumption are only **approximate** as the symmetry is not exact.

- The assumed uds flavour symmetry can be expressed as

$$\begin{pmatrix} u' \\ d' \\ s' \end{pmatrix} = \hat{U} \begin{pmatrix} u \\ d \\ s \end{pmatrix} = \begin{pmatrix} U_{11} & U_{12} & U_{13} \\ U_{21} & U_{22} & U_{23} \\ U_{31} & U_{32} & U_{33} \end{pmatrix} \begin{pmatrix} u \\ d \\ s \end{pmatrix}$$

- The 3x3 **unitary** matrix depends on **9** complex numbers, i.e. **18** real parameters  
There are **9** constraints from  $\hat{U}^\dagger \hat{U} = 1$

➡ Can form **18 - 9 = 9** linearly independent matrices

**These 9 matrices form a U(3) group.**

- As before, one matrix is simply the identity multiplied by a complex phase and is of no interest in the context of flavour symmetry
- The remaining **8** matrices have  $\det U = 1$  and form an **SU(3)** group
- The **eight** matrices (the Hermitian generators) are:  $\vec{T} = \frac{1}{2} \vec{\lambda}$        $\hat{U} = e^{i\vec{\alpha} \cdot \vec{T}}$

★ In SU(3) flavour, the three quark states are represented by:

$$u = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \quad d = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \quad s = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$

★ In SU(3) **uds** flavour symmetry contains SU(2) **ud** flavour symmetry which allows us to write the first three matrices:

$$\lambda_1 = \begin{pmatrix} \sigma_1 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad \lambda_2 = \begin{pmatrix} \sigma_2 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad \lambda_3 = \begin{pmatrix} \sigma_3 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

i.e. u ↔ d  $\lambda_1 = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad \lambda_2 = \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad \lambda_3 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix}$

▪ The third component of isospin is now written  $I_3 = \frac{1}{2}\lambda_3$

with  $I_3 u = +\frac{1}{2}u \quad I_3 d = -\frac{1}{2}d \quad I_3 s = 0$

▪  $I_3$  "counts the number of up quarks - number of down quarks in a state"

▪ As before, ladder operators  $T_{\pm} = \frac{1}{2}(\lambda_1 \pm i\lambda_2)$   $d \bullet \longleftarrow T_{\pm} \longrightarrow \bullet u$

- Now consider the matrices corresponding to the  $u \leftrightarrow s$  and  $d \leftrightarrow s$

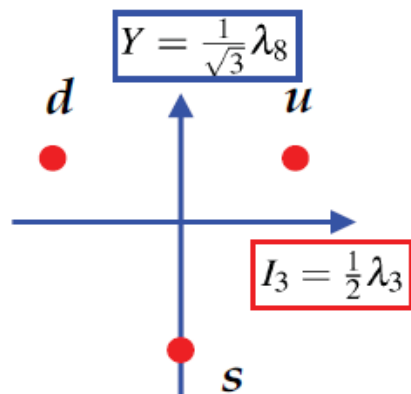
$u \leftrightarrow s$	$\lambda_4 = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix}$	$\lambda_5 = \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & -1 \end{pmatrix}$
$d \leftrightarrow s$	$\lambda_6 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$	$\lambda_7 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix}$	$\begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{pmatrix}$

- Hence in addition to  $\lambda_3 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix}$  have two other traceless diagonal matrices
- However the three diagonal matrices are not be independent.
- Define the eighth matrix,  $\lambda_8$ , as the linear combination:

$$\lambda_8 = \frac{1}{\sqrt{3}} \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{pmatrix} + \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & -1 \end{pmatrix} = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -2 \end{pmatrix}$$

which specifies the "vertical position" in the 2D plane

"Only need two axes (quantum numbers) to specify a state in the 2D plane":  $(I_3, Y)$



★ The other six matrices form six ladder operators which step between the states

$$T_{\pm} = \frac{1}{2}(\lambda_1 \pm i\lambda_2)$$

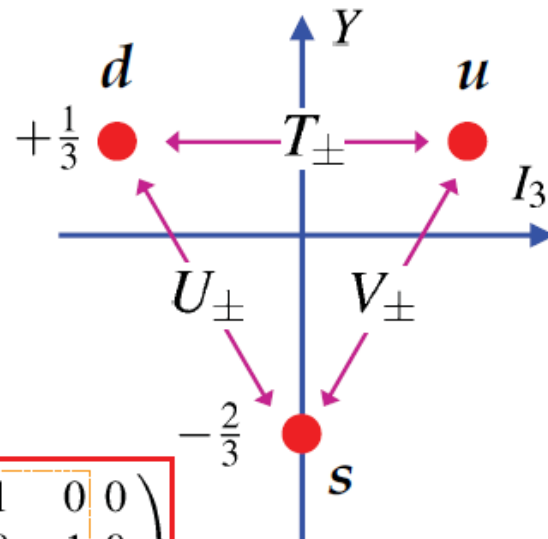
$$V_{\pm} = \frac{1}{2}(\lambda_4 \pm i\lambda_5)$$

$$U_{\pm} = \frac{1}{2}(\lambda_6 \pm i\lambda_7)$$

with

$$I_3 = \frac{1}{2}\lambda_3 \quad Y = \frac{1}{\sqrt{3}}\lambda_8$$

and the eight Gell-Mann matrices



$$\boxed{u \leftrightarrow d} \quad \lambda_1 = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad \lambda_2 = \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad \lambda_3 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

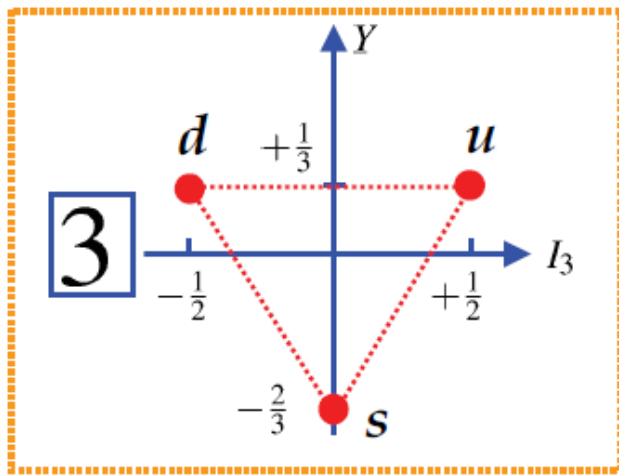
$$\boxed{u \leftrightarrow s} \quad \lambda_4 = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix} \quad \lambda_5 = \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix}$$

$$\boxed{d \leftrightarrow s} \quad \lambda_6 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} \quad \lambda_7 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix}$$

$$\lambda_8 = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -2 \end{pmatrix}$$



# Quarks and anti-quarks in SU(3) flavour

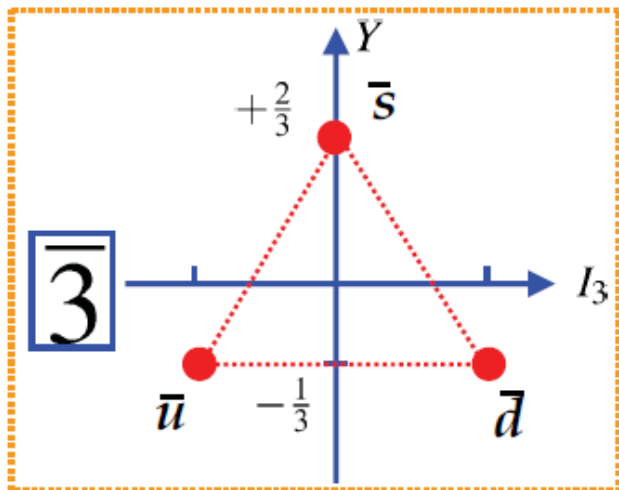


## Quarks

$$I_3 u = +\frac{1}{2}u; \quad I_3 d = -\frac{1}{2}d; \quad I_3 s = 0$$

$$Y u = +\frac{1}{3}u; \quad Y d = +\frac{1}{3}d; \quad Y s = -\frac{2}{3}s$$

- The anti-quarks have opposite SU(3) flavour quantum numbers



## Anti-Quarks

$$I_3 \bar{u} = -\frac{1}{2}\bar{u}; \quad I_3 \bar{d} = +\frac{1}{2}\bar{d}; \quad I_3 \bar{s} = 0$$

$$Y \bar{u} = -\frac{1}{3}\bar{u}; \quad Y \bar{d} = -\frac{1}{3}\bar{d}; \quad Y \bar{s} = +\frac{2}{3}\bar{s}$$

# SU(3) ladder operators

- **SU(3)** *uds* flavour symmetry contains *ud*, *us* and *ds* **SU(2)** symmetries
- Consider the  $u \leftrightarrow s$  symmetry “V-spin” which has the associated  $s \rightarrow u$  ladder operator

$$V_+ = \frac{1}{2}(\lambda_4 + i\lambda_5) = \frac{1}{2} \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix} + \frac{i}{2} \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

with

$$V_{+s} = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} = +u$$

- ★ The effects of the six ladder operators are:

$T_+d = u;$	$T_-u = d;$	$T_+\bar{u} = -\bar{d};$	$T_-\bar{d} = -\bar{u}$
$V_+s = u;$	$V_-u = s;$	$V_+\bar{u} = -\bar{s};$	$V_-\bar{s} = -\bar{u}$
$U_+s = d;$	$U_-d = s;$	$U_+\bar{d} = -\bar{s};$	$U_-\bar{s} = -\bar{d}$

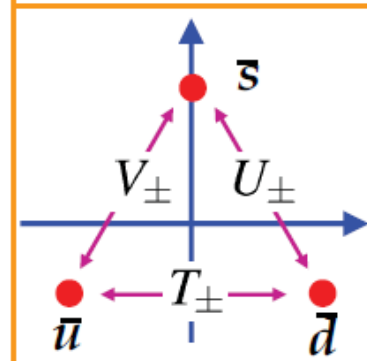
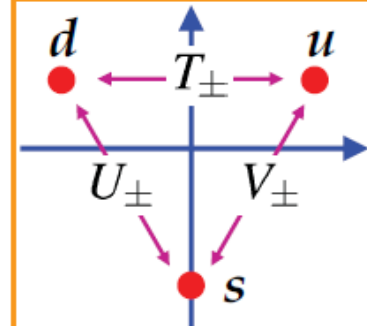
all other combinations give zero

## SU(3) LADDER OPERATORS

$$T_{\pm} = \frac{1}{2}(\lambda_1 \pm i\lambda_2)$$

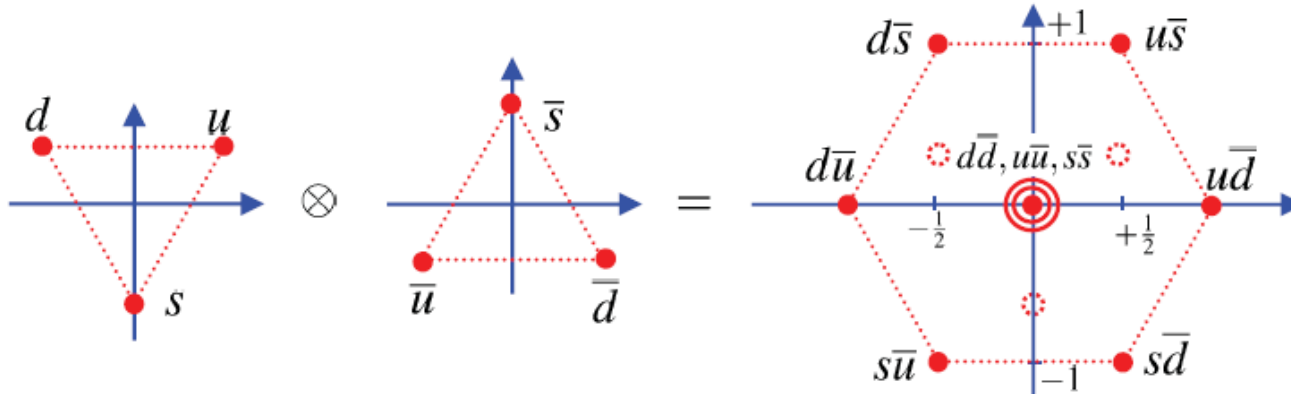
$$V_{\pm} = \frac{1}{2}(\lambda_4 \pm i\lambda_5)$$

$$U_{\pm} = \frac{1}{2}(\lambda_6 \pm i\lambda_7)$$

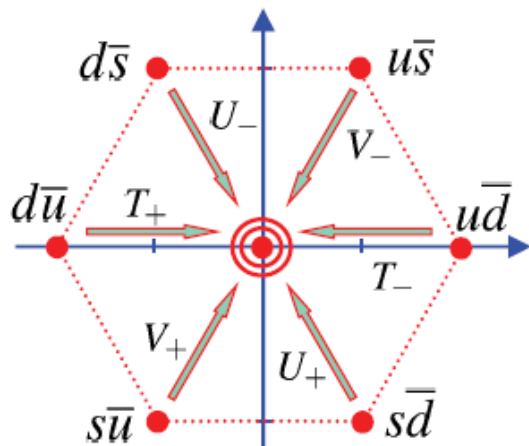


# Light (uds) mesons

- Use ladder operators to construct **uds** mesons from the nine possible  $q\bar{q}$  states



- The three central states, all of which have  $Y = 0$ ;  $I_3 = 0$  can be obtained using the ladder operators and orthogonality. Starting from the outer states can reach the centre in six ways



$$\begin{aligned}
 T_+ |d\bar{u}\rangle &= |u\bar{u}\rangle - |d\bar{d}\rangle & T_- |u\bar{d}\rangle &= |d\bar{d}\rangle - |u\bar{u}\rangle \\
 V_+ |s\bar{u}\rangle &= |u\bar{u}\rangle - |s\bar{s}\rangle & V_- |u\bar{s}\rangle &= |s\bar{s}\rangle - |u\bar{u}\rangle \\
 U_+ |s\bar{d}\rangle &= |d\bar{d}\rangle - |s\bar{s}\rangle & U_- |d\bar{s}\rangle &= |s\bar{s}\rangle - |d\bar{d}\rangle
 \end{aligned}$$

- Only **two** of these six states are linearly independent.
- But there are **three** states with  $Y = 0$ ;  $I_3 = 0$
- Therefore one state is not part of the same multiplet, i.e. cannot be reached with ladder ops.

- First form two linearly independent orthogonal states from:

$$\boxed{|u\bar{u}\rangle - |d\bar{d}\rangle} \quad |u\bar{u}\rangle - |s\bar{s}\rangle \quad |d\bar{d}\rangle - |s\bar{s}\rangle$$

- ★ If the SU(3) flavour symmetry were exact, the choice of states wouldn't matter. However,  $m_s > m_{u,d}$  and the symmetry is only approximate.
- **Experimentally** observe three light mesons with  $m \sim 140$  MeV:  $\pi^+$ ,  $\pi^0$ ,  $\pi^-$
- **Identify one state** (the  $\pi^0$ ) with the isospin triplet (derived previously)

$$\boxed{\psi_1 = \frac{1}{\sqrt{2}}(u\bar{u} - d\bar{d})}$$

- The second state can be obtained by taking the linear combination of the other two states which is orthogonal to the  $\pi^0$

$$\psi_2 = \alpha(|u\bar{u}\rangle - |s\bar{s}\rangle) + \beta(|d\bar{d}\rangle - |s\bar{s}\rangle)$$

with orthonormality:  $\langle \psi_1 | \psi_2 \rangle = 0$ ;  $\langle \psi_2 | \psi_2 \rangle = 1$

→  $\boxed{\psi_2 = \frac{1}{\sqrt{6}}(u\bar{u} + d\bar{d} - 2s\bar{s})}$

- The final state (which is not part of the same multiplet) can be obtained by requiring it to be orthogonal to  $\psi_1$  and  $\psi_2$

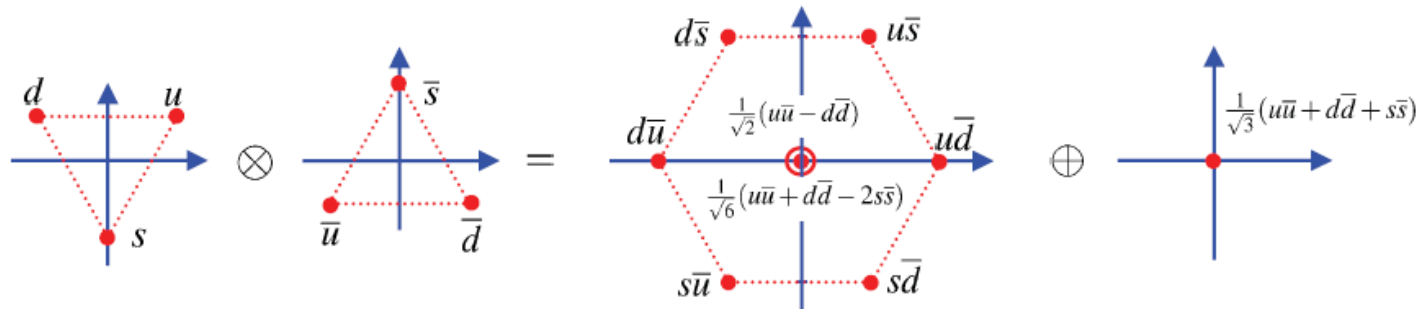
→  $\boxed{\psi_3 = \frac{1}{\sqrt{3}}(u\bar{u} + d\bar{d} + s\bar{s})}$  **SINGLET**

- ★ It is easy to check that  $\psi_3$  is a singlet state using ladder operators

$$T_+ \psi_3 = T_- \psi_3 = U_+ \psi_3 = U_- \psi_3 = V_+ \psi_3 = V_- \psi_3 = 0$$

which confirms that  $\psi_3 = \frac{1}{\sqrt{3}}(u\bar{u} + d\bar{d} + s\bar{s})$  is a “flavourless” singlet

- Therefore the combination of a quark and anti-quark yields nine states which breakdown into an **OCTET** and a **SINGLET**



- In the language of group theory:  $3 \otimes \bar{3} = 8 \oplus 1$

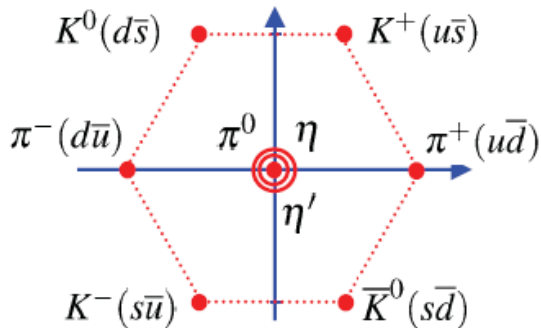
- ★ Compare with combination of two spin-half particles  $2 \otimes 2 = 3 \oplus 1$

**TRIPLET** of spin-1 states:  $|1, -1\rangle, |1, 0\rangle, |1, +1\rangle$

spin-0 **SINGLET**:  $|0, 0\rangle$

- These spin **triplet** states are connected by ladder operators just as the meson **uds octet** states are connected by **SU(3)** flavour ladder operators
- The singlet state carries no angular momentum – in this sense the **SU(3) flavour singlet** is “flavourless”

## PSEUDOSCALAR MESONS ( $L=0, S=0, J=0, P= -1$ )



- Because SU(3) flavour is only approximate the physical states with  $I_3 = 0, Y = 0$  can be mixtures of the octet and singlet states.

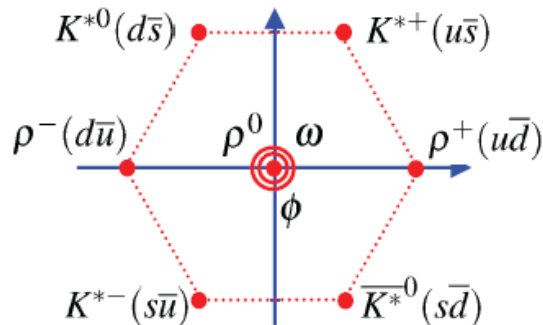
Empirically find:

$$\pi^0 = \frac{1}{\sqrt{2}}(u\bar{u} - d\bar{d})$$

$$\eta \approx \frac{1}{\sqrt{6}}(u\bar{u} + d\bar{d} - 2s\bar{s})$$

$$\eta' \approx \frac{1}{\sqrt{3}}(u\bar{u} + d\bar{d} + s\bar{s}) \leftarrow \text{singlet}$$

## VECTOR MESONS ( $L=0, S=1, J=1, P= -1$ )



- For the vector mesons the physical states are found to be approximately "ideally mixed":

$$\rho^0 = \frac{1}{\sqrt{2}}(u\bar{u} - d\bar{d})$$

$$\omega \approx \frac{1}{\sqrt{2}}(u\bar{u} + d\bar{d})$$

$$\phi \approx s\bar{s}$$

## MASSES

$\pi^\pm : 140 \text{ MeV}$	$\pi^0 : 135 \text{ MeV}$
$K^\pm : 494 \text{ MeV}$	$K^0/\bar{K}^0 : 498 \text{ MeV}$
$\eta : 549 \text{ MeV}$	$\eta' : 958 \text{ MeV}$

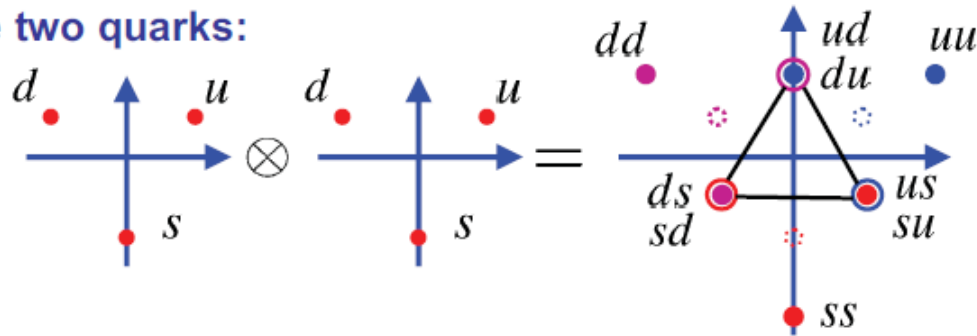
$\rho^\pm : 770 \text{ MeV}$	$\rho^0 : 770 \text{ MeV}$
$K^{*\pm} : 892 \text{ MeV}$	$K^{*0}/\bar{K}^{*0} : 896 \text{ MeV}$
$\omega : 782 \text{ MeV}$	$\phi : 1020 \text{ MeV}$

# Combining uds Quarks to form Baryons

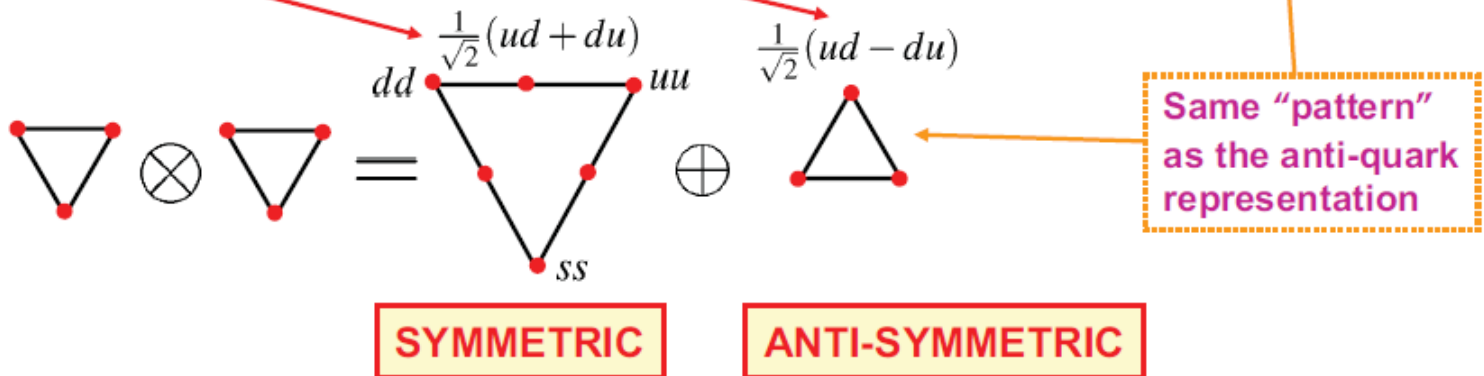
★ Have already seen that constructing Baryon states is a fairly tedious process when we derived the proton wave-function. Concentrate on multiplet structure rather than deriving all the wave-functions.

★ Everything we do here is relevant to the treatment of colour

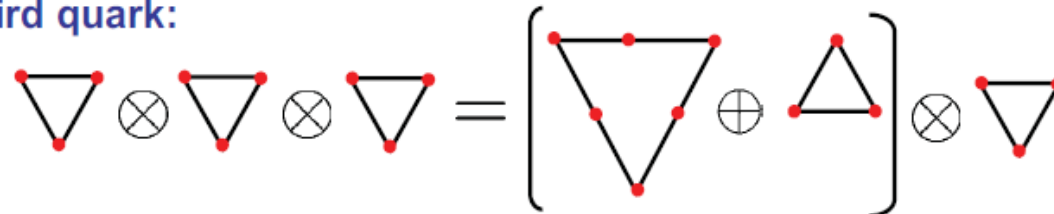
• First combine two quarks:



★ Yields a symmetric sextet and anti-symmetric triplet:  $3 \otimes 3 = 6 \oplus \bar{3}$

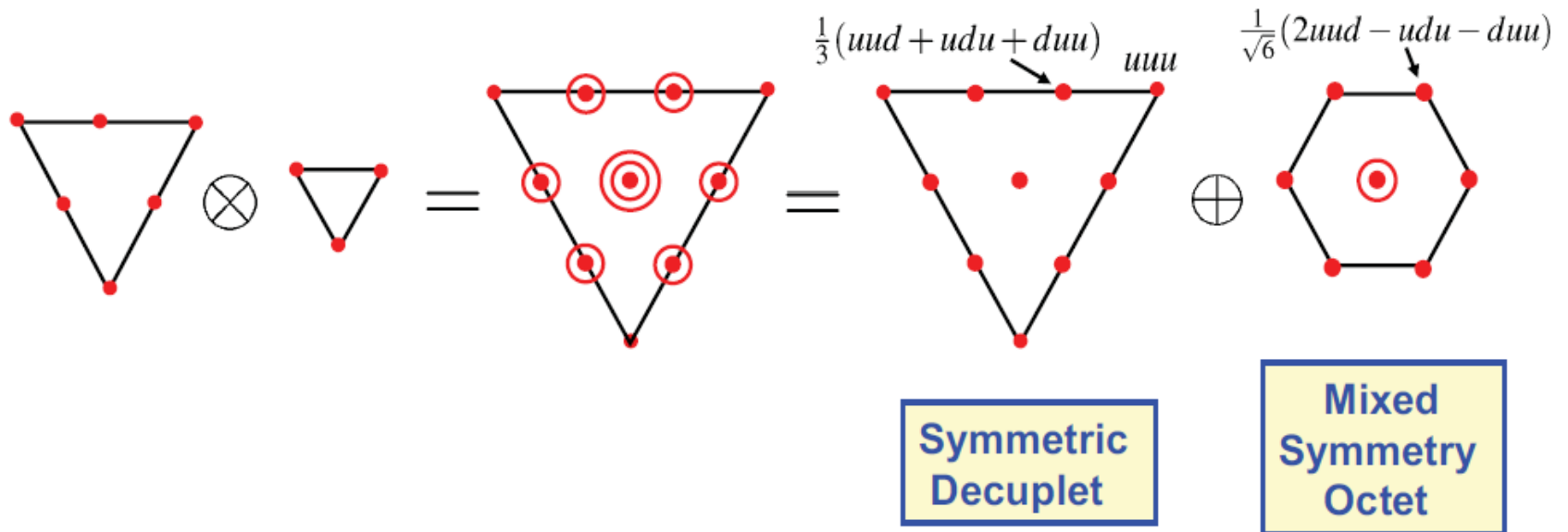


- Now add the third quark:



- Best considered in two parts, building on the **sextet** and **triplet**. Again concentrate on the multiplet structure (for the wave-functions refer to the discussion of proton wave-function).

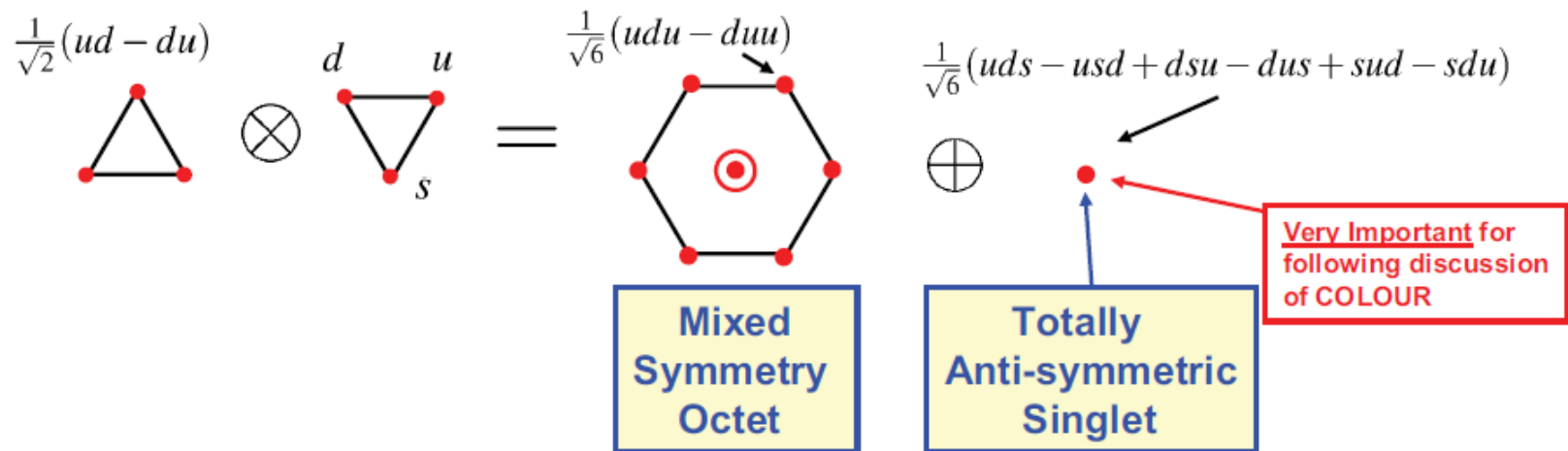
- 1 Building on the sextet:  $3 \otimes 6 = 10 \oplus 8$





## ② Building on the triplet:

- Just as in the case of  $uds$  mesons we are combining  $\bar{3} \times 3$  and again obtain an octet and a singlet



- Can verify the wave-function  $\psi_{\text{singlet}} = \frac{1}{\sqrt{6}}(uds - usd + dsu - dus + sud - sdu)$  is a singlet by using ladder operators, e.g.

$$T_+ \psi_{\text{singlet}} = \frac{1}{\sqrt{6}}(uus - usu + usd - uus + suu - suu) = 0$$

- ★ In summary, the combination of three  $uds$  quarks decomposes into

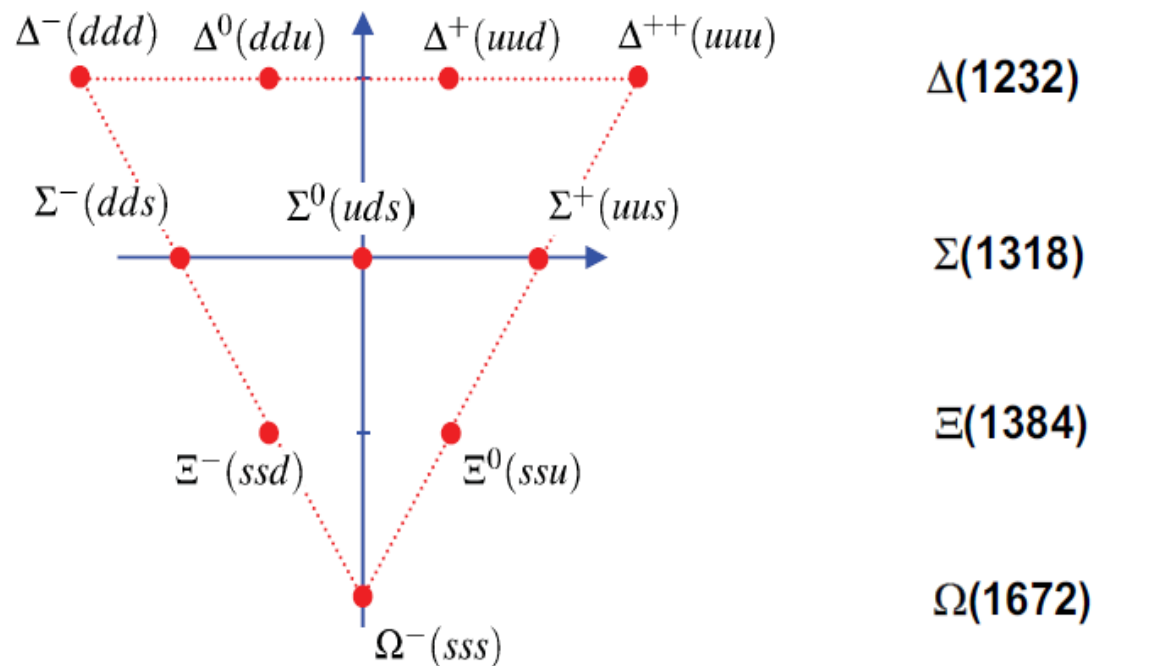
$$3 \otimes 3 \otimes 3 = 3 \otimes (6 \oplus \bar{3}) = 10 \oplus 8 \oplus 8 \oplus 1$$

# Baryon decuplet

★ The baryon states ( $L=0$ ) are:

- the **spin 3/2 decuplet** of symmetric flavour and symmetric spin wave-functions  $\phi(S)\chi(S)$

**BARYON DECUPLET** ( $L=0$ ,  $S=3/2$ ,  $J=3/2$ ,  $P= +1$  )



★ If SU(3) flavour were an exact symmetry all masses would be the same (broken symmetry)

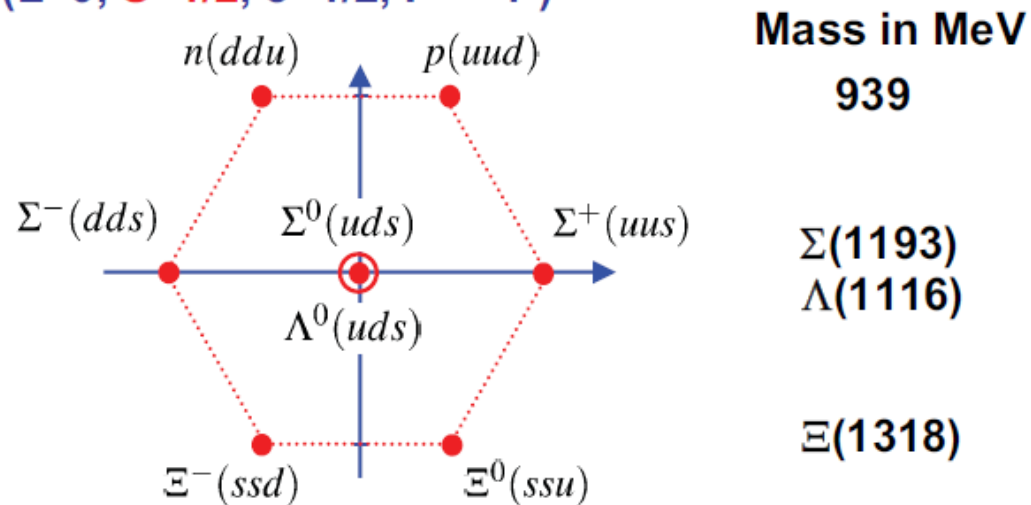
# Baryon octet

- ★ The **spin 1/2 octet** is formed from mixed symmetry flavour and mixed symmetry spin wave-functions

$$\alpha\phi(M_S)\chi(M_S) + \beta\phi(M_A)\chi(M_A)$$

See previous discussion proton for how to obtain wave-functions

**BARYON OCTET** (L=0, S=1/2, J=1/2, P= +1 )

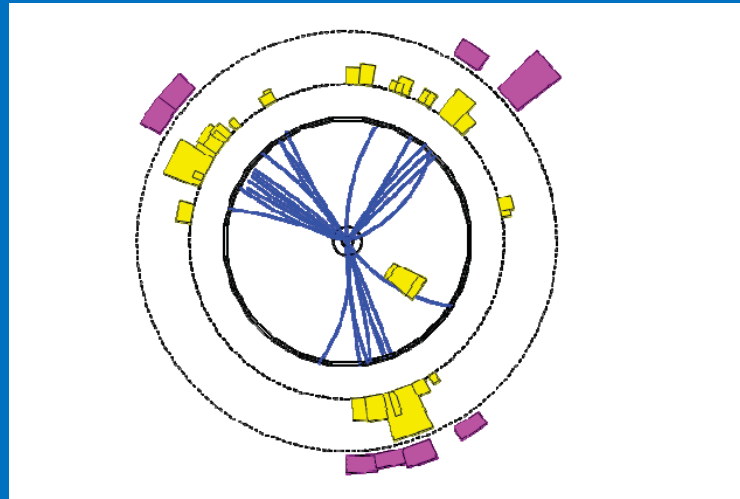


- ★ **NOTE:** Cannot form a totally symmetric wave-function based on the anti-symmetric flavour singlet as there no totally anti-symmetric spin wave-function for 3 quarks

# Summary

- ★ Considered SU(2)  $ud$  and SU(3)  $uds$  flavour symmetries
- ★ Although these flavour symmetries are only approximate can still be used to explain observed multiplet structure for mesons/baryons
- ★ In case of SU(3) flavour symmetry results, e.g. predicted wave-functions should be treated with a pinch of salt as  $m_s \neq m_{u/d}$
- ★ Introduced idea of singlet states being “spinless” or “flavourless”

# Quantum Chromodynamics



# The local gauge principle

- ★ All the interactions between fermions and spin-1 bosons in the SM are specified by the principle of **LOCAL GAUGE INVARIANCE**
- ★ To arrive at **QED**, require physics to be invariant under the **local phase transformation** of particle wave-functions

$$\psi \rightarrow \psi' = \psi e^{iq\chi(x)}$$

- ★ Note that the change of phase depends on the space-time coordinate:  $\chi(t, \vec{x})$ 
  - Under this transformation the Dirac Equation transforms as

$$\boxed{i\gamma^\mu \partial_\mu \psi - m\psi = 0} \quad \Rightarrow \quad \boxed{i\gamma^\mu (\partial_\mu + iq\partial_\mu \chi) \psi - m\psi = 0}$$

- To make “physics”, i.e. the Dirac equation, invariant under this local phase transformation **FORCED** to introduce a **massless gauge boson**,  $A_\mu$ .
- + The Dirac equation has to be modified to include this new field:

$$\boxed{i\gamma^\mu (\partial_\mu - qA_\mu) \psi - m\psi = 0}$$

- The modified Dirac equation is invariant under local phase transformations if:

$$\boxed{A_\mu \rightarrow A'_\mu = A_\mu - \partial_\mu \chi}$$

**Gauge Invariance**

- ★ For physics to remain unchanged – must have **GAUGE INVARIANCE** of the new field, i.e. physical predictions unchanged for  $A_\mu \rightarrow A'_\mu = A_\mu - \partial_\mu \chi$

- ★ Hence the principle of invariance under local phase transformations completely specifies the interaction between a fermion and the gauge boson (i.e. photon):

$$i\gamma^\mu (\partial_\mu \psi - qA_\mu) \psi - m\psi = 0$$

⇒ interaction vertex:  $i\gamma^\mu qA_\mu$

⇒ **QED !**

- ★ The local phase transformation of QED is a unitary **U(1)** transformation

$$\psi \rightarrow \psi' = \hat{U} \psi \quad \text{i.e.} \quad \psi \rightarrow \psi' = \psi e^{iq\chi(x)} \quad \text{with} \quad U^\dagger U = 1$$

Now extend this idea...

# From QED to QCD

- ★ Suppose there is another fundamental symmetry of the universe, say **“invariance under SU(3) local phase transformations”**

- i.e. require invariance under  $\psi \rightarrow \psi' = \psi e^{ig\vec{\lambda}\cdot\vec{\theta}(x)}$  where

$\vec{\lambda}$  are the eight 3x3 Gell-Mann matrices

$\vec{\theta}(x)$  are 8 functions taking different values at each point in space-time

⇒ 8 spin-1 gauge bosons

$$\psi = \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \end{pmatrix}$$

wave function is now a vector in **COLOUR SPACE**

⇒ **QCD!**

- ★ QCD is fully specified by require invariance under **SU(3) local phase transformations**

Corresponds to rotating states in colour space about an axis whose direction is different at every space-time point

⇒ interaction vertex:  $-\frac{1}{2}ig_s\lambda^a\gamma^\mu$

- ★ Predicts 8 massless gauge bosons - the gluons (one for each  $\lambda$  )
- ★ Also predicts exact form for interactions between gluons, i.e. the 3 and 4 gluon vertices - the details are beyond the level of this course

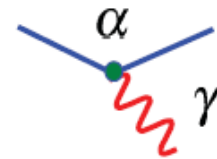


# Colour in QCD

- ★ The theory of the strong interaction, Quantum Chromodynamics (QCD), is very similar to QED but with 3 conserved “colour” charges

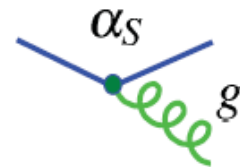
## In QED:

- the electron carries one unit of charge  $-e$
- the anti-electron carries one unit of anti-charge  $+e$
- the force is mediated by a massless “gauge boson” - the photon



## In QCD:

- quarks carry colour charge:  $r, g, b$
- anti-quarks carry anti-charge:  $\bar{r}, \bar{g}, \bar{b}$
- The force is mediated by massless gluons



- ★ In QCD, the strong interaction is invariant under rotations in colour space

$$r \leftrightarrow b; r \leftrightarrow g; b \leftrightarrow g$$

i.e. the same for all three colours



**SU(3) colour symmetry**

- This is an **exact** symmetry, unlike the approximate uds flavour symmetry

- ★ Represent  $r, g, b$  **SU(3) colour states** by:

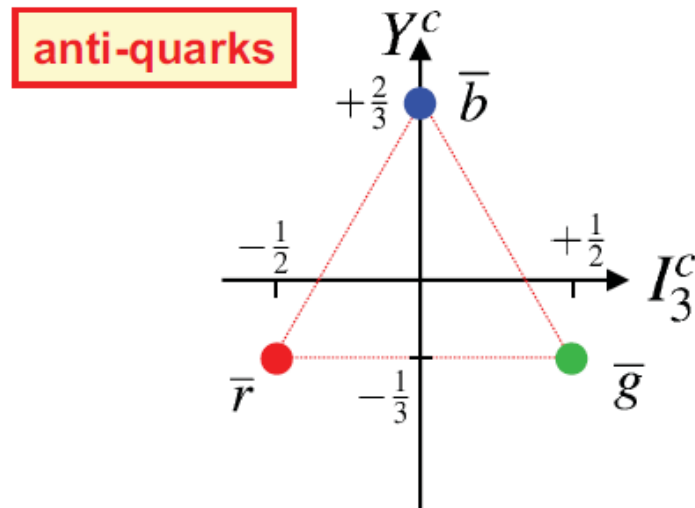
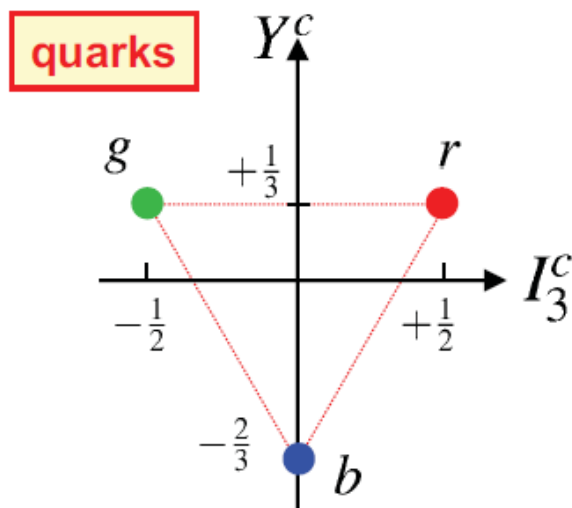
$$r = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}; \quad g = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}; \quad b = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$

- ★ Colour states can be labelled by two quantum numbers:

- ♦  $I_3^c$  colour isospin
- ♦  $Y^c$  colour hypercharge

Exactly analogous to labelling u,d,s flavour states by  $I_3$  and  $Y$

- ★ Each quark (anti-quark) can have the following colour quantum numbers:



# Colour Confinement

- ★ It is believed (although not yet proven) that all observed free particles are “colourless”
  - i.e. never observe a free quark (which would carry colour charge)
  - consequently quarks are always found in bound states colourless hadrons

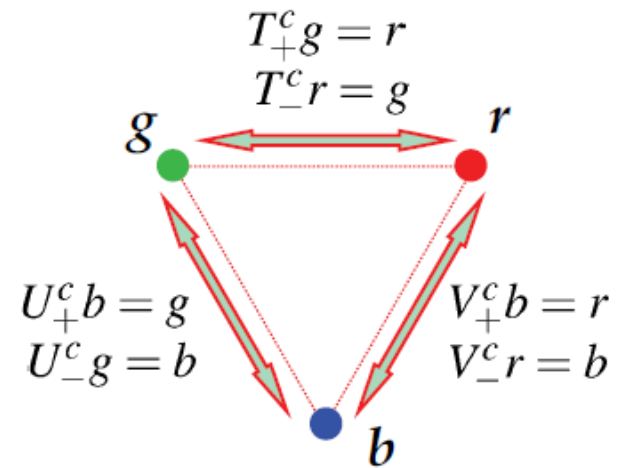
## ★ Colour Confinement Hypothesis:

only colour singlet states can exist as free particles

- ★ All hadrons must be “colourless” i.e. colour **singlets**
- ★ To construct colour wave-functions for hadrons can apply results for **SU(3) flavour** symmetry to **SU(3) colour** with replacement

$$\begin{array}{l} u \rightarrow r \\ d \rightarrow g \\ s \rightarrow b \end{array}$$

- ★ just as for  $uds$  flavour symmetry can define colour ladder operators



# Colour Singlets

- ★ It is important to understand what is meant by a **singlet** state
- ★ Consider spin states obtained from two spin 1/2 particles.

- Four spin combinations:  $\uparrow\uparrow, \uparrow\downarrow, \downarrow\uparrow, \downarrow\downarrow$
- Gives four eigenstates of  $\hat{S}^2, \hat{S}_z$   $(2 \otimes 2 = 3 \oplus 1)$

$$|1, +1\rangle = \uparrow\uparrow$$

$$|1, 0\rangle = \frac{1}{\sqrt{2}}(\uparrow\downarrow + \downarrow\uparrow)$$

$$|1, -1\rangle = \downarrow\downarrow$$

spin-1  
triplet

$$\oplus |0, 0\rangle = \frac{1}{\sqrt{2}}(\uparrow\downarrow - \downarrow\uparrow)$$

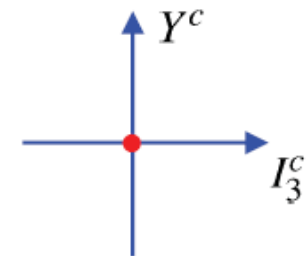
spin-0  
singlet

- ★ The singlet state is “spinless”: it has zero angular momentum, is invariant under SU(2) spin transformations and spin ladder operators yield zero

$$S_{\pm}|0, 0\rangle = 0$$

- ★ In the same way **COLOUR SINGLETS** are “colourless” combinations:

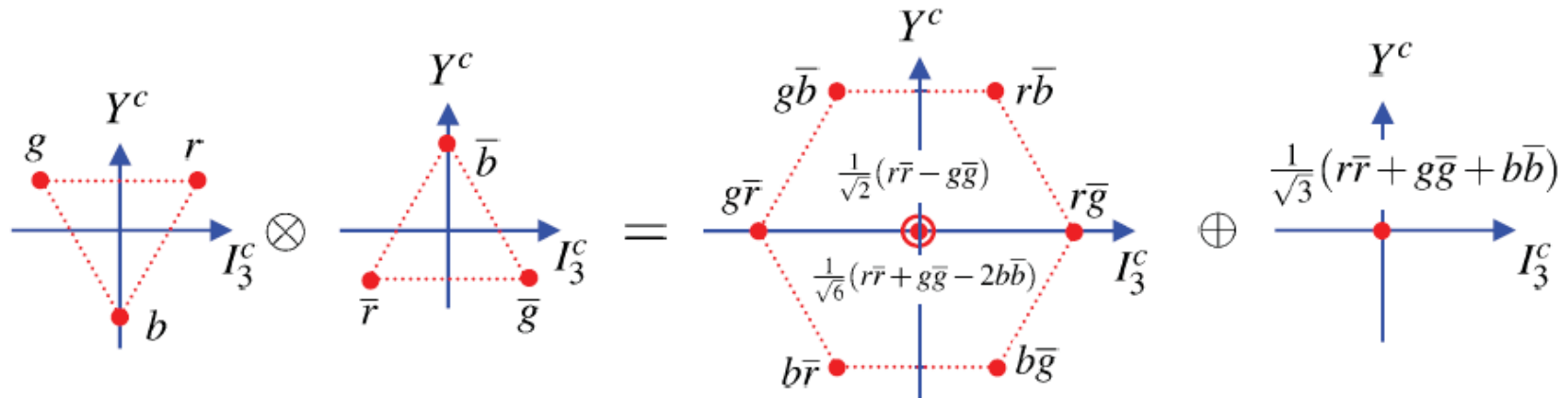
- ♦ they have zero colour quantum numbers  $I_3^c = 0, Y^c = 0$
- ♦ invariant under SU(3) colour transformations
- ♦ ladder operators  $T_{\pm}, U_{\pm}, V_{\pm}$  all yield zero



- ★ NOT sufficient to have  $I_3^c = 0, Y^c = 0$  : does not mean that state is a singlet

# Meson Colour Wave-function

- ★ Consider colour wave-functions for  $q\bar{q}$
- ★ The combination of colour with anti-colour is mathematically identical to construction of meson wave-functions with uds flavour symmetry



➔ **Coloured octet and a colourless singlet**

- Colour confinement implies that hadrons only exist in colour singlet states so the colour wave-function for mesons is:

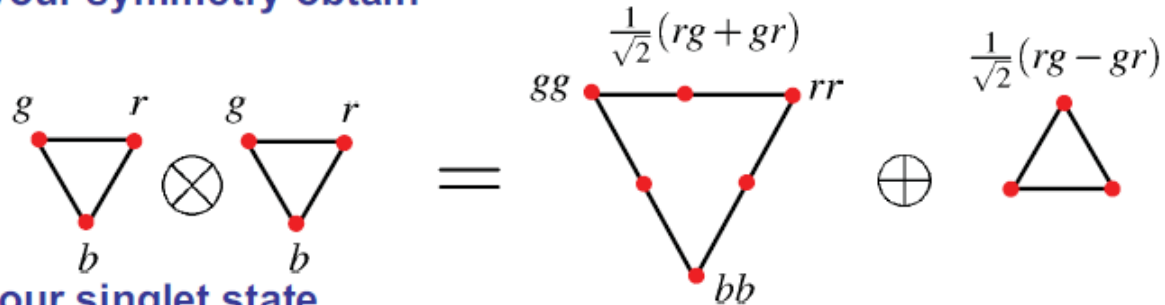
$$\psi_c^{q\bar{q}} = \frac{1}{\sqrt{3}}(r\bar{r} + g\bar{g} + b\bar{b})$$

- ★ Can we have a  $qq\bar{q}$  state? i.e. by adding a quark to the above octet can we form a state with  $Y^c = 0$ ;  $I_3^c = 0$ . The answer is clear no.

➔  $qq\bar{q}$  bound states do not exist in nature.

# Baryon Colour Wave-function

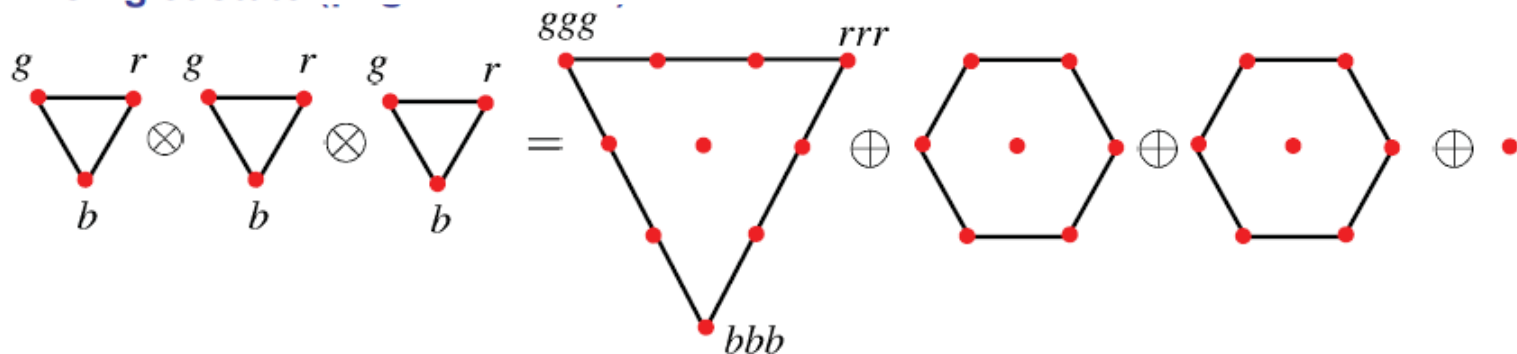
- ★ Do **qq** bound states exist ? This is equivalent to asking whether it possible to form a colour singlet from two colour triplets ?
- Following the discussion of construction of baryon wave-functions in SU(3) flavour symmetry obtain



- No **qq** colour singlet state
- Colour confinement  $\rightarrow$  bound states of **qq** do not exist



**BUT** combination of three quarks (three colour triplets) gives a colour singlet state



★ The singlet colour wave-function is:

$$\psi_c^{qqq} = \frac{1}{\sqrt{6}}(rgb - rbg + gbr - grb + brg - bgr)$$

Check this is a colour singlet...

- It has  $I_3^c = 0$ ,  $Y^c = 0$  : a necessary but not sufficient condition
- Apply ladder operators, e.g.  $T_+$  (recall  $T_+g = r$ )

$$T_+ \psi_c^{qqq} = \frac{1}{\sqrt{6}}(rrb - rbr + rbr - rrb + brr - brr) = 0$$

- Similarly  $T_- \psi_c^{qqq} = 0$ ;  $V_{\pm} \psi_c^{qqq} = 0$ ;  $U_{\pm} \psi_c^{qqq} = 0$ ;

★ Colourless singlet - therefore  $qqq$  bound states exist !

⇒ **Anti-symmetric colour wave-function**

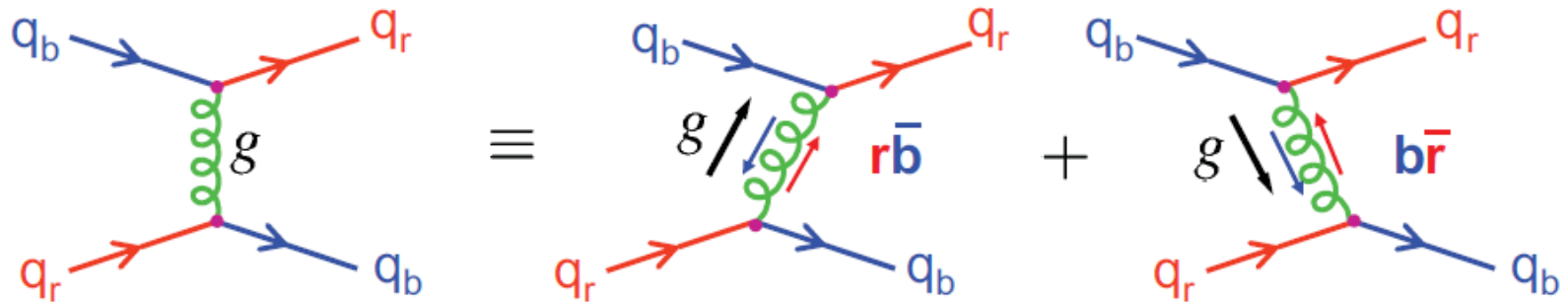
Allowed Hadrons i.e. the possible colour singlet states

- $q\bar{q}$ ,  $qqq$  Mesons and Baryons
- $q\bar{q}q\bar{q}$ ,  $qqqq\bar{q}$  Exotic states, e.g. pentaquarks

To date all confirmed hadrons are either mesons or baryons. However, some recent (but not entirely convincing) "evidence" for pentaquark states

# Gluons

- ★ In QCD quarks interact by exchanging virtual massless gluons, e.g.

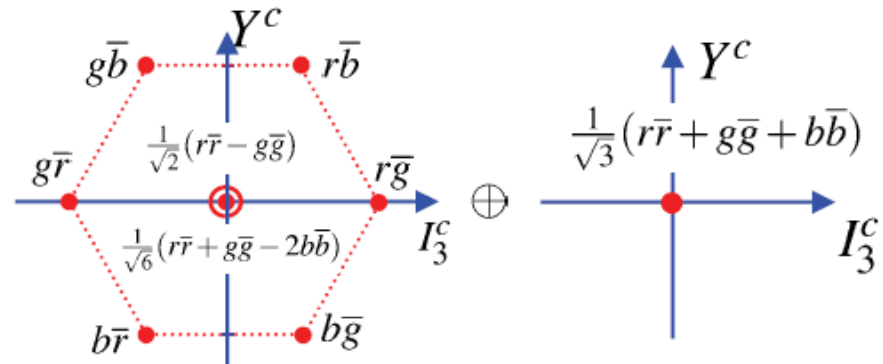


- ★ Gluons carry **colour** and **anti-colour**, e.g.



- ★ Gluon colour wave-functions (colour + anti-colour) are the same as those obtained for mesons (also colour + anti-colour)

⇒ **OCTET + "COLOURLESS" SINGLET**





★ So we might expect 9 physical gluons:

**OCTET:**  $r\bar{g}, r\bar{b}, g\bar{r}, g\bar{b}, b\bar{r}, b\bar{g}, \frac{1}{\sqrt{2}}(r\bar{r} - g\bar{g}), \frac{1}{\sqrt{6}}(r\bar{r} + g\bar{g} - 2b\bar{b})$

**SINGLET:**  $\frac{1}{\sqrt{3}}(r\bar{r} + g\bar{g} + b\bar{b})$

★ **BUT**, colour confinement hypothesis:

only colour singlet states  
can exist as free particles



Colour singlet gluon would be unconfined.  
It would behave like a strongly interacting  
photon → infinite range Strong force.

★ Empirically, the strong force is short range and therefore know that the physical gluons are confined. The colour singlet state does not exist in nature !

**NOTE:** this is not entirely ad hoc. In the context of gauge field theory (see minor option) the strong interaction arises from a fundamental **SU(3)** symmetry. The gluons arise from the generators of the symmetry group (the Gell-Mann  $\lambda$  matrices). There are 8 such matrices → 8 gluons. Had nature “chosen” a **U(3)** symmetry, would have 9 gluons, the additional gluon would be the colour singlet state and QCD would be an unconfined long-range force.

**NOTE:** the “gauge symmetry” determines the exact nature of the interaction  
⇒ FEYNMAN RULES

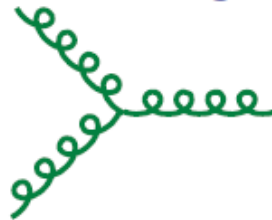
# Gluon-Gluon interactions

- ★ In QED the photon does not carry the charge of the EM interaction (photons are electrically neutral)
- ★ In contrast, in QCD the gluons do carry colour charge

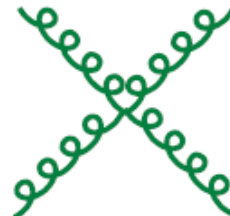
⇒ **Gluon Self-Interactions**

- ★ Two new vertices (no QED analogues)

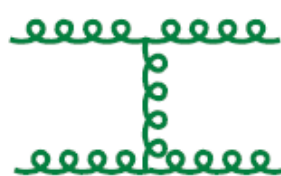
**triple-gluon vertex**



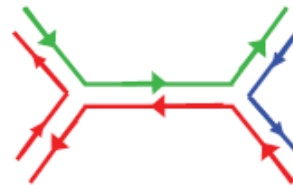
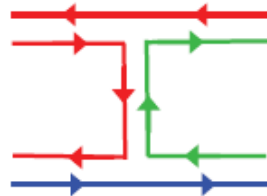
**quartic-gluon vertex**



- ★ In addition to quark-quark scattering, therefore can have gluon-gluon scattering



e.g. possible way of arranging the colour flow

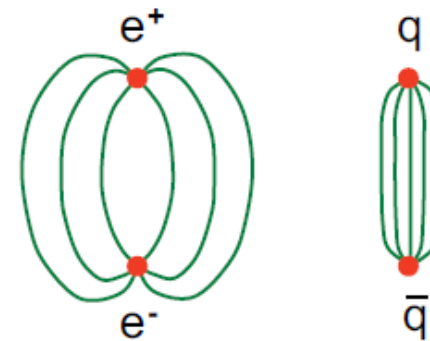


# Gluon self-interactions and Confinement

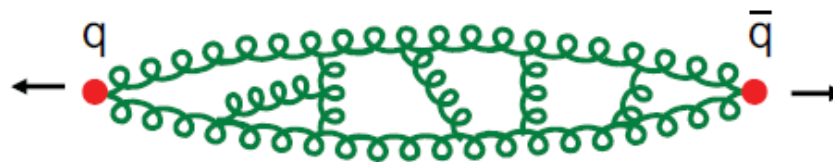
★ Gluon self-interactions are believed to give rise to colour confinement

★ Qualitative picture:

- Compare QED with QCD
- In QCD “gluon self-interactions squeeze lines of force into a flux tube”



★ What happens when try to separate two coloured objects e.g.  $q\bar{q}$



- Form a flux tube of interacting gluons of approximately constant energy density  $\sim 1 \text{ GeV/fm}$

$$\rightarrow V(r) \sim \lambda r$$

- Require infinite energy to separate coloured objects to infinity
- Coloured quarks and gluons are always **confined** within colourless states
- In this way QCD provides a plausible explanation of confinement – but **not yet proven** (although there has been recent progress with Lattice QCD)

# Hadronisation and jets

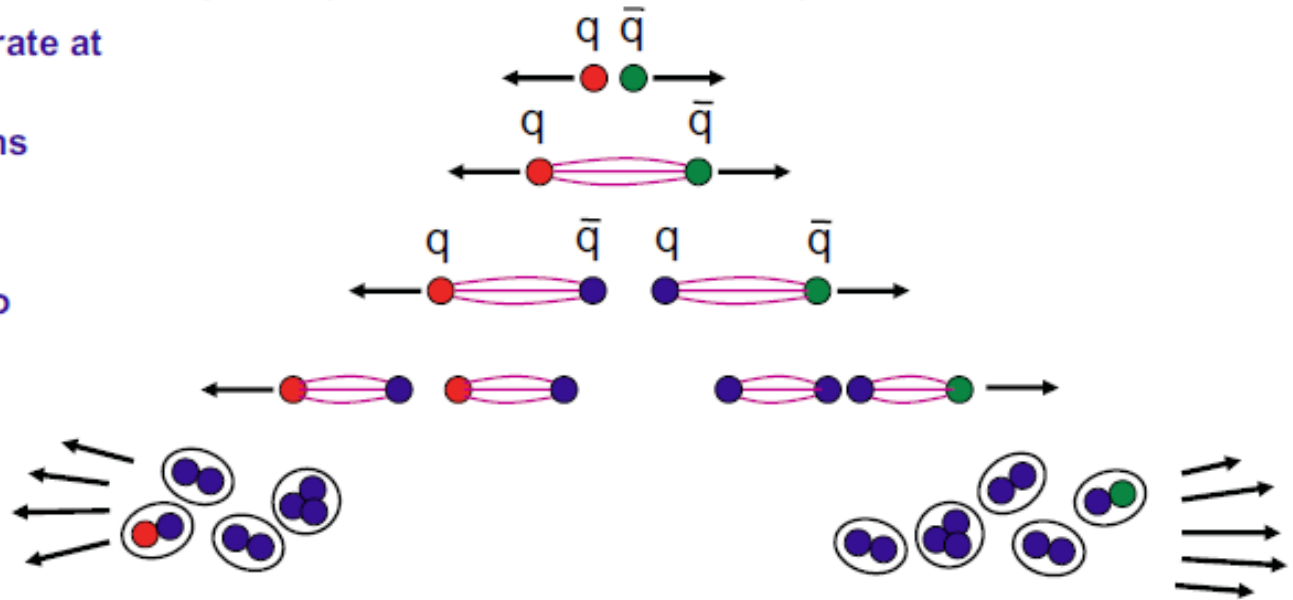
★ Consider a quark and anti-quark produced in electron positron annihilation

i) Initially Quarks separate at high velocity

ii) Colour flux tube forms between quarks

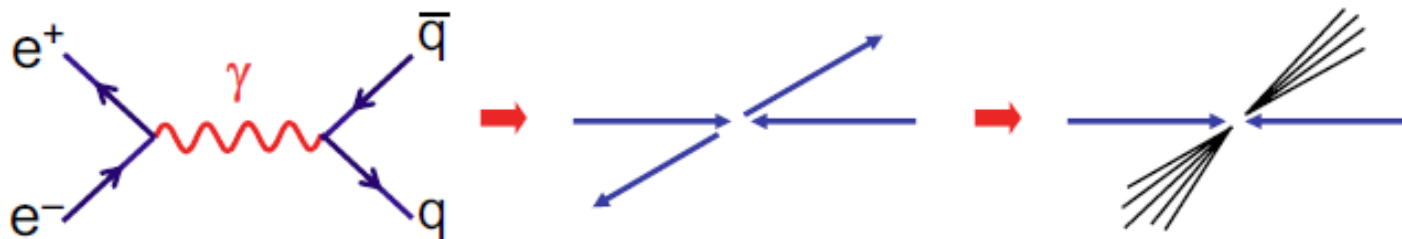
iii) Energy stored in the flux tube sufficient to produce  $q\bar{q}$  pairs

iv) Process continues until quarks pair up into jets of colourless hadrons



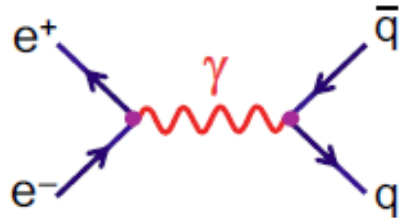
★ This process is called **hadronisation**. It is not (yet) calculable.

★ The main consequence is that at collider experiments quarks **and** gluons observed as jets of particles



# QCD and Colour in e<sup>+</sup>e<sup>-</sup> collisions

★ e<sup>+</sup>e<sup>-</sup> colliders are an excellent place to study QCD



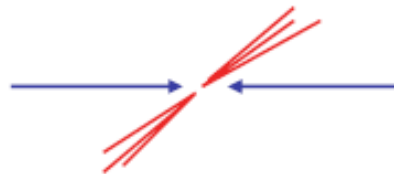
★ Well defined production of quarks

- QED process well-understood
- no need to know parton structure functions
- + experimentally very clean – no proton remnants

★ expressions for the e<sup>+</sup>e<sup>-</sup> → μ<sup>+</sup>μ<sup>-</sup> cross-section

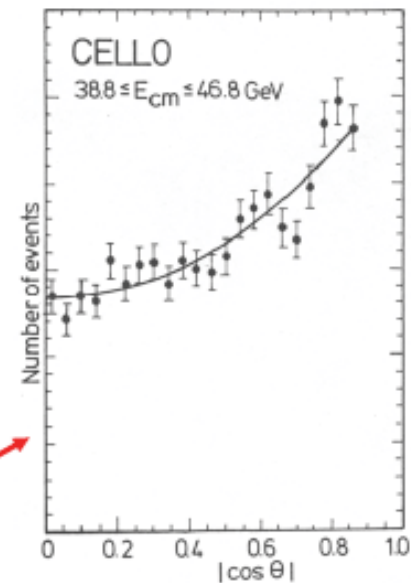
$$\sigma = \frac{4\pi\alpha^2}{3s} \quad \frac{d\sigma}{d\Omega} = \frac{\alpha^2}{4s} (1 + \cos^2 \theta)$$

- In e<sup>+</sup>e<sup>-</sup> collisions produce all quark flavours for which  $\sqrt{s} > 2m_q$
- In general, i.e. unless producing a q $\bar{q}$  bound state, produce jets of hadrons
- Usually can't tell which jet came from the quark and came from anti-quark



★ Angular distribution of jets  $\propto (1 + \cos^2 \theta)$

→ Quarks are spin 1/2



H.J. Behrend et al., Phys Lett 183B (1987) 400

- ★ Colour is conserved and quarks are produced as  $r\bar{r}$ ,  $g\bar{g}$ ,  $b\bar{b}$
- ★ For a single quark flavour and single colour

$$\sigma(e^+e^- \rightarrow q_i\bar{q}_i) = \frac{4\pi\alpha^2}{3s} Q_q^2$$

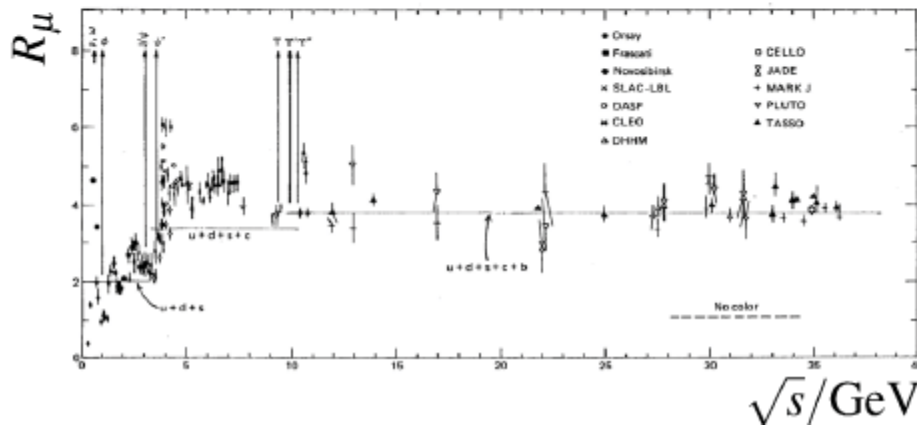
- Experimentally observe jets of hadrons:

$$\sigma(e^+e^- \rightarrow \text{hadrons}) = 3 \sum_{u,d,s,\dots} \frac{4\pi\alpha^2}{3s} Q_q^2$$

Factor 3 comes from colours

- Usual to express as ratio compared to  $\sigma(e^+e^- \rightarrow \mu^+\mu^-)$

$$R_\mu = \frac{\sigma(e^+e^- \rightarrow \text{hadrons})}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)} = 3 \sum_{u,d,s,\dots} Q_q^2$$



**u,d,s:**  $R_\mu = 3 \times \left(\frac{1}{9} + \frac{4}{9} + \frac{1}{9}\right) = 2$

**u,d,s,c:**  $R_\mu = \frac{10}{3}$

**u,d,s,c,b:**  $R_\mu = \frac{11}{3}$

- ★ Data consistent with expectation with factor 3 from colour

# Jets production in $e^+e^-$ collisions

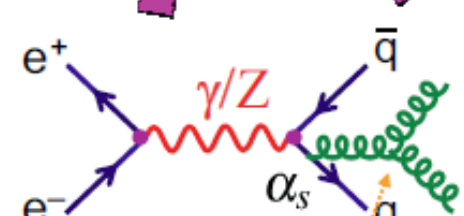
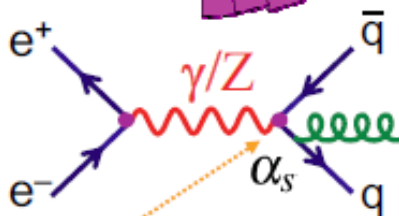
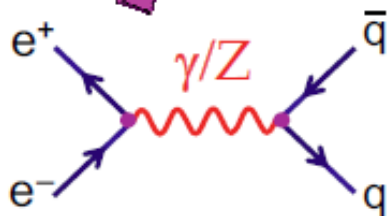
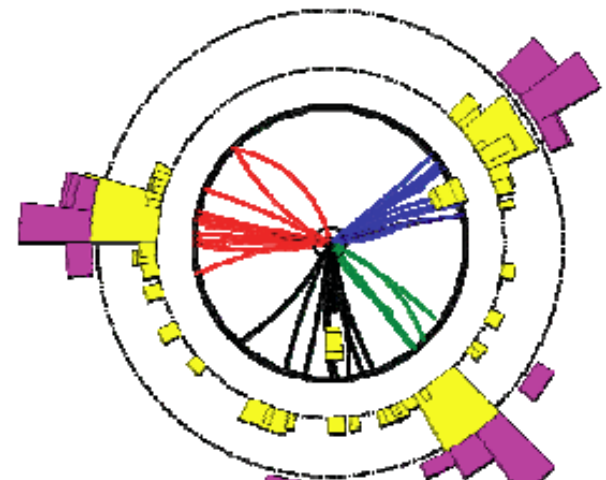
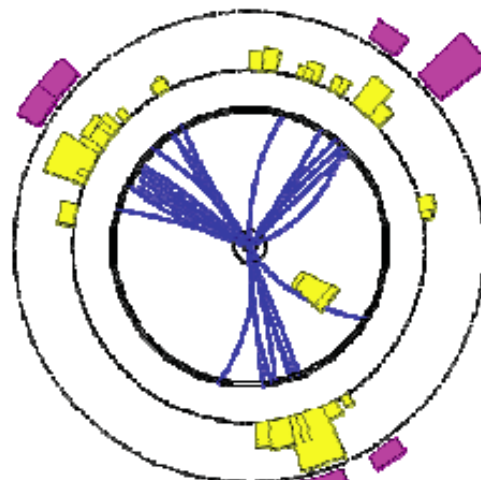
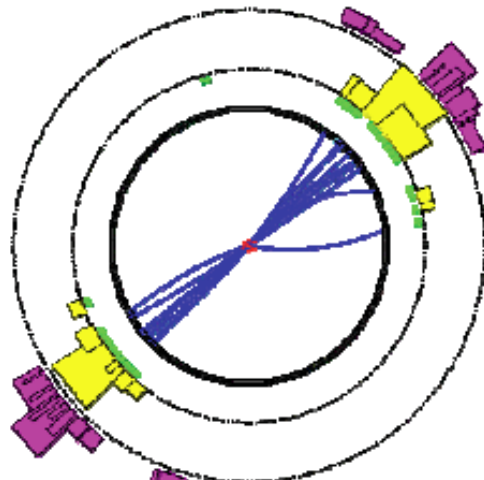
★  $e^+e^-$  colliders are also a good place to study gluons

$$e^+e^- \rightarrow q\bar{q} \rightarrow 2\text{jets}$$

$$e^+e^- \rightarrow q\bar{q}g \rightarrow 3\text{jets}$$

$$e^+e^- \rightarrow q\bar{q}gg \rightarrow 4\text{jets}$$

OPAL at LEP (1989-2000)



## Experimentally:

- Three jet rate  $\rightarrow$  measurement of  $\alpha_s$
- Angular distributions  $\rightarrow$  gluons are spin-1
- Four-jet rate and distributions  $\rightarrow$  QCD has an underlying SU(3) symmetry

# Quark-gluon interaction

- Representing the colour part of the fermion wave-functions by:

$$r = c_1 = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \quad g = c_2 = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \quad b = c_3 = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$

- Particle wave-functions  $u(p) \longrightarrow c_i u(p)$
- The QCD qqg vertex is written:

$$\bar{u}(p_3) c_j^\dagger \left\{ -\frac{1}{2} i g_s \lambda^a \gamma^\mu \right\} c_i u(p_1)$$

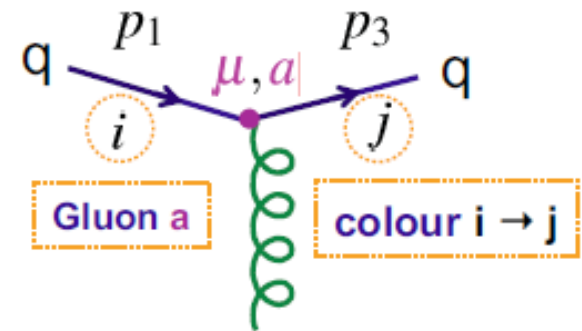
- Only difference w.r.t. QED is the insertion of the 3x3 SU(3) Gell-Mann matrices

- Isolating the colour part:

$$c_j^\dagger \lambda^a c_i = c_j^\dagger \begin{pmatrix} \lambda_{1i}^a \\ \lambda_{2i}^a \\ \lambda_{3i}^a \end{pmatrix} = \lambda_{ji}^a$$

- Hence the fundamental quark - gluon QCD interaction can be written







$$\bar{u}(p_3) c_j^\dagger \left\{ -\frac{1}{2} i g_s \lambda^a \gamma^\mu \right\} c_i u(p_1) \equiv \bar{u}(p_3) \left\{ -\frac{1}{2} i g_s \lambda_{ji}^a \gamma^\mu \right\} u(p_1)$$





# Feynman rules for QCD

- External Lines

spin 1/2	$\left\{ \begin{array}{l} \text{incoming quark} \\ \text{outgoing quark} \\ \text{incoming anti-quark} \\ \text{outgoing anti-quark} \end{array} \right.$	$u(p)$	
		$\bar{u}(p)$	
		$\bar{v}(p)$	
		$v(p)$	
spin 1	$\left\{ \begin{array}{l} \text{incoming gluon} \\ \text{outgoing gluon} \end{array} \right.$	$\varepsilon^\mu(p)$	
		$\varepsilon^\mu(p)^*$	

- Internal Lines (propagators)

spin 1 gluon

$$\frac{-ig_{\mu\nu} \delta^{ab}}{q^2}$$

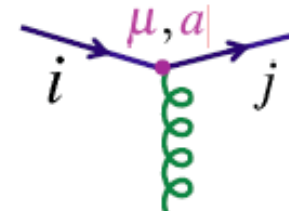


$a, b = 1, 2, \dots, 8$  are gluon colour indices

- Vertex Factors

spin 1/2 quark

$$-ig_s \frac{1}{2} \lambda_{ji}^a \gamma^\mu$$



$i, j = 1, 2, 3$  are quark colours,

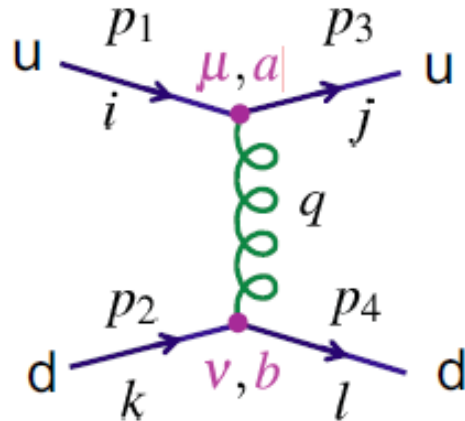
$\lambda^a$   $a = 1, 2, \dots, 8$  are the Gell-Mann SU(3) matrices

- + 3 gluon and 4 gluon interaction vertices

- Matrix Element  $-iM =$  product of all factors

# Matrix element for quark-quark scattering

★ Consider QCD scattering of an up and a down quark



- The incoming and out-going quark colours are labelled by  $i, j, k, l = \{1, 2, 3\}$  (or  $\{r, g, b\}$ )
- In terms of colour this scattering is  $ik \rightarrow jl$
- The 8 different gluons are accounted for by the colour indices  $a, b = 1, 2, \dots, 8$
- NOTE: the  $\delta$ -function in the propagator ensures  $a = b$ , i.e. the gluon "emitted" at  $a$  is the same as that "absorbed" at  $b$

★ Applying the Feynman rules:

$$-iM = [\bar{u}_u(p_3) \{-\frac{1}{2}ig_s \lambda_{ji}^a \gamma^\mu\} u_u(p_1)] \frac{-ig_{\mu\nu}}{q^2} \delta^{ab} [\bar{u}_d(p_4) \{-\frac{1}{2}ig_s \lambda_{lk}^b \gamma^\nu\} u_d(p_2)]$$

where summation over  $a$  and  $b$  (and  $\mu$  and  $\nu$ ) is implied.

★ Summing over  $a$  and  $b$  using the  $\delta$ -function gives:

$$M = -\frac{g_s^2}{4} \lambda_{ji}^a \lambda_{lk}^a \frac{1}{q^2} g_{\mu\nu} [\bar{u}_u(p_3) \gamma^\mu u_u(p_1)] [\bar{u}_d(p_4) \gamma^\nu u_d(p_2)]$$

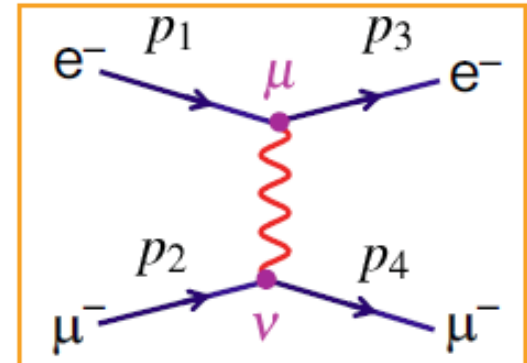
Sum over all 8 gluons (repeated indices)

# QCD vs QED

## QED

$$-iM = [\bar{u}(p_3)ie\gamma^\mu u(p_1)] \frac{-ig_{\mu\nu}}{q^2} [\bar{u}(p_4)ie\gamma^\nu u(p_2)]$$

$$M = -e^2 \frac{1}{q^2} g_{\mu\nu} [\bar{u}(p_3)\gamma^\mu u(p_1)][\bar{u}(p_4)\gamma^\nu u(p_2)]$$

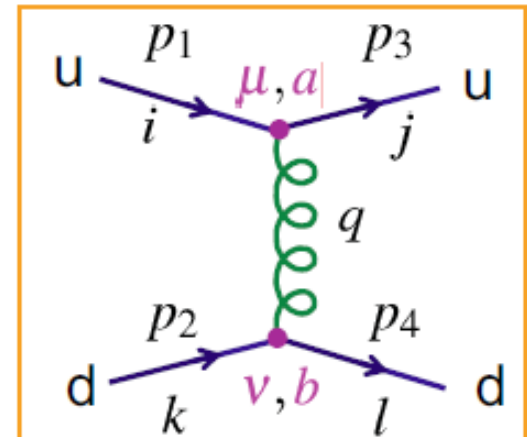


## QCD

$$M = -\frac{g_s^2}{4} \lambda_{ji}^a \lambda_{lk}^a \frac{1}{q^2} g_{\mu\nu} [\bar{u}_u(p_3)\gamma^\mu u_u(p_1)][\bar{u}_d(p_4)\gamma^\nu u_d(p_2)]$$

★ QCD Matrix Element = QED Matrix Element with:

- $e^2 \rightarrow g_s^2$  or equivalently  $\alpha = \frac{e^2}{4\pi} \rightarrow \alpha_s = \frac{g_s^2}{4\pi}$



+ QCD Matrix Element includes an additional "colour factor"

$$C(ik \rightarrow jl) \equiv \frac{1}{4} \sum_{a=1}^8 \lambda_{ji}^a \lambda_{lk}^a$$

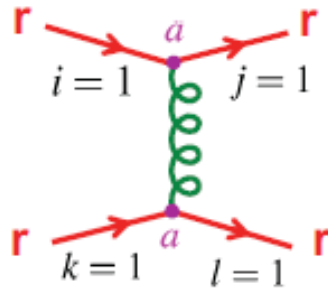
# Evaluation of QCD colour factors

- QCD colour factors reflect the gluon states that are involved

$\lambda^1 = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$	$\lambda^4 = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix}$	$\lambda^6 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$	$\lambda^3 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix}$
$\lambda^2 = \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$	$\lambda^5 = \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix}$	$\lambda^7 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix}$	$\lambda^8 = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -2 \end{pmatrix}$

<b>Gluons:</b> $r\bar{g}, g\bar{r}$	$r\bar{b}, b\bar{r}$	$g\bar{b}, b\bar{g}$	$\frac{1}{\sqrt{2}}(r\bar{r} - g\bar{g})$ $\frac{1}{\sqrt{6}}(r\bar{r} + g\bar{g} - 2b\bar{b})$
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## ① Configurations involving a single colour



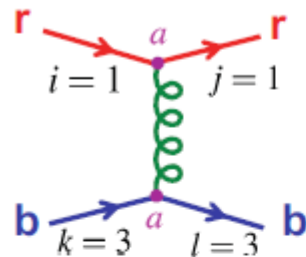
- Only matrices with non-zero entries in 11 position are involved

$$\begin{aligned}
 C(rr \rightarrow rr) &= \frac{1}{4} \sum_{a=1}^8 \lambda_{11}^a \lambda_{11}^a = \frac{1}{4} (\lambda_{11}^3 \lambda_{11}^3 + \lambda_{11}^8 \lambda_{11}^8) \\
 &= \frac{1}{4} \left( 1 + \frac{1}{3} \right) = \frac{1}{3}
 \end{aligned}$$

Similarly find

$$C(rr \rightarrow rr) = C(gg \rightarrow gg) = C(bb \rightarrow bb) = \frac{1}{3}$$

**2 Other configurations where quarks don't change colour** e.g.  $rb \rightarrow rb$



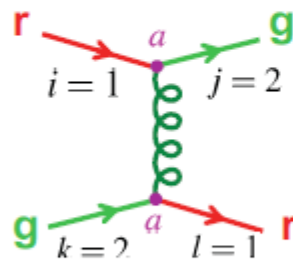
• Only matrices with non-zero entries in 11 and 33 position are involved

$$C(rb \rightarrow rb) = \frac{1}{4} \sum_{a=1}^8 \lambda_{11}^a \lambda_{33}^a = \frac{1}{4} (\lambda_{11}^8 \lambda_{33}^8)$$

$$= \frac{1}{4} \left( \frac{1}{\sqrt{3}} \cdot \frac{-2}{\sqrt{3}} \right) = -\frac{1}{6}$$

Similarly  $C(rb \rightarrow rb) = C(rg \rightarrow rg) = C(gr \rightarrow gr) = C(gb \rightarrow gb) = C(br \rightarrow br) = C(bg \rightarrow bg) = -\frac{1}{6}$

**3 Configurations where quarks swap colours** e.g.  $rg \rightarrow gr$



• Only matrices with non-zero entries in 12 and 21 position are involved

$$C(rg \rightarrow gr) = \frac{1}{4} \sum_{a=1}^8 \lambda_{21}^a \lambda_{12}^a = \frac{1}{4} (\lambda_{21}^1 \lambda_{12}^1 + \lambda_{21}^2 \lambda_{12}^2)$$

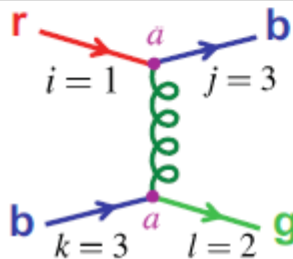
$$= \frac{1}{4} (i(-i) + 1) = \frac{1}{2}$$

Gluons  $r\bar{g}, g\bar{r}$

$\hat{T}_+^{(ij)} \hat{T}_-^{(kl)}$

$$C(rb \rightarrow br) = C(rg \rightarrow gr) = C(gr \rightarrow rg) = C(gb \rightarrow bg) = C(br \rightarrow rb) = C(bg \rightarrow gb) = \frac{1}{2}$$

**4 Configurations involving 3 colours** e.g.  $rb \rightarrow bg$



• Only matrices with non-zero entries in the 13 and 32 position  
 • But none of the  $\lambda$  matrices have non-zero entries in the 13 and 32 positions. Hence the colour factor is zero

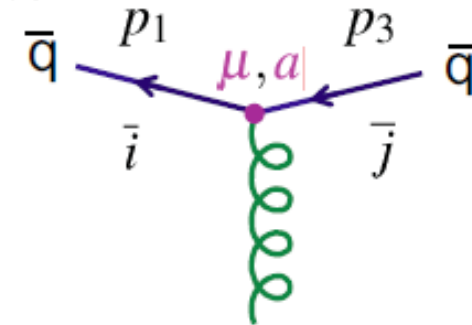
★ colour is conserved

# Colour factors: quark vs anti-quark

- Recall the colour part of wave-function:
- The QCD qqq vertex was written:

$$\bar{u}(p_3)c_j^\dagger \left\{ -\frac{1}{2}ig_s\lambda^a\gamma^\mu \right\} c_i u(p_1)$$

$$r = c_1 = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \quad g = c_2 = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \quad b = c_3 = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$



- ★ Now consider the anti-quark vertex

- The QCD  $\bar{q}\bar{q}g$  vertex is:

$$\bar{v}(p_1)c_i^\dagger \left\{ -\frac{1}{2}ig_s\lambda^a\gamma^\mu \right\} c_j v(p_3)$$

Note that the **incoming** anti-particle now enters on the LHS of the expression

- For which the colour part is

$$c_i^\dagger \lambda^a c_j = c_i^\dagger \begin{pmatrix} \lambda_{1j}^a \\ \lambda_{2j}^a \\ \lambda_{3j}^a \end{pmatrix} = \lambda_{ij}^a$$

i.e indices  $ij$  are swapped with respect to the quark case

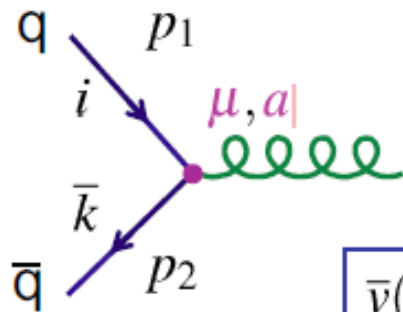
- Hence

$$\bar{v}(p_1)c_i^\dagger \left\{ -\frac{1}{2}ig_s\lambda^a\gamma^\mu \right\} c_j v(p_3) \equiv \bar{v}(p_1) \left\{ -\frac{1}{2}ig_s\lambda_{ij}^a\gamma^\mu \right\} v(p_3)$$

- c.f. the quark - gluon QCD interaction

$$\bar{u}(p_3)c_j^\dagger \left\{ -\frac{1}{2}ig_s\lambda^a\gamma^\mu \right\} c_i u(p_1) \equiv \bar{u}(p_3) \left\{ -\frac{1}{2}ig_s\lambda_{ji}^a\gamma^\mu \right\} u(p_1)$$

★ Finally we can consider the quark - anti-quark annihilation

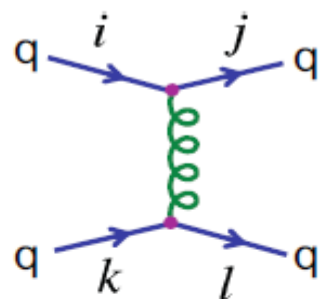


QCD vertex:  $\bar{v}(p_2)c_k^\dagger\{-\frac{1}{2}ig_s\lambda^a\gamma^\mu\}c_iu(p_1)$

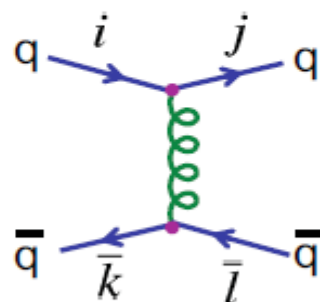
with  $c_k^\dagger\lambda^ac_i = \lambda_{ki}^a$

$$\bar{v}(p_2)c_k^\dagger\{-\frac{1}{2}ig_s\lambda^a\gamma^\mu\}c_iu(p_1) \equiv \bar{v}(p_2)\{-\frac{1}{2}ig_s\lambda_{ki}^a\gamma^\mu\}u(p_1)$$

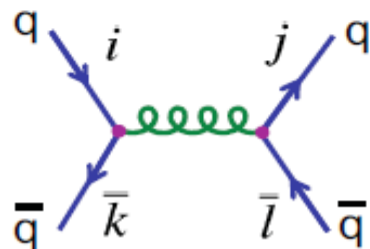
- Consequently the colour factors for the different diagrams are:



$$C(ik \rightarrow jl) \equiv \frac{1}{4} \sum_{a=1}^8 \lambda_{ji}^a \lambda_{lk}^a$$



$$C(i\bar{k} \rightarrow j\bar{l}) \equiv \frac{1}{4} \sum_{a=1}^8 \lambda_{ji}^a \lambda_{kl}^a$$



$$C(i\bar{k} \rightarrow j\bar{l}) \equiv \frac{1}{4} \sum_{a=1}^8 \lambda_{ki}^a \lambda_{jl}^a$$

e.g.

$$C(rr \rightarrow rr) = \frac{1}{3}$$

$$C(rg \rightarrow rg) = -\frac{1}{6}$$

$$C(rg \rightarrow gr) = \frac{1}{2}$$

$$C(r\bar{r} \rightarrow r\bar{r}) = \frac{1}{3}$$

$$C(r\bar{g} \rightarrow r\bar{g}) = -\frac{1}{6}$$

$$C(r\bar{r} \rightarrow g\bar{g}) = \frac{1}{2}$$

$$C(r\bar{r} \rightarrow r\bar{r}) = \frac{1}{3}$$

$$C(r\bar{g} \rightarrow r\bar{g}) = \frac{1}{2}$$

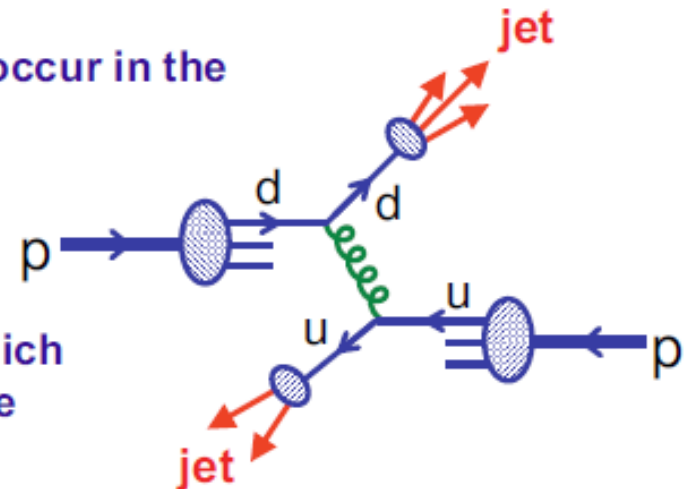
$$C(r\bar{r} \rightarrow g\bar{g}) = -\frac{1}{6}$$

Colour index of adjoint spinor comes first



# Quark-quark scattering

- Consider the process  $u + d \rightarrow u + d$  which can occur in the high energy proton-proton scattering
- There are nine possible colour configurations of the colliding quarks which are all equally likely.
- Need to determine the average matrix element which is the sum over all possible colours divided by the number of possible initial colour states



$$\langle |M_{fi}|^2 \rangle = \frac{1}{3} \cdot \frac{1}{3} \sum_{i,j,k,l=1}^3 |M_{fi}(ij \rightarrow kl)|^2$$

- The colour average matrix element contains the average colour factor

$$\langle |C|^2 \rangle = \frac{1}{9} \sum_{i,j,k,l=1}^3 |C(ij \rightarrow kl)|^2$$

- For  $qq \rightarrow qq$

$$rr \rightarrow rr, \dots$$

$$rb \rightarrow rb, \dots$$

$$rb \rightarrow br, \dots$$

$$\langle |C|^2 \rangle = \frac{1}{9} \left[ 3 \times \left( \frac{1}{3} \right)^2 + 6 \times \left( -\frac{1}{6} \right)^2 + 6 \times \left( \frac{1}{2} \right)^2 \right] = \frac{2}{9}$$

- Previously derived the Lorentz Invariant cross section for  $e^- \mu^- \rightarrow e^- \mu^-$  elastic scattering in the ultra-relativistic limit (handout 6).

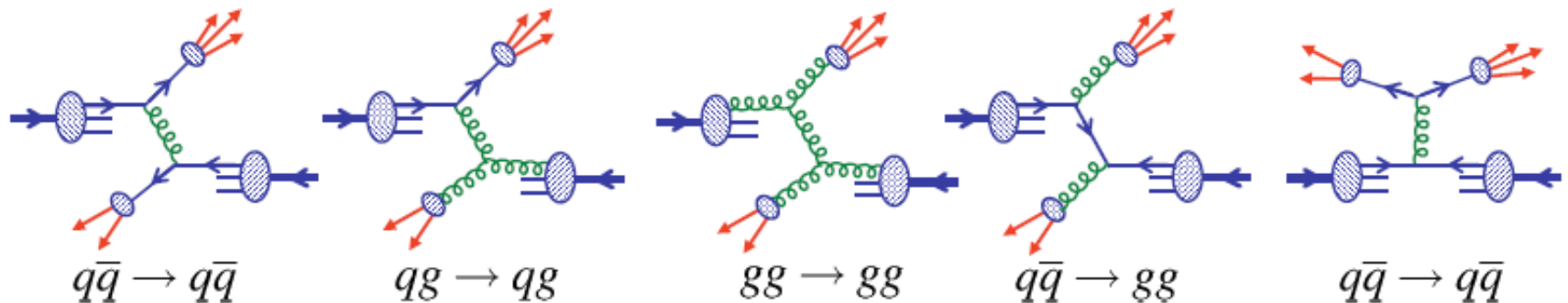
**QED** 
$$\frac{d\sigma}{dq^2} = \frac{2\pi\alpha^2}{q^4} \left[ 1 + \left( 1 + \frac{q^2}{s} \right)^2 \right]$$

- For  $ud \rightarrow ud$  in QCD replace  $\alpha \rightarrow \alpha_s$  and multiply by  $\langle |C|^2 \rangle$

**QCD** 
$$\frac{d\sigma}{dq^2} = \frac{2}{9} \frac{2\pi\alpha_s^2}{q^4} \left[ 1 + \left( 1 + \frac{q^2}{\hat{s}} \right)^2 \right]$$

Never see colour, but enters through colour factors. Can tell QCD is SU(3)

- Here  $\hat{s}$  is the centre-of-mass energy of the quark-quark collision
- The calculation of hadron-hadron scattering is very involved, need to include parton structure functions and include all possible interactions  
e.g. two jet production in **proton-antiproton** collisions



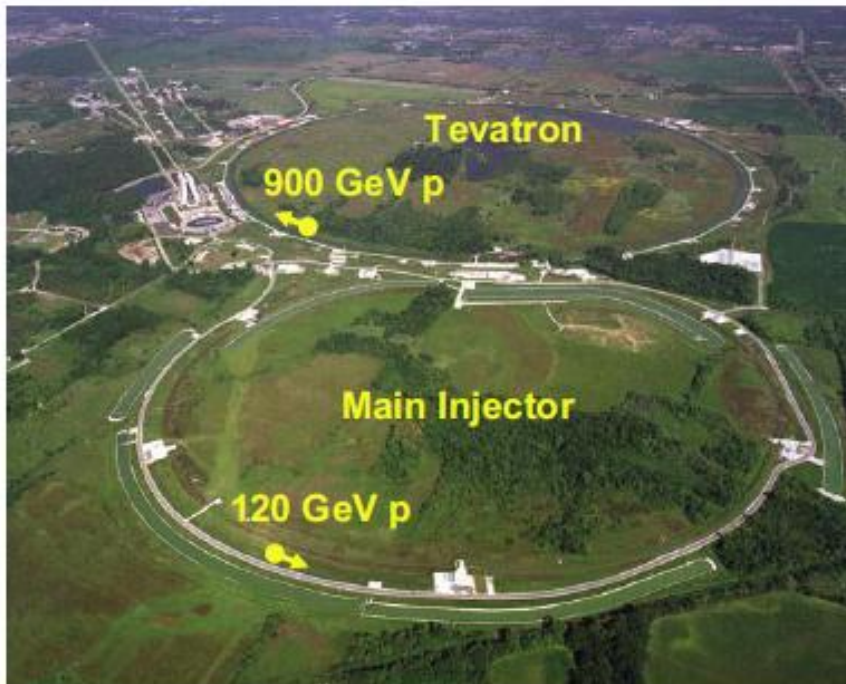
# Proton-antiproton collisions at Tevatron

★ Tevatron collider at Fermi National Laboratory (FNAL)

- located ~40 miles from Chicago, US
- started operation in 1987 (will run until 2009/2010)

★  $p\bar{p}$  collisions at  $\sqrt{s} = 1.8$  TeV

c.f. 14 TeV at the LHC



Two main accelerators:

★ **Main Injector**

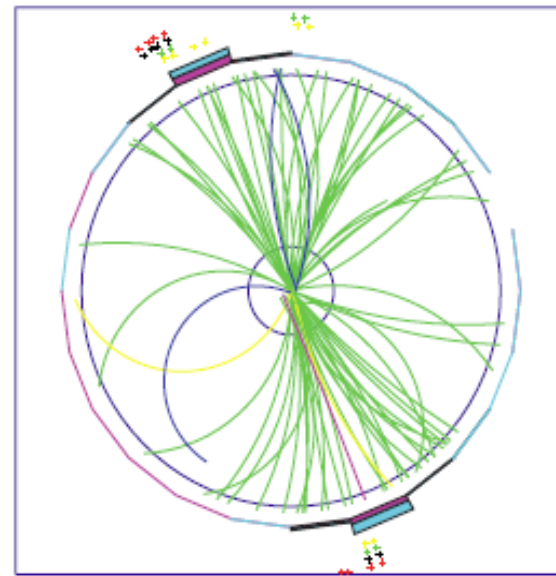
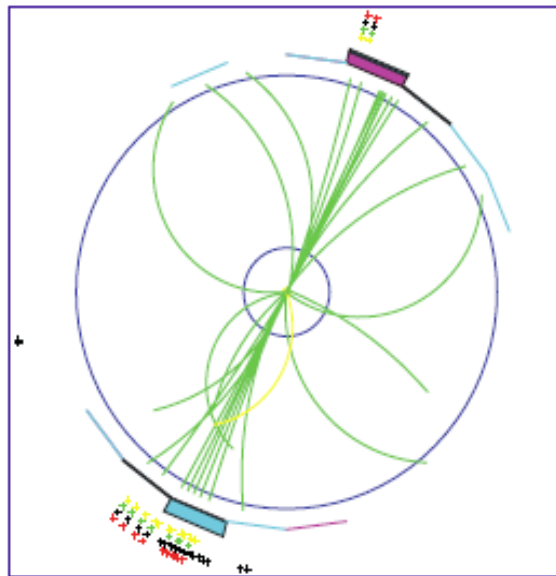
- Accelerates 8 GeV  $p$  to 120 GeV
- also  $\bar{p}$  to 120 GeV
- Protons sent to **Tevatron & MINOS**
- $\bar{p}$  all go to **Tevatron**

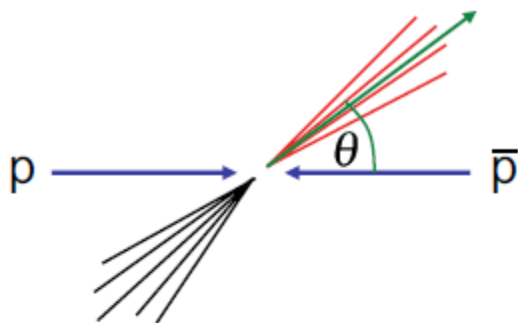
★ **Tevatron**

- 4 mile circumference
- accelerates  $p/\bar{p}$  from 120 GeV to 900 GeV

- ★ Test QCD predictions by looking at production of pairs of high energy jets

$p\bar{p} \rightarrow \text{jet jet} + X$

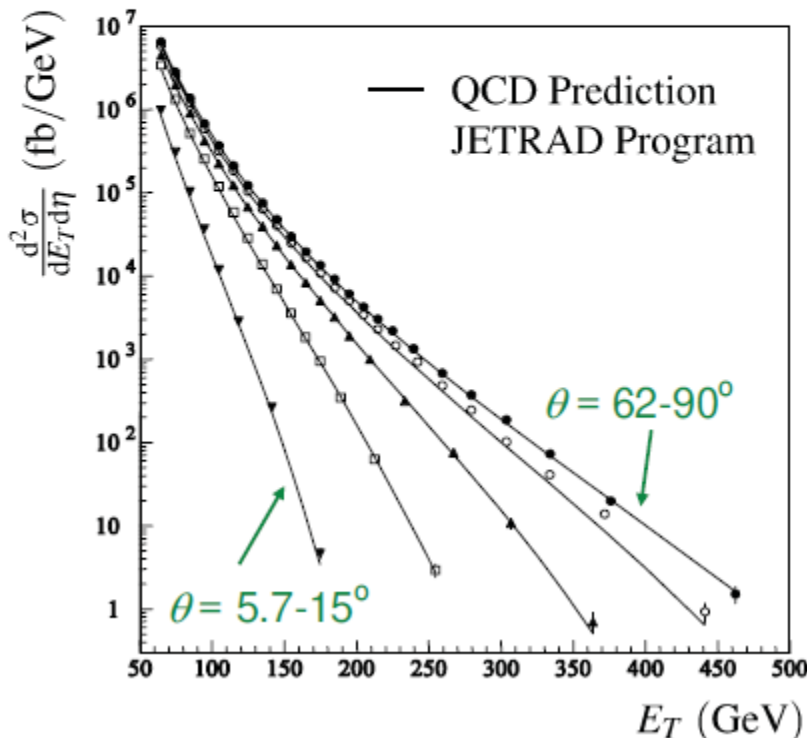




★ Measure cross-section in terms of

- “transverse energy”  $E_T = E_{\text{jet}} \sin \theta$
- “pseudorapidity”  $\eta = \ln \left[ \cot \left( \frac{\theta}{2} \right) \right]$

...don't worry too much about the details here, what matters is that...



D0 Collaboration, Phys. Rev. Lett. 86 (2001)

★ QCD predictions provide an excellent description of the data

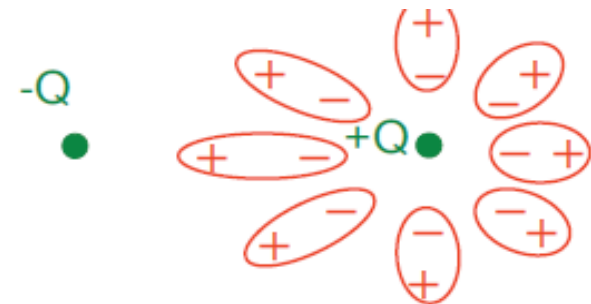
★ NOTE:

- at low  $E_T$  cross-section is dominated by low  $x$  partons  
i.e. gluon-gluon scattering
- at high  $E_T$  cross-section is dominated by high  $x$  partons  
i.e. quark-antiquark scattering

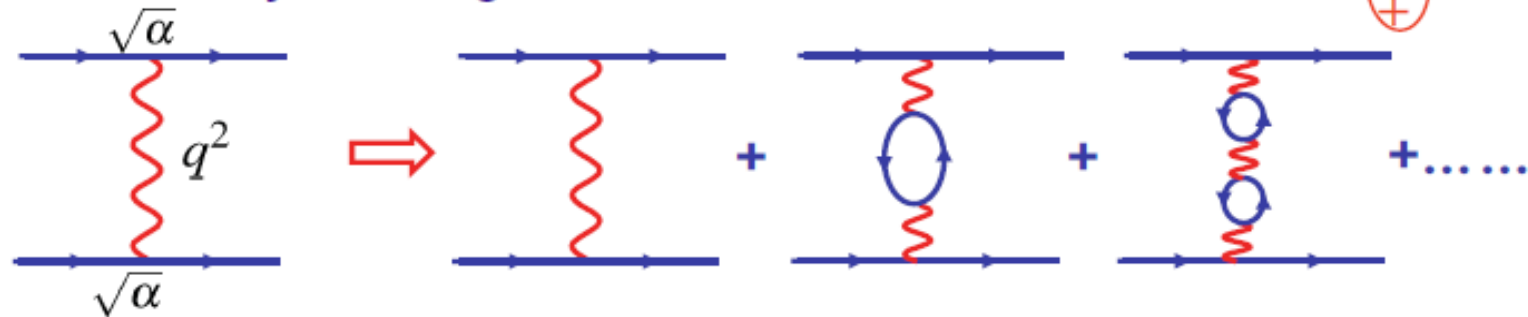
# Running coupling constants

**QED**

- “bare” charge of electron screened by virtual  $e^+e^-$  pairs
- behaves like a polarizable dielectric



★ In terms of Feynman diagrams:



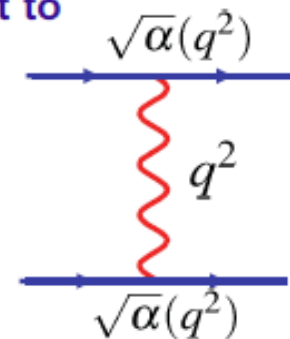
★ Same final state so add matrix element **amplitudes**:  $M = M_1 + M_2 + M_3 + \dots$

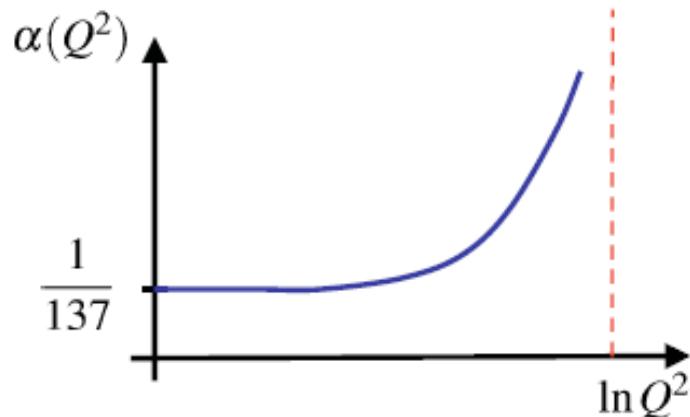
★ Giving an infinite series which can be summed and is equivalent to a single diagram with “running” coupling constant

$$\alpha(Q^2) = \alpha(Q_0^2) / \left[ 1 - \frac{\alpha(Q_0^2)}{3\pi} \ln \left( \frac{Q^2}{Q_0^2} \right) \right]$$

$Q^2 \gg Q_0^2$

**Note sign**



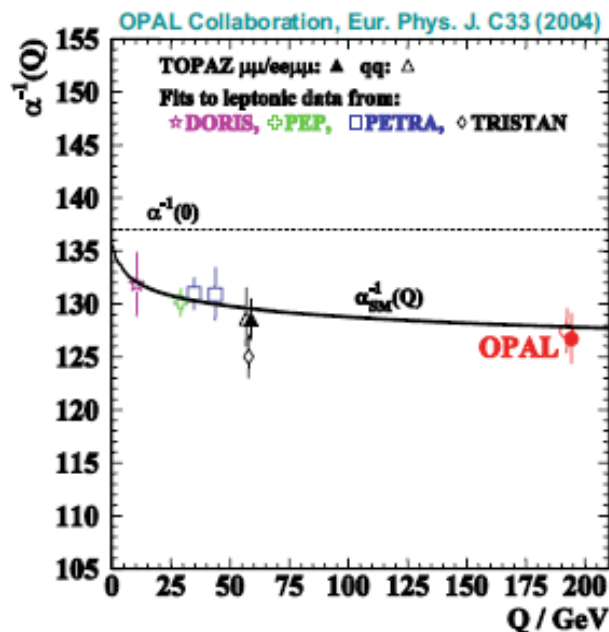


- ★ Might worry that coupling becomes infinite at

$$\ln \left( \frac{Q^2}{Q_0^2} \right) = \frac{3\pi}{1/137}$$

i.e. at  $Q \sim 10^{26} \text{ GeV}$

- But quantum gravity effects would come in way below this energy and it is highly unlikely that QED “as is” would be valid in this regime



- ★ In QED, running coupling **increases** very slowly

- Atomic physics:  $Q^2 \sim 0$

$$1/\alpha = 137.03599976(50)$$

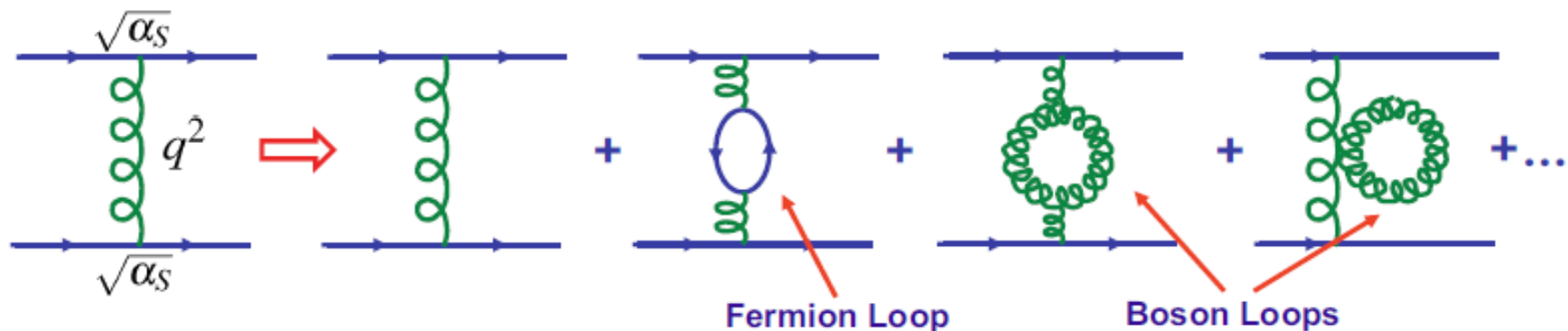
- High energy physics:

$$1/\alpha(193 \text{ GeV}) = 127.4 \pm 2.1$$

# Running of $\alpha_s$

**QCD**

Similar to QED but also have gluon loops



- ★ Remembering adding amplitudes, so can get negative interference and the sum can be smaller than the original diagram alone
- ★ Bosonic loops “interfere negatively”

$$\alpha_s(Q^2) = \alpha_s(Q_0^2) / \left[ 1 + B\alpha_s(Q_0^2) \ln \left( \frac{Q^2}{Q_0^2} \right) \right]$$

with

$$B = \frac{11N_c - 2N_f}{12\pi}$$

$\left\{ \begin{array}{l} N_c = \text{no. of colours} \\ N_f = \text{no. of quark flavours} \end{array} \right.$

$N_c = 3; N_f = 6 \quad \rightarrow \quad B > 0$

$\rightarrow \quad \alpha_s \text{ decreases with } Q^2$

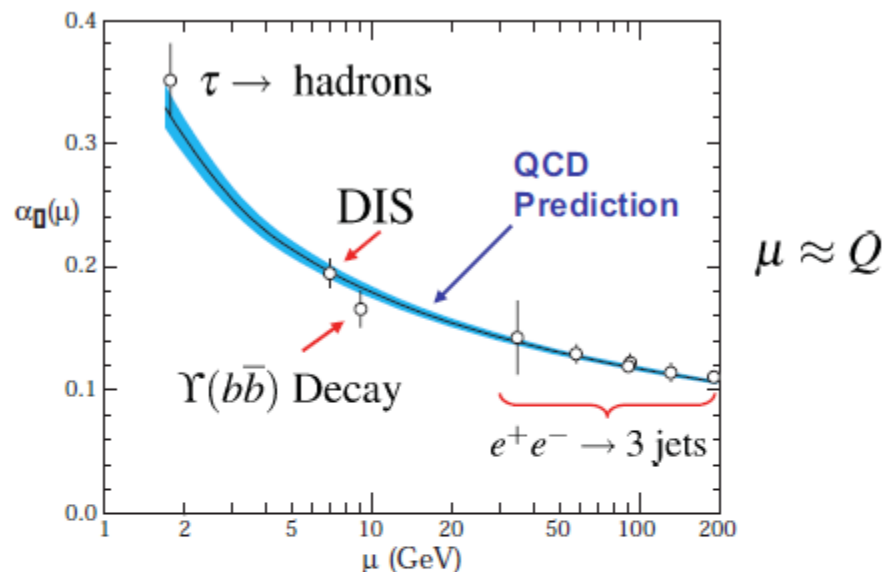
**Nobel Prize for Physics, 2004**  
(Gross, Politzer, Wilczek)



★ Measure  $\alpha_s$  in many ways:

- jet rates
- DIS
- tau decays
- bottomonium decays
- +...

★ As predicted by QCD,  
 $\alpha_s$  decreases with  $Q^2$



★ At low  $Q^2$ :  $\alpha_s$  is large, e.g. at  $Q^2 = 1 \text{ GeV}^2$  find  $\alpha_s \sim 1$

- Can't use perturbation theory! This is the reason why QCD calculations at low energies are so difficult, e.g. properties hadrons, hadronisation of quarks to jets,...

★ At high  $Q^2$ :  $\alpha_s$  is rather small, e.g. at  $Q^2 = M_Z^2$  find  $\alpha_s \sim 0.12$



**Asymptotic Freedom**

- Can use perturbation theory and this is the reason that in DIS at high  $Q^2$  quarks behave as if they are quasi-free (i.e. only weakly bound within hadrons)

# Summary

- ★ Superficially QCD very similar to QED
- ★ But gluon self-interactions are believed to result in colour confinement
- ★ All hadrons are colour singlets which explains why only observe

Mesons

Baryons

- ★ At low energies  $\alpha_S \sim 1$

→ Can't use perturbation theory !

Non-Perturbative regime

- ★ Coupling constant runs, smaller coupling at higher energy scales

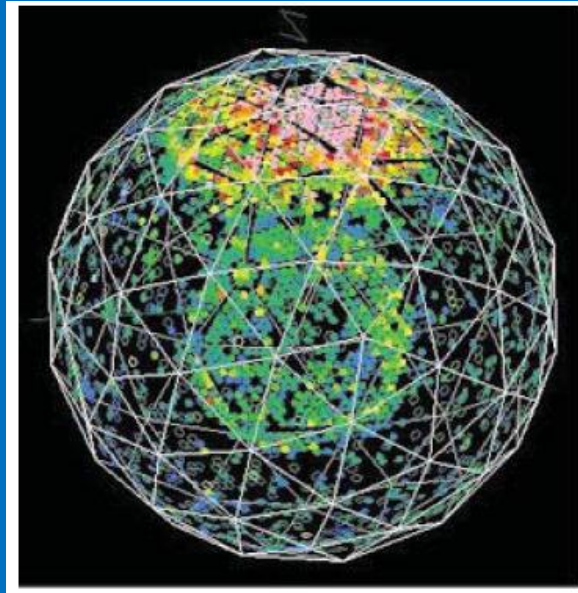
$$\alpha_S(100 \text{ GeV}) \sim 0.1$$

→ Can use perturbation theory

Asymptotic Freedom

- ★ Where calculations can be performed, QCD provides a good description of relevant experimental data

# The weak interaction and V-A



# Parity

- ★ The parity operator performs spatial inversion through the origin:

$$\psi'(\vec{x}, t) = \hat{P}\psi(\vec{x}, t) = \psi(-\vec{x}, t)$$

- applying  $\hat{P}$  twice:  $\hat{P}\hat{P}\psi(\vec{x}, t) = \hat{P}\psi(-\vec{x}, t) = \psi(\vec{x}, t)$

$$\text{so} \quad \hat{P}\hat{P} = I \quad \rightarrow \quad \hat{P}^{-1} = \hat{P}$$

- To preserve the normalisation of the wave-function

$$\langle \psi | \psi \rangle = \langle \psi' | \psi' \rangle = \langle \psi | \hat{P}^\dagger \hat{P} | \psi \rangle$$

$$\hat{P}^\dagger \hat{P} = I \quad \rightarrow \quad \hat{P} \quad \text{Unitary}$$

- But since  $\hat{P}\hat{P} = I$   $\hat{P} = \hat{P}^\dagger$   $\rightarrow$   $\hat{P}$  Hermitian

which implies Parity is an **observable** quantity. If the interaction Hamiltonian commutes with  $\hat{P}$ , parity is an **observable conserved quantity**

- If  $\psi(\vec{x}, t)$  is an eigenfunction of the parity operator with eigenvalue  $P$

$$\hat{P}\psi(\vec{x}, t) = P\psi(\vec{x}, t) \quad \rightarrow \quad \hat{P}\hat{P}\psi(\vec{x}, t) = P\hat{P}\psi(\vec{x}, t) = P^2\psi(\vec{x}, t)$$

$$\text{since } \hat{P}\hat{P} = I \quad P^2 = 1$$

$\rightarrow$  Parity has eigenvalues  $P = \pm 1$

- ★ QED and QCD are invariant under parity

- ★ Experimentally observe that **Weak Interactions** do not conserve parity

## Intrinsic Parities of fundamental particles:

### Spin-1 Bosons

- From Gauge Field Theory can show that the gauge bosons have  $P = -1$

$$P_\gamma = P_g = P_{W^+} = P_{W^-} = P_Z = -1$$

### Spin-1/2 Fermions

- From the Dirac equation showed (handout 2):  
Spin 1/2 **particles** have opposite parity to spin 1/2 **anti-particles**

- Conventional choice: spin 1/2 particles have  $P = +1$

$$P_{e^-} = P_{\mu^-} = P_{\tau^-} = P_\nu = P_q = +1$$

and anti-particles have opposite parity, i.e.

$$P_{e^+} = P_{\mu^+} = P_{\tau^+} = P_{\bar{\nu}} = P_{\bar{q}} = -1$$

- ★ For Dirac spinors it was shown (handout 2) that the parity operator is:

$$\hat{P} = \gamma^0 = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}$$

# Parity conservation in QED and QCD

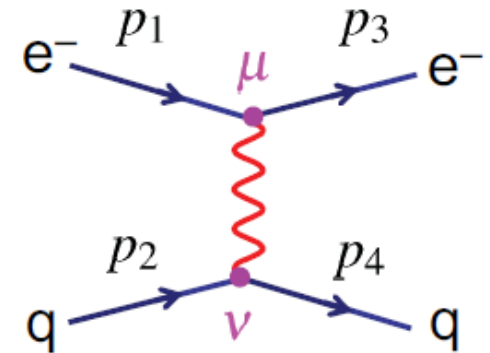
- Consider the QED process  $e^-q \rightarrow e^-q$
- The Feynman rules for QED give:

$$iM = [\bar{u}_e(p_3)ie\gamma^\mu u_e(p_1)] \frac{-ig_{\mu\nu}}{q^2} [\bar{u}_q(p_4)ie\gamma^\nu u_q(p_2)]$$

- Which can be expressed in terms of the electron and quark 4-vector currents:

$$M = -\frac{e^2}{q^2} g_{\mu\nu} j_e^\mu j_q^\nu = -\frac{e^2}{q^2} j_e \cdot j_q$$

with  $j_e = \bar{u}_e(p_3)\gamma^\mu u_e(p_1)$  and  $j_q = \bar{u}_q(p_4)\gamma^\mu u_q(p_2)$



- ★ Consider the what happen to the matrix element under the parity transformation

- ♦ Spinors transform as

$$u \xrightarrow{\hat{P}} \hat{P}u = \gamma^0 u$$

- ♦ Adjoint spinors transform as

$$\bar{u} = u^\dagger \gamma^0 \xrightarrow{\hat{P}} (\hat{P}u)^\dagger \gamma^0 = u^\dagger \gamma^{0\dagger} \gamma^0 = u^\dagger \gamma^0 \gamma^0 = \bar{u} \gamma^0$$

$$\bar{u} \xrightarrow{\hat{P}} \bar{u} \gamma^0$$

- ♦ Hence  $j_e = \bar{u}_e(p_3)\gamma^\mu u_e(p_1) \xrightarrow{\hat{P}} \bar{u}_e(p_3)\gamma^0 \gamma^\mu \gamma^0 u_e(p_1)$

★ Consider the components of the four-vector current

0:  $j_e^0 \xrightarrow{\hat{P}} \bar{u}\gamma^0\gamma^0\gamma^0u = \bar{u}\gamma^0u = j_e^0$  since  $\gamma^0\gamma^0 = 1$

$k=1,2,3$ :  $j_e^k \xrightarrow{\hat{P}} \bar{u}\gamma^0\gamma^k\gamma^0u = -\bar{u}\gamma^k\gamma^0\gamma^0u = -\bar{u}\gamma^ku = -j_e^k$  since  $\gamma^0\gamma^k = -\gamma^k\gamma^0$

- The time-like component remains unchanged and the space-like components change sign

• Similarly  $j_q^0 \xrightarrow{\hat{P}} j_q^0$        $j_q^k \xrightarrow{\hat{P}} -j_q^k$      $k = 1, 2, 3$

★ Consequently the four-vector scalar product

$$j_e \cdot j_q = j_e^0 j_q^0 - j_e^k j_q^k \xrightarrow{\hat{P}} j_e^0 j_q^0 - (-j_e^k)(-j_q^k) = j_e \cdot j_q \quad k = 1, 3$$

or  $j^\mu \xrightarrow{\hat{P}} j_\mu$   
 $j^\mu \cdot j^\nu \xrightarrow{\hat{P}} j_\mu \cdot j_\nu$   
 $\xrightarrow{\hat{P}} j^\mu \cdot j^\nu$

**QED Matrix Elements are Parity Invariant**

➔ **Parity Conserved in QED**

★ The QCD vertex has the same form and, thus,

**Parity Conserved in QCD**

# Parity violation in $\beta$ -decay

★ The parity operator  $\hat{P}$  corresponds to a discrete transformation  $x \rightarrow -x$ , etc.

★ Under the parity transformation:

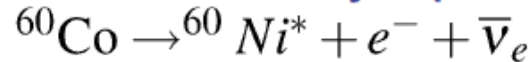
$$\begin{array}{l} \text{Vectors} \\ \text{change sign} \end{array} \left\{ \begin{array}{l} \vec{r} \xrightarrow{\hat{P}} -\vec{r} \\ \vec{p} \xrightarrow{\hat{P}} -\vec{p} \end{array} \right. \quad (p_x = \frac{\partial}{\partial x}, \text{ etc.})$$

$$\begin{array}{l} \text{Axial-Vectors} \\ \text{unchanged} \end{array} \left\{ \begin{array}{l} \vec{L} \xrightarrow{\hat{P}} \vec{L} \\ \vec{\mu} \xrightarrow{\hat{P}} \vec{\mu} \end{array} \right. \quad (\vec{L} = \vec{r} \wedge \vec{p})$$

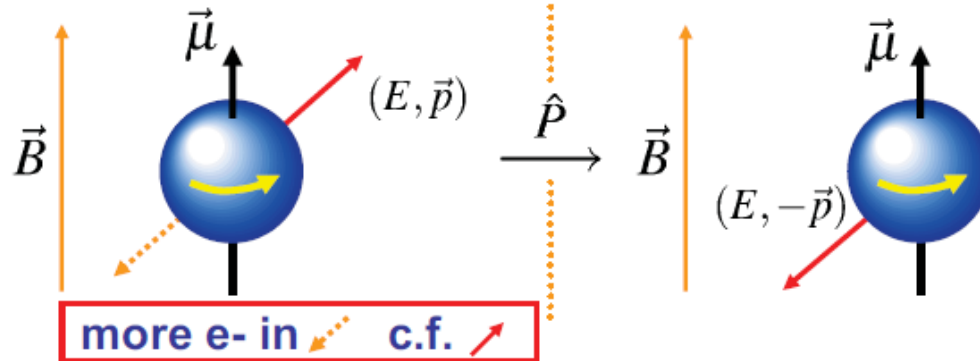
$$\quad \quad \quad (\vec{\mu} \propto \vec{L})$$

Note  $\vec{B}$  is an axial vector  
 $d\vec{B} \propto \vec{J} \wedge \vec{r} d^3\vec{r}$

★ 1957: C.S.Wu et al. studied beta decay of polarized cobalt-60 nuclei:



★ Observed **electrons emitted preferentially** in direction opposite to applied field



If parity were conserved: expect equal rate for producing  $e^-$  in directions along and opposite to the nuclear spin.

★ Conclude **parity is violated** in WEAK INTERACTION  
 → that the WEAK interaction vertex is **NOT** of the form  $\bar{u}_e \gamma^\mu u_\nu$



# Bilinear covariance

- ★ The requirement of Lorentz invariance of the matrix element severely restricts the form of the interaction vertex. QED and QCD are “**VECTOR**” interactions:

$$j^\mu = \bar{\psi} \gamma^\mu \phi$$

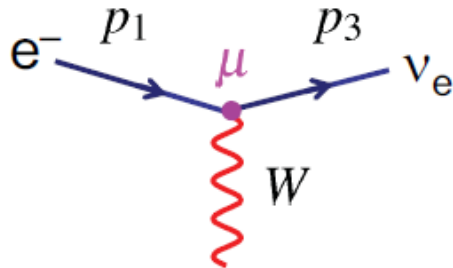
- ★ This combination transforms as a 4-vector (Handout 2 appendix V)
- ★ In general, there are only 5 possible combinations of two spinors and the gamma matrices that form Lorentz invariant currents, called “bilinear covariants”:

Type	Form	Components	“Boson Spin”
◆ <b>SCALAR</b>	$\bar{\psi} \phi$	1	0
◆ <b>PSEUDOSCALAR</b>	$\bar{\psi} \gamma^5 \phi$	1	0
◆ <b>VECTOR</b>	$\bar{\psi} \gamma^\mu \phi$	4	1
◆ <b>AXIAL VECTOR</b>	$\bar{\psi} \gamma^\mu \gamma^5 \phi$	4	1
◆ <b>TENSOR</b>	$\bar{\psi} (\gamma^\mu \gamma^\nu - \gamma^\nu \gamma^\mu) \phi$	6	2

- ★ Note that in total the sixteen components correspond to the 16 elements of a general 4x4 matrix: “decomposition into Lorentz invariant combinations”
- ★ In QED the factor  $g_{\mu\nu}$  arose from the sum over polarization states of the virtual photon (2 transverse + 1 longitudinal, 1 scalar) =  $(2J+1) + 1$
- ★ Associate **SCALAR** and **PSEUDOSCALAR** interactions with the exchange of a **SPIN-0** boson, etc. – no spin degrees of freedom

# V-A structure of weak interaction

- ★ The most general form for the interaction between a fermion and a boson is a linear combination of bilinear covariants
- ★ For an interaction corresponding to the exchange of a spin-1 particle the most general form is a linear combination of **VECTOR** and **AXIAL-VECTOR**
- ★ The form for **WEAK** interaction is determined from experiment to be **VECTOR - AXIAL-VECTOR (V - A)**



$$j^\mu \propto \bar{u}_{\nu_e} (\gamma^\mu - \gamma^\mu \gamma^5) u_e$$

**V - A**

- ★ Can this account for parity violation?
- ★ First consider parity transformation of a pure **AXIAL-VECTOR** current

$$j_A = \bar{\psi} \gamma^\mu \gamma^5 \phi \quad \text{with} \quad \gamma^5 = i\gamma^0 \gamma^1 \gamma^2 \gamma^3; \quad \gamma^5 \gamma^0 = -\gamma^0 \gamma^5$$

$$j_A = \bar{\psi} \gamma^\mu \gamma^5 \phi \xrightarrow{\hat{P}} \bar{\psi} \gamma^0 \gamma^\mu \gamma^5 \gamma^0 \phi = -\bar{\psi} \gamma^0 \gamma^\mu \gamma^0 \gamma^5 \phi$$

$$j_A^0 \xrightarrow{\hat{P}} -\bar{\psi} \gamma^0 \gamma^0 \gamma^0 \gamma^5 \phi = -\bar{\psi} \gamma^0 \gamma^5 \phi = -j_A^0$$

$$j_A^k \xrightarrow{\hat{P}} -\bar{\psi} \gamma^0 \gamma^k \gamma^0 \gamma^5 \phi = +\bar{\psi} \gamma^k \gamma^5 \phi = +j_A^k \quad k = 1, 2, 3$$

or  $j_A^\mu \xrightarrow{\hat{P}} -j_{A\mu}$

- The space-like components remain unchanged and the time-like components change sign (the opposite to the parity properties of a vector-current)

$$j_A^0 \xrightarrow{\hat{P}} -j_A^0; \quad j_A^k \xrightarrow{\hat{P}} +j_A^k; \quad j_V^0 \xrightarrow{\hat{P}} +j_V^0; \quad j_V^k \xrightarrow{\hat{P}} -j_V^k$$

- Now consider the matrix elements

$$M \propto g_{\mu\nu} j_1^\mu j_2^\nu = j_1^0 j_2^0 - \sum_{k=1,3} j_1^k j_2^k$$

- For the combination of a two axial-vector currents

$$j_{A1} \cdot j_{A2} \xrightarrow{\hat{P}} (-j_1^0)(-j_2^0) - \sum_{k=1,3} (j_1^k)(j_2^k) = j_{A1} \cdot j_{A2}$$

- Consequently parity is conserved for both a pure vector and pure axial-vector interactions
- However the combination of a vector current and an axial vector current

$$j_{V1} \cdot j_{A2} \xrightarrow{\hat{P}} (j_1^0)(-j_2^0) - \sum_{k=1,3} (-j_1^k)(j_2^k) = -j_{V1} \cdot j_{A2}$$

changes sign under parity – can give parity violation !

- ★ Now consider a general linear combination of VECTOR and AXIAL-VECTOR (note this is relevant for the Z-boson vertex)

$$j_1 = \bar{\phi}_1 (g_V \gamma^\mu + g_A \gamma^\mu \gamma^5) \psi_1 = g_V j_1^V + g_A j_1^A$$

$$j_2 = \bar{\phi}_2 (g_V \gamma^\mu + g_A \gamma^\mu \gamma^5) \psi_2 = g_V j_2^V + g_A j_2^A$$

$$\frac{g_{\mu\nu}}{q^2 - m^2}$$

$$M_{fi} \propto j_1 \cdot j_2 = g_V^2 j_1^V \cdot j_2^V + g_A^2 j_1^A \cdot j_2^A + g_V g_A (j_1^V \cdot j_2^A + j_1^A \cdot j_2^V)$$

- Consider the parity transformation of this scalar product

$$j_1 \cdot j_2 \xrightarrow{\hat{P}} g_V^2 j_1^V \cdot j_2^V + g_A^2 j_1^A \cdot j_2^A - g_V g_A (j_1^V \cdot j_2^A + j_1^A \cdot j_2^V)$$

- If either  $g_A$  or  $g_V$  is zero, Parity is conserved, i.e. parity conserved in a pure VECTOR or pure AXIAL-VECTOR interaction

- Relative strength of parity violating part  $\propto \frac{g_V g_A}{g_V^2 + g_A^2}$

Maximal Parity Violation for V-A (or V+A)

# Chiral structure of QED (reminder)

- ★ Recall introduced **CHIRAL** projections operators

$$P_R = \frac{1}{2}(1 + \gamma^5); \quad P_L = \frac{1}{2}(1 - \gamma^5)$$

project out **chiral** right- and left- handed states

- ★ In the ultra-relativistic limit, **chiral states** correspond to **helicity states**

- ★ Any spinor can be expressed as:

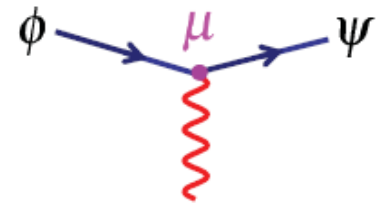
$$\psi = \frac{1}{2}(1 + \gamma^5)\psi + \frac{1}{2}(1 - \gamma^5)\psi = P_R\psi + P_L\psi = \psi_R + \psi_L$$

- **The QED vertex**  $\bar{\psi}\gamma^\mu\phi$  in terms of chiral states:

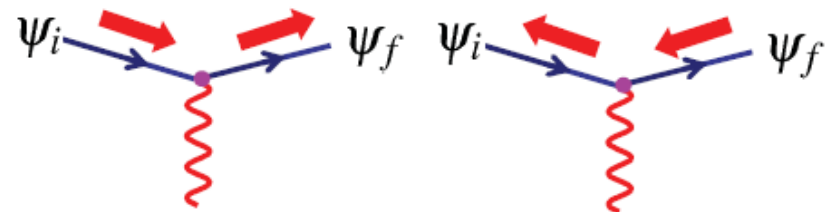
$$\bar{\psi}\gamma^\mu\phi = \bar{\psi}_R\gamma^\mu\phi_R + \bar{\psi}_R\gamma^\mu\phi_L + \bar{\psi}_L\gamma^\mu\phi_R + \bar{\psi}_L\gamma^\mu\phi_L$$

conserves chirality, e.g.

$$\begin{aligned} \bar{\psi}_R\gamma^\mu\phi_L &= \frac{1}{2}\psi^\dagger(1 + \gamma^5)\gamma^0\gamma^\mu\frac{1}{2}(1 - \gamma^5)\phi \\ &= \frac{1}{4}\psi^\dagger\gamma^0(1 - \gamma^5)\gamma^\mu(1 - \gamma^5)\phi \\ &= \frac{1}{4}\bar{\psi}\gamma^\mu(1 + \gamma^5)(1 - \gamma^5)\phi = 0 \end{aligned}$$



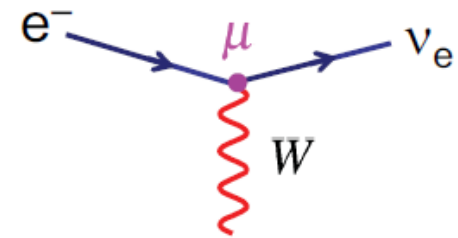
- ★ In the ultra-relativistic limit only two helicity combinations are non-zero



# Helicity structure of weak interactions

- ★ The charged current ( $W^\pm$ ) weak vertex is:

$$\frac{-ig_w}{\sqrt{2}} \frac{1}{2} \gamma^\mu (1 - \gamma^5)$$



- ★ Since  $\frac{1}{2}(1 - \gamma^5)$  projects out left-handed chiral particle states:

$$\bar{\psi} \frac{1}{2} \gamma^\mu (1 - \gamma^5) \phi = \bar{\psi} \gamma^\mu \phi_L$$

- ★ Writing  $\bar{\psi} = \bar{\psi}_R + \bar{\psi}_L$  and from discussion of QED,  $\bar{\psi}_R \gamma^\mu \phi_L = 0$  gives

$$\bar{\psi} \frac{1}{2} \gamma^\mu (1 - \gamma^5) \phi = \bar{\psi}_L \gamma^\mu \phi_L$$



Only the left-handed chiral components of particle spinors and right-handed chiral components of anti-particle spinors participate in charged current weak interactions

- ★ At very high energy ( $E \gg m$ ), the left-handed chiral components are helicity eigenstates:

$$\frac{1}{2}(1 - \gamma^5)u \Rightarrow \text{blue arrow pointing right with a red arrow pointing left above it}$$

LEFT-HANDED PARTICLES  
Helicity = -1

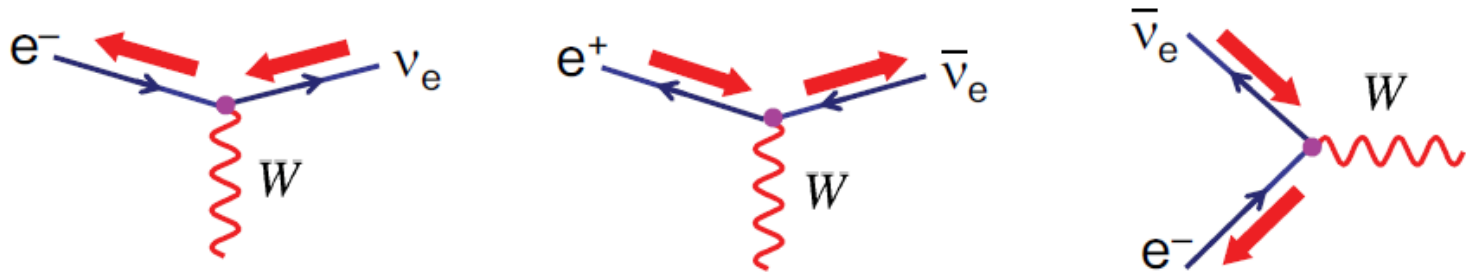
$$\frac{1}{2}(1 - \gamma^5)v \Rightarrow \text{blue arrow pointing right with a red arrow pointing right above it}$$

RIGHT-HANDED ANTI-PARTICLES  
Helicity = +1



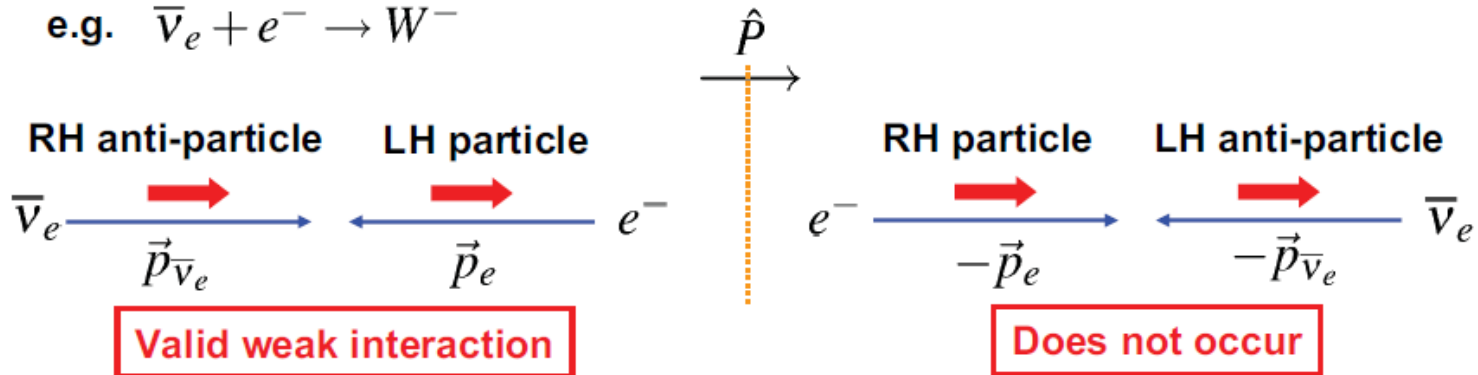
In the ultra-relativistic limit only **left-handed particles** and **right-handed antiparticles** participate in charged current weak interactions

e.g. In the relativistic limit, the only possible electron - neutrino interactions are:



★ The helicity dependence of the weak interaction  $\longleftrightarrow$  parity violation

e.g.  $\bar{\nu}_e + e^- \rightarrow W^-$

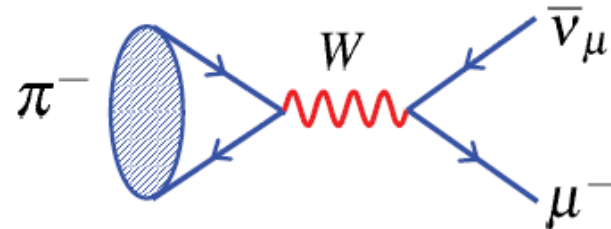
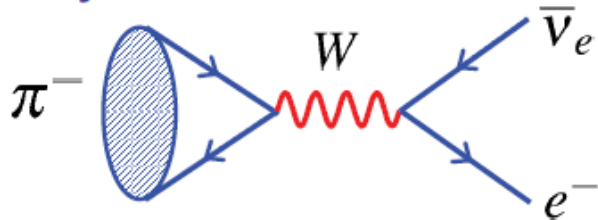


Valid weak interaction

Does not occur

# Helicity in pion decay

- ★ The decays of charged pions provide a good demonstration of the role of helicity in the weak interaction



**EXPERIMENTALLY:** 
$$\frac{\Gamma(\pi^- \rightarrow e^- \bar{\nu}_e)}{\Gamma(\pi^- \rightarrow \mu^- \bar{\nu}_\mu)} = 1.23 \times 10^{-4}$$

- Might expect the decay to electrons to dominate – due to increased phase space.... The opposite happens, the electron decay is helicity suppressed
- ★ Consider decay in pion rest frame.
  - Pion is spin zero: so the spins of the  $\bar{\nu}$  and  $\mu$  are opposite
  - Weak interaction only couples to **RH chiral** anti-particle states. Since neutrinos are (almost) massless, must be in **RH Helicity** state
  - Therefore, to conserve angular mom. muon is emitted in a **RH HELICITY** state



- But only **left-handed CHIRAL particle states** participate in weak interaction



★ The general **right-handed helicity** solution to the Dirac equation is

$$u_{\uparrow} = N \begin{pmatrix} c \\ e^{i\phi} s \\ \frac{|\vec{p}|}{E+m} c \\ \frac{|\vec{p}|}{E+m} e^{i\phi} s \end{pmatrix} \quad \text{with } c = \cos \frac{\theta}{2} \text{ and } s = \sin \frac{\theta}{2}$$

- project out the **left-handed chiral** part of the wave-function using

$$P_L = \frac{1}{2}(1 - \gamma^5) = \frac{1}{2} \begin{pmatrix} 1 & 0 & -1 & 0 \\ 0 & 1 & 0 & -1 \\ -1 & 0 & 1 & 0 \\ 0 & -1 & 0 & 1 \end{pmatrix}$$

giving 
$$P_L u_{\uparrow} = \frac{1}{2} N \left(1 - \frac{|\vec{p}|}{E+m}\right) \begin{pmatrix} c \\ e^{i\phi} s \\ -c \\ -e^{i\phi} s \end{pmatrix} = \frac{1}{2} N \left(1 - \frac{|\vec{p}|}{E+m}\right) u_L$$

In the limit  $m \ll E$  this tends to zero

- similarly

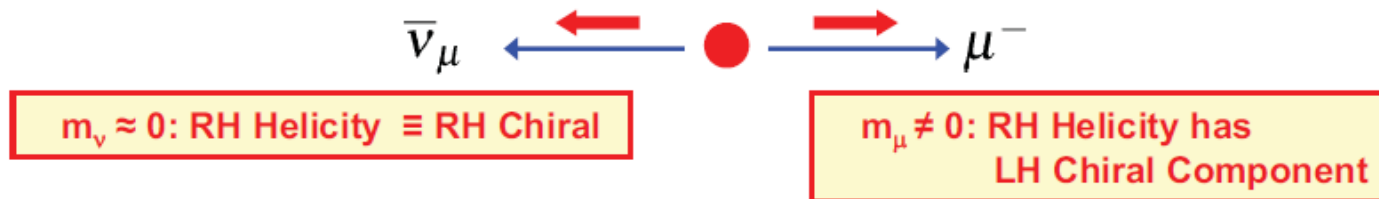
$$P_R u_{\uparrow} = \frac{1}{2} N \left(1 + \frac{|\vec{p}|}{E+m}\right) \begin{pmatrix} c \\ e^{i\phi} s \\ c \\ e^{i\phi} s \end{pmatrix} = \frac{1}{2} N \left(1 + \frac{|\vec{p}|}{E+m}\right) u_R$$

In the limit  $m \ll E$  ,  $P_R u_{\uparrow} \rightarrow u_R$

★ Hence 
$$u_{\uparrow} = P_R u_{\uparrow} + P_L u_{\uparrow} = \frac{1}{2} \left( 1 + \frac{|\vec{p}|}{E+m} \right) u_R + \frac{1}{2} \left( 1 - \frac{|\vec{p}|}{E+m} \right) u_L$$

RH Helicity
RH Chiral
LH Chiral

- In the limit  $E \gg m$ , as expected, the RH chiral and helicity states are identical
- Although only LH chiral particles participate in the weak interaction the contribution from RH Helicity states is not necessarily zero!



- ★ Expect matrix element to be proportional to LH chiral component of RH Helicity electron/muon spinor

$$M_{fi} \propto \frac{1}{2} \left( 1 - \frac{|\vec{p}|}{E+m} \right) = \frac{m_{\mu}}{m_{\pi} + m_{\mu}}$$

from the kinematics of pion decay at rest

- ★ Hence because the electron mass is much smaller than the pion mass the decay  $\pi^{-} \rightarrow e^{-} \bar{\nu}_e$  is heavily suppressed.

# Evidence for V-A

★ The V-A nature of the charged current weak interaction vertex fits with experiment

## EXAMPLE charged pion decay

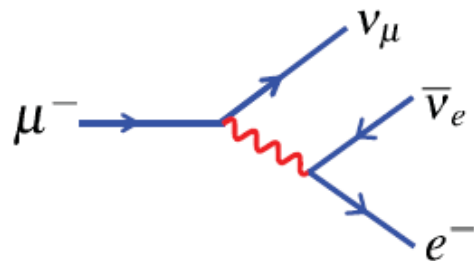
• Experimentally measure:  $\frac{\Gamma(\pi^- \rightarrow e^- \bar{\nu}_e)}{\Gamma(\pi^- \rightarrow \mu^- \bar{\nu}_\mu)} = (1.230 \pm 0.004) \times 10^{-4}$

• Theoretical predictions (depend on Lorentz Structure of the interaction)

**V-A**  $(\bar{\psi}\gamma^\mu(1-\gamma^5)\phi)$  or **V+A**  $(\bar{\psi}\gamma^\mu(1+\gamma^5)\phi)$   $\rightarrow \frac{\Gamma(\pi^- \rightarrow e^- \bar{\nu}_e)}{\Gamma(\pi^- \rightarrow \mu^- \bar{\nu}_\mu)} \approx 1.3 \times 10^{-4}$

**Scalar**  $(\bar{\psi}\phi)$  or **Pseudo-Scalar**  $(\bar{\psi}\gamma^5\phi)$   $\rightarrow \frac{\Gamma(\pi^- \rightarrow e^- \bar{\nu}_e)}{\Gamma(\pi^- \rightarrow \mu^- \bar{\nu}_\mu)} = 5.5$

## EXAMPLE muon decay

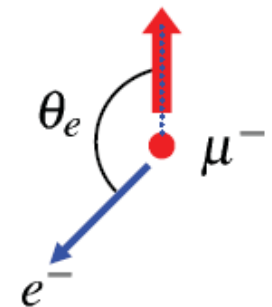


e.g. TWIST expt:  $6 \times 10^9 \mu$  decays  
Phys. Rev. Lett. 95 (2005) 101805

Measure **electron** energy and angular distributions relative to muon spin direction. Results expressed in terms of general **S+P+V+A+T** form in “Michel Parameters”

$$\rho = 0.75080 \pm 0.00105$$

**V-A Prediction:**  $\rho = 0.75$



# Weak charged current propagator

- ★ The charged-current Weak interaction is different from QED and QCD in that it is mediated by massive W-bosons (80.3 GeV)
- ★ This results in a more complicated form for the propagator:
  - in handout 4 showed that for the exchange of a massive particle:

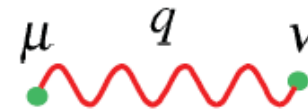
$$\begin{array}{ccc} \text{massless} & & \text{massive} \\ \frac{1}{q^2} & \longrightarrow & \frac{1}{q^2 - m^2} \end{array}$$

- In addition the sum over W boson polarization states modifies the numerator

## ● W-boson propagator

spin 1  $W^\pm$

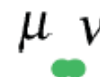
$$\frac{-i [g_{\mu\nu} - q_\mu q_\nu / m_W^2]}{q^2 - m_W^2}$$



- ★ However in the limit where  $q^2$  is small compared with  $m_W = 80.3 \text{ GeV}$  the interaction takes a simpler form.

## ● W-boson propagator ( $q^2 \ll m_W^2$ )

$$\frac{ig_{\mu\nu}}{m_W^2}$$



- The interaction appears point-like (i.e no  $q^2$  dependence)

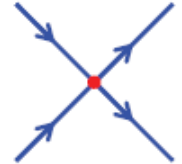
# Connection to Fermi theory

- ★ In 1934, before the discovery of parity violation, Fermi proposed, in analogy with QED, that the invariant matrix element for  $\beta$ -decay was of the form:

$$M_{fi} = G_F g_{\mu\nu} [\bar{\psi} \gamma^\mu \psi] [\bar{\psi} \gamma^\nu \psi]$$

where  $G_F = 1.166 \times 10^{-5} \text{ GeV}^{-2}$

- Note the absence of a propagator : i.e. this represents an interaction at a point



- ★ After the discovery of parity violation in 1957 this was modified to

$$M_{fi} = \frac{G_F}{\sqrt{2}} g_{\mu\nu} [\bar{\psi} \gamma^\mu (1 - \gamma^5) \psi] [\bar{\psi} \gamma^\nu (1 - \gamma^5) \psi]$$

(the factor of  $\sqrt{2}$  was included so the numerical value of  $G_F$  did not need to be changed)

- ★ Compare to the prediction for W-boson exchange

$$M_{fi} = \left[ \frac{g_W}{\sqrt{2}} \bar{\psi} \frac{1}{2} \gamma^\mu (1 - \gamma^5) \psi \right] \frac{g_{\mu\nu} - q_\mu q_\nu / m_W^2}{q^2 - m_W^2} \left[ \frac{g_W}{\sqrt{2}} \bar{\psi} \frac{1}{2} \gamma^\nu (1 - \gamma^5) \psi \right]$$

which for  $q^2 \ll m_W^2$  becomes:

$$M_{fi} = \frac{g_W^2}{8m_W^2} g_{\mu\nu} [\bar{\psi} \gamma^\mu (1 - \gamma^5) \psi] [\bar{\psi} \gamma^\nu (1 - \gamma^5) \psi]$$

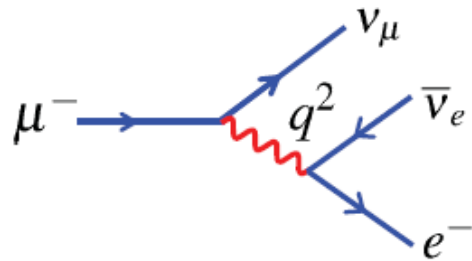


$$\frac{G_F}{\sqrt{2}} = \frac{g_W^2}{8m_W^2}$$

Still usually use  $G_F$  to express strength of weak interaction as this is the quantity that is precisely determined in muon decay

# Strength of Weak Interaction

- ★ Strength of weak interaction most precisely measured in muon decay



- Here  $q^2 < m_\mu$  (0.106 GeV)
- To a very good approximation the W-boson propagator can be written

$$\frac{-i [g_{\mu\nu} - q_\mu q_\nu / m_W^2]}{q^2 - m_W^2} \approx \frac{ig_{\mu\nu}}{m_W^2}$$

- In muon decay measure  $g_W^2 / m_W^2$

- Muon decay  $\rightarrow G_F = 1.16639(1) \times 10^{-5} \text{ GeV}^{-2}$

$$\frac{G_F}{\sqrt{2}} = \frac{g_W^2}{8m_W^2}$$

- ★ To obtain the intrinsic strength of weak interaction need to know mass of W-boson:  $m_W = 80.403 \pm 0.029 \text{ GeV}$  (see handout 14)

$$\rightarrow \alpha_W = \frac{g_W^2}{4\pi} = \frac{8m_W^2 G_F}{4\sqrt{2}\pi} = \frac{1}{30}$$

★ The intrinsic strength of the weak interaction is similar to, but greater than, the EM interaction! It is the massive W-boson in the propagator which makes it appear weak. For  $q^2 \gg m_W^2$  weak interactions are more likely than EM.

# Summary

- ★ Weak interaction is of form **Vector - Axial-vector (V-A)**

$$\frac{-ig_w}{\sqrt{2}} \frac{1}{2} \gamma^\mu (1 - \gamma^5)$$

- ★ **Consequently only left-handed chiral particle states and right-handed chiral anti-particle states participate in the weak interaction**



**MAXIMAL PARITY VIOLATION**

- ★ Weak interaction also violates **Charge Conjugation symmetry**
- ★ At low  $q^2$  weak interaction is only weak because of the large W-boson mass

$$\frac{G_F}{\sqrt{2}} = \frac{g_W^2}{8m_W^2}$$

- ★ **Intrinsic strength of weak interaction is similar to that of QED**